

## A NONLOCAL MEMORY STRANGE TERM ARISING IN THE CRITICAL SCALE HOMOGENIZATION OF DIFFUSION EQUATIONS WITH DYNAMIC BOUNDARY CONDITIONS

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ABSTRACT. Our main interest in this article is the study of homogenized limit of a parabolic equation with a nonlinear dynamic boundary condition of the micro-scale model set on a domain with periodically placed particles. We focus on the case of particles (or holes) of critical diameter with respect to the period of the structure. Our main result proves the weak convergence of the sequence of solutions of the original problem to the solution of a reaction-diffusion parabolic problem containing a “strange term”. The novelty of our result is that this term is a nonlocal memory solving an ODE. We prove that the resulting system satisfies a comparison principle.

### 1. INTRODUCTION AND STATEMENT OF RESULTS

A well-known effect nowadays in homogenization theory is the appearance of some changes in the structural modeling of the homogenized problem for suitable critical size of the elements configuring the “micro-structured” medium which exhibits small-scale spatial heterogeneities or obstacles (also denoted as *particles* in the context of Chemical Engineering). From the mathematical viewpoint a first result was due to Marchenko and Hruslov [20].

The attention on this effect considerably increased after the presentation of the appearance of some “strange terms” due to Cioranescu and Murat [7]. Both articles dealt with linear equations with Neumann and Dirichlet boundary conditions, respectively. In many other papers on critically homogenization problems the modelling of the reaction kinetics at the micro or nano scales is given by a nonlinear Robin type boundary condition on the surface of the chemical particles, complemented by a pure diffusion equation in the exterior spatial domain to them.

It is impossible to mention all of them here (we send the reader to the papers on the homogenization of the problems with classical boundary conditions of the Robin type, including the nonlinear Robin type condition [11, 15, 18, 22, 28] and the bibliographic exposition in our previous paper [10]): obviously, the nature of this “strange term” may be completely different according to the peculiarities of the formulation in consideration.

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In this article we shall consider some dynamic problems in which, depending on suitable characteristic scales, the surface reaction on the boundary of the particle is also dynamic and so, its formulation in terms of Robin type boundary conditions must be modified. We recall that the modeling of many different problems involving dynamic boundary conditions is very natural in many different areas and that its mathematical treatment attracted the attention of very distinguished authors since the beginning of the past century. A quite complete list of references dealing with nonlinear problems with dynamic boundary conditions, starting already in 1901, can be found, e.g., in the survey article [4, 5]. The partial differential equation is sometimes an elliptic equation (and thus there is a great contrast between a stationary interior law and a dynamic boundary condition). Nevertheless, the dynamic boundary condition may coexist with a parabolic equation (linear or not). For some recent references see, e.g., [1, 3, 4, 14, 26].

As we said before, our main interest in this paper concerns the modification of the homogenized equation with respect to the nonlinear terms involved in the micro-scale. For the sake of simplicity in the presentation we shall consider here only the case of a linear surface reaction term but it seems possible to adapt our techniques of proof to the consideration of quite general nonlinear reaction terms as in our paper [10].

To be more precise, as usual, the heterogeneity scale is assumed to be much smaller than the macroscopic scale and that the microscopic heterogeneities (particles or holes) are periodically placed in the spatial domain giving rise to a parameter  $\epsilon \rightarrow 0$ . In fact we work on the spatial domain  $\Omega_\epsilon$ , obtained by removing  $G_\epsilon$ , a collection of small particles.

More specifically, let  $\Omega$  be a bounded domain in  $\mathbb{R}^n$ ,  $n \geq 3$ , with smooth boundary  $\partial\Omega$ . We denote the unit cube by  $Y = (-1/2, 1/2)^n$ . Let

$$G_0 = \{x : |x| < 1\}.$$

for  $\delta > 0$  and  $B \subset \mathbb{R}^n$  we denote by  $\delta B = \{x : \delta^{-1}x \in B\}$ . For a positive parameter  $\epsilon > 0$  we introduce the domain

$$\tilde{\Omega}_\epsilon = \{x \in \Omega : d(x, \partial\Omega) > 2\epsilon\}.$$

We set

$$G_\epsilon = \cup_{j \in \Upsilon_\epsilon} (a_\epsilon G_0 + \epsilon j) = \cup_{j \in \Upsilon_\epsilon} G_\epsilon^j,$$

where  $\Upsilon_\epsilon = \{j \in \mathbb{Z}^n : \overline{G_\epsilon^j} \subset \epsilon Y + \epsilon j, G_\epsilon^j \cap \tilde{\Omega}_\epsilon \neq \emptyset\}$ ,  $|\Upsilon_\epsilon| \cong d\epsilon^{-n}$ ,  $d = \text{const} > 0$ ,  $\mathbb{Z}^n$  is the set of vectors with integer coordinates,  $a_\epsilon = C_0\epsilon^\gamma$  is the radius of the particles (or perforations). We denote by  $P_\epsilon^j$  the center of the cell of periodicity  $Y_\epsilon^j$ . Let us note that

$$\overline{G_\epsilon^j} \subset T_{\epsilon/4}^j \subset Y_\epsilon^j,$$

where  $T_\rho^j$  is the ball with the center at the point  $P_\epsilon^j$  and with radius  $\rho$ . Finally, we define the sets

$$\Omega_\epsilon = \Omega \setminus \overline{G_\epsilon}, \quad S_\epsilon = \partial G_\epsilon, \quad \partial\Omega_\epsilon = \partial\Omega \cup S_\epsilon.$$

