

1 **Morphological design of alumina hollow fiber membranes for desalination by air**
2 **gap membrane distillation**

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1 **Abstract**

2 Alumina hollow fiber membranes were prepared by the phase inversion technique under
3 different spinning conditions in order to induce various types of structural morphologies.
4 In the membrane fabrication process, the studied parameters were the polymer
5 concentration in the inorganic suspension and its flow rate, the gap distance, the bore
6 liquid, the outer coagulant composition and their flow rates. After sintering, the hollow
7 fibers were chemically modified by grafting (1H,1H,2H,2H-
8 perfluorodecyltriethoxysilane) rendering them hydrophobic for their use in membrane
9 distillation (MD) process. The effects of the membrane morphology on the obtained MD
10 membrane characteristics and on air gap membrane distillation (AGMD) desalination
11 performance were studied in order to figure out the most promising structure for MD. The
12 suitability of alumina hollow fibers for AGMD was confirmed by various membrane
13 characterization techniques. In general, the membranes prepared with lower polymer
14 concentration in the inorganic suspension exhibited higher AGMD performance (i.e.
15 higher permeate flux with a smaller flux reduction factor and a good salt rejection factor).
16 Among all prepared hollow fiber membranes prepared in this study, the one with the
17 largest micro-channel structure exhibited the best AGMD performance, even better than
18 all hydrophobic ceramic membranes used so far in desalination by AGMD and DCMD.

19

20 **Keywords:** Alumina hollow fiber membrane; membrane morphology; grafting
21 modification; Rayleigh-Taylor instability; membrane distillation; desalination.

1 **1. Introduction**

2 Water desalination by membrane distillation (MD) is an environmentally-friendly
3 alternative able to tackle the global water scarcity issue in combination with other water
4 treatment techniques [1-3]. MD process can be used to produce not only distilled water
5 but also ultrapure water, and stands out for its capability to treat concentrated salt aqueous
6 solutions [3-5]. Porous hydrophobic membranes are used in this non-isothermal process
7 in which the driving force for the mass transfer is the transmembrane vapor pressure.

8 The majority of MD studies were performed with membranes made from hydrophobic
9 polymers such as polyvinylidene fluoride (PVDF), polypropylene (PP) and
10 polytetrafluoroethylene (PTFE) [5]. Ceramic membranes can endure harsh environments,
11 opening up the possibility of treating a broader variety of feed solutions and operating
12 conditions [6-10]. It is well known that the superior structural, thermal and chemical
13 characteristics of these membranes, facilitate membrane cleaning process after fouling
14 without reducing the membrane properties and maintain its lifetime as consequence
15 reducing therefore the membrane's replacement cost [11]. In spite of the excellent
16 thermochemical stability of ceramic membranes, these are seldom explored for MD
17 applications, mainly due to their hydrophilic nature. In other words, ceramic membranes
18 cannot be used directly in MD, but are rendered hydrophobic by surface modification
19 using diverse agents and techniques as described elsewhere [12]. **This is one of the main**
20 **limitations of using ceramic membranes in MD applications.**

21 Different inorganic membranes of metal oxides (i.e. alumina, zirconia and titania) and
22 non-oxides (i.e. silicon nitride) were used in desalination by MD. Research studies with
23 commercial ceramic membranes have been carried out analyzing different properties such
24 as the pore diameter of the zirconia layer on the microporous alumina tubular support
25 [13], the grafting efficiency in terms of hydrophobic stability of the tubular titania

1 membrane with time [14] and the effect of modifying agent type on alumina anodisc™
2 membranes [15]. Other research studies have been devoted to the preparation of ceramic
3 membranes with different inorganic suspension compositions in order to perform the
4 most promising one in MD [8, 16, 17]. Das et al. [16] studied the effect of the
5 clay/alumina suspension proportions on the characteristics of the capillary membranes.
6 By means of rheological properties, Zhang et al. [8] and Wang et al. [17] analyzed first
7 the stability of silicon nitride and β -Sialon suspensions, respectively. Then, other
8 parameters such as the powder/polymer binder ratio of the silicon nitride hollow fiber
9 membranes and the β -Sialon hollow fiber composition and sintering temperature were
10 investigated looking for the suitable properties of an MD membrane.

11 Other researches using ceramic membranes focused on the study of the effects of the
12 MD operation parameters (i.e. sodium chloride concentration of the feed aqueous
13 solution, feed and permeate temperatures and flow rates) [13, 18] and the different MD
14 configurations (i.e. air gap membrane distillation (AGMD), direct contact membrane
15 distillation (DCMD) and vacuum membrane distillation (VMD)) [8, 17, 19] on the
16 desalination efficiency. In all cases, compared to the other MD configuration the VMD
17 permeate flux was the highest. Zhang et al. [8] found a significant difference between
18 VMD and DCMD permeate fluxes of the grafted silicon nitride hollow fiber membranes
19 mainly due to the temperature polarization effect. Wang et al. [17] managed to reduce
20 this difference by using β -Sialon ceramic membranes with a lower thermal conductivity,
21 but VMD flux remained the highest. In VMD configuration, the mass transfer resistance
22 is reduced due to the air removal from the membrane pores and the conductive heat loss
23 through the membrane is the smallest compared to the other MD configurations.
24 However, this configuration is not very attractive from an industrial point of view since
25 external condensers are necessary to collect the distillate outside the membrane module,

1 complicating in this way the system assembly and increasing the operational cost [4].
2 Cerneaux et al. [19] also compared the VMD and DCMD permeate fluxes of the zirconia
3 tubular membranes with those of AGMD configuration. In AGMD configuration, as a
4 consequence of the high thermal conductivity of ceramic materials, the temperature at the
5 permeate side of the membrane is higher than that registered when using polymeric
6 membranes with lower thermal conductivity. However, owing to the low thermal
7 conductivity of the air gap, the temperature of the condensing surface is kept low, leading
8 to a higher effective temperature gradient than that observed in DCMD when using
9 ceramic membranes and comparatively better water production rates are obtained in
10 AGMD. In addition to the lower heat transfer by conduction through the membrane in
11 AGMD than in DCMD [4], other advantage of AGMD is the less risk of membrane pore
12 wetting [13]. Nevertheless, the ceramic membranes used so far in MD showed very low
13 AGMD permeate fluxes [13, 14, 16, 18, 19].

14 It is worth quoting that very few MD studies have been performed in desalination by
15 ceramic hollow fiber membranes. However, it is important to emphasize the competitive
16 interest of this membrane configuration against tubular, capillary or flat-sheet due to its
17 higher packing density in modules (i.e. up to $9000 \text{ m}^2/\text{m}^3$) [20, 21]. Among the proposed
18 ceramic membrane materials, alumina is the most commonly used one because of its
19 chemical and mechanical stability as well as its wide availability and low cost.

20 The majority of ceramic membranes used so far in desalination by MD are not hollow
21 fibers and their MD performance is usually lower than that of polymeric membranes [12,
22 22]. Therefore, attempts have been made in this study to prepare ceramic hollow fiber
23 membranes with different morphologies. The morphological characteristics of the
24 membranes play a key role in MD performance [23-25]. However, the effect of the
25 membrane morphology on MD performance has not been thoroughly studied yet for

1 ceramic membranes. As such, this work is focused on the preparation of alumina hollow
2 fiber membranes with different morphological designs in order to figure out the suitable
3 structure inducing better AGMD performance. The study of the effects of the alumina
4 hollow fiber membrane morphology on the MD membrane characteristics and on the
5 AGMD performance may give important new insights into the structure performance
6 correlation in the MD field. It will be shown through proper structural morphologies that
7 ceramic hollow fiber membranes have the potential to obtain high permeation flux
8 competitive in desalination when using AGMD process.

10 2. Experimental

11 2.1 Materials

12 Aluminium oxide powders (Al_2O_3 Powder, Ultra-Pure Grade, 99.99%) with an average
13 particle size of 0.5 – 1.0 μm were purchased from Inframat[®] Advanced Materials[™]. The
14 polymeric binder was polyethersulfone (PESf, Radel A-300, Solvay Advanced Polymers
15 GmbH, Dusseldorf, Germany). The additive was Arlacel P135 (polyethylene glycol 30-
16 dipolyhydroxystearate, Uniqema, Wilton, UK). The solvent N-Methyl-2-pyrrolidone
17 (NMP, GPR RECTAPUR, VWR Chemicals), ethanol (VWR Chemicals) and deionized
18 (DI) water were used as coagulants. The grafting solution consists of 1H,1H,2H,2H-
19 Perfluorodecyltriethoxysilane (97%, Sigma-Aldrich) and methanol (HiPerSolv
20 CHROMANORM, VWR Chemicals). POREFIL[®] (Porometer) and isopropyl alcohol
21 (IPA, Sigma-Aldrich Chemical) were the wetting liquids used for the gas-liquid
22 displacement test and the void volume fraction measurement, respectively. The feed salt
23 aqueous solutions of AGMD experiments were composed by sodium chloride (NaCl),
24 which was purchased from Scharlab.

1 2.2 Preparation of alumina hollow fiber membranes

2 First, the spinning suspensions were prepared by dissolving 0.42 wt% of the dispersant
3 (Arlacel P135) in 35.75 wt% of solvent (NMP) and then, the 63.83 wt% of aluminium
4 oxide powder was added to the mixture. In order to obtain a good inorganic dispersion,
5 the mixture was ball milled (SFM-1 Desk Top Planetary Ball Miller, MTI Corporation)
6 with 40 zirconia milling balls of 8-mm for 7 days. Subsequently PESf was added in two
7 different concentrations, 6 wt% (PESf/alumina ratio = 1/10, low polymer concentration,
8 LP) and 10.6 wt% (PESf/alumina ratio = 1.9/10, high polymer concentration, HP). After
9 the addition of PES, LP suspension was milled for a further 24 h and HP for 48 h. Once
10 the homogeneous alumina suspensions were prepared, these were degassed under vacuum
11 (Self-Cleaning Dry Vacuum SystemTM, Model 2025, Welch[®]) with stirring (yellow^{line},
12 OST 20 digital, Veriserv) for approximately 3 h, and then transferred to a stainless steel
13 syringe (200 mL). The bore liquid and the outer coagulant solutions were also introduced
14 in stainless steel syringes of 200 mL and 100 mL, respectively. The flow rate of the fluids
15 was controlled by infusion pumps (Harvard Apparatus, PHD 2000 Programmable and
16 Chemyx Inc., Model Nexus 6000). The inorganic suspension, the bore liquid and the outer
17 coagulant were simultaneously extruded vertically through a triple-orifice spinneret with
18 diameters of 0.9, 2.6 and 3.5 mm, and fall into a DI water coagulation bath. The spinning
19 parameters are summarized in Table 1. After spinning, the alumina hollow fiber
20 membrane precursors were stored overnight in a DI water bath at room temperature to
21 remove the residual solvent. Then, they were straightened and dried overnight at room
22 temperature before calcination and sintering in air atmosphere (Carbolite RHF 1600
23 furnace) as follows. The temperature was raised from room temperature to 600 °C at 1
24 °C/min and kept for 3 h, and then it was increased from 600 °C to the target temperature
25 (1450 °C) at 1 °C/min and hold for 5 h. Finally, it was reduced to room temperature at a

1 rate of 2 °C/min. More details of inorganic suspension preparation and ceramic hollow
2 fiber membrane fabrication can be found in previous studies [9, 26].

3

4 *2.3 Modification of alumina hollow fiber membranes*

5 The prepared ceramic hollow fiber membranes were hydrophilic in nature. For MD
6 applications, these must be modified to become hydrophobic [6, 13]. The used grafting
7 solution was composed by 2 vol.% of 1H,1H,2H,2H-perfluorodecyltriethoxysilane in
8 methanol [18, 27]. Two stages conformed the experimental procedure: i)- the hollow
9 fibers were soaked in the grafting solution under vacuum (Self-Cleaning Dry Vacuum
10 System™, Model 2025, Welch®) for 30 min, and then dried in air (1 h) and in oven (1 h)
11 (Thermocenter, SalvisLAB, John Godrich); ii)- the hollow fibers were soaked again under
12 vacuum for 15 min and dried in air (1 h) and in oven (1 h). **Due to the low surface tension
13 of methanol and the applied vacuum during membrane soaking, the prepared alumina
14 hollow fibers were rendered totally hydrophobic provided that the membrane pores were
15 also filled with the grafting solution.**

16

17 *2.4 Characterization of alumina hollow fiber membranes*

18 Both the inner and outer diameters as well as the thickness of the fibers were
19 determined by a digital microscope (VHX, Keyence VH-Z100R) and the corresponding
20 software (VHX-900F). The cross-section morphology together with the internal and the
21 external surfaces structures of the prepared alumina hollow fiber membranes were
22 analyzed by scanning electron microscopy (SEM, Gemini LEO 1525). All the samples
23 were previously coated with gold.

