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8 Original Research Paper:

9 **Lactose malabsorption and intolerance: a review**

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27 **Abstract**

28 Food lactose and lactose intolerance is today a hot topic in food and nutrition
29 knowledge. About 70% of the adult world population is lactose-intolerant, due to
30 low levels of intestinal lactase, also called lactase-phlorizin hydrolase (LPH), a
31 β -D-galactosidase found in the apical surface of the intestinal microvilli. This
32 may be due to the loss of intestinal lactase in adulthood, a condition transmitted
33 by an autosomal recessive gene, which differs in humans according to race.
34 According to the cultural-historical hypothesis, the mutation that allows the
35 metabolization of lactose appeared about 10,000 years ago in the inhabitants of
36 northern Europe where mammalian milk continued in the diet after weaning,
37 and lactase-persistent populations were genetically selected in some areas.

38 Many intolerant individuals can tolerate low levels of lactose in their daily diet.
39 Probiotics have also been proposed as an alternative that could avoid some
40 symptoms of lactose intolerance. Many products are marketed nowadays as
41 alternatives to dairy products for lactose-intolerant individuals. However, rules
42 for low-lactose foods are currently not harmonised in the European Union.

43 As scientific knowledge on lactose intolerance has notably advanced in recent
44 decades, the aim of this work was to review the current state of the knowledge
45 on lactose and lactose intolerance, its diagnosis and clinical management, and
46 the various food products that are offered specifically for non-tolerant
47 individuals.

48 **Key words:** milk, lactose, lactose malabsorption, lactose intolerance, lactase
49 enzyme, delactosation

50 **1. INTRODUCTION**

51 Milk and dairy products are widely consumed. According to the WHO/FAO,
52 more than six billion people consume milk and its dairy product derivatives,
53 most of these in developed countries. Total consumption has doubled since the
54 1960s.

55 These products are in high demand due to their high nutritional value. They
56 contain milk protein with a high biological value, including casein and
57 lactalbumin, and also present varying amounts of fats, most of them constituted
58 of saturated fatty acids. Milk is rich in vitamin A, D and riboflavin, but poor in
59 iron and niacin, and is a source of calcium. The main carbohydrate in milk is
60 lactose, which is not present in other kinds of foods.¹

61 It is estimated that lactose represents 6% of the carbohydrates consumed in
62 Western diets. Lactose can serve as a source of energy, but also facilitates the
63 absorption of calcium, phosphate, manganese and magnesium; it is fermented
64 by the gut microbiota and contributes to the development of gram-positive
65 intestinal bacteria (such as Bifidobacterium species), thereby preventing the
66 development of pathogenic microorganisms in the host.² Before absorption,
67 disaccharides must first be hydrolyzed in the human digestive tract by the
68 substrate-specific membrane enzymes present in the intestine. The enzyme
69 lactase is responsible for the splitting of lactose into its two components,
70 glucose and galactose.⁴

71 Lactose intolerance was described by Hippocrates (5th century BC) and Galenus
72 (2nd century AD). Since then, milk is known to be able to produce diarrhoea and
73 other gastrointestinal manifestations in certain people. However it was not until
74 after the Second World War, with the delivery of humanitarian aid (including

75 milk) to countries in Africa and Asia, that it was noted that many people suffered
76 from flatulence, nausea and diarrhoea shortly after the intake of milk. This was
77 initially attributed to food infections, until researchers at Johns Hopkins Medical
78 School discovered the key to lactose intolerance in 1965.⁵ Nowadays it is widely
79 known that some people are lactose-intolerant due to low levels of the enzyme.
80 They reduce or eliminate the consumption of milk and dairy products because
81 they present symptoms when they ingest this type of food, which may lead to
82 less calcium intake in the diet.

83 The food industry has recently developed a wide range of products for people
84 with this type of intolerance. Lactose-free milk and low-lactose dairy products
85 produced by different methods are available on the market today.

86 However, the fact that some individuals can digest lactose and others not has
87 lead to widespread misunderstanding in society. Numerous ideas have been
88 raised in various forums, to the point of erroneously considering lactose as a
89 harmful compound in the human diet.

90 As scientific knowledge of lactose intolerance has notably advanced in recent
91 decades, the aim of this work is to review the present state of knowledge of
92 lactose and lactose intolerance, the clinical management of this situation, and
93 the various products used as food alternatives for non-tolerant individuals.

94

95 **2. - PROPERTIES AND METABOLISM OF LACTOSE**

96 **2.1. - Chemistry and biochemistry of lactose**

97 Lactose is a disaccharide composed of D-galactosyl β (1 \rightarrow 4) D-glucose. It is
98 slightly soluble in water (170 g/L at 15° C),⁵ exclusively present in the milk of
99 mammals, and six times less sweet than sucrose.⁶

100 Lactose is obtained from milk serum by ultrafiltration, evaporation and
101 subsequent crystallization, and was first isolated in 1633 by the Italian Fabrizio
102 Bartoletti.⁷

103 Lactose in milk has two isomers: α -lactose and β -lactose (the C₄ hydroxyl group
104 of galactose in α and β position respectively), which differ in their properties of
105 solubility, crystallization, melting temperature and optical rotation. The α -isomer
106 has a solubility of 70 g/L at 15° C, a melting temperature of 202° C and -89.4°
107 revolving power; while the β -isomer presents values of 500 g/L, 242° and -35° C
108 respectively. The technological treatment of the foods affects the balance of
109 both isomers in milk, depending mainly on the temperatures applied.