In  $Q_\varepsilon^T = \Omega_\varepsilon \times (0, T)$  we consider the following parabolic problem with a dynamical boundary condition

$$\begin{aligned} \alpha \frac{\partial u_\varepsilon}{\partial t} - \Delta u_\varepsilon &= f(x, t), \quad (x, t) \in Q_\varepsilon^T, \\ \varepsilon^{-\gamma} \beta \frac{\partial u_\varepsilon}{\partial t} + \partial_\nu u_\varepsilon + \varepsilon^{-\gamma} \lambda u_\varepsilon &= \varepsilon^{-\gamma} g(x, t), \quad (x, t) \in S_\varepsilon^T = S_\varepsilon \times (0, T), \\ u_\varepsilon &= 0, \quad (x, t) \in \Gamma^T = \partial\Omega \times (0, T), \\ \alpha u_\varepsilon(x, 0) &= 0, \quad x \in \Omega_\varepsilon, \\ \beta u_\varepsilon(x, 0) &= 0, \quad x \in S_\varepsilon, \end{aligned} \tag{1.1}$$

where  $\alpha, \beta \geq 0$ ,  $Q^T = \Omega \times (0, T)$ ,  $\lambda > 0$  is constant,  $\nu$  is the unit outward normal vector to the boundary of the cylinder  $Q_\varepsilon^T$ ,  $g \in C^1(\overline{Q^T})$  (for the sake of simplicity of the exposition),

$$\gamma = \frac{n}{n-2} \quad \text{and} \quad n \geq 3$$

and, either

$$\alpha > 0 \quad \text{and} \quad f \in L^2(Q^T) \tag{1.2}$$

or

$$\beta > 0 \quad \text{and} \quad f \in H^1(0, T; L^2(\Omega)) \tag{1.3}$$

We point out that the linear dynamic boundary condition contains a parameter  $\varepsilon^{-\gamma}$ , where  $\gamma$  has the critical value, on the boundary of particles of the critical size.

In previous papers on the homogenization of the problems in perforated domains with dynamic boundary conditions (e.g. [2, 24, 25, 27]) the diameter of the particles (or holes) was assumed of the same order as a period of the structure. As consequence the homogenized reaction term (now appearing in the interior of the whole domain  $\Omega$ ) preserved the same structure assumption than the surface reaction term in the micro-model formulation. That was in consonance with many other studies on reaction-diffusion problems (see, e.g. [9] and its references).

Our main goal is to prove the appearance of a “strange term” in the effective parabolic problem and to characterize it in terms of the surface reaction term than of the micro-model formulation. As we shall see, this new term appears even if there is no surface reaction term in the micro-model formulation (i.e. for  $\lambda = 0$ ). Our main result in this paper proves the weak convergence of the sequence of (the extension of) solutions of the original problems to the solution of the following homogenized problem, as  $\varepsilon \rightarrow 0$ .

**Theorem 1.1.** *Let  $n \geq 3$ ,  $\gamma = \frac{n}{n-2}$  and let  $u_\varepsilon$  be the unique weak solution of the problem (1.1). Then, there exists an extension  $\widetilde{u}_\varepsilon \in L^2(0, T; H_0^1(\Omega))$  of  $u_\varepsilon$  and function  $u \in L^2(0, T; H_0^1(\Omega))$  such that*

$$\widetilde{u}_\varepsilon \rightharpoonup u \quad \text{weakly in } L^2(0, T; H_0^1(\Omega)), \tag{1.4a}$$

$$\partial_t \widetilde{u}_\varepsilon \rightharpoonup \partial_t u \quad \text{weakly in } L^2(0, T; L^2(\Omega)), \tag{1.4b}$$

$$\widetilde{u}_\varepsilon \rightarrow u \quad \text{strongly in } L^2(0, T; L^2(\Omega)). \tag{1.4c}$$

This limit function  $u$  is the unique weak solution of the system

$$\begin{aligned} \alpha \frac{\partial u}{\partial t} - \Delta u + (n-2)C_0^{n-2}\omega_n H &= f \quad Q^T, \\ \beta \frac{\partial H}{\partial t} + \frac{n-2}{C_0}H &= \lambda(u-H) + \beta \frac{\partial u}{\partial t} - g \quad Q^T, \\ u &= 0 \quad \partial\Omega \times (0, +\infty), \\ \alpha u(x, 0) &= 0 \quad \Omega, \\ \beta H(x, 0) &= 0 \quad \Omega. \end{aligned} \tag{1.5}$$

System (1.5) is not a standard parabolic problem (since there is no diffusion term for  $H$ ). Nevertheless, there are some systems in the literature keeping several common points with such a system. See, for instance [?, 13].