1 Gas-liquid displacement porometer (Porolux™ 1000, Porometer) was used to
2 determine the bubble pore size, the mean pore size and the smallest pore size of all the
3 prepared hollow fiber membranes. The experimental porometry method was described
4 elsewhere [28-30], Porefil® (Porometer) was used as the wetting liquid and pure nitrogen
5 was the gas employed in this test. The mercury intrusion porosimetry (MIP) technique
6 (Micromeritics AutoPore IV) was employed to obtain the pore size distribution of the
7 membranes and the pore tortuosity factor. The applied pressure was between $3.45 \cdot 10^4$ and
8 $2.28 \cdot 10^8$ Pa with an equilibration time of 10 s for the low pressure range and 20 s for the
9 high pressure range. An angle of 130° was considered as the mercury advancing contact
10 angle.

11 The overall void volume fraction (i.e. porosity) (ε) of the membranes was measured
12 by the gravimetric method as described elsewhere [31] and calculated as follows:

$$13 \quad \varepsilon = \frac{(m_w - m_d)/\rho_{IPA}}{(m_w - m_d)/\rho_{IPA} + m_d/\rho_{Al}} \cdot 100 \quad (1)$$

14 where m_w and m_d are the weighted mass of the membrane wetted by isopropyl alcohol
15 (IPA) and the mass of the dry sample, respectively. ρ_{IPA} is the density of the wetting liquid
16 IPA (0.785 g/cm^3 , Sigma-Aldrich Chemical) and ρ_{Al} is the density of the alumina powder
17 (3.97 g/cm^3 , Inframat® Advanced Materials™).

18 The prepared hollow fiber membranes were also analyzed by Fourier transform
19 infrared spectroscopy (FTIR) with a Nicolet iS50 device equipped with a beam splitter
20 KBr, the detector DTSG-KBr and the accessory of attenuated total reflectance (ATR,
21 SpectraTech Performer) with diamond crystal. The FTIR-ATR spectra were obtained by
22 carrying out 128 scans with 8 cm^{-1} resolution.

23 The dynamic contact angles (θ) of the outer surface of the hollow fiber membranes
24 were indirectly measured at room temperature by Wilhelmy balance method technique

1 [32, 33]. The fiber was first hung on the microbalance of the tensiometer (Kruss K100),
2 and then immersed in DI water at a rate of 3 mm/min. The side of the fiber, which was
3 immersed into the testing liquid, was closed with epoxy resin.

4 Liquid entry pressure of water (LEP_w) on the membranes was determined using the
5 experimental set-up and the procedure described elsewhere [34]. This parameter together
6 with the FTIR-ATR analysis and the dynamic contact angle are useful to confirm the
7 hydrophobic character of the modified ceramic hollow fibers and to ensure their
8 applicability in AGMD.

9 In general, the mechanical strength of the ceramic hollow fiber membranes is not
10 altered with the grafting modification as it was previously confirmed elsewhere [33, 35].
11 Therefore, the mechanical strength was measured only for the original alumina hollow
12 fibers by means of the three-point bending test, which was carried out using an Instron
13 system (model 5543, Bluehill 3). Three samples of 30 mm long of each membrane were
14 performed using a 1 kN load cell and a cross-head speed of 0.01 mm/s. The bending
15 strength (σ_F) was calculated from the equation described elsewhere [36, 37] and the
16 flexure test scheme and procedure was also shown in [38].

17

18 *2.5 Air gap membrane distillation experiments*

19 AGMD experiments were performed using the experimental device schematized in
20 Fig. 1(a). The temperature of the feed solution (1) was controlled by a heating thermostat
21 (2) (DT Heto) and a heat exchanger (4). The feed solution was circulated tangentially
22 through the lumen side of the alumina hollow fiber membrane module (7) by means of a
23 peristaltic pump (3) (Cole Parmer Masterflex easy-load model 7529-20). The shell side
24 of the membrane module was cooled by a PolyScience chiller (8) in order to keep constant
25 the temperature of the condensation surface. The tubular membrane module was set in a

1 tilted position (see Fig. 1(a)) and the permeate was collected from the bottom of the
2 module. The mass of the produced water (9) and the inlet and outlet temperatures of the
3 membrane module were determined by a balance (10) (AND GF-1200) and calibrated Pt-
4 100 probes (6) connected to a multimeter (12) (Keithley 199 System DMM/SCANNER),
5 respectively. Both the balance and the multimeter were connected to a computer (13),
6 which registered the mass and temperatures measurements over time. All the experiments
7 were carried out keeping both the inlet feed and cooling temperatures of the module at 80
8 °C and 20 °C, respectively. The feed flow rate was maintained at 35 L/h by means of a
9 flow meter (11) (Tecfluid) and the hydrostatic pressure was controlled by a pressure
10 gauge (5) (Wika), before the entrance of the liquid in the membrane module. The hollow
11 fiber membrane module and all the elements of the set-up were thermally insulated. After
12 ensuring that the membrane module had no leakage, the AGMD experiments were carried
13 out using first distilled water as feed and then salt (NaCl) aqueous solutions of different
14 concentrations (1.2, 3 and 6.5 wt%). The salt concentration of the feed and permeate
15 solutions was measured during the experiments by an electrical conductivity meter
16 (Metrohm model 712). The AGMD permeate flux (J) was obtained from the registered
17 mass of the produced water (Δm) over time (Δt) and the internal surface area of the
18 membrane (A_{in}). The permeate flux and the salt rejection factor (α) were calculated as
19 follows:

$$20 \quad J = \frac{\Delta m}{A_{in} \Delta t} \quad (2)$$

$$21 \quad \alpha = \left(1 - \frac{C_p}{C_f}\right) 100 \quad (3)$$

22 where C_p and C_f are the concentration of the permeate and feed solutions, respectively.

1 The permeate flux reduction factor (*FRF*) represents the relative difference between
2 the obtained permeate flux when distilled water (J_w) and NaCl aqueous solution (J_{NaCl}
3 $wt\%$) are used as feed. This parameter can be used to compare the efficiency of the
4 membranes for the same NaCl feed solution and AGMD operating conditions. *FRF* for a
5 given NaCl feed solution ($FRF_{NaCl\ wt\%}$) was determined by the following equation:

$$6 \quad FRF_{NaCl\ wt\%}(\%) = \left(\frac{J_w - J_{NaCl\ wt\%}}{J_w} \right) \times 100 \quad (4)$$

7 The AGMD hollow fiber membrane module was specifically designed and fabricated
8 for these experiments (see Fig. 1(b)). It is worth noting that this membrane module can
9 be used for all MD configurations (i.e. DCMD, AGMD, sweeping gas membrane
10 distillation (SGMD) and VMD). Three hydrophobic alumina hollow fibers were packed
11 in a copper tube, which was coaxially placed inside the stainless-steel shell used as the
12 cooling chamber. A three-holed cover (14) was welded at each end of the copper tube.
13 Each hollow fiber was introduced through the corresponding holes of each top and bottom
14 covers and then it was fixed with epoxy resin at both ends. The three-holed covers were
15 designed to maintain parallel the hollow fibers inside the tubular module, keeping
16 constant the space between them and the distance between the fibers and the copper
17 condensation surface (16) (i.e. the radial minimum air gap distance (15) was 1 mm). The
18 effective length of the AGMD tubular module was 120 mm.

20 **3. Results and discussions**

21 *3.1 Structural characteristics*

22 The inner and outer diameters as well as the thickness of the sintered ceramic hollow
23 fiber membranes are summarized in Table 2. These parameters are significantly affected

1 by the viscosity of the ceramic suspension and the precipitation rate during spinning,
2 which determine the resistance of the nascent fibers to gravity that induces elongation. In
3 general, for the two used suspensions, the fibers made from the more viscous HP
4 suspension, due to its higher polymer concentration, exhibited bigger diameters and
5 thicker walls compared with the fibers made from the less viscous LP suspension when
6 the same spinning parameters were considered. The minimum diameters (i.e. minimum
7 MD membrane permeate surface) corresponded to the membrane LP2 and the maximum
8 ones to the membrane HP3. This membrane exhibited the biggest external and internal
9 diameters as it was prepared under a zero-gap distance using a weak non-solvent (40 wt%
10 EtOH/60 wt% NMP) as a bore liquid. The highest and lowest thickness of these hollow
11 fibers was determined for the membranes LP1 and LP4, respectively. The hollow fiber
12 LP1 was prepared under a zero-gap distance and a strong non-solvent (i.e. water) in
13 contact with both the inner and outer sides of fiber immediately after extrusion. The
14 membrane LP4 was prepared under a wet-gap distance of 25 cm and the solvent NMP
15 was circulated through the outer channel of the spinneret leading to a precipitation delay.
16 It is well known that spinning with a longer gap distance increased the fiber elongation
17 stress resulting in a thinner membrane [39]. This effect could be even intensified for a
18 slowly coagulated hollow fiber membrane, which suffered more the stretching force
19 during the long gap distance.

20 Other than the diameters and thickness, wall structure is crucial dictating the properties
21 of the hollow fiber membrane. Tuning the structure of the hollow fiber membrane consists
22 of manipulating the occurrence and arrangement of micro-channels in the wall, which
23 largely determines the asymmetric structure and hence the transport resistance during MD
24 process. The generation of micro-channels in ceramic hollow fibers is based on a
25 spontaneous fingering process induced by the density difference between the inorganic

1 suspension and the coagulant. In other words, the Rayleigh-Taylor instability, which
2 amplifies the interfacial disturbing waves, lead to the periodical irregular invasion of the
3 light fluid into the heavy one [40-43]. Different types of accelerating front can cause the
4 interpenetration of the two fluids [44], but in this case the main acceleration of the
5 interface can be due to the fast exchange between solvent and non-solvent as
6 demonstrated in [40]. When the phase inversion starts, a strong non-solvent (e.g. water)
7 comes into contact with the inorganic suspension and consequently, the solvent outflow
8 from the inorganic suspension to the non-solvent promotes the contraction of the
9 inorganic suspension. This induces a highly accelerated movement of the interface
10 between the inorganic suspension and the non-solvent towards the suspension side (i.e.
11 Rayleigh-Taylor instability initiation). The interfacial accelerates towards the heavier
12 fluid (i.e. inorganic suspension is heavier than the non-solvent) and the secondary
13 condition of the Rayleigh-Taylor instability is then met. Therefore, the fingering process
14 starts and the micro-channels are formed due to the invasion of the periodical micro-
15 streams of the non-solvent in the ceramic suspension [40]. According to the Rayleigh-
16 Taylor instability theory, the initial momentum and the number of micro-channels are
17 mainly affected by the interfacial acceleration, which is in turn determined by the
18 exchange rate of the solvent and non-solvent. In general, when the solvent/non-solvent
19 exchange rate is fast, the initial momentum and the number of micro-channels would be
20 higher, and vice versa. After initiation, the growth of the micro-channels is driven by the
21 momentum of the invading micro-streams. The interaction between different factors such
22 as the initial momentum, densities, viscosities, precipitation rate, etc. determine the final
23 length and width of the micro-channels [40]. Commonly, the micro-channels grow
24 perpendicular to the surface where its formation is initiated according to the physical
25 nature of the Rayleigh-Taylor instability. During the micro-channel formation process,

1 when the momentum of the non-solvent micro-streams is dissipated, the micro-channels
2 stop growing and a sponge-like layer will be formed. Dissipation of the momentum can
3 be accomplished by two means, one is the consumption of the initial momentum by the
4 friction in the deforming suspension surrounding the micro-streams, which is determined
5 by the viscosity and precipitation of the suspension; the other happens when two sets of
6 micro-streams meet from opposite directions, in this case, a sponge-like layer will be
7 sandwiched in between the micro-channels in the precursors. The sponge-like layer is a
8 tight layer after sintering and it contributes to the most of transport resistance. This
9 sponge-like layer is also important to the mechanical property of the membranes, as a
10 large amount of material is distributed in it. Immediately after the initiation of the micro-
11 streams, the entrance of the micro-channels on the suspension/non-solvent interface starts
12 to contract because of the tension surrounding the entrance. At the same time, the polymer
13 content at the interface and the entrance start to precipitate due to solvent/non-solvent
14 exchange. Hence, the final size of the entrance of the micro-channels is largely
15 determined by the competition between the contraction and the precipitation speeds. In
16 general, a faster precipitation rate of the interface and the micro-channel entrance would
17 be favored to obtain bigger entrance sizes, and the entrance could be completely closed
18 if the precipitation rate is slow. Based on above theories, the morphology and pore
19 structure of the hollow fibers will be reasonably interpreted in the following sub-sections.
20

21 *3.1.1 Morphology control of the prepared alumina hollow fiber membranes*

22 Figures 2 and 3 show the SEM images of the cross-sections of the ceramic hollow fiber
23 membranes. It can be observed that different morphologies were designed and prepared
24 according to the spinning parameters summarized in Table 1. Figure 2 corresponds to the

1 morphologies of the alumina hollow fibers prepared with the LP suspension and Fig. 3 to
2 those of the membranes prepared with HP suspension.

