

1                   Study of surface modification of recycled  
2                   ultrafiltration membranes using statistical design of  
3                   experiments

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11                   **Abstract**

12                   Membrane surface modification on recycled ultrafiltration membrane was conducted  
13                   using statistical design of experiments by two levels full factorial design. Dip-coting  
14                   using catechol (CA) and polyetylenimine (PEI) was chosen as the membrane surface  
15                   modification method because its simplicity, energy saving characteristics and bio-based  
16                   character. The factors studied were: CA and PEI concentration (1g/l and 4 g/l),  
17                   temperature (30°C and 50°C) and reaction time (2 and 7 hours). The studied responses  
18                   were the relative permeability ( $Pr$ ) and the flux recovery ratio (FRR). A model with good  
19                   validity was obtained for both responses. Membranes were deeply characterized using  
20                   several techniques: Scanning electron microscopy (SEM); Attenuated total reflectance–  
21                   Fourier transform infrared (ATR–FTIR) spectroscopy; X-ray photoelectron  
22                   spectroscopy (XPS) and Atomic force microscopy (AFM). Statistical design of  
23                   experiments resulted on a useful tool to understand how the factors affect the surface  
24                   modification. Surface modification conducted at mild conditions (2 hours; 30 °C and 1g/l)  
25                   improved membrane permeability and flux recovery ratio. Moreover, interaction between

26 factors turned out to be significant in the modification process which was an unexplored  
27 field in previous works. Modification of recycled membranes is an innovative process  
28 that follows a Circular Economy approach.

29

30 **Keywords:** End-of-life membrane; Statistical design of experiments; Surface  
31 modification; Surface characterization; Recycling; Ultrafiltration.

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## 36 **1. Introduction**

37 Membrane technology is widely used for wastewater treatment and many other  
38 technological and environmental processes for many years now. This technology has  
39 been also deeply improved over the years [1,2]. Specifically, reverse osmosis (RO)  
40 technology is broadly implemented [3]. The most used membranes for this purpose are  
41 aromatic polyamide (PA) based membranes. The main drawbacks related to membrane  
42 performance have been identified to be the energy consumption, membrane fouling and  
43 the membrane replacement rate [4,5]. Most of the countries manage the disposal of RO  
44 membranes according to their own legislation which means that, normally, most of  
45 them end up on landfills following the traditional model of linear economy [6].  
46 Nonetheless, this current practice goes against the European Union principles associated  
47 to Circular Economy [7,8].

48 Related to EoL RO membranes, several alternative scenarios to landfill disposal have  
49 been considered [9,10]. Framed on Life Cycle Assessment (LCA), Lawler *et al.* [11]  
50 studied the EoL RO membranes disposal alternatives in order to compute the  
51 environmental impact of each one. Conclusion resulting of that research showed that  
52 landfill disposal was the least environmentally friendly option. On the contrary, direct  
53 reuse turned out to be the most environmentally favorable alternative, which consists on  
54 using the membrane module without altering the structure of the module itself.

55 Nonetheless, the condition of EoL RO membranes modules does not always allow the  
56 direct reuse. Considering this issue, RO membrane recycling is also possible by varying  
57 or eliminating their membrane active layer using an oxidant chemical such as sodium  
58 hypochlorite (NaClO). By mean of this procedure, it is possible to achieve ultrafiltration  
59 (UF) membranes by totally removing the active polyamide layer [6,12,13].

60 Several studies have already presented the use of recycled UF membranes for  
61 wastewater treatment processes [14–16]. Still, performance parameter, such as  
62 permeability of recycled UF membranes should be further studied along with fouling  
63 behavior, operational costs saving, energy consumption reduction, resource recovery,  
64 etc. [17–19]. Under this framework, Senán-Salinas *et al.* has recently developed a study  
65 of a comparative LCA and cost-effectiveness analysis of the recycled membranes,  
66 having into consideration as a primordial factor, among others, the permeability of the  
67 recycled membranes, which is significantly smaller compared with the commercial ones  
68 [20].

69 Numerous actions can be taken in order to improve the membranes performance by  
70 enhancing the permeability or minimizing the membrane fouling such as: i) to  
71 experience different operational strategies [21–23]; ii) to create novel material  
72 membranes [24] or iii) to modify/functionalize membranes [25–27]. Membrane surface  
73 modification has been deeply studied during the last years and numerous techniques and  
74 procedures have been tested for this purpose [28–31]. Several studies like the one  
75 developed by Nady *et al.* [32] or Ayyavoo *et al.* [33] highlighted coating process  
76 because its i) simplicity; ii) reproducibility; iii) environmentally friendly behavior and  
77 iv) cost effectiveness. Regarding the use of compounds and/or particles for the  
78 membrane surface modification, main actions to this matter have been focused on the  
79 use of several hydrophilic chemical compounds that are attached to the membrane,  
80 avoiding the adsorption of the fouling agents related to biofouling. Some of these  
81 methods use very well-known chemical compounds such as i) polyethylene glycols  
82 (PEG's) [26]; ii) nanoparticles [34]; iii) polyaniline [35]; iv) novel bio-inspired  
83 materials like polydopamine (PDA) [36,37] or v) other interesting and novel paths such  
84 as the use of enzyme catalysis, i.e. laccases, to functionalize membranes. [27].

85 In the present work, dip-coating process was used to modify the recycled membranes.  
86 The use of this methodology involves that permeability could be compromised [38,39].  
87 To obtain an improvement and environmentally friendly character of the membranes,  
88 eco-friendly compounds such as catechol (CA) and polyethylenimine (PEI) were  
89 selected for current investigation.

90 Several previous studies using CA and PEI have been carried out. Yan Chau Xu *et al.*  
91 studied the co-deposition of CA and PEI with different molecular weights (Mw) [39].  
92 Xue *et al.* studied a combined CA and PEI surface modification along with a surface  
93 mineralization for the membranes [40]. Qiu *et al.* employed an initial reaction time for  
94 co-deposition of CA and PEI with a constant stirring of the solution at room  
95 temperature. After that, further grafting reactions with different PEI Mw were  
96 conducted [41]. Other studies to take into consideration for the topic is the one  
97 developed by Zhang *et al.* [36] using PDA and PEI in a covalent grafting reaction.

98 The studies mentioned above were conducted following the traditional one factor at a  
99 time approach, where the effect of each factor is studied separately. However, this  
100 approach implies a significant number of experiments and, therefore, an inefficient use  
101 of resources. In addition, it may often miss important conclusions about the effect of  
102 (one) experimental variable when the level of another variable is changed (i.e.  
103 interaction effects) [42]. The use of statistical experimental design such as factorial  
104 designs overcome these limitations and reduces the number of experiments needed for  
105 the analysis of the main effects, decreasing the use of time, raw material, and natural  
106 resources.

107 The aim of the present work is to gain, by means of statistical design of experiments, a  
108 deep understanding of the main factors affecting the surface modification of recycled  
109 ultrafiltration membranes. Also, as a novelty, the interaction between factors will be

110 studied to understand if they have any impact in the modification reaction and,  
111 therefore, in the membrane performance. Moreover, membrane surface characterization  
112 will be conducted to contrast obtained results.