Notice that, when  $\beta = 0$ , we recover the known equation for the strange term in the elliptic ( $\alpha = 0$ ) and parabolic ( $\alpha > 0$ ) cases (see [10, 16, 17])

$$\frac{n-2}{C_0}H = \lambda(u-H) - g. \tag{1.6}$$

Moreover, since the equation for  $H$  contains a term  $\partial u/\partial t$ , it seems natural to use the change of variable

$$v = u - H. \tag{1.7}$$

Hence system (1.5) can be equivalently written as

$$\begin{aligned} \alpha \frac{\partial u}{\partial t} - \Delta u + (n-2)C_0^{n-2}\omega_n(u-v) &= f \quad Q^T, \\ \beta \frac{\partial v}{\partial t} + \left(\frac{n-2}{C_0} + \lambda\right)v &= \frac{n-2}{C_0}u + g \quad Q^T \\ u &= 0 \quad \partial\Omega \times (0, T), \\ \alpha u(x, 0) &= 0 \quad \Omega, \\ \beta v(x, 0) &= 0 \quad \Omega. \end{aligned} \tag{1.8}$$

We will prove in Section 2.9 that it has a unique weak solution. Furthermore, if  $f, g \geq 0$  then  $u, v \geq 0$  and, hence  $H \leq u$ .

In formulation (1.8) we can solve the first order ODE for  $v$  explicitly, and solving for  $H$  we obtain, for  $\beta > 0$

$$H(x, t) = u(x, t) - \frac{1}{\beta} \int_0^t \left( \frac{n-2}{C_0}u(x, s) + g(x, s) \right) e^{-\frac{\lambda + \frac{n-2}{C_0}}{\beta}(t-s)} ds.$$

Thus we conclude that in the case of a dynamic boundary term the strange term is given by a *nonlocal memory term* (even if  $\lambda = 0$ ). We recall that the comparison principle is not always satisfied in the presence of general nonlocal memory terms.

It is surprising that, when  $\alpha = 0$  and  $\beta > 0$  the limit obtained in Theorem 1.1 becomes an elliptic linear Dirichlet boundary value problem depending of the time (as parameter) and with a linear but nonlocal reaction term:

$$\begin{aligned} -\Delta u + (n-2)C_0^{n-2}\omega_n \left( u(x, t) - \frac{1}{\beta} \int_0^t \left( \frac{n-2}{C_0}u(x, s) + g(x, s) \right) e^{-\frac{\lambda + \frac{n-2}{C_0}}{\beta}(t-s)} ds \right) \\ = f(x, t) \quad \text{in } \Omega \times (0, T), \\ u = 0 \quad \text{on } \partial\Omega \times (0, T), \end{aligned}$$

The proof of the main result is presented in the next section which we structured by means of several subsections. The last subsection contains the proof of the comparison principle for the parabolic homogenized system (which, in particular, implies the uniqueness of solutions).

## 2. PROOF OF THEOREM 1.1

The proof applies Tartar's method of oscillating functions that has been successful in the past for the critical case (see, e.g. [23, 10]), but introducing some new ideas to deal with dynamical boundary conditions.

### 2.1. Existence, uniqueness and convergence of solutions of problem (1.1).

A weak solution of the problem (1.1) is defined as a function

$$\begin{aligned} u_\varepsilon &\in L^2(0, T; H^1(\Omega_\varepsilon, \partial\Omega)), \quad \alpha \partial_t u_\varepsilon \in L^2(0, T; H^{-1}(\Omega_\varepsilon)), \\ \beta \partial_t u_\varepsilon &\in L^2(0, T; H^{-1/2}(S_\varepsilon)) \end{aligned}$$

such that  $\alpha u(x, 0) = 0$  on  $\Omega_\varepsilon$  and  $\beta u(x, 0) = 0$  on  $S_\varepsilon$  satisfying the integral identity

$$\begin{aligned} &\alpha \int_0^T \langle \partial_t u_\varepsilon, \phi \rangle_{\Omega_\varepsilon} dt + \beta \varepsilon^{-\gamma} \int_0^T \langle \partial_t u_\varepsilon, \phi \rangle_{S_\varepsilon} dt \\ &+ \int_{Q_\varepsilon^T} \nabla u_\varepsilon \nabla \phi \, dx \, dt + \lambda \varepsilon^{-\gamma} \int_{S_\varepsilon^T} u_\varepsilon \phi \, ds \, dt \\ &= \varepsilon^{-\gamma} \int_{S_\varepsilon^T} g(x, t) \phi(x, t) \, ds \, dt + \int_{Q_\varepsilon^T} f \phi \, dx \, dt, \end{aligned} \quad (2.1)$$

where  $\phi$  is an arbitrary function from  $L^2(0, T; H^1(\Omega_\varepsilon, \partial\Omega))$ ,  $\langle \cdot, \cdot \rangle_{\Omega_\varepsilon}$  denotes the duality product between  $H^{-1}(\Omega_\varepsilon, \partial\Omega)$  and  $H^1(\Omega_\varepsilon, \partial\Omega)$  and  $\langle \cdot, \cdot \rangle_{S_\varepsilon}$  denotes the duality product between  $H^{-1/2}(S_\varepsilon)$  and  $H^{1/2}(S_\varepsilon)$ . The space  $H^1(\Omega_\varepsilon, \partial\Omega)$  is defined as the closure in  $H^1(\Omega_\varepsilon)$  of the space of functions infinitely differentiable in  $\overline{\Omega_\varepsilon}$  and vanishing in a neighbourhood of the boundary  $\partial\Omega$ .