110 Lactose can also be found as anhydrous or hydrated. Hydrated α -lactose is
111 obtained by oversaturated crystallization at a temperature lower than 93.5° C; at
112 higher temperatures, anhydrous β -lactose is obtained.⁵

113 Lactose is sensitive to heat, which can cause browning of the milk by the
114 lactose joining to amino groups of milk proteins (Maillard reaction), and the
115 caramelization of the lactose molecules.⁸ Heat treatments can also cause the
116 isomerization of lactose and produce small amounts of lactulose (galactosyl-
117 fructose). Up to 0.8 g/L of this isomer can be found in sterilized milk.⁵

118 Lactose is synthesized in the mammary gland from glucose and galactose by
119 the action of the enzyme lactose-synthetase; in the case of ruminants it is also
120 synthesized from volatile acids such as propionic acid, which is produced in the
121 rumen. Lactose synthetase has a subunit with galactosyltransferase activity,
122 and another with regulatory actions (α -lactalbumin). The former catalyzes the
123 transfer of a galactosyl group from UDP-galactose towards the N-
124 acetylglucosamine to form N-acetyllactosamine. Alpha-lactalbumin, in

125 combination with the first subunit, catalyzes the union of UDP-galactose and
126 glucose to form the disaccharide. The concentration of the enzyme in the
127 intestine of the foetus increases during the gestation period.⁹

128 Lactose is the main component of the dry matter in mammals' milk and its
129 content is inversely proportional to fat and proteins. Its average value in human
130 milk is 70 g/L, while cow milk has around 46 g/L, similar to milk from other
131 mammals such as sheep and goats, which have 48 g/L and 41 g/L
132 respectively.¹⁰

133 Due to its physical-chemical properties such as texture and adhesive qualities,
134 in addition to its hydration properties and flavour, lactose is used as an
135 ingredient in many foods such as processed meats, margarines, breakfast
136 cereals and ready meals, as well as food supplements and as an excipient in
137 medicaments. For use as an ingredient, anhydrous lactose must meet some
138 requirements of purity and identity: richness of no less than 99% m/m, just one
139 molecule of water of crystallization, and humidity no higher than 6%.¹¹

140

141 **2.2. - Lactose metabolism**

142 For its physiological use in the human body, lactose must be previously
143 hydrolyzed in the intestine by the lactase enzyme. Once the lactose reaches the
144 proximal intestine, it is broken down into glucose and galactose. Both
145 monosaccharides are absorbed by active transport mediated by membrane
146 proteins: the transporter SGLUT 1 (Sodium-Glucose Linked Transporter 1),
147 which co-transport glucose or galactose accompanied by two ions of Na⁺ from
148 the intestinal lumen towards the interior of the enterocyte. The
149 monosaccharides subsequently spread to the blood either passively or by the

150 facilitating protein GLUT 2 (Glucose Transporter 2). Glucose is used as an
151 energy source, while galactose may be transformed into glucose for its
152 energetic use by the galactokinase and galactose-1Pi-uridylyltransferase, or be
153 used as a component of glycolipids and glycoproteins.^{12,13}

154 It is essential to underline the importance of lactose as a precursory molecule of
155 fundamental metabolites in various processes in the human being after its
156 enzymatic metabolism, such as the galactocerebrosides that form part of the
157 plasma membranes of nerve cells, especially in the myelin sheath.¹⁴

158 Non-absorbed lactose has osmotic activity and attracts fluid and electrolytes
159 into the intestinal lumen. It is fermented and hydrolyzed by intestinal bacteria
160 producing gases such as H₂ and CO₂. CH₄ can also be obtained after the
161 reutilization of both gases by methanogenic flora. Breathing eliminates these
162 gases after passing into the bloodstream. A certain amount of these gases will
163 lead to flatulence. Short-chain organic acids such as butyric, acetic, propionic,
164 succinic, formic and lactic acid are produced and decrease colonic pH. These
165 products can be refermented by the bacterial flora or absorbed by the large
166 intestine, as acetic, propionic and butyric acids that are absorbed in the caecum
167 and the ascending colon to be used by different tissues.¹⁵

168 **2.2.1. - The lactase enzyme**

169 Lactase (EC 3.2.1.108; 3.2.1.62), also called lactase-phlorizin hydrolase (LPH),
170 is a β-D-galactosidase found in the apical surface of the intestinal microvilli in
171 the jejunum, and its occurrence gradually decreases towards the ileum. It is the
172 least abundant intestinal disaccharide and does not have a substrate inductor
173 effect through an increase in the ingestion of lactose as it happens to maltase
174 and sucrase.¹⁶ The lactase enzyme is produced as a precursory peptide of 220

175 KDa, which undergoes a considerable post-translational modification after its
176 initial synthesis during its transport to the cell surface to become a mature yet
177 still inactive protein of 150 KDa. The enzyme is activated due to the action of
178 pancreatic trypsin which produces the excision of two amino acids.¹⁷

179 The enzyme has two active sites: the first hydrolyzes lactose, and the other
180 hydrolyzes phlorizin (an aryl alpha-glucoside) as well as a range of dietary
181 glycolipids. Its activity increases progressively in the human foetus, especially
182 from the third trimester of gestation. It reaches its maximum at birth and then
183 begins to decrease from the earliest months of life, and particularly between 3-5
184 years, by up to 10%, then remains this way in many individuals for the rest of
185 their lives.¹⁸

186 This occurs through a decrease in its synthesis and is due to lower gene
187 expression, rather than to low consumption of dairy products as was previously
188 thought.¹⁶ Although this is the normal condition in most mammals; some human
189 beings have kept the lactase activity, and thus the ability to use hydrolyze
190 lactose and absorb their components during their whole life, leading to a group
191 of lactase persistent (lactose tolerant individuals), which may be more or less
192 abundant depending on ethnic groups. The exact mechanism involved in the
193 decline of lactase activity at the end of breastfeeding is still unclear; however,
194 part of the enzymatic activity persists after weaning, since the phlorizin site is
195 used, in addition to by glycoside, by a large number of glycolipids in the diet.¹²

196 Due to the location of the enzyme on the edge of the intestinal villi, its activity is
197 easily affected by aggression and lesions in the intestinal mucosa, and it is
198 more vulnerable to potential damage than other disaccharides.¹⁹

199 The optimal activity of lactase occurs in the small intestine at pH 6-8; in the
200 colon, acid lactic bacteria can hydrolyze lactose into glucose and galactose
201 thanks to bacterial lactase; however in this part of the gut, the pH is 4, so
202 bacterial lactase activity is diminished and less lactose fermentation occurs.