## 113 **2. Experimental part**

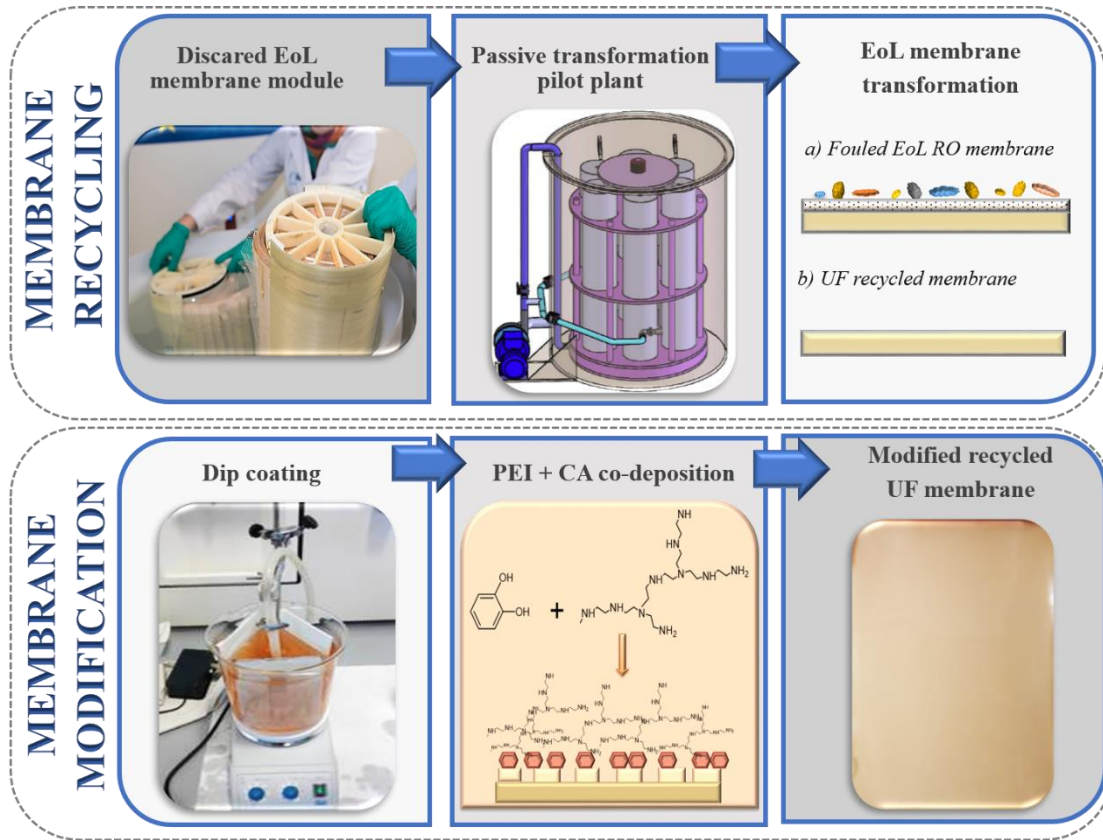
### 114 **2.1. Materials**

115 Coupons (216 cm<sup>2</sup> area) from recycled EoL RO membrane model TM720-400 (Toray)  
116 were used. The chemicals consumed along this study were sodium hypochlorite (NaClO  
117 10 % w/v), ethanol (96% EPR Ph.Eur. LABKEM (Spain)), polyethylenimine, branched  
118 (average Mw 800 by LS, average MN 600 by GPG. ALDRICH), 1,2-dihydroxybenzene  
119 (ReagentPlus®, ≥99% by Sigma Aldrich), Trizma hydrochloride (reagent grade ≥  
120 99.0%, SIGMA (Spain)), Trizma base (reagent grade ≥ 99.9% primary standard and  
121 buffer were purchased from SIGMA (Spain)), Bovine Serum Albumine (MW: 67 kDa)  
122 (lyophilized powder, ≥96% agarose gel electrophoresis by SIGMA), E. coli (CECT434  
123 (batch 23/03/2017)). Samples and solutions were prepared using Milli-Q water.

### 124 **2.2. Membrane recycling and modification**

125 Recycled UF membranes were obtained by removing the polyamide layer of the EoL  
126 RO membranes. For this purpose the process developed was the one described at  
127 Pacheco *et al* [6]. The recycling process was performed with a passive transformation  
128 pilot applied to the whole module, maintaining its integrity [16]. After that, a membrane  
129 autopsy was conducted. Module was opened to obtain membrane samples to  
130 characterize and to use for filtration and fouling experiments. The modification step was  
131 conducted by one step co-deposition using CA and PEI. pH adjustment was made by  
132 means of tris-buffer solution (pH).

133 As Figure 1 shows, membranes were attached to the modification system to remain  
134 vertically during the dip coating process. After surface modification, membranes were  
135 rinsed with Milli-Q water in order to remove any CA/PEI co-deposition particles left.



136

137

Figure 1. Membrane preparation flow chart

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### 2.3. Statistical Design of Experiments: permeability and flux recovery ratio

140

MODDE Go software (Umetrics) was used for the design of experiments and data

141

analysis. Specifically, a two-level full factorial design was employed. The factors

142

studied were i) concentrations of CA (CCA), ii) concentration of PEI (CPEI),

143

iii) exposure time (t) and iv) temperature (T). Three center points were added to the

144

experimental set. The process performance of the membranes was defined in terms of

145

two main responses i) relative permeability (Pr) and ii) flux recovery ratio (FRR).

146

Preliminary results show that permeability values on recycled membranes varied from

147

0% to 35%. Due to the experimental variability of the permeability of the recycled

148 membrane coupons, the permeability values were normalized by calculating the  
149 relative permeability  $P_r$ .

150 The responses were obtained by conducting the filtration experiments as follows:

151 **Filtration experiments**

152 Filtration experiments were conducted in a stirred cell (Merk Stirred Cell XFUF04701)  
153 with an effective membrane area of  $4.7 \times 10^{-2} \text{ m}^2$  at room temperature. The flat sheet  
154 membranes used were i) recycled UF and ii) modified recycled UF membranes.

155 The *FRR* of the membranes was estimated using a solution of Bovine Serum Albumin  
156 (BSA) at 1 g/L solution concentration as fouling agent.

157 Each experiment was divided in the subsequent steps: i) Milli-Q water was forced to  
158 pass through the membrane at the stipulated pressure to obtain an initial permeability  
159 value, ii) BSA (1 g/L) solution pass at the specified pressure, iii) the membrane was  
160 extracted to receive a manual cleaning with Milli-Q water, iv) Milli-Q water was  
161 enforced to go through the membrane and v) lastly, a last permeability test with clean  
162 Milli-Q water was done.

163 Permeability ( $P$ ;  $\text{L} \cdot \text{m}^{-2} \cdot \text{h}^{-1} \cdot \text{bar}^{-1}$ ), was calculated as follows (equation (1)):

164

$$165 \quad P = J / TMP \quad (1)$$

166

167 Where *TMP* (bar) is the transmembrane pressure and  $J$  ( $\text{L} \cdot \text{m}^{-2} \cdot \text{h}^{-1}$ ) is the permeate flux  
168 of the studied membranes (equation (2)):

169

$$170 \quad J = \frac{Q}{S \times h} \quad (2)$$

171



172 Where  $Q$  ( $L \cdot h^{-1}$ ) is the membranes permeate flow,  $S$  ( $m^2$ ) represents the membrane  
173 surface and  $h$  shows time in hours.  
174 Permeate flow ( $Q$ ), at laboratory, was calculated by measuring permeate weight ( $W$ , g)  
175 according to time ( $t$ , h). Solution density ( $\rho$ , g/L) considered was 1,000 g/l (equation  
176 (3)):

177

$$178 \quad Q = \frac{W}{\rho \times t} \quad (3)$$

179

180 Relative permeability ( $P_r$ ) was obtained dividing the individual permeability ( $P_i$ )  
181 obtained for each coupon over the average permeability ( $P_{average}$ ) of all coupons studied  
182 (equation (4)).