**Remark 2.1.** We recall that initial data are given in  $\Omega_\varepsilon$  if  $\alpha > 0$  and on  $S_\varepsilon$  if  $\beta > 0$ . The problem has a semigroup solution even if the initial data on  $S_\varepsilon$  is not the trace of the data in  $\Omega_\varepsilon$ . However, when this properties hold, solutions are smoother.

The existence and uniqueness of solutions to problem (1.1) is a consequence of well-known results (see, e.g. Esher [14]). It is also possible to apply the theory of monotone operators (see [5]) or Galerkin's approximation arguments (see [2, 18]). We recall that the above mentioned references show a greater regularity on the time derivative. Thus, by using the time derivatives of  $u$  and of its trace as test functions we arrive to the following result, the proof of which is an easy consequence of the above mentioned results.

**Theorem 2.2.** *Problem (1.1) has a unique weak solution  $u_\varepsilon$  and the following estimate holds*

$$\|u_\varepsilon\|_{H^1(Q_\varepsilon^T)} \leq K, \quad (2.2)$$

where  $K$  here and below is a positive constant that does not depend on  $\varepsilon$ .

**Remark 2.3.** Notice that, when  $\alpha = 0$ , we require greater regularity of  $f$  to work easily with  $\frac{\partial u}{\partial t}$ . We guess that this technical assumption could be improved by suitable approximation arguments but we shall not enter into the details here.

**2.2. Extension and existence of a limit.** There exists a uniformly bounded family of extension operators

$$P_\varepsilon : H^1(Q_\varepsilon^T) \rightarrow H^1(Q^T).$$

which, furthermore, preserve the boundary conditions

$$P_\varepsilon : H^1(Q_\varepsilon^T, \Gamma^T) \rightarrow H^1(Q^T, \Gamma^T).$$

where  $\Gamma^T = (\partial\Omega \times (0, T)) \cup (\Omega \times \{0\})$ . See, e.g., [8, 21]. Hence

$$\|P_\varepsilon(u_\varepsilon)\|_{H^1(Q^T)} \leq C\|u_\varepsilon\|_{H^1(Q_\varepsilon^T)}, \tag{2.3}$$

Estimate (2.3) implies that there exists a subsequence (we preserve for it the notation of the original sequence) such that, as  $\varepsilon \rightarrow 0$ , we have (1.4).

**2.3. Constructing a functional inequality.** From the weak formulation of (1.1) and using the monotonicity of the involved vectorial operator, as in [10], we can use a very weak formulation of the problem leading to the new inequality

$$\begin{aligned} & \alpha \int_0^T \int_{\Omega_\varepsilon} \partial_t \phi(\phi - u_\varepsilon) \, dx \, dt + \beta \varepsilon^{-\gamma} \int_0^T \int_{S_\varepsilon} \partial_t \phi(\phi - u_\varepsilon) \, ds \, dt \\ & + \int_0^T \int_{\Omega_\varepsilon} \nabla \phi \nabla(\phi - u_\varepsilon) \, dx \, dt + \varepsilon^{-\gamma} \int_0^T \int_{S_\varepsilon} \lambda \phi(\phi - u_\varepsilon) \, ds \, dt \\ & \geq \varepsilon^{-\gamma} \int_0^T \int_{S_\varepsilon} g(x, t)(\phi - u_\varepsilon) \, ds \, dt + \int_0^T \int_{\Omega_\varepsilon} f(\phi - u_\varepsilon) \, dx \, dt \\ & \quad - \frac{\alpha}{2} \|\phi(x, 0)\|_{L^2(\Omega_\varepsilon)}^2 - \frac{\beta}{2} \varepsilon^{-\gamma} \|\phi(x, 0)\|_{L^2(S_\varepsilon)}^2, \end{aligned} \tag{2.4}$$

where  $\phi(x, t) = \psi(x)\eta(t)$ ,  $\psi \in H_0^1(\Omega)$ ,  $\eta \in C^1[0, T]$ .