203 Bacterial hyperproliferation in the intestine can decrease the amount of lactase,
204 as the bacterial elastases break down the brush border enzyme site. As a
205 result, more lactose passes into the large intestine and is fermented by bacteria
206 in the colon.¹⁶

207

208 **2.2.1.1. - Genetics of lactase**

209 The gene that encodes lactase is located on the long arm of chromosome 2 in
210 position 21 (2q21), and contains 17 exons.

211 Two possible polymorphisms (single nucleoid polymorphism, SNP), were
212 sequenced by Enhattah *et al* in 2002, associated to the persistence or lack of
213 lactase in adulthood (Table 1). These polymorphisms were found in a gene
214 called MCM6 (minichromosome maintenance complex component 6) near the
215 lactase gene. This gene is not directly involved in lactase synthesis, but
216 overlaps a region of the lactase gene as a key that activates or inhibits the
217 enzyme.²⁰

218 The polymorphism C/T-13910 is the most frequent and is located at
219 approximately 14Kb. It is based on the presence of one cytosine (C) or one
220 thymine (T) in position 13910. The variant C/C is associated with the non-
221 persistence of lactase (intolerant phenotype), while the variants C/T or T/T are
222 related to the persistence of the enzyme's activity.²¹

223 The second polymorphism (G/A-22108) is located at 22kb; the presence of G/G
224 (guanine/guanine) is associated to non-persistence, while variants G/A
225 (guanine/adenine) and A/A (adenine/adenine) may cause lactase-persistent
226 individuals.²²

227 The enzyme is synthesized if at least one of the two variants of the gene
228 associated with the persistence of lactose is present. Only when both
229 expressions are altered is the enzyme's activity and the absorption of lactose
230 reduced. Although these polymorphisms can be used as indicators of the
231 persistence of lactase in the European population, they cannot be applied
232 globally, since other polymorphisms have been identified in the same
233 chromosomal region in the African population.²³ The polymorphisms found in
234 African and Middle Eastern populations are C/G-13915 and G/C-14010, while
235 T/G-14009 is found in Ethiopia.²⁴

236 There are currently eleven gene polymorphisms that are grouped into four
237 haplotypes called A, B, C and U. The first haplotype has a frequency of 86% in
238 the population of northern Europe and only 36% in the south.¹⁶

239 The mechanisms responsible for the intolerant phenotype include: decline in
240 mRNA production; or alteration in genetic transcription or translation and even a
241 decline in the number of enterocytes that produce lactase.

242 The loss of intestinal lactase is transmitted by an autosomal recessive gene
243 while the persistence of enzyme levels (similar to those found in infants) is
244 inherited by an autosomal dominant gene.²⁵

245 Other factors in addition to SNPs may contribute to a decline in mRNA but it is
246 unclear what molecular mechanisms might account for changes in lactase
247 expression. Epigenetic modifications in DNA and histone proteins could

248 contribute to lactase non-persistence as they effectively regulate gene
249 transcription, differ markedly across tissues and cell types and also change in
250 the same individual over time.²⁶

251 With no symptoms, only 50% of enzymatic activity is necessary for a proper
252 metabolization of lactose.²⁷ Diets with high starch content have been found to
253 increase levels of mRNA and the amount of lactase, while a high content of
254 long-chain triglycerides in the diet decreases the expression of the gene.
255 Fructose, glucose, galactose and glycerol may also increase the activity of this
256 enzyme.²⁸

257

258 **3. – MANIFESTATIONS AND MAGEMENT OF LACTOSE MALABSORPTION** 259 **AND INTOLERANCE**

260 According to the definition proposed by the European Academy of Allergology
261 and Clinical Immunology Subcommittee on Adverse Reactions to Food in 1995,
262 food intolerances are those in which there is no immune intervention.²⁹ Lactose
263 intolerance is an example of an intolerance caused by genome-diet interaction.
264 Lactose malabsorption occurs when a substantial amount of lactase is not
265 absorbed in the intestine³⁰⁻³¹. Two types of conditions can be established
266 according to the degree of lactase activity: alactasia (total absence of lactase
267 activity) and hypolactasia (very low lactase activity in the jejunal mucosa, with
268 an imbalance between the amount of lactose ingested and the ability to
269 hydrolyze). Lactose intolerance depends not only on the expression of lactase
270 but also the dose of lactose, intestinal flora, gastrointestinal motility, small
271 intestinal bacterial overgrowth and sensitivity of the gastrointestinal tract to the
272 generation of gas and other fermentations products of lactose digestion.³²

273 Lactose intolerance can also be categorized into four types, depending on its
274 origin:

275 Congenital lactase deficiency

276 This type of lactose intolerance is a metabolic error in an autosomal recessive
277 trait and is characterized by a total absence or a significant reduction in the
278 enzyme, with a normal histology in the small intestine. It begins at birth and
279 persists throughout the individual's life. It is rare, with very few cases in the
280 world –most of them in Finland– and there is little knowledge of its molecular
281 basis. The first exposure to breast milk or other types of products containing
282 lactose produces a watery diarrhoea. In the past the consequences were fatal,
283 although nowadays with early diagnosis and the provision of an adequate
284 lactose-free diet, the death of the newborn can be prevented.³³

285 Developmental lactase deficiency

286 This is due to low levels of lactase as a result of premature birth (28-32 weeks),
287 since the enzyme's activity in the foetus increases from week 34 and reaches
288 its maximum at birth. However, infants can endure this deficiency thanks to
289 colonic bacterial metabolism: as colonic pH is reduced, colonization by other
290 microbial species as *Bifidobacterium* or *Lactobacillus* is favoured, thus
291 preventing diarrhoea and malnutrition.³⁴

292 Primary lactase deficiency or adult hypolactasia

293 This is the most frequent form and is the result of the progressive and
294 permanent decrease in enzymatic activity. This is rarely complete, and the level
295 of lactase that persists is an important factor in the development of symptoms.
296 The process and the time it occurs are variable; the most common average age
297 is between 5 and 7 years and the maximum impact occurs between 30 and 40