183

$$184 \quad P_r = P_i / P_{average} \quad (4)$$

185

186 The value of  $FRR$  was calculated as (equation (5))

187

$$188 \quad FRR = J_2 / J_1 \quad (5)$$

189

190 Where  $J_1$  ( $L \cdot m^{-2} \cdot h^{-1}$ ) is the Milli-Q water permeability before BSA experiment and  $J_2$   
191 ( $L \cdot m^{-2} \cdot h^{-1}$ ) is the Milli-Q water permeability after BSA experiment.

192

### 193 **Model validity**

194 The validity of the empirical models fitted with multiple linear regressions (MLR) was  
195 tested with analysis of variance (ANOVA). The confidence level used was 95%. The

196 model was also evaluated in terms of the coefficient of determination ( $R^2$ ), adjusted  
 197 coefficient of determination ( $R^2_{adj}$ ) and the response variation percentage predicted by  
 198 the model according to cross validation ( $Q^2= 1-PRESS/SS$ ); PRESS is the prediction  
 199 residual sum of squares and SS is the total sum of squares of Y corrected for the mean).  
 200 Table 1 shows all the experiment conducted for fitting the model. As Table 1 shows,  
 201 three center points were included to analyze the reproducibility of the experiments.

202

*Table 1 Conducted experiments.*

<i>Factorial Design</i>				
<b>Membrane</b>	<b>CCA (g/l)</b>	<b>CPEI (g/l)</b>	<b>T (°C)</b>	<b>t (hours)</b>
<b>1</b>	1	1	30	2
<b>2</b>	4	1	30	2
<b>3</b>	1	4	30	2
<b>4</b>	4	4	30	2
<b>5</b>	1	1	50	2
<b>6</b>	4	1	50	2
<b>7</b>	1	4	50	2
<b>8</b>	4	4	50	2
<b>9</b>	1	1	30	7
<b>10</b>	4	1	30	7
<b>11</b>	1	4	30	7
<b>12</b>	4	4	30	7
<b>13</b>	1	1	50	7
<b>14</b>	4	1	50	7
<b>15</b>	1	4	50	7
<b>16</b>	4	4	50	7
<b>17</b>	2.5	2.5	40	4.5
<b>18</b>	2.5	2.5	40	4.5
<b>19</b>	2.5	2.5	40	4.5

203

204 Given the results of the fitted model, four membranes were selected for surface  
 205 characterization. To obtain a fine representation of parameters' level, the selected  
 206 membranes were *n° 1*, *n° 5*, *n° 9* and *n° 13*. Moreover, a *blank* membrane (recycled  
 207 membrane with no modification conducted) was also characterized.

208

#### **2.4. Membrane surface characterization**

209

210

211

To assess if the modification was successful and to determinate its intensity, membrane  
 surface characterization was conducted. Scanning electron microscopy (SEM)  
 employing S-8000 Model (Hitachi) image device was employed to examine the surface

212 of the membranes. Furthermore, to determine the average pore diameter of modified  
213 membranes, Digital Image Analysis (DIA) was performed by using ImageJ software  
214 (Java-based image processing program) for porous size analysis [43]. Attenuated total  
215 reflectance–Fourier transform infrared (ATR–FTIR) spectroscopy using a Perkin-Elmer  
216 RX1 spectrometer was used to analyze the functional group on the membrane surface.  
217 To investigate the surface chemical composition of the membranes, X-ray photoelectron  
218 spectroscopy (XPS) was conducted with a SPECS system (Berlin, Germany) equipped  
219 with Phoibos 150 1D-DLD analyzer and monochromatic radiation source Al K $\alpha$   
220 (1486.7 eV) (wide scan: step energy 1 eV, dwell time 0.1 s, pass energy 80 eV).  
221 Specific analysis of the detected elements was performed (detail scan: step energy 0.08  
222 eV, dwell time 0.1 s, pass energy 30 eV) with an exit angle of the electrons of 90°. The  
223 roughness of the membrane surfaces was examined by atomic force microscopy (AFM)  
224 using a Multimode topographical AFM (Veeco Instruments, Santa Barbara, California)  
225 equipped with a Nanoscope Iva control system (software version 6.14r1). Silicon  
226 tapping probes (RTESP, Veeco) were used with a resonance frequency of ~300 kHz  
227 and a scan rate of 0.5 Hz, 5  $\times$  5, 3  $\times$  3, 2  $\times$  2 y 1  $\times$  1  $\mu$ m<sup>2</sup> AFM images were taken for  
228 each sample.

### 229 **3. Results**

#### 230 **3.1. Model validity**

231 When evaluating the validity of the fitted model for the relative permeability with  
232 ANOVA results (Table 2), it shows that  $F_{\text{value}} (10.893) > F_{\text{tabulated}} (3.135)$  and  $p < 0.05$ .  
233 Therefore, the regression model is statistically significant with the 95% confidence  
234 level. In addition, the lack of fit is not significant with the 95% confidence level  
235 ( $p > 0.05$ ). The coefficient of determination,  $R^2$  (0.884), and the response variation

236 percentage predicted by the model,  $Q^2$  (0.674), also show a good validity of the model  
 237 developed.

238

239 *Table II ANOVA table for relative permeability model.*

$P_r$	DF	SS	MS	F <sub>value</sub>	F <sub>tabulated</sub> ( $\alpha=0,05$ )	Probability (p)	SD
<b>Total</b>	18	15.5592	0.8644				
<b>Constant</b>	1	15.272	15.272				
<b>Total corrected</b>	17	0.287178	0.0168928				0.129972
<b>Regression</b>	7	0.253883	0.036269	10.8932	3.315	0.001	0.190444
<b>Residual</b>	10	0.033295	0.0033295				0.0577018
<b>Lack of Fit</b>	8	0.0328284	0.00410354	17.5867	19.371	0.055	0.0640589
<b>Pure error</b>	2	0.00046667	0.00023333				0.0152752

240

241 When evaluating the validity of the fitted model for the FRR with ANOVA results

242 (Table 3), it shows that  $F_{\text{value}} (7.053) > F_{\text{tabulated}} (4.0600)$  and  $p < 0.05$ . Therefore, the

243 regression model is statistically significant with the 95% confidence level. In addition,

244 the lack of fit is not significant with the 95% confidence level ( $p > 0.05$ ). The

245 coefficient of determination,  $R^2$  (0.746), and the response variation percentage predicted

246 by the model,  $Q^2$  (0.529) also show an acceptable validity of the model developed.