3 DI water was used as internal and external coagulants for LP1 membrane preparation.
4 By using this strong coagulant, fast precipitation occurred simultaneously at the inner and
5 outer layer of the hollow fiber and micro-channels grew from both sides of the membrane
6 and met in the middle of the wall to form a sandwich structure.

7 The alumina hollow fiber membranes LP2 and LP3 were prepared employing a weak
8 outer coagulant (i.e. 50 wt% ethanol/50 wt% NMP) along the short gap (i.e. 5 cm). Such
9 combination of the spinning parameters led to slower overall precipitation of the nascent
10 hollow fibers, and the elongation effect from gravity permitted the formation of a thinner
11 membrane wall and smaller diameters of the hollow fibers compared with LP1. For the
12 hollow fiber LP2, the weak outer coagulant increased the viscosity of the outer surface
13 suspension of the nascent fiber along the short gap. When the fiber entered into the water
14 bath, the increased viscosity induced a slower solvent/non-solvent exchange at the outer
15 surface, which resulted in less micro-channels from the shell side. For the hollow fiber
16 LP3, the velocity of the bore liquid water was increased considerably (i.e. the flow rate
17 was increased to 15 ml/min compared to 10 ml/min for LP2). This increase of the flow
18 rate enhanced the solvent/non-solvent exchange rate at the inner surface, therefore the
19 micro-channels from the lumen side got higher initial momentum to grow faster and
20 longer than that corresponding to the hollow fiber LP2, reaching finally the position next
21 to the outer surface. As a consequence, the viscosity of the outer surface was increased
22 further (owing to solvent/non-solvent exchange with the water in the micro-channels)
23 before entering the water bath compared to LP2, and micro-channels from the shell side
24 were not formed. The most different morphology was observed for the ceramic hollow
25 fiber membrane LP4 (see Fig. 2). For this membrane a very high bore liquid (i.e. water)

1 flow rate of 30 ml/min was used during spinning. Higher bore liquid flow rate helped to
2 remove the exchanged solvent accumulated near the interface at the bore liquid side,
3 maintaining the higher initial concentration gradient of the solvent across the inorganic
4 suspension/bore liquid (water) interface. Larger concentration gradients induced an
5 enhancement of the solvent/non-solvent exchange rate. Due to this fastest precipitation
6 rate at the lumen side, the micro-channels had the highest initial momentum to break
7 through the outer surface. By using a pure solvent (i.e. NMP) as the outer liquid along a
8 large gap distance (i.e. 25 cm), coagulation was avoided during this gap, giving enough
9 time for the micro-channels to reach the external layer before falling into the water bath,
10 resulting in open micro-channels at the outer side of the membrane. It is worthy to
11 mention that the combination of a high bore liquid (i.e. water) flow rate and a long gap
12 distance was commonly considered [45]. Although the micro-channels could reach the
13 outmost layer, such breaking through the outer surface was not observed in these studies.
14 It is speculated that the surface tension on the outer surface of the nascent fiber provides
15 a force against the direction of micro-channel growth and therefore hindered the breaking
16 process of the micro-channels. In our case, by flowing NMP on the outer surface, the
17 tension of the outer surface of the nascent fiber was eliminated and consequently the
18 micro-channels could break the surface easily.

19 The hollow fibers HP1 and HP2 used the same spinning parameters (except the
20 inorganic suspension) as those of LP2 and LP3, respectively. The greater viscosity of the
21 HP suspension reduced the solvent/non-solvent exchange rate and therefore the micro-
22 channels had lower initial momentum to grow from the lumen side. Furthermore, the
23 higher viscosity of the HP suspension dissipated the momentum faster than the LP
24 suspension, inhibiting therefore the growth of micro-channels more efficiently [40]. As a
25 consequence, despite the fact that HP1 and HP2 showed similar structures as LP2 and

1 LP3, respectively, the micro-channels at the lumen side are shorter and smaller than those
2 of LP2 and LP3.

3 Completely different cross-section morphology was observed in Fig. 3 for the
4 membrane HP3, when a weak non-solvent mixture was employed as a bore liquid (i.e. 40
5 wt% ethanol/60 wt% NMP) under a zero-gap distance. This coagulant induced a slow
6 solvent/non-solvent exchange rate and precipitation at the lumen side, leading to a micro-
7 channel free sponge-like structure at the inner layer of this fiber. On the one hand, micro-
8 channel structure formation started at the shell side of the membrane HP3, owing to the
9 fast solvent/non-solvent exchange induced by water in the coagulation bath. The micro-
10 channels formed from the shell side stopped next to the inner surface due to momentum
11 dissipation by the viscosity and the slow precipitation of the suspension at the lumen side.

12

13 *3.1.2 Pore structure of the prepared alumina hollow fiber membranes*

14 The bubble, mean and smallest pore sizes of the alumina hollow fiber membranes were
15 determined by gas-liquid displacement test. These results are summarized in Table 3. All
16 membranes exhibited similar pore sizes, except the hollow fiber LP4, which had
17 significantly larger pore sizes due to its unique structure. The average mean pore size of
18 the other alumina hollow fiber membranes were (233 ± 8) nm. This is an adequate pore
19 size for MD membranes [4, 46]. Another suitable characteristic of an MD membrane is a
20 narrow pore size distribution [4, 24]. The hollow fibers prepared in this study met this
21 requirement (except the membrane LP4). The maximum difference between the bubble
22 pore sizes and the smallest pore sizes (i.e. the widest pore size distribution) was 205 nm
23 for the hollow fiber HP3, which was prepared with a high concentration of solvent in the
24 bore liquid mixture (40 wt% ethanol/60 wt% NMP). Comparatively, Zhang et al. [47]
25 prepared yttria-stabilized zirconia (YSZ) hollow fiber membranes with a wider pore size

1 distribution when higher NMP content was used in the bore liquid mixture (i.e.
2 NMP/water). The bubble pore sizes and the smallest pore sizes of the original hollow
3 fiber membranes LP2 and LP3 differed only by about 50 nm. This means that both
4 membranes had the narrowest pore size distributions.

5 Gas-liquid displacement only detects the effective open pore size, which is the 2-D
6 projection of the real pore structure. However, it does not provide the full information of
7 the 3-D hierarchical pore structure. On the other hand, MIP gives full information of the
8 pore structure, but it does not permit to get an effective open pore size. Therefore, it is
9 important to combine these two techniques to obtain a clear view of the pore structure.
10 Figure 4 shows the pore size distributions (PSD) of the prepared alumina hollow fiber
11 membranes determined by MIP analysis. All the hollow fibers showed a hierarchical pore
12 structure consisting of more than one pore size including the inter-granule pore size
13 between alumina particles and the micro-channel entrance pore size at different pore
14 structure levels. All fibers have a common pore size with a first peak around 247 nm,
15 which corresponds to the primary inter-granule pores between alumina particles. This is
16 the smallest pores at the lowest level in the ceramic matrix, and it is close to the mean
17 pore size determined by the gas-liquid displacement technique, except that of the hollow
18 fiber LP4. This means that the effective open pore size is determined by the primary inter-
19 granule voids. As it can be seen in Fig. 4(a), LP1 showed an additional peak around 324
20 nm, which corresponds to the size of the entrance of the micro-channels. Similarly, LP2
21 presented an entrance size of the micro-channels at 480 nm, and LP3 at 735 nm. The
22 change of the size of the micro-channel entrance is related to the precipitation rate of the
23 surfaces, as it will be explained later on. For the hollow fibers LP1 and LP2, the flow rate
24 of the bore liquid water was the same, 10 ml/min, but the inorganic suspension flow rate
25 and the gap distance were different. The hollow fiber membrane LP1 was prepared using

1 a higher inorganic suspension flow rate (15 ml/min compared to 8 ml/min employed for
2 LP2) and zero gap distance, inducing a greater thickness after extrusion and reducing the
3 solvent/non-solvent exchange rate as consequence. When using a higher extrusion rate,
4 the non-solvent/solvent ratio exchanged during the spinning was lower, leading to a
5 slower precipitation rate. Therefore, the micro-channel entrance had more time to contract
6 before solidification resulting in a smaller size than that of LP2. The hollow fiber LP3
7 used a higher flow rate of the water bore liquid than LP2 leading to a higher precipitation
8 rate of the inner surface and hence larger micro-channel entrance size than LP2.
9 Compared with LP1, LP2 and LP3, the hollow fiber LP4 did not show any predominant
10 secondary peak, but had a broaden pore size distribution ranging from tens of microns to
11 sub-micron. This unique distribution corresponded to the open micro-channel structure
12 of LP4. As the open micro-channels of LP4 have a conical shape, during MIP
13 measurement, mercury was pushed into the big openings at low pressures, and the
14 penetrated mercury volume increased smoothly when the pressure was increased. Then
15 the incremental intrusion volume was kept small for each pressure increment, leading to
16 a broaden pore size distribution. The size of the micro-channel entrances at the inner
17 surface of LP4 could not be detected by the MIP technique, because it was the last part
18 penetrated by mercury and the volume increase corresponding to this part is too small to
19 produce a meaningful signal. The micro-channel entrance pore sizes can only be
20 measured by the gas-liquid displacement technique, which determined a mean pore size
21 of 537 nm. Although a higher bore liquid water flow rate was used for the LP4 fiber
22 spinning its micro-channel entrance pore size was smaller than that of the hollow fiber
23 LP3. The precipitation rate at the inner surface of the hollow fiber LP4 might be slowed
24 down by the solvent used as outer coagulant. Figure 4(b) shows the PSD of the alumina
25 hollow fiber membranes prepared with HP suspension. In addition to the primary inter-

1 granule pore size, the HP fibers exhibited another peak around 306 nm. This indicated
2 that part of inter-granule pores between alumina particles were expanded due to the higher
3 polymer concentration used for the HP suspension preparation. Furthermore, these fibers
4 presented an additional peak around 362 nm, corresponding to the entrance size of the
5 micro-channels. The incremental intrusion volume of this peak is greater for the
6 membrane HP2 than for the other fibers, owing to the numerous and bigger micro-
7 channels through its cross-section. This micro-channel entrance size was smaller than that
8 of the membrane LP3 (prepared under the same spinning conditions) because of the
9 higher viscosity (i.e. slower solvent/non-solvent exchange rate) of the HP suspension. It
10 is worth noting that the inter-granule pore sizes of the prepared hollow fiber membranes
11 were of the same order of magnitude as that reported by Lee et al. [48] for the alumina
12 hollow fiber prepared with the same powder size, 1 μm , and sintered at the same
13 temperature, 1450 $^{\circ}\text{C}$.

14 The tortuosity of the membrane pores was also determined by MIP analysis. As it can
15 be seen in Table 4, no significant changes were observed for the tortuosity factors of all
16 prepared ceramic hollow fiber membranes. All obtained values were lower than 2, which
17 is the value commonly considered for the tortuosity factor of MD membranes to carry out
18 the permeate flux predictions [4]. The lowest value corresponded to the hollow fiber LP3
19 while the greatest one was obtained for HP3.