298 years. In populations with a high prevalence of hypolactasia, the disorder
299 normally appears around two years of age. In other populations with a lower
300 prevalence, the first symptoms may appear between 11 and 14 years. In
301 Caucasian individuals (prevalence of 25%), lactase activity usually continues
302 until at least age 20.³⁵

303 Approximately 70% of the world population presents this type of intolerance
304 although there is great geographical variability, with large differences between
305 geographic areas, ethnic groups and even subpopulations (Table 2). It is
306 estimated that over 90% of the Asian population is lactose intolerant, and 60-
307 70% of African Americans. In Europeans, or populations with European
308 ancestors, the prevalence drops significantly, reaching 5% in countries such as
309 Switzerland and Denmark. For example, in Spain the global percentages are
310 similar to the rest of Europe, although some authors report a 13-15% variation
311 in Barcelona (northeast) and 32.5% in Galicia (northwest). Approximately 50%
312 correspond to elderly people.^{33,36,37}

313 Several hypotheses have been suggested to explain this geographical diversity
314 in the response, including the role of lactose in calcium absorption in countries
315 located at high latitudes. According to the cultural-historical hypothesis, the
316 mutation that allows the metabolization of lactose appeared about 10,000 years
317 ago in the inhabitants of Northern Europe, according to DNA evidence from
318 individuals at that time. This coincides with the start of the Neolithic period in
319 that part of the continent and the beginning of livestock breeding and dairy
320 production by North Europeans, and is related to the strong dependence on
321 milk consumption in their diet which would increase the availability of calories
322 and nutrients such as calcium and vitamin D (necessary for calcium

323 assimilation). The high prevalence of this mutation in this population is the result
324 of selection, acting in favour of those who could consume milk, since they
325 presented lower rates of rickets and osteomalacia. In Southern Europe the main
326 source of vitamin D was solar ultraviolet radiation B (UVB), which is able to
327 synthesize vitamin D₃ in the skin through cutaneous absorption. The inhabitants
328 of Southern Europe were therefore less dependent on diet, and the selective
329 pressure would have been lower.

330 In Africa, the selection factor favouring the population with the mutation for
331 persistence in the production of lactase would have been the high water content
332 of milk, an important aspect in the arid regions of the African continent. Other
333 authors add that the high riboflavin content in milk could act as protection
334 against malaria caused by *Plasmodium falciparum*, an endemic disease in a
335 large part of the African continent.

336 These circumstances would not be present in cultures without a relationship
337 with cattle milk production, such as Amerindian or southeast Asian populations,
338 which explains their high prevalence of lactose intolerance.³⁸

339 Secondary lactase deficiency

340 In this case the affected individuals have normal enzymatic activity. A decrease
341 in lactase occurs for various reasons and through different mechanisms, namely
342 chronic enteropathy (secondary to immunological processes) such as coeliac
343 disease and Chron's disease, atrophy of the villi due to caloric-protein
344 malnutrition, and other gastrointestinal diseases that damage the brush border
345 in the small intestine (such as infections). It is usually reversible when the
346 underlying disease is resolved. The evolution depends on the severity and

347 duration of the damage caused to the mucosa. It is accompanied by a reduction
348 in the activity of all disaccharidases, although lactase is the most affected.³⁹

349 **3.1. - Clinical manifestations**

350 People with lactose intolerance experience typical symptoms that include
351 abdominal pain, swelling, flatulence, diarrhoea, vomiting and bowel (or
352 abdominal) noises, and in some cases also constipation, anorexia and weight
353 loss. Auricchio *et al* was the first that described these symptoms in an article
354 published in 1963.¹²

355 The gases produced by bacterial fermentation are responsible for the increase
356 in intraluminal pressure and bowel transit time. Flatulence and swelling occur
357 when gas production commences after undigested lactose comes into contact
358 with and is digested by colonic bacteria. Studies show little or no difference in
359 gas production between lactose malabsorbers with and without symptoms.
360 Instead it is the sensitivity to distension that determines the likelihood of
361 symptoms.⁴⁰

362 Diarrhoea occurs as a result of acidification of the colon due to the production of
363 short-chain fatty acids, which increase the osmotic load that involves the
364 secretion of electrolytes and fluids and rapid transit. The stools are often
365 voluminous, aqueous and foamy.⁴¹

366 Symptoms usually begin around one hour after the intake of lactose when its
367 content exceeds the hydrolytic capacity of the lactase. Symptoms cease shortly
368 after the expulsion of flatus and liquid stools. The wide variability in the severity
369 of the symptoms that can be observed in both the same and different individuals
370 depends on the amount and frequency of lactose ingested and the capacity to

371 digest it. This is due to the wide variability in the ability of the intestinal bacteria
372 to ferment lactose. The symptoms get worse as more milk is consumed.⁴²

373 On many other occasions the colon reabsorbs part of these products and does
374 not produce symptoms, leading to an asymptomatic process. There is no
375 consensus on the minimum dose of lactose leading to symptoms of intolerance.
376 The ingestion of 50 g of lactose produces symptoms in 80-100% of patients with
377 hypolactasia, although those considered extremely intolerant may present
378 symptoms with only 3 g of lactose (equivalent to about 60-70 mL of cow milk).
379 The majority of patients with this intolerance can tolerate 10-12 g of lactose
380 (equivalent to 200-250 mL of milk) without significant symptoms.⁴³

381 Other factors that influence the variability of manifestations include the fat
382 content of foods with lactose, gastric emptying (which slows with higher lipid
383 content), bowel transit time, water absorption capacity, and patients' subjective
384 sensitivity to pain. Various studies have reported that some patients do not
385 relate the symptoms to the intake of dairy products, and so remain undiagnosed
386 and untreated.