247

248 *Table III ANOVA table for FRR model.*

<b>FRR</b>	DF	SS	MS	F <sub>value</sub>	F <sub>tabulated</sub> ( $\alpha=0,05$ )	Probability (p)	SD
<b>Total</b>	18	19.6349	1.09083				
<b>Constant</b>	1	17.9401	17.9401				
<b>Total corrected</b>	17	1.69485	0.099697				0.315748
<b>Regression</b>	5	1.26443	0.252886	7.05036	3.11	0.003	0.502877
<b>Residual</b>	12	0.430421	0.0358685				0.18939
<b>Lack of Fit</b>	10	0.396555	0.0396555	2.34186	19.40	0.336	0.199137
<b>Pure error</b>	2	0.0338667	0.0169333				0.130128

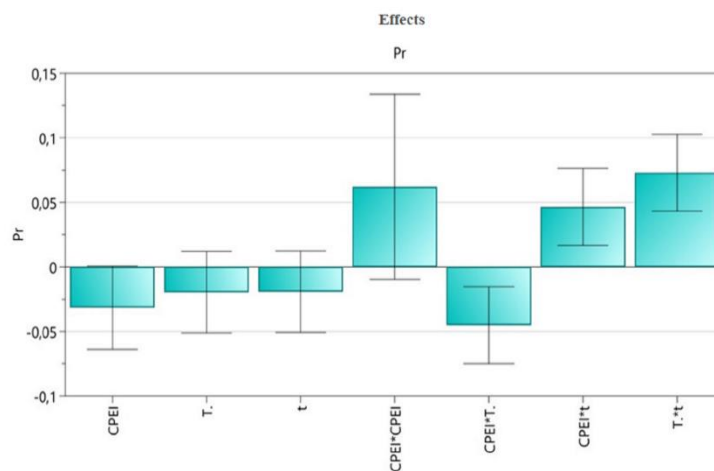
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### 250 **3.2. Effects of factors and its interactions on permeability**

251 Based on the satisfactory model obtained, the effect of factors and interaction between

252 factors on the permeability could be evaluated (Figure 2). CCA has not been taken into

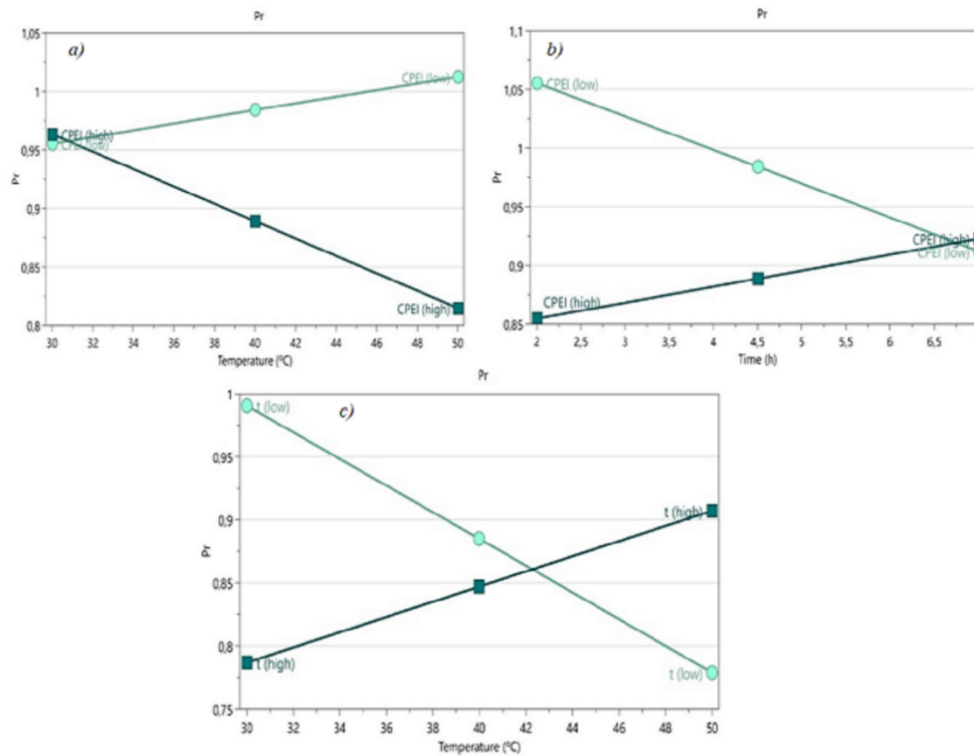
253 consideration for the analysis since, according to the model, it has no significant effect.  
 254 This might be due to the range of CCA used in the present study. As Figure 2 illustrates,  
 255 the principal factor affecting permeability values is CPEI, having a negative effect on it.  
 256 This means that when CPEI increases, permeability decreases. This might be because, at  
 257 higher PEI concentration, more PEI molecules are attached to the membrane surface,  
 258 obtaining a thicker modification layer that adds a higher resistance to the water flow,  
 259 reducing the permeability [36,39,44].



260  
 261 *Figure 2 Effects on relative permeability (MODDE\_12 Software). 95% confident level is shown as error*  
 262 *bar.*

263  
 264 It is important to note that also three main interactions seem to be statistically  
 265 significant (Figure 3). When an interaction between two factors is significant, the effect  
 266 of one of the factors depends on the level of the other factor, i.e. the factors are not  
 267 independent from each other. There is evidence that previous studies did not take into  
 268 consideration the importance of the interaction between factors and this could mean  
 269 obtaining incomplete conclusions. For instance, Xu *et al.* conducted the experiments  
 270 with same reaction time (6 hours), temperature (30°C) and chemical compounds ratio  
 271 (1:1; 3g/L) modifying, only PEI Mw. Xu *et al* concluded that low Mw of PEI provided  
 272 a thinner coating layer along with an excellent separation characteristic, especially with  
 273 PEI Mw 600. However, the levels of the remaining factors present on the experiments

274 had not been evaluated and, consequently, it is difficult to evaluate if at high  
275 temperature levels or lower reaction times the results would be similar [39]. Xue *et al.*  
276 carried out the experiments with variations of CA/PEI mass ratio (1.2 g/L of CA) and  
277 co-deposition time but same temperature (25 °C) preparing the membrane for an  
278 additional modification. Xue *et al.* concluded that the most suitable reaction conditions  
279 were CA concentration 1.2 g/l, mass ratio CA: PEI 4:1 and 8 hours reaction time,  
280 respectively. Once more, it is not possible to infer what the results would have been  
281 with temperature variation [40]. Zhang *et al.* developed a surface modification using  
282 PEI and PDA and they took into consideration the variation of some of the parameters  
283 involved in the reaction (PEI concentration, temperature or reaction time). Even though  
284 Zhang *et al.*, did not study the effect of interactions between factors, they discussed the  
285 possible effects that PEI concentration, temperature and time could have into the  
286 chemical reaction. The study of Zhang *et al.* concluded that mild modified membranes  
287 showed quite good performance and that controlling modification parameters, different  
288 modification layers and membrane performance can be achieved [36].  
289 As Figure 3 shows, in this study three main interactions seem to be statistically  
290 significant: i) CPEI-temperature; ii) CPEI-time and iii) temperature-time (Figure 3).



291

292 *Figure 3. Interactions affecting relative permeability: a) CPEI - Temperature; b) CPEI – Time;*

293 *c) Temperature – Time*

294 i) CPEI - Temperature (Figure 3a): The effect of CPEI has not a significant effect

295 when temperature is low. Nevertheless, when membrane modification was made at

296 higher temperature the high values of CPEI have a clear negative effect on permeability.

297 As Zhang *et al.* had already observed, this may be due to Schiff base reaction or/and

298 Michael addition, which are both endothermic reactions. This could mean that the

299 temperature allows more molecules to attach to the membrane surface. Then, the

300 thickness could be higher along with temperature affecting, consequently, to the

301 permeability [36].

302 ii) CPEI – time (Figure 3b): The effect of CPEI clearly changes depending on the

303 reaction extent. At low reaction time, high CPEI lead to lower permeability levels. On

304 the contrary, low CPEI and time combination results on higher permeability values. As

305 it can be observed, when the reaction time is higher, there is barely a difference on the

306 permeability values depending on the CPEI. As Zhang *et al.* observed, at low reaction

307 times all positions on the membrane surface are suitable of being occupied and PEI  
308 molecules could get to the membrane surface with no apparent effort. Nonetheless, at  
309 longer reaction times, PEI molecules would be influenced by electrostatic repulsion and  
310 steric hindrance and making its way to the surface more difficult [36].