20 The void volume fraction of the prepared alumina hollow fiber membranes was
21 determined by means of the gravimetric method, and the results are shown in Table 4.
22 The highest porosity was obtained for the hollow fiber membrane LP4, which exhibited
23 the largest pore sizes (see Table 3) and open micro-channels at the outer side (see Fig. 2).
24 The other membranes had similar void volume fraction values around 52%. The hollow

1 fibers LP2 and LP3 showed slightly higher void volume fraction values. This is attributed
2 partly to their larger micro-channel structures through their cross-sections (see Fig. 2).

3 4 *3.1.3 Surface morphology and hydrophobicity of the membranes after grafting*

5 Figures 5 and 6 show the SEM images of the inner and outer surfaces of the alumina
6 hollow fiber membranes prepared with LP and HP suspensions, respectively. In general,
7 the surface is more porous if the nascent surface is brought in contact with the non-solvent
8 immediately after extrusion, while the surface becomes denser if the nascent surface
9 experiences an air gap distance. The former case applied to all inner surfaces and the outer
10 surface of LP1 and HP3, while the later case applied to the outer surface of LP2, LP3,
11 HP1 and HP2. Similar results were described elsewhere [49, 50]. An exception was the
12 outer surface morphology of the membrane LP4 (see Fig. 5), which presented very large
13 pores due to the open micro-channels at the outer side of the fiber.

14 By comparing the hollow fiber membranes prepared under the same spinning
15 conditions but with different polymer concentrations in the inorganic suspension
16 (compare the fibers HP1 and HP2 in Figs. 5 with LP2 and LP3 in Fig. 6, respectively),
17 quite similar porous surface morphologies can be observed for these pairs of membranes.
18 Although it is reasonable to link the surface porosity to the number and size of the micro-
19 channel entrances on the surface, subtle changes in the pore size are difficult to distinguish
20 by means of SEM, while the MIP results in Fig. 4 are more sensitive to the changes. It
21 should be noted that the membrane HP3 has a rougher inner surface than other samples
22 (see Fig. 6) despite the fact that there is no micro-channels generated from the inner
23 surface. This should be attributed to the high content of solvent in the bore liquid that led
24 to erosion of the nascent fiber inner surface and the loss of some ceramic material. Such

1 eroded morphologies were also observed in other ceramic hollow fibers when high-
2 solvent bore liquids were used during fabrication [51].

3 Both the internal and external surfaces of the grafted alumina hollow fiber membranes
4 were also analyzed by SEM. As it was expected, no significant changes were observed
5 when comparing the surfaces of the grafted alumina membranes to those of the
6 corresponding original ones. In fact, the followed grafting modification technique
7 changed only the chemistry of the ceramic membrane as it was observed in previous
8 studies [6, 33]. Hendren et al. [15] employed different chemicals to modify the
9 hydrophobicity of alumina anodiscTM membranes for their application in MD. For the
10 same chemical used in the present study (i.e. 1H,1H,2H,2H-
11 perfluorodecyltriethoxysilane), the pores of the membrane surface were not blocked as
12 shown by the SEM images. Consequently, other properties such as the pore size and
13 porosity of the prepared hollow fiber membranes were not altered after grafting
14 modification (see Tables 3 and 4).

15 The grafting efficiency of the alumina hollow fiber membranes by the
16 perfluoroalkylsilane modification agent was studied by FTIR-ATR, water contact angle
17 and LEP_w characterization techniques [6, 7, 14, 16, 19, 33, 52-55]. Figure 7 shows the
18 FTIR-ATR spectra (a) and (b) together with the water contact angle measurements (c) of
19 the original and grafted membranes. For both types of membranes (i.e. LP and HP fibers),
20 successful grafting modification was carried out because the absorption bands of the
21 alkylsilanes were detected at the wavenumbers range, 1300 – 975 cm^{-1} . The specific
22 vibration bands of the perfluorinated chains were also observed by several authors [6, 14,
23 16, 19, 55]. Different infrared absorption bands can be distinguished: fluoro-carbon
24 chains ($-\text{CF}_2$, $-\text{CF}_3$) at 1242 cm^{-1} ; $-\text{Si-O-C}_2\text{H}_4-\text{C}_x\text{F}_{2x+1}$ group at 1206 and 1150 cm^{-1} and
25 the vibration of $-\text{Si-O-}$ bond at 1112 cm^{-1} . Similar vibration bands were found by Kujawa

1 et al. [55] for the grafted alumina powders using the same modification agent used in this
2 study (i.e. 1H,1H,2H,2H-perfluorodecyltriethoxysilane, C8).

3 The hydrophobicity of both the original and modified hollow fiber membranes was
4 analyzed by means of the water contact angle measurements (Figure 7(c)). The considered
5 grafting modification technique proved to be very effective because it changed the
6 intrinsic hydrophilic nature of the alumina membranes (i.e. $(57 \pm 8)^\circ$ is the average water
7 contact angle of the original fibers) to be hydrophobic (i.e. $\theta > 90^\circ$, $(147 \pm 9)^\circ$ is the
8 average water contact angle of the grafted fibers). Some hollow fibers were rendered
9 superhydrophobic (i.e. $\theta > 150^\circ$ of the hollow fibers LP2, LP3 and HP3). The water
10 contact angles of the grafted membranes in this study were higher than those determined
11 for other grafted alumina hollow fibers (i.e. contact angles lower than 120°) [33]. It is
12 well known that the grafting method consists on a chemically bonding between the
13 hydrolysable groups of the modified agent and the hydroxyl groups of the ceramic
14 membranes. The number of the functional groups of the modified agent structure is one
15 of the parameters that directly affects the grafting efficiency. Hendren et al. [15]
16 demonstrated that the grafting success of the 1H,1H,2H,2H-
17 perfluorodecyltriethoxysilane was due to the three available functional sites of each
18 molecule. It is worth noting that the lowest contact angle measurement and the highest
19 experimental uncertainty were registered for the membrane LP4. This was in agreement
20 with the extremely large pores observed on its outer surface (see Fig. 5).

21 The hydrophobic character and consequently the MD applicability of the grafted
22 hollow fiber membranes were confirmed by measuring LEP_w . This technique was also
23 used by several authors to control the efficiency of the grafting modification of the
24 ceramic membranes (hollow, capillary and tubular) with different perfluoroalkylsilane
25 molecules and grafting procedures [6, 7, 14, 16, 19, 53, 54]. All the measured LEP_w values

1 of the prepared membranes were higher than $3.8 \cdot 10^5$ Pa, which is the maximum pressure
2 limit of the experimental device, except that of the hollow fiber LP4. The LEP_w value of
3 this membrane was $0.3 \cdot 10^5$ Pa. This lowest LEP_w value could be due to some defects
4 found in the membrane structure corresponding to open micro-channels passing through
5 the entire fiber cross-section. Therefore, all hollow fibers, except LP4, can be used in
6 AGMD without any wetting problem as the applied transmembrane hydrostatic pressure
7 was lower than the LEP_w values. It is worth quoting that the obtained LEP_w values were
8 higher than those reported for other modified alumina hollow fiber membranes used in
9 desalination by MD, which were around $1-1.5 \cdot 10^5$ Pa [6, 16]. However, the LEP_w values
10 obtained for some grafted zirconia and titania membranes reached up to $9 \cdot 10^5$ Pa and 10^6
11 Pa [14, 19].

12

13 *3.2 Mechanical property of the prepared alumina hollow fibers*

14 It is important to guarantee a good mechanical strength of the hollow fiber membranes
15 in order to ensure their good packing in tubular modules for MD applications. The
16 bending strengths of the original alumina hollow fibers are summarized in Table 5. The
17 bending strength of all prepared alumina membranes were within the range (50 – 250
18 MPa) reported previously by Koonaphapdeelert and Li [33] for alumina hollow fibers
19 sintered under the target temperatures 1400 and 1500 °C. The hollow fiber HP3 exhibited
20 the highest bending strength attributed partly to the lower number of micro-channels in
21 its structure. Lee et al. [37] stated that the mechanical properties of the hollow fiber
22 membranes were reduced with the formation of micro-channel structures. This is
23 corroborated by the membrane LP4 having the lowest bending strength and large micro-
24 channels through the fiber cross-section, which were opened at its outer surface (see Fig.
25 2 and 5).

1

2 3.3 AGMD experiments of the grafted alumina hollow fiber membranes

3 The AGMD performance of all the grafted alumina hollow fiber membranes is shown
4 in Fig. 8. The permeate fluxes (J , Fig. 8(a)) as well as both the salt rejection and flux
5 reduction factors (α and $FRF_{NaCl\ 3wt\%}$, Fig. 8(b)) were related with the morphology and
6 characteristics of the prepared hollow fiber membranes. The structural design of the
7 hollow fiber LP4 provided the highest permeate flux in this study, which was significantly
8 greater than those obtained from the other membranes (see Fig. 8(a)). This membrane
9 exhibited the highest porosity, the largest pore size, and the lowest thickness as a
10 consequence of the open micro-channel structures at the outer side of this fiber (i.e. big
11 pores of the outer surface). However, not only was the water vapor transported through
12 the membrane pores, but the feed solution also crossed the entire membrane wall through
13 some defects (i.e. micro-channels opened at both inner and outer layers). Apart from
14 increasing the permeate flux, these defects reduced both the resultant salt rejection factor
15 (i.e. 97.97%) and the $FRF_{NaCl\ 3wt\%}$ of the hollow fiber LP4 to the minimum values of this
16 study as shown in Fig. 8(b). This result was anticipated since the hydrostatic pressure of
17 these AGMD experiments was $0.3 \cdot 10^5$ Pa, coinciding with the LEP_w of this hollow fiber.
18 Therefore, it can be concluded that the hollow fiber membrane LP4 is not an adequate
19 membrane for MD applications. Nevertheless, in spite of its low salt rejection factor, the
20 average concentration of the permeate solution was 0.54 g/L, which is slightly higher than
21 the concentration limit of drinking water (i.e. 0.5 g/L) [56].

22 In general, among the other hollow fiber membranes, the LP fibers exhibited greater
23 permeate fluxes than those of the HP fibers. Particularly, it can be clearly seen that the
24 permeate fluxes of the hollow fiber membranes prepared under the same spinning
25 conditions but with the lower polymer concentration in the inorganic suspension were

1 higher (compare the membranes LP2 with HP1 and LP3 with HP2 in Fig. 8(a)). This is
2 related with the morphological difference between the LP and HP hollow fibers, where
3 LP fibers have longer, bigger and more micro-channels than the HP hollow fibers, which
4 have thicker and tighter sponge-like structure with less micro-channels. The large micro-
5 channels provided better AGMD permeate flux because this kind of structure reduced
6 more the heat transfer by conduction following Fourier's law and consequently the mass
7 transport resistance through the membrane than that of the tight sponge-like structure.
8 The lowest AGMD performance was observed for the hollow fiber HP3, whereas the
9 highest one was registered for the hollow fibers LP2 and LP3.

10 The hollow fiber HP3 had the minimum permeate flux mainly because this membrane
11 had less number of micro-channels and a sponge-like structure at the inner layer, resulting
12 in a membrane with a higher thermal conductivity coefficient. Furthermore, this
13 membrane had the highest tortuosity factor (see Table 4), which contributed also to the
14 reduction of the permeate flux.

15 The hollow fibers LP2 and LP3 exhibited similar characteristics, which resulted in an
16 improved AGMD permeate fluxes compared with the other hollow fiber membranes.
17 These hollow fibers had bigger micro-channels, thinner wall, larger entrance size of
18 micro-channels at the lumen side and slightly greater void volume fractions. As it is well
19 known, the large micro-channels and the high porosities reduce both the vapor transport
20 resistance across the fiber and the thermal conductivity of these membranes. Moreover,
21 the hollow fiber membrane LP3 had larger micro-channels and lower tortuosity factor,
22 leading to a slightly greater permeate flux than that obtained for the hollow fiber LP2.
23 When comparing the AGMD permeate fluxes of the prepared grafted hollow fiber
24 membranes (Fig. 8(a)) with those reported for other hydrophobic ceramic membranes
25 used in different MD configurations (see Table 6), it can be demonstrated the excellent

1 water production rates of the hollow fiber membranes prepared in the present study. The
2 resultant permeate fluxes obtained in this study were generally greater than the majority
3 of those summarized in Table 6 and significantly higher than those used in AGMD
4 configuration. Only the VMD permeate fluxes obtained for the grafted alumina hollow
5 fiber membrane prepared by Fang et al. [6] were higher than the best AGMD permeate
6 flux prepared in this study (i.e. LP3 in Fig. 8(a)). In fact, VMD configuration usually
7 leads to higher permeate fluxes than those obtained in other MD configurations such as
8 DCMD and AGMD (see Table 6 for some comparative studies [8, 17, 19]). Furthermore,
9 VMD configuration requires a more complicated system design and a higher energy
10 consumption [4].