387 Some authors describe non-intestinal symptoms such as headache, memory
388 deterioration, musculoskeletal pain, heart rhythm disorders, dryness in the
389 mucous membranes, depression, ulcers in the oral mucosa and other allergic
390 reactions in 20-80% of patients. The cause could be the toxic effects of
391 compounds such as acetaldehyde, acetone, ethanol, peptides and others that
392 alter cell signals. In this case it is important to assess whether this is due to
393 intolerance or to other disorders; up to 20% of patients with lactose intolerance
394 may also show an allergy to milk proteins.¹⁶

395 Sometimes, food intolerance is associated to anxiety, stress, since patients may
396 be hypervigilants to dietary factors that cause them discomfort; there are some
397 studies about psychosocial effects of lactose intolerance in Asian and European
398 patients showing different results. Furthermore, many self-reported lactose
399 intolerants may suffer a “nocebo effect”, probably due to the influence of
400 environmental factors, or the coincidence of other digestive disorders with
401 similar symptoms.⁴⁴

402

403 **3.2. - Diagnosis**

404 With proper diagnosis the degree of lactose intolerance can be assessed, and
405 treatment can be prescribed.

406 The clinical history and physical examination of patients suspected of lactose
407 intolerance are also important. The presence of symptoms such as abdominal
408 pain, diarrhoea, nausea, flatulence and bloating are signs of intolerance to
409 carbohydrates even though they have a nonspecific character. Other factors to
410 be taken into account include the personal and family history, the relationship
411 between the symptoms and the ingestion of this carbohydrate, the time elapsed
412 between ingestion and the appearance of symptoms, the matrix in which
413 lactose has been ingested (milk, fermented dairy products...), whether there are
414 any conditions that speed up or slow down intestinal transit, and the age of
415 onset. Abdominal distension and the presence of bowel noises are significant in
416 the physical exploration, as well as perianal erythema caused by acid and
417 explosive stools.

418 The selection of the diagnostic method will depend on the characteristics of the
419 patient, the therapeutic objective and the available resources. These methods

420 can be classified into invasive or non-invasive and direct or indirect depending
421 on whether they involve intestinal biopsy or not.^{6,30}

422 **3.2.1. - Non-invasive or indirect methods**

423 Non-invasive or indirect methods do not involve intestinal biopsy.

424 **- Suppression and provocation test with milk**

425 Lactose is excluded from the diet for two weeks, then reintroduced. If the
426 symptomatology disappears and reappears once it is reintroduced at the end of
427 that period (challenge test), it suggests the person is intolerant. The
428 disadvantage is that it does not allow the diagnosis of asymptomatic individuals
429 suffering from malabsorption.⁴⁵

430 The study by Castiglione and collaborators in 2008 suggests that evaluating
431 different variables in the anamnesis with a simple annotation system is almost
432 as effective an evaluation method as the hydrogen test, and reduces costs and
433 diagnosis times.⁴⁶

434 **- Hydrogen breath test**

435 This is the most common test, since it is a simple, practical and rapid method of
436 determining lactose intolerance. It has a specificity of between 89% and 100%
437 and sensitivity of between 69% and 100%. It is indicated for patients who do not
438 have a conclusive clinical response after the suppression-provocation test. It is
439 the test of choice in clinical practice thanks to its simplicity and safety.³⁰

440 The test is based on the fact that intestinal bacteria degrade non-absorbed
441 lactose and produce methane, CO₂ and H₂. This last is disseminated through
442 the intestinal wall and passes into the blood. It reaches the pulmonary alveoli,
443 and is expelled in the breath. Hydrogen can be detected and quantified by gas
444 chromatography.

445 The test consists of administering lactose (10, 20 and 40 g dissolved in 250 ml
446 of water). Once the intake occurs, hydrogen, methane and carbon dioxide are
447 quantified at time 0, and every 25-30 minutes during 3 hours, and the values
448 obtained are compared. An increase of over 20 ppm in H₂ is considered
449 positive; 10-20 ppm is considered an inconclusive value.⁴⁷ These reference
450 values may be modified depending on the CO₂ and methane values measured.
451 Ingested lactose can be marked with ¹³C to improve sensitivity. This process is
452 currently limited to research and is not considered appropriate for clinical use.
453 False negative results can occur if patients have taken antibiotics for at least
454 one month before the test, or if they have consumed laxatives, probiotics,
455 prokinetics or electrolyte solutions for the preparation of colonoscopies, and due
456 to hyperventilation (crying) or physical exercise. False positives can appear with
457 the intake of non-absorbable carbohydrates the previous day, tobacco (before
458 and during the test), hypoventilation (sleep) or bacterial overgrowth.⁴⁸

459 - **LacTEST**

460 Oral 4-galactosil xylose is administered. The amount of xylose is determined in
461 an accumulated 24-hour urine sample. This test can only be applied to the adult
462 population. The LacTEST has proved to be very cost-effective, with a higher
463 sensitivity and specificity than the H₂ test and the intestinal biopsy, and a lower
464 economic cost.⁴⁹

465 - **Stool acidity test**

466 The measurement of the pH of stools and reducing substances is only useful if
467 the individual is taking lactose. It is nonspecific and has low sensitivity. In
468 intolerant people, stools are acidic after the intake of the disaccharide. This
469 reduction in pH is due to the presence of volatile fatty acids as a result of the

470 bacterial digestion of non-absorbed carbohydrates. If the pH is below 5.5, then it
471 is a highly suggestive indicator of lactose malabsorption. It should be noted that
472 the normal faecal pH of infants who are fed breast milk is lower than in the rest
473 of the population due to the relative inadequacy of the enzyme in relation to the
474 high amount of lactose present in breast milk, so this test loses diagnostic value
475 in this group of individuals.

476 In addition to this method, reducing substances can be searched in faeces to
477 detect the presence of sugars such as lactose in the stool. This test is less
478 sensitive than the previous one because the intestinal bacteria can completely
479 digest non-absorbed carbohydrates.⁵⁰

480 - **Lactose tolerance test**

481 Glucose is determined in the blood plasma before and after ingesting 50 grams
482 of lactose at different time intervals. It is monitored at 0, 60 and 120 minutes.
483 Glucose levels of over 20 mg/dL indicate lactose tolerance. False positives can
484 occur in up to 30% of patients due to a rapid insulin response. False negative
485 results can occur in diabetic patients or with bacterial overgrowth. Because of
486 its low sensitivity and specificity, it should be done only if the exhaled air test is
487 not possible.