**2.4. Selection of the oscillating test function: spatial component.** We will select an oscillating test function  $\phi_\varepsilon = \phi - W_\varepsilon(x)H(\phi)$ . Function  $W_\varepsilon$  is our usual choice that allows to change the study of boundary integrals over  $G_\varepsilon$  to a union of large balls

$$T_\varepsilon = \cup_{j \in \Upsilon_\varepsilon} T_{\varepsilon/4}^j$$

where  $T_{\varepsilon/4}^j$  is the ball of radius  $\varepsilon/4$  centered at  $\varepsilon j$ . We introduce the function  $w_\varepsilon^j(x)$  as a solution of the problem

$$\begin{aligned} \Delta w_\varepsilon^j &= 0, & x \in T_{\varepsilon/4}^j \setminus \overline{G_\varepsilon^j}, \\ w_\varepsilon^j &= 1, & x \in \partial G_\varepsilon^j, \\ w_\varepsilon^j &= 0, & x \in \partial T_{\varepsilon/4}^j. \end{aligned} \tag{2.5}$$

For a ball it is known that

$$w_\varepsilon^j(x) = \frac{|x|^{2-n} - (\frac{\varepsilon}{4})^{2-n}}{a_\varepsilon^{2-n} - (\frac{\varepsilon}{4})^{2-n}} \tag{2.6}$$

is the explicit solution. We set

$$W_\varepsilon(x) = \begin{cases} w_\varepsilon^j(x), & x \in T_{\varepsilon/4}^j \setminus \overline{G_\varepsilon^j}, \, j \in \Upsilon_\varepsilon, \\ 1, & x \in G_\varepsilon^j, \, j \in \Upsilon_\varepsilon, \\ 0, & x \in \Omega \setminus \overline{T_\varepsilon}. \end{cases}$$

It is easy to see that  $W_\varepsilon \in H_0^1(\Omega)$  and, as  $\varepsilon \rightarrow 0$ ,

$$W_\varepsilon \rightharpoonup 0 \text{ weakly in } H_0^1(\Omega). \tag{2.7}$$

**2.5. Selection of the oscillating test function: time component.** For an arbitrary function  $\eta(t) \in C^1[0, T]$  and  $\psi \in H_0^1(\Omega)$ , let us introduce functions  $H_\varepsilon^j(t)$ , ( $j \in \Upsilon_\varepsilon$ ) as a solution of the Cauchy problem

$$\begin{aligned} \beta \frac{dH_\varepsilon^j}{dt} + \frac{n-2}{C_0} H_\varepsilon^j - \lambda(\psi(P_\varepsilon^j)\eta(t) - H_\varepsilon^j) &= \beta\psi(P_\varepsilon^j) \frac{d\eta}{dt} - g(P_\varepsilon^j, t), \\ \beta H_\varepsilon^j(0) &= \psi(P_\varepsilon^j)\eta(0). \end{aligned} \tag{2.8}$$

The choice of problem may appear arbitrary, but it is precisely so that (2.20) vanishes. Notice that, in particular,

$$H_\varepsilon^j(t) = H_{\psi\eta}(P_\varepsilon^j, t) \tag{2.9}$$

where, for  $\phi$  smooth,  $H_\phi$  is the unique solution of

$$\begin{aligned} \beta \frac{\partial H_\phi}{\partial t} + \frac{n-2}{C_0} H_\phi - \lambda(\phi - H_\phi) &= \beta \frac{\partial \phi}{\partial t} - g \quad Q^T, \\ \beta H_\phi(x, 0) &= \phi(x, 0) \quad \Omega. \end{aligned}$$

The solution of this problem is

$$H_\phi(x, t) = \phi(x, t) - \frac{n-2}{\beta C_0} \int_0^t e^{-\frac{\lambda + \frac{n-2}{C_0}}{\beta}(t-s)} (\phi(x, s) + g(x, s)) ds. \tag{2.10}$$

When  $\beta = 0$  we can solve directly to obtain  $H_\phi = (\lambda\phi - g)/(\frac{n-2}{C_0} + \lambda)$ . Also, we have that

$$\begin{aligned} \beta \frac{d}{dt} \|H_\phi(t)\|_{L^2(\Omega)}^2 + \left(\lambda + \frac{n-2}{C_0}\right) \|H_\phi(t)\|_{L^2(\Omega)}^2 \\ \leq \left(\|\phi(t)\|_{L^2(\Omega)} + \left\|\frac{\partial \phi}{\partial t}(t)\right\| + \|g\|_{L^2(\Omega)}\right) \|H_\phi(t)\|_{L^2(\Omega)} \end{aligned}$$

Hence

$$\|H_\phi\|_{L^2(Q^T)} \leq C \left( \|\phi(\cdot, 0)\|_{L^2(\Omega)} + \|\phi\|_{L^2(Q^T)} + \left\|\frac{\partial \phi}{\partial t}\right\|_{L^2(Q^T)} + \|g\|_{L^2(Q^T)} \right). \tag{2.11}$$

**2.6. Oscillating test function in space-time.** Let us define the function

$$w_\varepsilon(\psi\eta) = \begin{cases} w_\varepsilon^j(x) H_\varepsilon^j(x, t), & x \in T_{\varepsilon/4}^j \setminus \overline{G_\varepsilon^j}, j \in \Upsilon_\varepsilon, t \in [0, T], \\ 0, & x \in \Omega \setminus \overline{T_\varepsilon}, t \in [0, T]. \end{cases} \tag{2.12}$$