11 As it can be seen in Fig. 8(a), by using distilled water as feed the permeate fluxes of
12 all the hollow fiber membranes were slightly higher than those obtained with feed salt
13 aqueous solution, resulting in low $FRF_{NaCl\ 3wt\%}$ as presented in Fig. 8(b). All the
14 $FRF_{NaCl\ 3wt\%}$ values of the prepared hollow fibers were lower than 6%, except the
15 membrane HP3, which had the highest $FRF_{NaCl\ 3wt\%}$ attributed partly to its lower water
16 permeate flux compared to the other alumina hollow fibers.

17 In addition to the good AGMD permeate fluxes and $FRF_{NaCl\ 3wt\%}$, excellent salt
18 rejection factors were obtained (i.e. higher than 99.988%). This means that the salt
19 concentrations of the permeate solutions were lower than $3 \cdot 10^{-3}$ g/L indicating the high
20 purity of the produced water by AGMD with the prepared grafted alumina hollow fiber
21 membranes. The hollow fiber membranes LP1 and LP3 had the greatest salt rejection
22 factor (i.e. 99.995%). Their AGMD performance was further analyzed using feed aqueous
23 solutions of different NaCl concentrations (i.e. 0, 1.2, 3 and 6.5 wt%). The resultant
24 permeate fluxes are plotted in Fig. 9. As it was expected, the AGMD permeate flux
25 decreased with the increase of the feed NaCl concentration. However, the obtained

1 permeate flux reduction was very low for both LP1 and LP3 membranes, because even
2 for the highest feed salt concentration (i.e. 6.5 wt%, NaCl), the corresponding FRF_{NaCl}
3 $_{6.5wt\%}$ were only 5.6 and 7.2%, respectively. Furthermore, the salt rejection factors were
4 higher than 99.985% for all the AGMD experiments being the permeate concentrations
5 lower than $2.3 \cdot 10^{-3}$ g/L. These experiments demonstrated the promising applicability of
6 the grafted alumina hollow fiber membranes for the treatment of brines. The obtained
7 $FRF_{NaCl \ 6.5 \ wt\%}$ results were significantly better (i.e. lower) than the following
8 $FRF_{NaCl \ 6wt\%}$ values calculated for other grafted ceramic membranes tested in desalination
9 by MD: 13.3% in [13] for AGMD; 20.6% in [57] for DCMD; 32.8% and 35.4% in [8] for
10 DCMD and VMD, respectively (see details in Table 6).

11 Appropriate membranes for desalination by MD must exhibit a high permeate flux and
12 salt rejection as well as a low flux reduction factor. Therefore, the hollow fiber LP3
13 formed by large micro-channel structures, provided the best AGMD performance in this
14 study and it is well ranked between the MD ceramic membranes used in desalination (see
15 Table 6).

16

17 **4. Conclusions**

18 Alumina hollow fiber membranes with different morphologies were prepared and
19 modified for desalination by AGMD. The spinning parameters were manipulated in order
20 to obtain the desired membrane structures, based on the well-recognized Rayleigh-Taylor
21 instability theory. The effects of these morphological structures on the characteristics and
22 performance of the membranes were **thoroughly analyzed for the first time.**

23 The prepared hollow fiber membranes exhibited two types of pores: the primary inter-
24 granule pores between alumina particles, which coincided with the effective open pores
25 through the membrane; and the micro-channel entrance pores, related to each membrane

1 morphology. The majority of the alumina hollow fiber membranes obtained similar mean
2 pore sizes and porosities (about 233 nm and 52%, respectively), which were within the
3 range of MD applicability. The tortuosity values of the membrane pores were lower than
4 two, which is a good factor for MD membranes. Furthermore, all the prepared alumina
5 membranes provided suitable mechanical properties for packing in tubular modules.

6 The alumina hollow fiber membranes were successfully modified by grafting,
7 rendering them hydrophobic (i.e. water contact angles higher than 136°) without altering
8 any other membrane characteristics. The membranes LP2, LP3 and HP3 were
9 superhydrophobic (i.e. water contact angles higher than 150°). Except the hollow fiber
10 LP4, none of the grafted membranes showed any wetting problems (i.e. LEP_w values
11 greater than $3.8 \cdot 10^5$ Pa). Therefore, excellent salt rejection factors (i.e. higher than
12 99.985%) and FRF values were obtained for desalination by AGMD. It is worth noting
13 that the LP fibers prepared with the low polymer concentration in the inorganic
14 suspension exhibited greater desalination performance (i.e. higher permeate flux and salt
15 rejection factor as well as lower $FRF_{NaCl\ 3wt\%}$) than the HP fibers prepared with the high
16 polymer concentration in the inorganic suspension. The best AGMD performance was
17 obtained for the hollow fiber LP3 with large micro-channel structure and good
18 characteristics (i.e. lower thickness, slightly higher void volume fraction and the lowest
19 pore tortuosity factor) that reduced the mass transport resistance. **Therefore, as a main**
20 **conclusion of this study, it should be emphasized that the micro-channel structure is a**
21 **suitable morphology to be adopted in ceramic hollow fiber membranes for AGMD.**

22 In general, compared to the hydrophobic ceramic membranes used so far in
23 desalination by MD, the grafted alumina hollow fiber membranes proposed in this study
24 have excellent AGMD performance. **Based on the MD results of these alumina hollow**
25 **fibers, in particular to the excellent AGMD performance when highly concentrated salt**

1 aqueous solution was used as feed, it can be drawn that brine water treatment by AGMD
2 may be a potential application of these ceramic membranes. Furthermore, in accordance
3 with the well-known excellent chemical resistance of the ceramic materials, long-term
4 AGMD experiments could be carried out with the prepared grafted membranes in order
5 to investigate their operating life and their fouling behavior.

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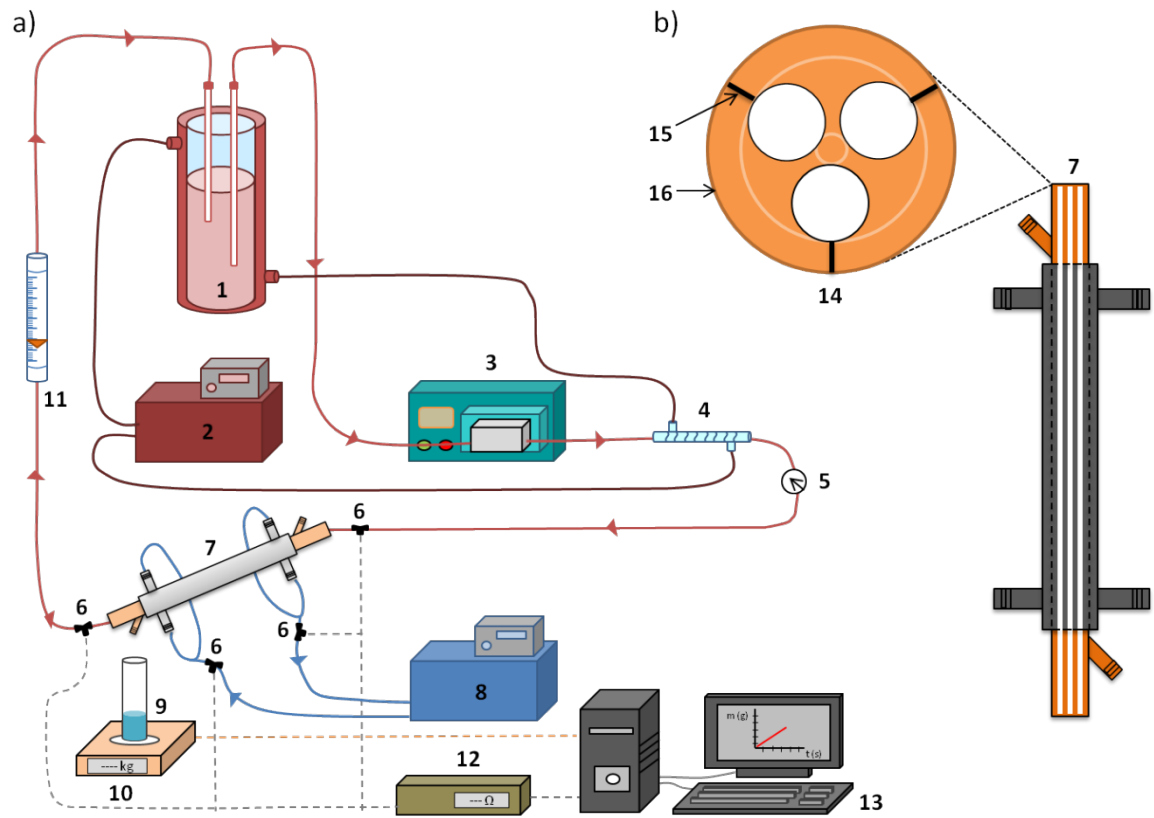


Fig. 1. a) AGMD experimental set-up: (1) Feed; (2) heating thermostat; (3) peristaltic pump; (4) glass heat exchanger; (5) pressure gauge; (6) Pt-100 probes; (7) hollow fiber membrane module; (8) cooling thermostat; (9) permeate; (10) balance; (11) flow meter; (12) multimeter; (13) computer; b) hollow fiber membrane module: (14) three-holed cover; (15) minimum air gap width = 1 mm; (16) condensation surface.

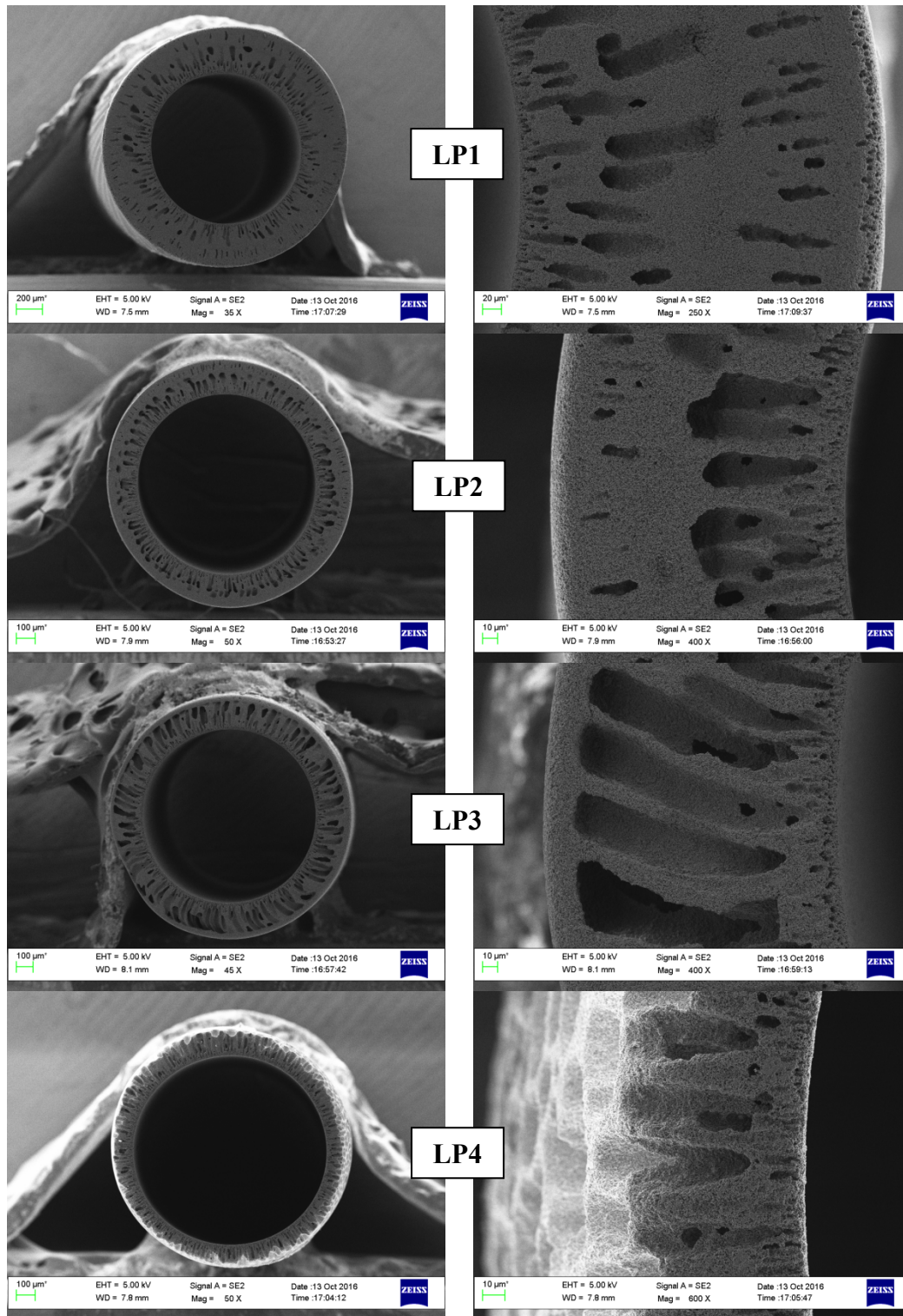


Fig. 2. SEM cross-section images of the alumina hollow fiber membranes prepared with the LP inorganic suspension.