488 Ethanol can be given orally before the ingestion of lactose to inhibit the
489 metabolism of galactose, quantify the levels of glucose and galactose (at least
490 20 mg/dL and 10 mg/dL respectively) and check for lactose intolerance. It has a
491 specificity of 77-96% and a sensitivity of 76-94%, but is not sensitive to the
492 definitive study of this intolerance.

493 It is used mainly with adult patients, and only exceptionally in children, given the
494 fact that it has a low sensitivity and requires blood extractions every 30 minutes

495 over two hours. Although it is a simple and inexpensive test, it tends to produce
496 confusing results and is uncomfortable for the patient, so the exhaled air test is
497 preferred today.^{16,30}

498 **3.2.2. - Invasive or direct methods**

499 Invasive or direct methods are based on biopsies of the small intestine.

500 **- Study of enzymatic activity**

501 A biopsy must be done on the mucosa of the jejunum, followed by an enzymatic
502 study. It is considered positive when the activity of the lactase is less than 10
503 U/g with a normal intestinal mucosa. This test can give false negative results
504 because lactase has a very irregular distribution and does not necessarily
505 represent what happens in the entire intestine. Because of its low sensitivity, if
506 compared with other tests such as expired hydrogen, a biopsy procedure and a
507 specialized laboratory test are not required in the diagnosis of hypolactasia.³⁰

508 **- Rapid duodenal biopsy test (Lactose Intolerance Quick Test)**

509 This is based on a colorimetric reaction in a sample of tissue removed from the
510 duodenum and incubated in lactose for 20 minutes. In people with normal
511 enzyme activity the sample will change colour. The reaction was carried out into
512 two steps: a 15-minutes lactase reaction, followed immediately by a 5-minutes
513 signal reaction in which liberated glucose is measured by glucose
514 oxidase/peroxidase reaction. In patients with severe duodenal hypolactasia,
515 there is a sensitivity of 95% and a specificity of 100%. There are studies
516 showing a high correlation between this test and the genetic study.³⁰

517 **3.2.3. - Other methods**

518 **- Genotypical study**

519 The genetic test can be useful for differentiating primary from secondary
520 hypolactasia and for diagnosing the predisposition of the patients (who are not
521 necessarily ill) to develop the primary intolerance. This is useful in subjects
522 aged over eight years who present clinical signs and have a negative hydrogen
523 test.

524 It identifies the different polymorphisms of the MCM6 gene and marks these
525 phenotypes as intolerant. The genetic study can be done using the specific
526 amplification of DNA extracted from a saliva sample, where the polymorphism
527 of propensity to lactose intolerance by PCR in real time is located and marked
528 with fluorescent probes. The analysis with PCR is a test with a specificity of
529 100% and a sensitivity of 93%. This type of test allows for a quick, definitive and
530 non-invasive diagnosis.

531 The disadvantages of the genetic method are the need for specialized
532 laboratories and its high cost, and it is therefore not widespread.⁵¹

533 **3.3.- Treatment**

534 The main strategy for treating lactose intolerance is to eliminate or at least
535 reduce the intensity of the symptoms in people with this type of intolerance,
536 while ensuring an adequate intake of nutrients.^{30,52}

537 The treatment will depend on the severity of the intolerance and the age of the
538 patient. In children aged under five, this intolerance is almost always secondary
539 and only a few require a low-lactose diet due to the high renewal of the
540 intestinal epithelium. In the case of persistent diarrhoea, it is recommended to
541 mix cereals with milk or to ingest fermented milk.⁵³

542 There are four general principles for treating lactose intolerance: reducing or
543 eliminating the intake of lactose; replacing lactose with alternative nutrients;

544 administering enzymatic substitutes or lactase supplements; and maintaining
545 the intake of calcium and vitamin D.³⁰

546 The other important point of treatment is patient education. The person must
547 learn how to balance and modify their diet until the discomfort disappears. One
548 very important aspect is how to interpret food labels correctly in order to avoid
549 the accidental ingestion of lactose. Not only dairy products must be considered,
550 since lactose is also used in other types of foodstuffs and in medicines.⁵⁴

551 The best way to reduce lactose in the diet and meet the need for products such
552 as calcium is to use lactose-free milk products. Calcium deficiency can produce
553 osteoporosis, so it is important to maintain an appropriate intake of this element.
554 There are studies showing that hypolactasia and maldigestion of lactose do not
555 alter the absorption of calcium.^{55,56} In contrast, other studies argue that calcium
556 absorption is significantly reduced in intolerant individuals when they eliminate
557 lactose from their diet, since the disaccharide stimulates its absorption in the
558 intestine.^{55,56}

559 In recent years the food industry has developed a wide range of high-quality,
560 low-lactose and even lactose-free products to replace normal milk, while
561 preserving the rest of the nutrients in the food. The aim is for an intolerant
562 person not to have to give up consuming dairy products. Plant-based drinks
563 (soybean, oat, rice, almonds...) are also available and are marketed as milk
564 substitutes with added sugars and other substances, together with vitamin D
565 and calcium to enrich their nutritional value.⁵⁷

566 People who do not consume milk and milk products need dietary alternatives for
567 certain nutrients such as calcium and vitamin D. Other sources of calcium, apart
568 from dairy products, include legumes (beans, chickpeas etc.) or vegetables

569 such as cabbage. Spinach and chard can be excluded since they contain
570 oxalates and block the absorption of the ion. Animal-based foods are also
571 important, including fish (sardines, salmon etc.) and shellfish (prawns, shrimps,
572 clams etc.). Vitamin D can be obtained from oily fish like salmon, from eggs,
573 liver and other foods, and mainly from exposure to sun.