We have  $w_\varepsilon(\psi\eta) \in H^1(Q_\varepsilon^T)$  and if we denote by  $P_\varepsilon(u_\varepsilon(\psi\eta))$  the  $H^1$ -extension on  $Q^T$  of the function  $w_\varepsilon(\psi\eta)$ , satisfying estimates similar to (2.3), we obtain using (2.7) as  $\varepsilon \rightarrow 0$

$$P_\varepsilon(u_\varepsilon(\psi\eta)) \rightharpoonup 0 \text{ weakly in } L^2(0, T; H_0^1(\Omega)), \tag{2.13}$$

$$P_\varepsilon(u_\varepsilon(\psi\eta)) \rightarrow 0, \quad \partial_t P_\varepsilon(u_\varepsilon(\psi\eta)) \rightarrow 0 \text{ strongly in } L^2(Q^T). \tag{2.14}$$

Let us take as a test function in the inequality (2.4)  $\phi(x, t) = \psi(x)\eta(t) - w_\varepsilon(\psi\eta)$ , where  $\psi \in C_0^\infty(\Omega)$ ,  $\eta \in C^1[0, T]$ . We obtain

$$\begin{aligned}
 & \int_0^T \int_{\Omega_\varepsilon} \alpha(\psi(x)) \frac{d\eta}{dt}(t) - \partial_t w_\varepsilon(\psi\eta))(\psi(x)\eta(t) - w_\varepsilon(\psi\eta) - u_\varepsilon) dx dt \\
 & + \varepsilon^{-\gamma} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} \beta(\psi(x)) \frac{d\eta}{dt}(t) - \frac{dH_\varepsilon^j}{dt}(t))(\psi(x)\eta(t) - H_\varepsilon^j(t) - u_\varepsilon) ds dt \\
 & + \varepsilon^{-\gamma} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} \lambda(\psi(x)\eta(t) - H_\varepsilon^j(t))(\psi(x)\eta(t) - H_\varepsilon^j(t) - u_\varepsilon) ds dt \\
 & + \int_0^T \int_{\Omega_\varepsilon} (\eta \nabla \psi(x) - \nabla w_\varepsilon(\psi\eta))(\eta(t) \nabla \psi(x) - \nabla w_\varepsilon(\psi\eta) - \nabla u_\varepsilon) dx dt \tag{2.15} \\
 & \geq \int_0^T \int_{\Omega_\varepsilon} f(x, t)(\psi(x)\eta(t) - w_\varepsilon(\psi\eta)) dx dt \\
 & + \varepsilon^{-\gamma} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} g(x, t)(\psi(x)\eta(t) - H_\varepsilon^j(t) - u_\varepsilon) ds dt \\
 & - \frac{\alpha}{2} \|\psi(x)\eta(0) - w_\varepsilon(\psi\eta)|_{t=0}\|_{L^2(\Omega_\varepsilon)}^2 \\
 & - \frac{\beta}{2} \varepsilon^{-\gamma} \|\psi(x)\eta(0) - w_\varepsilon(\psi\eta)|_{t=0}\|_{L^2(S_\varepsilon)}^2.
 \end{aligned}$$

Taking into account (2.13), (2.14), we conclude that

$$\begin{aligned}
 & \lim_{\varepsilon \rightarrow 0} \int_{Q_\varepsilon^T} (\psi(x) \frac{d\eta}{dt}(t) - \partial_t w_\varepsilon(\psi\eta))(\psi\eta - w_\varepsilon(\psi\eta) - u_\varepsilon) dx dt \\
 & = \int_{Q^T} \psi \frac{d\eta}{dt}(t)(\psi\eta - u) dx dt, \tag{2.16}
 \end{aligned}$$

$$\begin{aligned}
 & \lim_{\varepsilon \rightarrow 0} \int_{Q_\varepsilon^T} \nabla(\psi(x)\eta(t))(\nabla(\psi(x)\eta(t)) - \nabla w_\varepsilon(\psi\eta) - \nabla u_\varepsilon) dx dt \\
 & = \int_{Q^T} \nabla(\psi(x)\eta(t))\nabla(\psi(x)\eta(t) - u) dx dt. \tag{2.17}
 \end{aligned}$$

On the other hand, we have

$$\begin{aligned}
 & - \int_0^T \int_{\Omega_\varepsilon} \nabla w_\varepsilon(\psi\eta)(\nabla(\psi(x)\eta(t)) - \nabla w_\varepsilon(\psi\eta) - \nabla u_\varepsilon) dx dt \\
 & = - \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{T_\varepsilon^j \setminus \overline{G_\varepsilon^j}} \nabla w_\varepsilon^j \nabla(H_\varepsilon^j(t)(\psi(x)\eta(t) - w_\varepsilon^j(x)H_\varepsilon^j(t) - u_\varepsilon)) dx dt \\
 & = - \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial T_\varepsilon^j} \partial_\nu w_\varepsilon^j H_\varepsilon^j(t)(\psi(x)\eta(t) - u_\varepsilon) ds dt \\
 & - \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} \partial_\nu w_\varepsilon^j H_\varepsilon^j(t)(\psi(x)\eta(t) - H_\varepsilon^j(t) - u_\varepsilon) ds dt. \tag{2.18}
 \end{aligned}$$