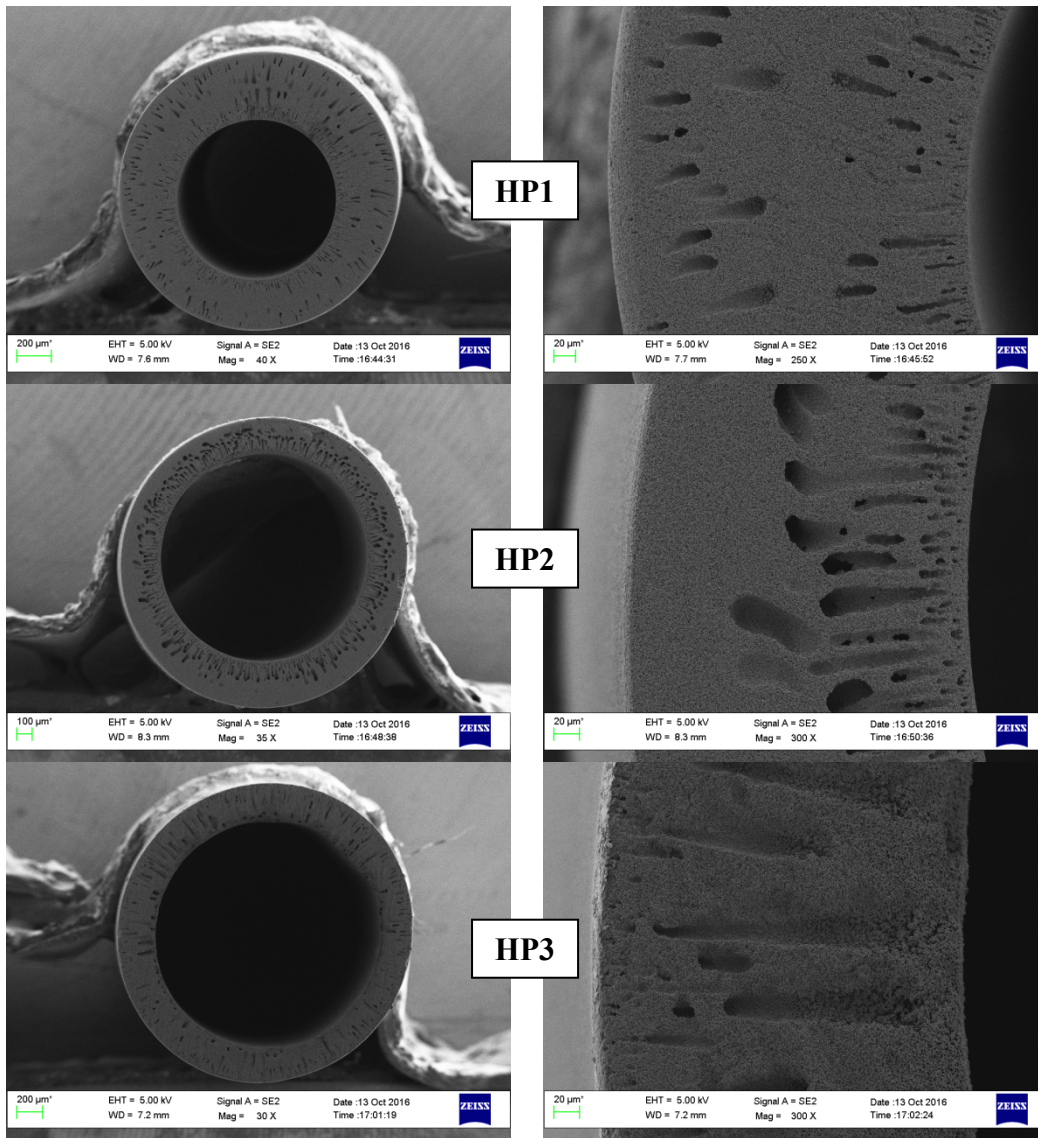


Fig. 3. SEM cross-section images of the alumina hollow fiber membranes prepared with HP inorganic suspension.

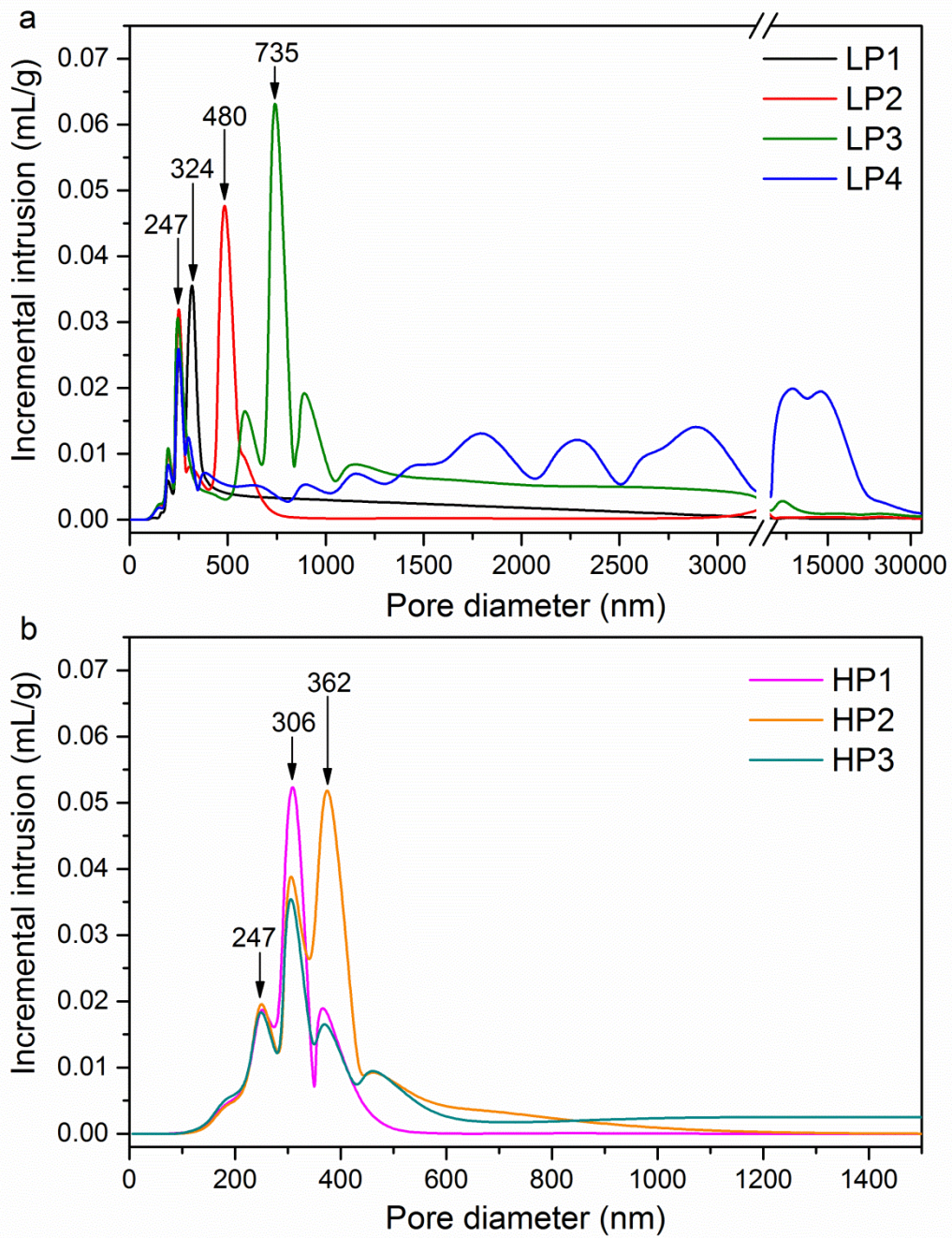


Fig. 4. Pore size distributions of the original alumina hollow fiber membranes prepared with the LP suspension (a) and the HP suspension (b) determined by MIP analysis.

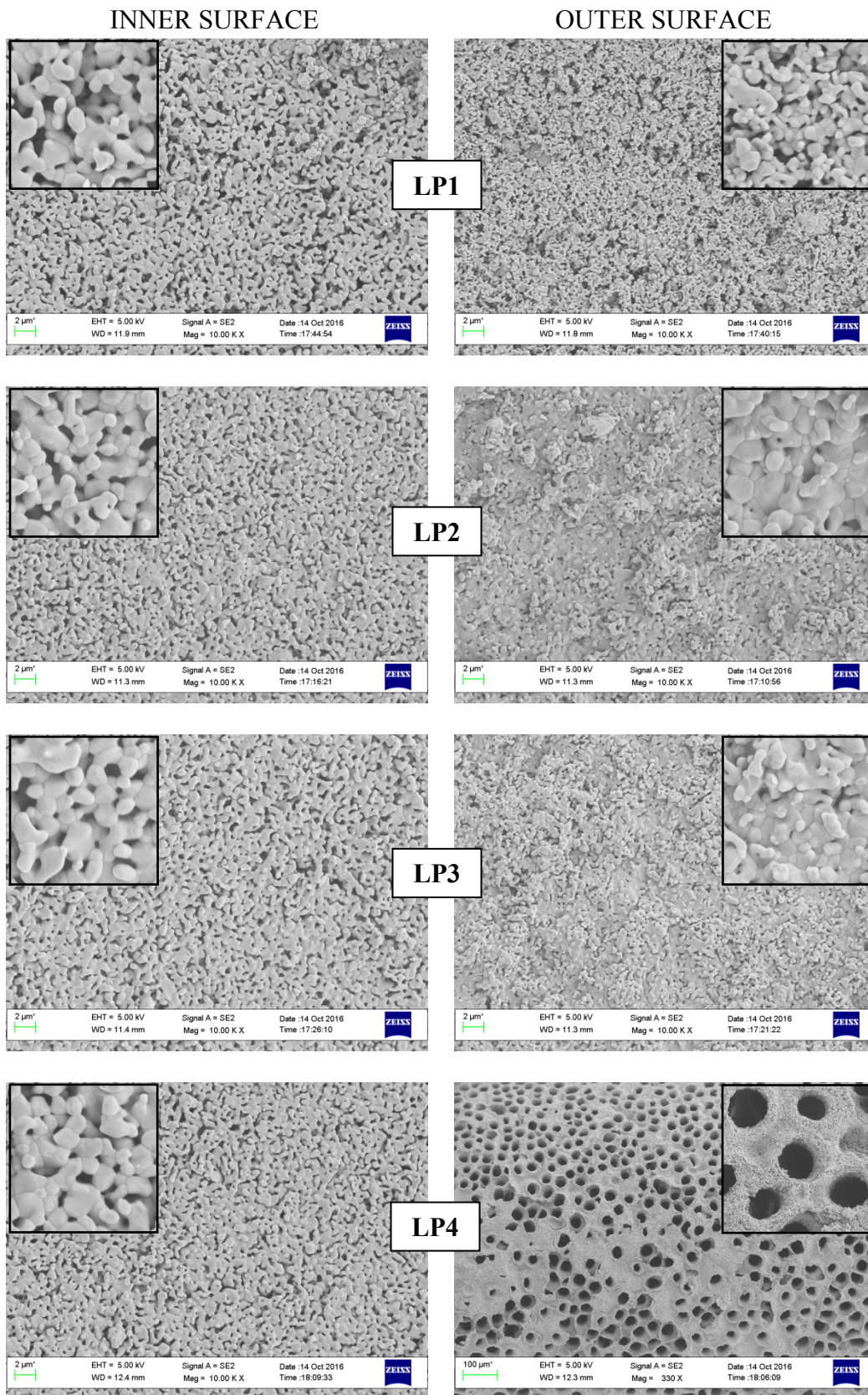


Fig. 5. SEM surface images of the alumina hollow fiber membranes prepared with the LP inorganic suspension.