574 Seventy to eighty percent of patients have a positive response to a lactose-free
575 diet. In other cases, the persistence of symptoms may be caused by another
576 underlying cause such as irritable bowel syndrome.⁵⁴

577 As previously mentioned, the vast majority of intolerant individuals can tolerate
578 up to 100-200 ml of milk, which corresponds to about 5-10 g of lactose,
579 distributed throughout the day. It is worth noting that whole milk is better
580 tolerated than skimmed or semi-skimmed dairy products, as fats can delay
581 gastric emptying. The intake of dairy products and other foods such as biscuits,
582 bread, etc. also improves tolerance. Butter can contain traces of lactose, and
583 the disaccharide content can be increased if milk solids are added to the
584 product.⁵⁸

585 After an initial period of lactose exclusion (at least four weeks), it is sometimes
586 recommended to introduce small quantities in the diet to check the tolerance
587 and the adaptation of the colonic flora. Dietary control depends on the affected
588 population learning by trial and error how much lactose they can tolerate.⁵⁹

589 For secondary lactase deficiency the most important strategy is to eliminate the
590 origin of the pathology. The lactase activity will gradually recover in weeks or
591 months once the damage is removed from the intestinal mucosa.

592 **4. - FOOD PRODUCTS FOR LACTOSE INTOLERANT INDIVIDUALS**

593 **4.1. - Delactosation methods**

594 Delactosation is a technological process that consists of eliminating lactose
595 from milk, or reducing its concentration below a certain threshold. Regulation
596 (EU) No 1169/2011 sets out rules for labelling substances with a scientifically
597 proven allergenic or intolerant effect. These indications are important to enable
598 lactose-intolerant individuals to make safe choices. However, as recognized in
599 Regulation (EU) No 609/2013, labelling rules for reference levels to indicate the
600 absence or reduced presence of lactose in food are currently not harmonized in
601 the European Union, and must be included in Regulation (EU) 1169/2011.
602 These rules should take into account the scientific opinion of authorities on
603 lactose thresholds for lactose intolerance and galactosaemia, which
604 recommends that until rules can be established for claims concerning the
605 absence or reduced presence of lactose in food, a maximum level of 0.01% for
606 lactose-free products and 1% for low-lactose products could be adopted as
607 suitable thresholds for lactose-intolerant individuals. Products in which the
608 lactose is enzymatically hydrolyzed to glucose and galactose and from which
609 the galactose has not been removed are not suitable for patients with
610 galactosaemia, regardless of the residual lactose content, and require different
611 thresholds.^{60,61,62}

612 To achieve these levels, lactose can be removed from dairy products in two
613 ways:

614 The first consists of hydrolyzing the lactose in milk by means of the β -
615 galactosidase enzyme which converts lactose into glucose and galactose. This
616 is done by adding the enzyme to milk in storage tanks. The process is
617 conditioned by the lactose concentration in the milk, the dose of the enzyme,
618 the temperature of the milk and the time of the process.¹⁶

619 The enzyme is obtained from different strains of microorganisms such as the
620 yeasts *Kluyveromyces lactis*, *Aspergillus oryzae* and *A. niger*. The first is used
621 primarily for the delactosation of milk while the others are often used to obtain
622 lactose-free cheese whey. Different methods are used to solubilize the β -
623 galactosidase depending on its location within the cell and its stability.
624 Mechanical methods are preferred to autolytic methods, although the former are
625 more expensive and involve separating the enzyme from the cell remains.
626 However autolysis requires a temperature range that could alter the enzyme,
627 and the removal of the detergents used.⁶³

628 The delactosation process takes place at a temperature of 6-10° C for 15 to 20
629 hours. This temperature is below the optimum efficiency temperature, which is
630 35-40° C, and the process therefore takes longer. This temperature control is
631 important for preventing the growth of psychrophilic and psychotropic residual
632 bacterial flora which, although the milk undergoes heat pretreatment, can affect
633 the process through the action of thermo-resistant enzymes (lipases and
634 proteases). This method is the most highly rated by the industry despite its
635 disadvantages, such as the time required and the high cost of producing the
636 enzymes. The effectiveness of the process will depend on the rate of hydrolysis
637 of the lactose. In the conditions described above, this percentage is about 85%.
638 Delactosed milks produced using this method have a more intense cooked
639 flavour and sweetness, since glucose and galactose have a greater sweetening
640 power than lactose, which may sometimes pose an obstacle for its
641 consumption.⁶⁴

642 The second method consists of a variation of the former, with the prior
643 ultrafiltration of milk followed by the action of the lactase enzyme to reduce the

644 lactose to the desired levels. This method eliminates salts, which must be
645 compensated after delactosation. It also involves more manipulation of the
646 product than the previous methods, so the dairy products obtained in this case
647 are known as lacteal products.¹⁶

648 **4.2. - Lactase food supplements**

649 When lactose cannot be avoided or when a person with symptoms decides to
650 consume foods that contain the disaccharide, lactase supplements can be
651 used. They are administered in capsules, chewable tablets or in liquid
652 preparations. One option is to add commercial lactase to milk followed by
653 incubation for several hours (it is advisable to do this approximately 10 hours
654 before consumption as this has revealed greater tolerance).⁶⁵

655 The characteristics and properties of these preparations vary depending on the
656 source of the enzyme. Those of fungal origin have a higher thermal stability,
657 with the maximum range of activity varying between 35 and 55° C, and the
658 optimal pH between 4.5 and 6.5. The enzymes obtained from bacteria and
659 yeasts have an optimal activity at 37° C and close to neutral pH. Their activity
660 decreases at a temperature of 55° C and a pH of 5.3, and ceases totally at pH
661 4.5.⁶⁶

662 Other preparations include lactase, which is directly ingested and has enough
663 stability to overcome heartburn (inside coated oral dosage forms). These
664 preparations are unable to hydrolyze all dietary lactose, and the results vary
665 depending on each patient so the dosage must be set individually. Intolerant
666 patients can use these supplements to continue to consume dairy products,
667 which are the main sources of calcium, vitamin D, riboflavin, and proteins. They

668 are less commercially successful than lactose-free or low-lactose milk and dairy
669 products.⁶⁷

670 The preparations on the market contain lactase produced by the yeast
671 *Kluyveromyces lactis* or *Aspergillus oryzae*. They are odourless, tasteless liquid
672 products that can be added to milk and dairy products such as creams and
673 chocolate, and transform 70-90% of lactose into glucose and galactose. They
674 can also be found in tablets and chewable tablets for immediate consumption
675 before a meal containing food with lactose. It may be necessary to repeat the
676 administration if the individual continues to consume dairy products.