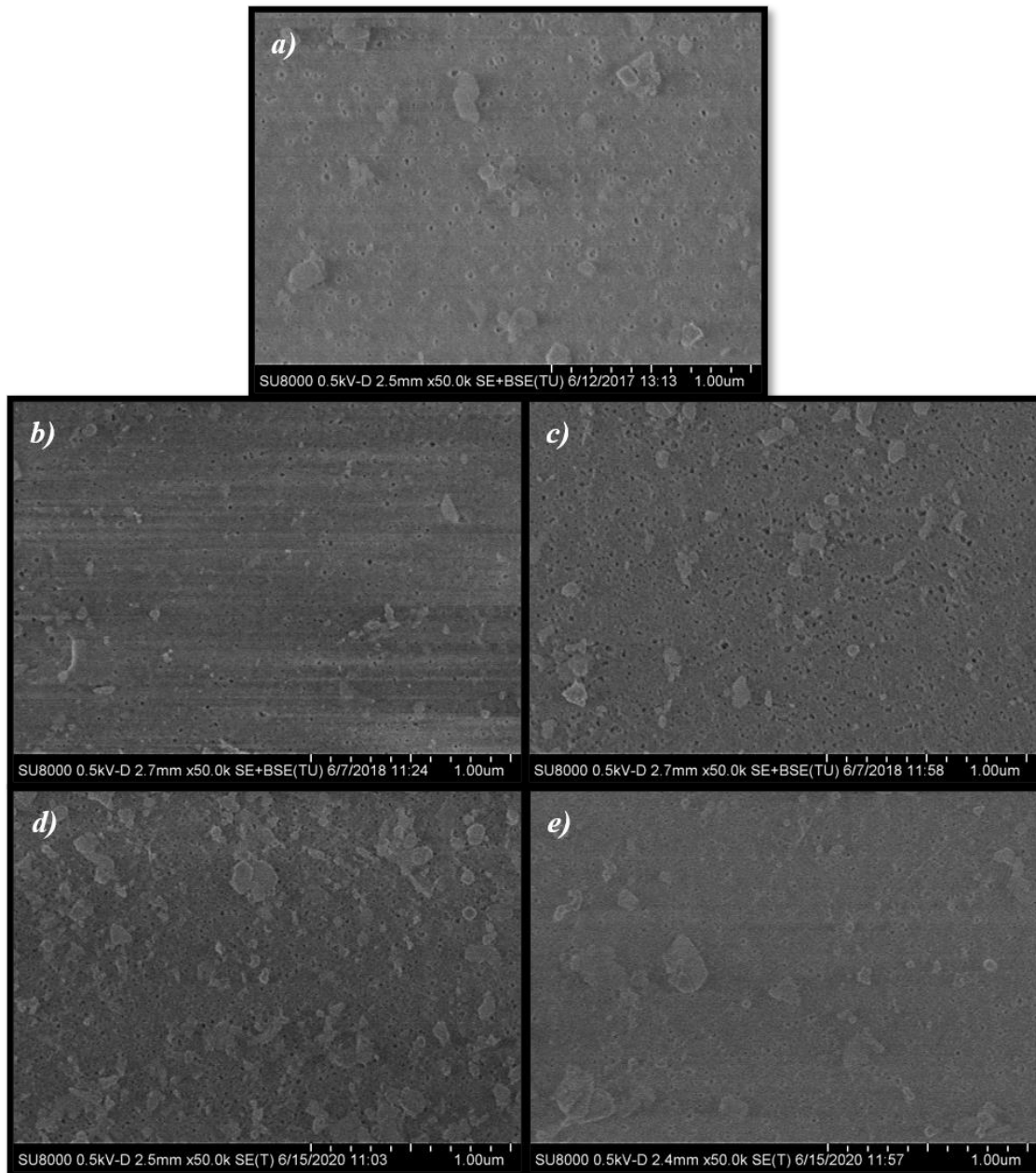
311 Consequently, independently of having higher concentrations of PEI in the solution the  
312 thickness of the modification layer will not be increased at long reactions times  
313 obtaining similar permeability values.

314 iii) Temperature – time (Figure 3c): As it can be noticed in Figure 3c, the effect of  
315 the temperature varies along the reaction time. At minor time, there exists a  
316 considerable difference of the effect between high and low temperature effect. The  
317 smaller the temperature and the reaction time are, the higher the permeability is.  
318 Nonetheless, at long reaction times, the difference of the effect due to the temperature  
319 decreases. This situation may occur due to the fact that when time or temperature levels  
320 are extensive enough, they permit that an enough amount of PEI molecules would be  
321 attached to the membranes. This would become in a thicker modification layer than the  
322 one obtained with mild conditions. [36,39,44].

323 For better understanding and corroboration of the presented hypotheses, membrane  
324 surface characterization was conducted. Initially, to determine if the modification could  
325 have affected the superficial pore size of the membranes, membrane surface SEM  
326 micrographs were acquired (Figure 4).

327





328

329 *Figure 4 Surface SEM images of a) blank membrane (recycled; no modified), b) Membrane 1*  
 330 *(2h; 30°C; 1 g/l), c) Membrane 5 (2h; 50°C; 1 g/l), d) Membrane 9 (7h; 30°C; 1 g/l) and*  
 331 *e) Membrane 13 (7h; 50°C; 1 g/l)*

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333

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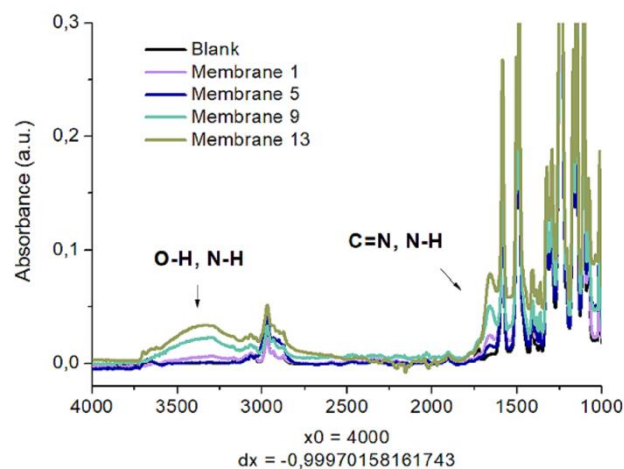
335

336 SEM images on Figure 4, showed a very similar surface morphology. Pores can be still  
 337 perceived in all of them. The estimation of the average pore size, was conducted by DIA  
 338 following the analytical procedure described in Molina *et al.* [45]. The average pore  
 339 size, Feret Diameter ( $d_F$ ), of each membrane was calculated and shown in Table 4.

340 *Table IV Pore diameter values for analyzed membranes*

Feret Diameter, $d_F$ , (nm)	
Blank	11.9±6.5
N° 1	11.3±5.8
N° 5	11.0±5.3
N° 9	10.4±5.7
N° 13	9.8±5.9

341  
 342 As it can be seen in Table 4, pore size presented a minor variation for the different  
 343 modifications studied. This might be due to the mild conditions used during the  
 344 modification process. However, it needs to be considered that other studies have shown  
 345 that when the levels of the modification change (i.e. higher PEI concentration) pore size  
 346 of the membranes would be affected. [36]. Moreover, membrane surface was examined  
 347 by the ATR-FTIR spectroscopy. Figure 5 shows ATR-FTIR spectra for the blank and  
 348 for the selected modified membranes.