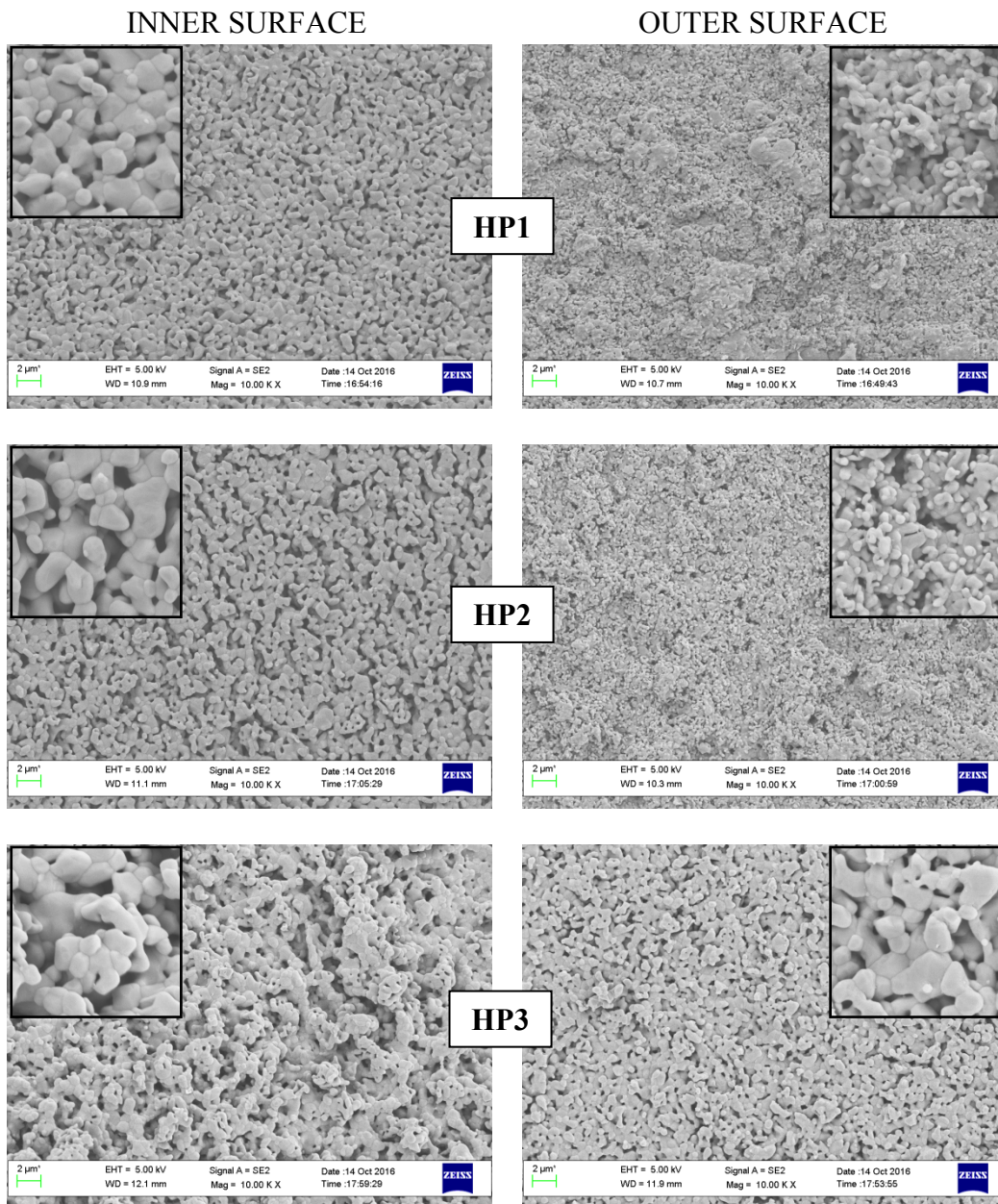


Fig. 6. SEM surface images of the alumina hollow fiber membranes prepared with the HP inorganic suspension.

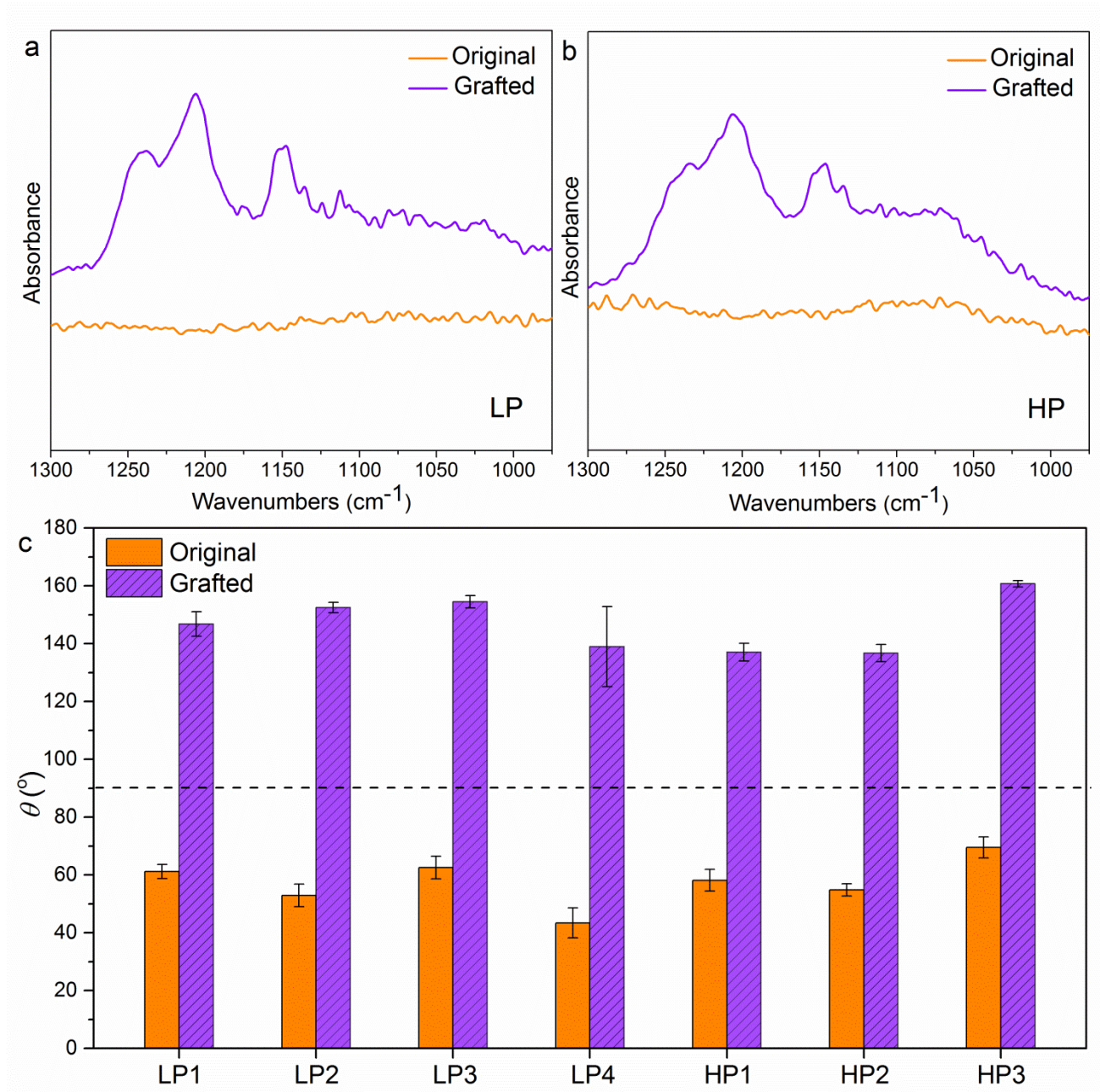


Fig. 7. FTIR-ATR spectra (a, b) and advancing contact angles (c) of the prepared alumina hollow fiber membranes before and after grafting modification. FTIR-ATR spectra of the membranes prepared with LP suspension (a) and the HP suspension (b).

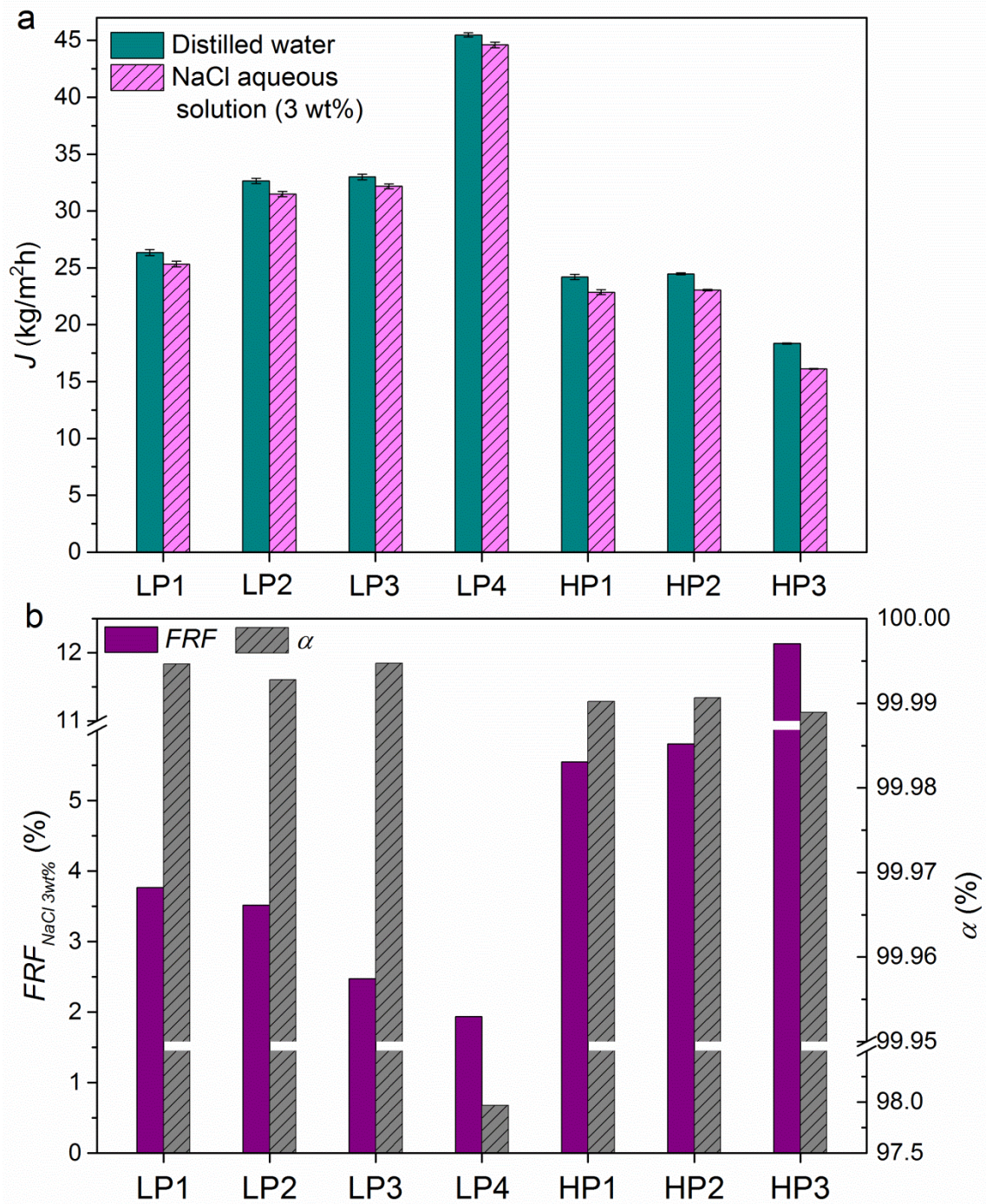


Fig. 8. AGMD (a) permeate flux (J) and (b) permeate flux reduction factor (FRF) and salt rejection factor (α) at 3 wt% NaCl feed aqueous solution, of the grafted alumina hollow fiber membranes.