It is easy to see that

$$\partial_\nu w_\varepsilon^j \Big|_{\partial T_\varepsilon^j} = \frac{(2-n)C_0^{n-2}4^{n-1}\varepsilon}{1-\alpha_\varepsilon}; \quad \partial_\nu w_\varepsilon^j \Big|_{\partial G_\varepsilon^j} = -\frac{(n-2)C_0^{-1}\varepsilon^{-\gamma}}{1-\alpha_\varepsilon}, \tag{2.19}$$

where  $\alpha_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0$ . Considering the integrals over  $S_\varepsilon \times (0, T)$ . Using (2.15), (2.18)-(2.19) we obtain

$$\begin{aligned}
& \beta \varepsilon^{-\gamma} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} \left( \psi(x) \frac{d\eta}{dt}(t) - \frac{dH_\varepsilon^j}{dt}(t) \right) (\psi \eta - H_\varepsilon^j(t) - u_\varepsilon) ds dt \\
& + \lambda \varepsilon^{-\gamma} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} (\psi(x) \eta(t) - H_\varepsilon^j(t)) (\psi(x) \eta(t) - H_\varepsilon^j(t) - u_\varepsilon) ds dt \\
& - \frac{(n-2)\varepsilon^{-\gamma}}{C_0(1-\alpha_\varepsilon)} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} H_\varepsilon^j(t) (\psi(x) \eta(t) - H_\varepsilon^j(t) - u_\varepsilon) ds dt \\
& - \varepsilon^{-\gamma} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} g(x, t) (\psi(x) \eta(t) - H_\varepsilon^j(t) - u_\varepsilon) ds dt \\
& = \gamma_\varepsilon + \varepsilon^{-\gamma} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial G_\varepsilon^j} \left\{ \beta \psi(P_\varepsilon^j) \frac{d\eta}{dt}(t) - \beta \frac{dH_\varepsilon^j}{dt}(t) + \lambda (\psi(P_\varepsilon^j) \eta(t) - H_\varepsilon^j) \right. \\
& \quad \left. - \frac{n-2}{C_0} H_\varepsilon^j(t) - g(P_\varepsilon^j, t) \right\} (\psi(x) \eta(t) - H_\varepsilon^j(t) - u_\varepsilon) ds dt,
\end{aligned} \tag{2.20}$$

where  $\gamma_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0$ . So, in conclusion, with this choice of test function the sum of all integrals above over the boundary  $S_\varepsilon \times (0, T)$  tends to zero.

**2.7. Deduction of the effective reaction term.** From (2.15)-(2.20) we conclude that the function  $u$  satisfies the integral inequality

$$\begin{aligned}
& \alpha \int_{Q^T} \psi(x) \frac{d\eta}{dt}(t) (\psi(x) \eta(t) - u) dx dt \\
& + \int_{Q^T} \nabla(\psi(x) \eta(t)) \nabla(\psi(x) \eta(t) - u) dx dt \\
& - \lim_{\varepsilon \rightarrow 0} \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial T_{\varepsilon/4}^j} \partial_\nu w_\varepsilon^j H_\varepsilon^j(t) (\psi(x) \eta(t) - u_\varepsilon) ds dt \\
& \geq \int_{Q^T} f(\psi(x) \eta(t) - u) dx dt - \frac{\alpha}{2} \|\psi(x) \eta(0)\|_{L^2(\Omega)}^2.
\end{aligned} \tag{2.21}$$

Applying [28, Lemma 1], we deduce

$$\begin{aligned}
& \lim_{\varepsilon \rightarrow 0} 4^{n-1} \varepsilon \sum_{j \in \Upsilon_\varepsilon} \int_0^T \int_{\partial T_{\varepsilon/4}^j} H_\varepsilon^j(t) (\psi(x) \eta(t) - u_\varepsilon) ds dt \\
& = \omega_n \int_0^T \int_\Omega H_{\psi \eta}(x, t) (\psi(x) \eta(t) - u) dx dt,
\end{aligned} \tag{2.22}$$

where  $\omega_n$  is the area of the unit sphere in  $\mathbb{R}^n$ .

**2.8. Homogenized equation for  $u$ .** Thus, we have the following integral inequality for  $u$ ,

$$\begin{aligned} & \alpha \int_{Q^T} \psi(x) \frac{d\eta}{dt}(t) (\psi(x)\eta(t) - u) \, dx \, dt \\ & + \int_{Q^T} \nabla_x(\psi(x)\eta(t)) \nabla(\psi(x)\eta(t) - u) \, dx \, dt \\ & + (n - 2)C_0^{n-2}\omega_n \int_{Q^T} H_{\psi\eta}(x, t) (\psi(x)\eta(t) - u) \, dx \, dt \\ & \geq \int_{Q^T} f(\psi(x)\eta(t) - u) \, dx \, dt - \frac{\alpha}{2} \|\psi(x)\eta(0)\|_{L^2(\Omega)}^2. \end{aligned} \tag{2.23}$$