*Figure 5 ATR-FTIR spectra*

351 All the spectra were normalized to band at  $1240\text{ cm}^{-1}$ , of phenylene ether stretching  
352 vibration of the PSF support layer. Spectra from the modified membranes show peaks at  
353  $3400$  and  $1542\text{ cm}^{-1}$  both compatible with amine and alcohol groups, which indicates  
354 the co-deposition of the CA and PEI. All studied membranes provided a higher signal  
355 than the blank membrane. However, all the obtained signals were considerably weak.  
356 This may be due to the mild modification conditions of the selected membranes, which  
357 were intentionally chosen to improve membrane characteristics without compromising  
358 membrane performance in terms of permeability. The intensity of these signals is  
359 especially weak in membranes 1 and 5 (2 hours modification). Even though the  
360 parameters on the modification process were varied ( $30^{\circ}\text{C}$  and  $50^{\circ}\text{C}$  respectively), both  
361 membranes showed very similar spectra. Conversely, in the case of membranes 9 and  
362 13 (7 hours modification;  $30^{\circ}\text{C}$  and  $50^{\circ}\text{C}$  respectively), although spectra of both  
363 membranes still presented a weak signal, the difference between these membranes is  
364 more accentuated. This could be explained having into consideration the effect of the  
365 interaction between factors. Temperature may lead to a more disorganized reaction  
366 which, in combination with low reaction times, could lead to a lower attachment of PEI  
367 molecules to the membrane surface. Still, that did not happen at long reaction times  
368 resulting on a thicker modification layer for the membrane modified at the highest  
369 temperature.

370 Once more, the intensity of these bands was weak because of the mild conditions set for  
371 the surface modification. Consequently, it might happen that the thickness of the  
372 deposited layer might be thinner than the penetration depth of ATR beam. For this  
373 reason, deeper analysis by mean of XPS was conducted. Table 5 shows the surface  
374 element composition of several membranes.

375

376

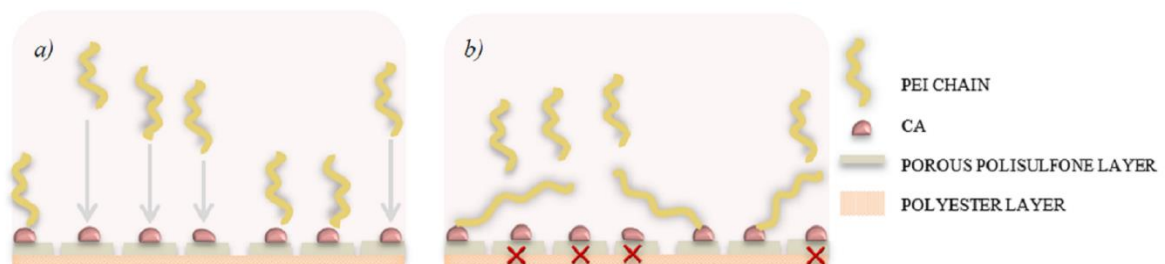
Table V Surface element composition obtained by XPS

	Blank	Membrane 1	Membrane 5	Membrane 9
<b>Carbon %</b>	70.85	64.01	73.59	69.04
<b>Oxygen %</b>	23.73	29.72	19.94	23.63
<b>Nitrogen %</b>	2.65	4.47	3.41	5.40
<b>Sulphur %</b>	2.77	1.79	3.05	1.93
<b>RATIO NITROGEN / SULPHUR</b>				
	0.96	2.49	1.12	2.80

378

379 It was detected that the modified membranes have higher atomic percentage of nitrogen  
 380 than the blank membrane, which could be attributed to the nitrogen element of PEI.  
 381 Moreover, the N/S ratio of the modified membranes is also superior to the blank.  
 382 Results of membrane 5 (1:1; 50°C; 2h), which N/S ratio was lower than membrane  
 383 1(1:1; 30°C; 2h) should be highlighted. These results were in concordance with the ones  
 384 obtained with FTIR-ATR analysis. As Zhang *et al.* previously reported, due to the  
 385 endothermic character of the reaction, an increase of the temperature would lead to a  
 386 higher degree reaction. This would permit more PEI molecules to attach to the  
 387 membrane surface shortly. [36]. However, it could be possible that this fast PEI  
 388 attachment also entails an increase of electrostatic repulsion making more difficult for  
 389 new molecules to reach the surface (Figure 6).

390



391 *Figure 6 CA-PEI co-deposition reaction scheme: a) Ordered co-deposition reaction; b) Disordered co-*  
 392 *deposition reaction.*

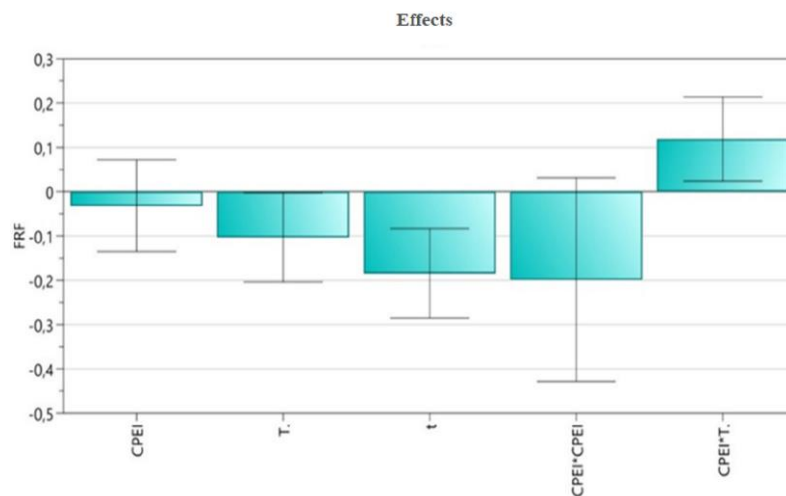
393

394 According to the obtained model, at low reaction time and for low CPEI values,  
 395 temperature has a negative effect on the attachment of PEI molecules. Moreover,

396 membrane 1 and 9, both modified at 30° C, presented a very similar N/S ratio even  
397 though reaction time is different (2 hours and 7 hours respectively). The reason was  
398 that, at the beginning of the reaction, as PEI molecules reach the surface of the  
399 membrane very easily. Still, as long as the reaction goes by, PEI molecules have to deal  
400 with steric hindrance and a progressive lack of reactive sites for PEI causing a more  
401 difficult approaching more and making the reaction grade slower[36].

### 402 3.3. Effects of factors and interaction on FRR

403 Earliest results obtained by running the model showed that FRR values varied from 0%  
404 to 50%. Main factors and interactions affecting the *FRR* values are shown in Figure 7 It  
405 can be observed that main factors affecting the response are temperature and time both  
406 of them negatively



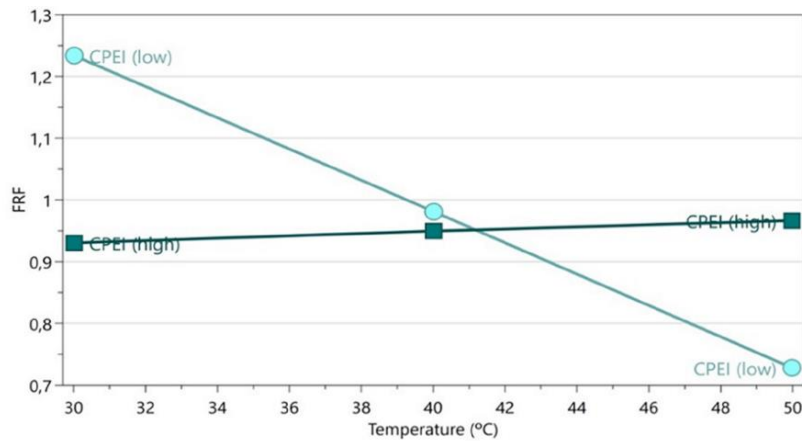
407

408 *Figure 7. Factors and interaction affecting FRR response. 95% confident level is shown as error bar.*