677 Microencapsulation of lactase (with agarose and a coating of chocolate) is a
678 technological process that has been introduced as an option to meet the
679 growing demand for alternatives for people who are intolerant to lactose.

680 Microencapsulation is a technology used by the food and pharmaceutical
681 industry for encapsulating solid, liquid or gaseous materials. The advantage is
682 that it releases active ingredients at controlled speeds under specific conditions,
683 and can also protect them from reactions with other compounds present in food,
684 and prevent oxidation. Microcapsules help fragile materials withstand
685 processing and packaging and improve the flavour, aroma, stability, nutritional
686 value and appearance of the products. In recent years the microencapsulation
687 of lactase has proven to be a technologically viable alternative for lactose
688 intolerant patients.⁶⁸

689 **4.3. - Probiotics**

690 The term probiotic was first proposed by Lilly and Stillwell to describe
691 substances produced by a microorganism that stimulates the growth of another
692 microorganism.⁶⁹ Nowadays, probiotics are defined by the WHO as "live

693 microorganisms that, when administered in adequate amounts, confer a health
694 benefit on the host".⁷⁰

695 Within the framework of the production of functional foods, the use of
696 microorganisms can be very useful in the dietary treatment of various
697 pathologies and disorders such as lactose intolerance, to reduce various types
698 of diarrhoea, and they also have an immunopromotory and preventive effect on
699 the development of colon cancer.⁷¹ The most frequent starter cultures belong to
700 the lactic acid bacteria group.

701 Yogurt is obtained from the fermentation of *Streptococcus thermophilus* and
702 various species of *Lactobacillus*, usually *L. bulgaricus*. Intestinal bacteria
703 *Bifidobacterium* is included in some of their starter cultures. Yogurt contains
704 significant amounts of calcium that is bioavailable because it is present in ionic
705 form. The acidity of the yogurt facilitates its intestinal absorption.⁷² The
706 fermentation of some other products such as kefir also involves yeast, creating
707 intense interdependent relationships between the organisms considered as
708 probiotic.

709 Various properties related to the improvement and maintenance of health have
710 been attributed to fermented milks since their origins, probably in the Middle
711 East or the Balkans.⁷³ In the particular case of lactose intolerance, several
712 studies show that the continuous consumption of yogurt for six months
713 improves the digestion and absorption of lactose, although the fermentation
714 process usually reduces the amount of disaccharide by 20%.

715 The increase in lactose absorption appears to be at least partially due to the
716 microbial β -galactosidase, which is still active when it reaches the intestine. This
717 may be caused by the excellent buffer capacity of the yogurt during gastric

718 transit (favoured by casein micelles, calcium phosphate and lactic acid)⁷⁴, and
719 the protection of the enzyme by the microbial wall and membrane. It depends
720 on the individual capacity of acid secretion and gastric emptying time, the
721 amount of yogurt ingested and the interaction with other foods ingested at the
722 same time.⁷⁵ Other factors include the actions of the secretions and enzymes
723 from the pancreas and intestine, the longer bowel transit time of the yogurt and
724 the lipid emulsion formed by the bile salts. The function of bile acids in this
725 regard is not known for certain, since yogurt bacteria are not resistant to these
726 salts. This could be due to the increased permeability of the bacterial cells,
727 which allows the hydrolysis of the disaccharide in the cells; or because they
728 enable the release of the enzyme from the intracellular space to the lumen.⁷⁶
729 Some literature reviews conclude that certain strains of probiotics can have
730 beneficial effects on lactose intolerance⁷⁷, while other reviews contradict this by
731 stating that they are only useful in the treatment of flatulence in adult
732 hypolactasia.⁷⁸ More research is therefore necessary in clinical studies that
733 include specific strains and objective methodologies.⁷⁹

734 **4. CONCLUSIONS**

735 Scientific knowledge of lactose intolerance has advanced significantly in recent
736 decades, especially in terms of the genetic basis and diagnosis of this condition.
737 Although the exact mechanism involved in the decline of lactase activity at the
738 end of breastfeeding is still unclear, it has been suggested that it may include a
739 drop in mRNA production, an alteration in genetic transcription or translation
740 and even a decline in the number of enterocytes that produce lactase. However,
741 some enzymatic activity may persist after weaning; the cultural-historical
742 hypothesis postulates that the mutation that gives rise to lactase persistence in

743 adulthood appeared about 10,000 years ago in the inhabitants of Northern
744 Europe. Gene polymorphisms linked to this condition have been sequenced for
745 different human races.

746 As about 70% of the whole world population evolves to reduce lactase activity
747 during their life, the industry has developed many products as alternatives to
748 milk products. Many intolerant individuals can tolerate about 5-10 g of lactose
749 distributed throughout the day. Probiotics have been proposed as an alternative
750 that could avoid some symptoms of lactose intolerance thanks to the microbial
751 β -galactosidase, although the results are still contradictory and further research
752 is needed. Many products are also marketed today as alternatives to dairy
753 products for lactose intolerants, such as plant-based drinks and lactose-free
754 dairy products obtained from the enzymatic hydrolysis of lactose using lactase
755 from different strains of microorganisms such as the yeast *Kluyveromyces*
756 *lactis*. A recommendation by the EFSA for labelling these products specifies a
757 maximum level of 0.01% for lactose-free products, and 1% for low-lactose
758 products. As these indications are important for lactose-intolerant people,
759 labelling rules for low-lactose foods need harmonization in the European Union
760 to enable lactose-intolerant people to make safe choices.

761

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764

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1004 **Table 1: Frequency distribution of lactose intolerant people among**
1005 **various ethnic groups³³.**

Populations subgroups	Frequency of Lactose intolerance (%)
Northern-Central Europe	5
Southern Europe	15-20
African-Americans	60-70
Asia (SE)	90

1006

1007 **Table 2: Genetics polymorphisms of lactase persistence / non-persistence**
1008 **^{21,22}.**

	Lactase persistent	Non lactase persistent
LCT-13910	C/T and T/T	C/C
LCT-22018	G/A and A/A	G/G

1009 C: Cytosine, T: Thymine, G: Guanine, A: Adenine.

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