Taking into account that the linear span of functions  $\{\psi(x)\eta(t) : \psi \in C_0^\infty(\Omega), \eta \in C^1[0, T]\}$  are dense in the space

$$V = \{u \in L^2(0, T; H_0^1(\Omega)) \cap \mathcal{C}([0, T]; L^2(\Omega)) : \partial_t u \in L^2(0, T; H^{-1}(\Omega))\},$$

we deduce that

$$\begin{aligned} & \alpha \int_{Q^T} \frac{\partial\phi}{\partial t}(\phi - u) \, dx \, dt + \int_{Q^T} \nabla_x\phi \nabla(\phi - u) \, dx \, dt \\ & + (n - 2)C_0^{n-2}\omega_n \int_{Q^T} H_\phi(x, t) (\phi - u) \, dx \, dt \\ & \geq \int_{Q^T} f(\psi(x)\eta(t) - u) \, dx \, dt - \frac{\alpha}{2} \|\psi(x)\eta(0)\|_{L^2(\Omega)}^2, \end{aligned}$$

for any function  $\phi \in V$ . Using  $\phi = u + \tau\varphi$  where  $\varphi \in V$ , we can pass to a limit as  $\tau \rightarrow 0^+$  and  $\tau \rightarrow 0^-$ . Due to (2.10) for  $\beta > 0$  and solving (1.6) explicitly when  $\beta = 0$ , we deduce that

$$H_{u+\tau\varphi} \rightarrow H_u \quad \text{in } L^2(Q^T) \text{ as } \tau \rightarrow 0. \tag{2.24}$$

We conclude that  $u$  is satisfying the integral identity

$$\begin{aligned} & \alpha \int_0^T \langle \partial_t u, \varphi \rangle dt + \int_{Q^T} \nabla u \nabla \varphi \, dx \, dt + (n - 2)C_0^{n-2}\omega_n \int_{Q^T} H_u(x, t) \varphi \, dx \, dt \\ & = \int_{Q^T} f \varphi \, dx \, dt. \end{aligned} \tag{2.25}$$

Hence,  $u$  is a weak solution of (1.5).

**2.9. Comparison principle of the limit problem.** Problem (1.5) is by no means standard. However, some systems keeping several similar features was considered in the literature: see, e.g. [13] and [6]. We prove uniqueness using the change-of-variable formulation (1.8).

**Lemma 2.4.** *Assume that  $f, g \leq 0$  and let  $u, v$  be a solution of (1.8). Then  $u, v \leq 0$ .*

*Proof.* Choosing  $u_+$  as a test function in the first equation and  $v_+$  we deduce that

$$\alpha \frac{d}{dt} \int_{\Omega} u_+^2 + \int_{\Omega} |\nabla u_+|^2 + C_1 \int_{\Omega} u_+^2 \leq C_1 \int_{\Omega} u_+ v \leq C_1 \int_{\Omega} u_+ v_+, \tag{2.26}$$

$$\beta \frac{d}{dt} \int_{\Omega} v_+^2 + C_2 \int_{\Omega} v_+^2 = \lambda \int_{\Omega} u v_+ \leq \lambda \int_{\Omega} u_+ v_+. \tag{2.27}$$

**Case 1:**  $\alpha, \beta > 0$ . Then

$$\frac{d}{dt} \int_{\Omega} (u_+^2 + v_+^2) \leq \left( \frac{C_1}{\alpha} + \frac{\lambda}{\beta} \right) \int_{\Omega} u_+ v_+ \leq \frac{1}{2} \left( \frac{C_1}{\alpha} + \frac{\lambda}{\beta} \right) \int_{\Omega} (u_+^2 + v_+^2).$$

Since  $u(0) = v(0) = 0$ , using Gronwall's inequality, we deduce that

$$u_+ = v_+ = 0 \text{ in } Q^T.$$

**Case 2:**  $\alpha = 0$  and  $\beta > 0$ . We apply Poincaré's inequality in (2.26) and we deduce

$$c_P \int_{\Omega} u_+^2 \leq C_1 \int_{\Omega} u_+ v_+ \quad (2.28)$$

$$\beta \frac{d}{dt} \int_{\Omega} v_+^2 + C_2 \int_{\Omega} v_+^2 \leq \lambda \int_{\Omega} u_+ v_+. \quad (2.29)$$

Joining the two computations and applying Young's inequality

$$c_P \int_{\Omega} u_+^2 + \beta \frac{d}{dt} \int_{\Omega} v_+^2 \leq C_1 \int_{\Omega} u_+ v_+ \leq c_P \int_{\Omega} u_+^2 + C_3 \int_{\Omega} v_+^2.$$

Hence, we can apply Gronwall's inequality to deduce  $v_+ = 0$ . Therefore, by (2.26),  $u_+ = 0$ .

**Case 3:**  $\alpha > 0$  and  $\beta = 0$ . In this case we have

$$\frac{d}{dt} \int_{\Omega} u_+^2 + C_2 \int_{\Omega} v_+^2 \leq \left( \frac{C_1}{\alpha} + \lambda \right) \int_{\Omega} u_+ v_+ \leq C_4 \int_{\Omega} u_+^2 + C_2 \int_{\Omega} v_+^2.$$

Hence, we can apply Gronwall's inequality to deduce  $u_+ = 0$  and, through (2.27),  $v_+ = 0$ . This completes the proof.  $\square$

Uniqueness solutions of (1.5) follows as an immediate consequence.

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