409

410

411 One interaction was statistically significant: CPEI – Temperature (Figure 8).



412

413

*Figure 8 CPEI – Temperature interaction on FRR*

414

The negative effect of time and temperature reaction might be explained because

415

membrane surface roughness was increased. As it can be observed in Figure 8, at high

416

CPEI values, FRR values were rather similar and did not change when increasing the

417

temperature. On the other hand, at low CPEI levels, the FRR values changed

418

significantly with the temperature. At low CPEI and low temperature the reaction was

419

conducted at mild conditions where PEI molecules could reach the reactive sites on

420

membrane surface in a homogeneous way. However, when temperature is high and due

421

to the endothermic character of the reaction, reaction grade will be higher making more

422

PEI molecules reach the surface faster. Due to the deposition, there would be more

423

electrostatic repulsion and steric hindrance, being more difficult for the following PEI

424

molecules to reach the surface homogeneously. Therefore, roughness will be higher. As

425

it was reported in previous works [46,47], membranes with higher roughness tend to

426

have more fouling issues. That is why, membranes with lower roughness would have

427

better FRR.

428

The effect of main affecting factors and interaction has been analyzed and corroborated

429

together with AFM. Membranes chosen to be evaluated by this technique were those

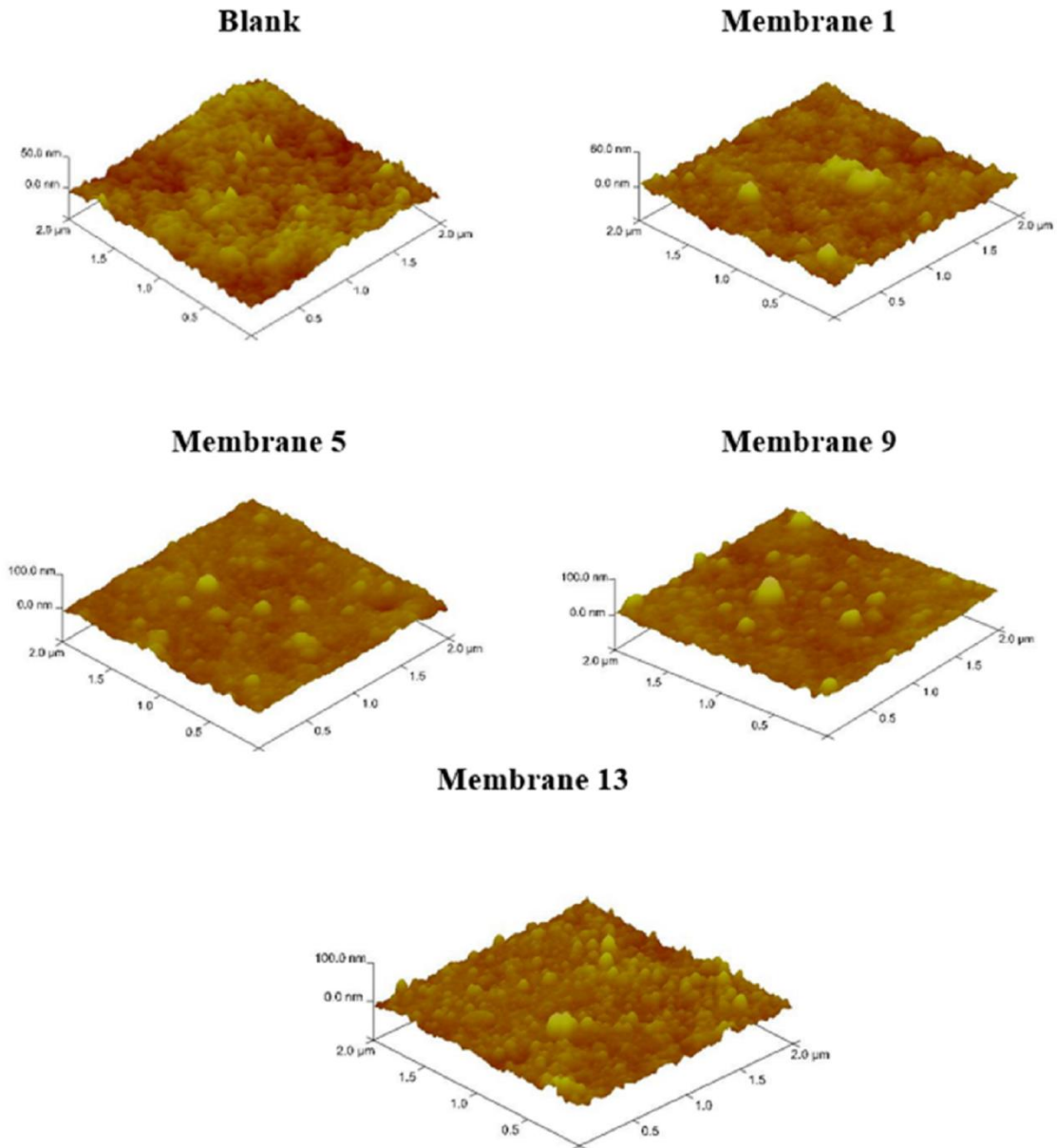
430

with concentrations of modification solution of 1 g/l modified at different times and

431

temperatures.

432 Figure 9 shows the AFM images and Table 6 shows the average roughness ( $R_a$ , the  
 433 average deviation of the peaks and valleys regarding the average height) and root mean  
 434 square roughness ( $R_q$ , standard deviation of both valleys and peaks).



435

436

Figure 9 AFM images of the recycled and modified membranes

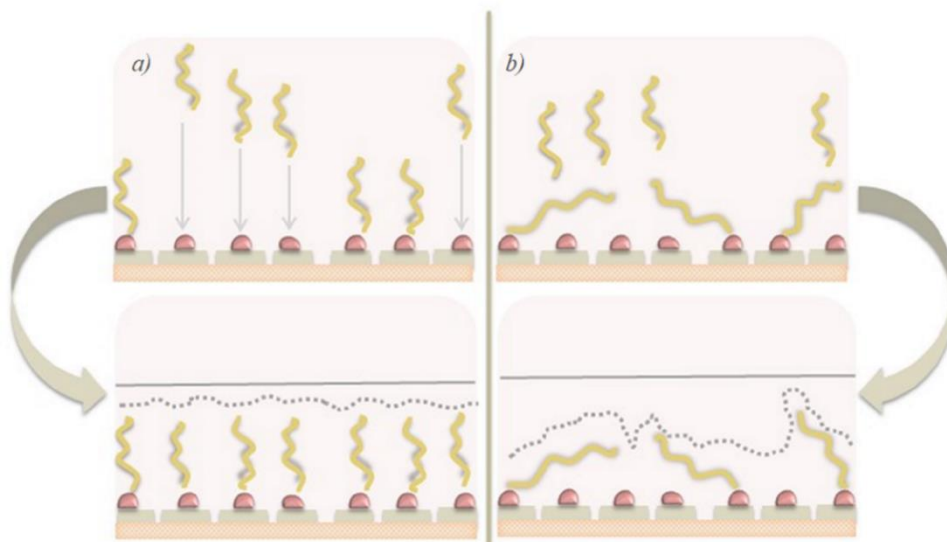
437

438

Table VI Surface roughness of membranes studied by AFM

Membrane	$R_a$ (nm)	$R_q$ (nm)
Blank	4.7±0.6	6.3±1.2
Membrane 1	5.2±0.9	7.5±1.3
Membrane 5	5.7±0.8	7.9±1.3
Membrane 9	8.8±3.0	11.5±4.1
Membrane 13	10.2±2.8	13.5±3.6