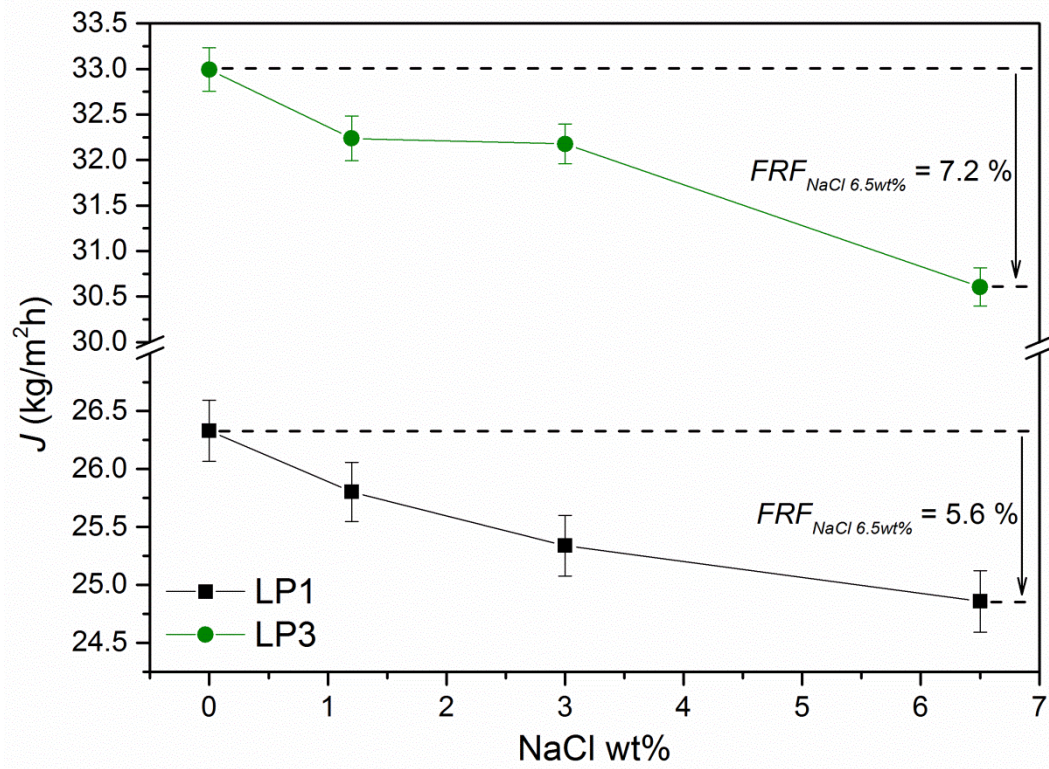


Fig. 9. Effect of the NaCl concentration of the feed solution on the AGMD permeate flux (J) of the grafted alumina hollow fiber membranes LP1 and LP3.

Table 1. Spinning parameters of alumina hollow fiber membranes.

Inorganic suspension (IS)	Membrane	IS flow rate (ml/min)	Bore liquid (BL)	BL flow rate (ml/min)	Outer coagulant (OC)	OC flow rate (ml/min)	Gap distance (cm)
LP	LP1	15	DI water	10	N.A.	N.A.	0
	LP2	8	DI water	10	Ethanol/NMP (50/50 wt%)	4	5
	LP3	8	DI water	15	Ethanol/NMP (50/50 wt%)	4	5
	LP4	8	DI water	30	NMP	5	25
HP	HP1	8	DI water	10	Ethanol/NMP (50/50 wt%)	4	5
	HP2	8	DI water	15	Ethanol/NMP (50/50 wt%)	4	5
	HP3	8	Ethanol/NMP (40/60 wt%)	12	N.A.	N.A.	0

Table 2. Diameters and thickness of the alumina hollow fiber membranes.

Membrane	Inner diameter (μm)	Outer diameter (μm)	Thickness (μm)
LP1	1102 ± 11	1809 ± 17	354 ± 7
LP2	921 ± 6	1282 ± 18	180 ± 4
LP3	974 ± 6	1337 ± 13	181 ± 3
LP4	1003 ± 3	1293 ± 9	145 ± 1
HP1	1075 ± 10	1701 ± 10	313 ± 5
HP2	1417 ± 4	1953 ± 10	268 ± 2
HP3	1812 ± 3	2308 ± 12	248 ± 2

Table 3. Bubble pore size, mean pore size, and smallest pore size of the alumina hollow fiber membranes before and after grafting determined by gas-liquid displacement test.

Membrane	Bubble pore size (nm)		Mean pore size (nm)		Smallest pore size (nm)	
	Original	Grafted	Original	Grafted	Original	Grafted
LP1	340 ± 14	344 ± 15	237 ± 6	250 ± 16	203.7 ± 0.7	220 ± 18
LP2	270.0 ± 0.6	288.7 ± 1.2	231.4 ± 0.8	232 ± 3	213 ± 17	195 ± 15
LP3	267.2 ± 1.2	280 ± 14	228.0 ± 0.7	227 ± 3	222.1 ± 0.5	185 ± 30
LP4	1085 ± 50	1181 ± 40	537 ± 29	556 ± 22	490 ± 50	434 ± 33
HP1	345.15 ± 0.21	370 ± 3	229 ± 8	223 ± 3	189 ± 15	193 ± 18
HP2	332.6 ± 0.9	360.4 ± 1.2	247.6 ± 1.7	255 ± 7	198 ± 15	205 ± 10
HP3	382.1 ± 27	369.8 ± 1.8	228 ± 16	251 ± 11	177 ± 3	175 ± 7

Table 4. Tortuosity factor of the original alumina hollow fiber membranes determined by MIP technique and void volume fraction (i.e. porosity) (ε) of the original and grafted fibers determined by gravimetric method.

Membrane	Tortuosity factor	ε (%)	
		Original	Grafted
LP1	1.801	50.5 ± 0.7	48.6 ± 0.5
LP2	1.814	54.4 ± 1.3	57.0 ± 1.1
LP3	1.706	55.5 ± 1.1	57.0 ± 1.9
LP4	1.782	70.4 ± 0.4	74.8 ± 2.2
HP1	1.794	48.1 ± 1.3	50.3 ± 2.7
HP2	1.738	51.7 ± 1.2	54.6 ± 1.2
HP3	1.817	51.0 ± 1.3	50.3 ± 1.4

Table 5. Bending strength (σ_F) of the original alumina hollow fiber membranes.

Membrane	σ_F (MPa)
LP1	142 ± 7
LP2	150 ± 14
LP3	110 ± 8
LP4	50 ± 5
HP1	156 ± 12
HP2	120 ± 8
HP3	183 ± 10

Table 6. Reported MD performance of different types of grafted ceramic membranes.

MD configuration	Membrane material (grafting agent)	Membrane shape	Feed solution and temperatures (feed, T_f ; permeate, T_p ; cooling, T_c)	Permeate flux (L m ⁻² h ⁻¹)	Separation factor (%)	Ref
AGMD	Alumina, Al200 (1H, 1H, 2H, 2H-perfluorodecyltriethoxysilane, T-PFS)	Tubular	3 - 6 wt% NaCl $T_f = 95$ °C $T_c = 25$ °C	5.03 (3 wt%) 4.16 (6 wt%)	99.6 (3 wt%) 90.7 (6 wt%)	[18]
	Zirconia, Zr50 (T-PFS)			5.25 (3 wt%) 4.59 (6 wt%)	100	
AGMD	Clay-alumina, C-55-M (T-PFS)	Capillary	3 wt% NaCl $T_f = 70$ °C $T_c = 10$ °C	4.1	99.96	[16]
AGMD	Zirconia layer/alumina support, M3 (T-PFS)	Tubular	Pure water (0 wt%) - 6 wt% NaCl $T_f = 99$ °C $T_p = 5$ °C	6.25 (0 wt%) 5.42 (6 wt%)	100	[13]
AGMD	Titania, Ti-300kD-tC6 (1H,1H,2H,2H-perfluorooctyltriethoxysilane, T-PFOS)	Tubular	3 wt% NaCl $T_f = 90$ °C $T_p = 5$ °C	3.7	N.A.	[14]
AGMD	Alumina, LP3 (T-PFS)	Hollow fiber	0 - 1.2 - 3 - 6.5 wt% NaCl $T_f = 80$ °C $T_c = 20$ °C	33.0 (0 wt%) 32.2 (1.2 wt%) 32.1 (3 wt%) 30.6 (6.5 wt%)	>99.99	This study
AGMD	Zirconia, Zr50 (T-PFS)	Tubular	3 wt% NaCl $T_f = 95$ °C $T_c = 5$ °C	4.7	99.8	[19]

DCMD			3 wt% NaCl $T_f = 95\text{ }^\circ\text{C}$ $T_c = 5\text{ }^\circ\text{C}$	4	99.8	
VMD			3 wt% NaCl $T_f = 40\text{ }^\circ\text{C}$ Pressure = 3 mbar	7.5	96.1	
DCMD	Alumina (T-PFS)	Tubular	0.6 - 6 wt% NaCl $T_f = 95\text{ }^\circ\text{C}$ $T_p = 5\text{ }^\circ\text{C}$	6.8 (0.6 wt%) 5.4 (6 wt%)	100	[57]
DCMD	Alumina (T-PFOS)	Planar	2 - 4 - 6 wt% NaCl $T_f = 80\text{ }^\circ\text{C}$ $T_p = 20\text{ }^\circ\text{C}$	19.1 (2 wt%) 17.0 (4 wt%) 15.5 (6 wt%)	> 99.5 (2 wt%)	[58]
DCMD	Alumina, Anodisc-200 (T-PFS)	Planar	0.6 wt% NaCl $T_f = 53\text{ }^\circ\text{C}$ $T_p = 18\text{ }^\circ\text{C}$	17 - 8	93 - 99	[15]
DCMD	Silicon Nitride (T-PFOS)	Hollow fiber	0.5 - 2 - 4 - 6 wt% NaCl $T_f = 80\text{ }^\circ\text{C}$ $T_p = 20\text{ }^\circ\text{C}$	13.4 (0.5 wt%) 12.2 (2 wt%) 11.0 (4 wt%) 9.0 (6 wt%)	99 - 100	[8]
VMD			0.5 - 2 - 4 - 6 wt% NaCl $T_f = 80\text{ }^\circ\text{C}$ Pressure = 0.02 bar	36.7 (0.5 wt%) 32.1 (2 wt%) 28.3 (4 wt%) 23.7 (6 wt%)		
DCMD	β -Sialon, $\text{Si}_{6-z}\text{Al}_z\text{O}_z\text{N}_{8-z}$, $z = 2$ (T-PFOS)	Hollow fiber	2 - 4 wt% NaCl $T_f = 80\text{ }^\circ\text{C}$ $T_p = 20\text{ }^\circ\text{C}$	7.9 (2 wt%) 6.8 (4 wt%)	99 - 100	[17]

VMD			2 - 4 wt% NaCl $T_f = 80\text{ °C}$ Pressure = 0.02 bar	12.2 (2 wt%) 10.75 (4 wt%)		
VMD	Alumina (T-PFOS)	Hollow fiber	2 - 4 - 6 wt% NaCl $T_f = 80\text{ °C}$ Pressure = 0.04 bar	45 (2 wt%) 42.9 (4 wt%) 41 (6 wt%)	> 99.5 (4 wt%)	[6]
VMD	Silicon Nitride (T-PFOS)	Hollow fiber	2 - 4 wt% NaCl $T_f = 70\text{ °C}$ Pressure = 0.02 bar	25 (2 wt%) 22.25 (4 wt%)	99 - 100	[59]