439 As it can be seen,  $R_a$  and  $R_q$  values of all modified studied membranes are higher than  
440 the blank one. It can be observed that at longer reactions time, roughness is higher.  
441 Membranes 1 and 5 presented a rather higher roughness values than the blank, although  
442 they are not so accentuated. On the other hand, membranes 9 and 13 had a clear  
443 intensification on roughness values, compared with membranes 1 and 5. However, in  
444 both cases, membranes modified at the highest temperature (50°C; membranes 5 and  
445 13) presented a slightly higher roughness value in comparison with the membranes  
446 which had been modified at same reaction times but lower temperature (30°C;  
447 membranes 1 and 9). This fact might be explained by taking into consideration the  
448 characteristics of the chemical reaction as it was explained in section 3.2. PEI molecules  
449 reach the membrane via Michael and/or Schiff endothermic reactions. Faster reaction  
450 might cause that all PEI brushes would not reach the surface in an identical or  
451 homogeneous position boosting the steric hindrance of the reaction. (Figure 10) [36].



452

453 *Figure 10 Surface roughness: a) Roughness resultant in an ordered co-deposition reaction;*  
454 *b) Roughness resultant in a disordered co-deposition reaction*

455

456 It is important to note that although membranes 1 and 9 were modified with the same  
457 temperature (30°C), membrane 9 showed superior roughness than membrane 1. During  
458 the time the co-deposition reaction is happening more molecules of PEI would be able



459 to reach a position on membrane surface. Still, the more the PEI molecules are, the more  
460 the electrostatic repulsion forces are and the less the free spaces to attached are. This  
461 situation would lead to more PEI molecules trying to reach the surface in a more  
462 disorganized form. Consequently, the surface roughness would be increased with both,  
463 time and temperature.

#### 464 **4. Conclusions and future work**

465 In this study surface modification by dip-coating of recycled membrane with  
466 environmentally friendly compounds (CA and PEI) was successfully conducted,  
467 obtaining improvements on the membrane performance. Statistical design of  
468 experiments demonstrated to be a very valuable tool to develop the study of membrane  
469 surface modification using CA and PEI and its performance. Membranes with higher  
470 roughness presented a worst flux recovery after fouling experiments. In addition, long  
471 reaction times used in the dip coating process resulted in thicker modification layer.

472 Given the results obtained when the model was fitted, it was demonstrated that the  
473 interactions between factors were as much significant as the main factors. Considering  
474 the improvement of  $R_p$  and FRR, having surface modification at mild conditions (1 g/l  
475 CA: 1 g/l PEI 600 Mw; 30 °C; 2 hours) seem to obtain best membrane process  
476 performance for our study ( $R_p = 1.12$ ; FRR = 1.38). In addition, conducting surface  
477 modification in mild conditions follows the path of working at low energy and  
478 chemicals consumption. This combined with the use of recycled membranes could be a  
479 very interesting alternative for those processes that have a high membrane replacement  
480 rate and/or important fouling issues such as membrane bioreactors (MBR). Following  
481 this approach, further studies will be conducted where recycled and modified UF  
482 membranes will be used in MBRs for urban wastewater treatment. In addition, further  
483 optimization experiments using statistical design of experiments should be conducted in

484 order to optimize the surface modification process. Finally, this study shows that the  
485 combination of recycled membranes modified with bio inspired low-cost surface  
486 modification along with the obtained results is very promising in terms of sustainability  
487 in membrane technology.

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669 **Abbreviations**

670 AFM

671 Atomic force microscopy

672 ANOVA

673 Analysis of variance

674 ATR–FTIR

675 Attenuated total reflectance–Fourier transform infrared spectroscopy

676 BSA

677 Bovine Serum Albumin

678 CA

679 Catechol

680 DIA

681 Digital Image Analysis

682 EoL

683 End-of-life

684 FRR

685 Flux recovery ratio

686 LCA

687 Life Cycle Assessment

688 MLR

689 Multiple linear regressions

690 NaClO

691 Sodium hypochlorite

692

693 PA  
694 Polyamide  
695 PDA  
696 Polydopamine  
697 PEI  
698 Polyetylenimine  
699 *Pr*  
700 Relative permeability  
701 RO  
702 Reverse osmosis  
703 SEM  
704 Scanning electron microscopy  
705 UF  
706 Ultrafiltration  
707 XPS  
708 X-ray photoelectron spectroscopy  
709 **Nomenclature**  
710  $\rho$   
711 Solution density (g/L)  
712 A  
713 Membrane effective area (m<sup>2</sup>)  
714 CCA  
715 Catechol concentration (g/L)  
716



717	CPEI
718	Polyethyleneimine concentration (g/L)
719	DF
720	Degrees of freedom
721	$F_{\text{tabulated}}$
722	Fisher test critical value
723	$F$ value
724	Fisher test calculated value
725	$h$
726	Time (hours)
727	$J$
728	Permeate flux ( $\text{L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}$ )
729	MS
730	Mean square
731	$P$
732	Permeability ( $\text{L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}\cdot\text{bar}^{-1}$ )
733	$P_{\text{average}}$
734	Average permeability ( $\text{L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}\cdot\text{bar}^{-1}$ )
735	$P_i$
736	Individual permeability ( $\text{L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}\cdot\text{bar}^{-1}$ )
737	$P_r$
738	Relative permeability ( $\text{L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}\cdot\text{bar}^{-1}$ )
739	$p$ -value
740	Probability
741	PRESS
742	Prediction residual sum of squares

743	$Q$	
744		Membranes permeate flow (L.h <sup>-1</sup> )
745	$Q^2$	
746		Response variation percentage predicted by the model
747	$R^2$	
748		Coefficient of determination
749	$R^2_{adj}$	
750		Adjusted coefficient of determination
751	$S$	
752		Membrane surface (m <sup>2</sup> )
753	SD	
754		Standard deviation
755	SS	
756		Sum of squares
757	$t$	
758		Time (hours)
759	T	
760		Temperature (°C)
761	TMP	
762		Transmembrane pressure (bar)
763	$W$	
764		Permeate weight (g)
765		

766 **Images captions**

767 Figure 1

768 Membrane preparation flow chart

769 Figure 2

770 Effects on relative permeability (MODDE\_12 Software). 95% confident level is

771 shown as error bar

772 Figure 3

773 Interactions affecting relative permeability: a) CPEI - Temperature; b) CPEI – Time;

774 c) Temperature – Time

775 Figure 4

776 Surface SEM images of a) blank membrane (recycled; no modified), b) Membrane 1

777 (2h; 30°C; 1 g/l), c) Membrane 5 (2h; 50°C; 1 g/l), d) Membrane 9 (7h; 30°C; 1 g/l)

778 and e) Membrane 13 (7h; 50°C; 1 g/l)

779 Figure 5

780 ATR-FTIR spectra

781 Figure 6

782 CA-PEI co-deposition reaction scheme: a) Ordered co-deposition reaction; b)

783 Disordered co-deposition reaction

784 Figure 7

785 Factors and interaction affecting FRR response. 95% confident level is shown as

786 error bar

787 Figure 8

788 CPEI – Temperature interaction on FRR

789 Figure 9

790 AFM images of the recycled and modified membranes

791 Figure 10

792 Surface roughness: a) Roughness resultant in an ordered co-deposition reaction;

793 b) Roughness resultant in a disordered co-deposition reaction