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3 1 **Incidence, features and outcomes of Cytomegalovirus DNAemia in unmanipulated**  
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5 2 **haploidentical allogeneic hematopoietic stem cell transplantation with post-**  
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7 3 **transplantation cyclophosphamide**  
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12 5 Dixie Huntley<sup>1</sup>, Estela Giménez<sup>1</sup>, María Jesús Pascual<sup>2</sup>, Juan Carlos Hernández-Boluda<sup>3</sup>,  
13  
14 6 Beatriz Gago<sup>2</sup>, Lourdes Vázquez<sup>4</sup>, José Luis Piñana<sup>3</sup>, Magdalena García<sup>4</sup>, Ariadna Pérez<sup>3</sup>,  
15  
16 7 David Serrano<sup>5</sup>, Marta Hernández<sup>5</sup>, Eliseo Albert<sup>1</sup>, Carlos Solano<sup>3,6</sup>, and David Navarro<sup>1,7</sup>  
17  
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20  
21 9 <sup>1</sup>Microbiology Service, Hospital Clínico Universitario, INCLIVA Research Institute,  
22  
23 10 Valencia, Spain  
24  
25

26  
27 11 <sup>2</sup>Hematology Service, Hospital Regional Universitario, Málaga, Spain.  
28  
29

30 12 <sup>3</sup>Hematology Service, Hospital Clínico Universitario, INCLIVA Research Institute,  
31  
32 13 Valencia, Spain.  
33  
34

35 14 <sup>4</sup>Hematology Service, Hospital Clínico Universitario, Salamanca, Spain.  
36  
37

38  
39 15 <sup>5</sup>Hematology Service, Hospital General Universitario Gregorio Marañón, Madrid, Spain.  
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42 16 <sup>6</sup>Department of Medicine, School of Medicine, University of Valencia, Valencia, Spain.  
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44

45 17 <sup>7</sup>Department of Microbiology, School of Medicine, University of Valencia, Valencia,  
46  
47 18 Spain.  
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50 19 ***Running Title:*** CMV DNAemia in haploidentical allo-HSCT.  
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17 27  
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19  
20 28 **Correspondence:** David Navarro, Microbiology Service, Hospital ClínicoUniversitario,  
21  
22 29 and Department of Microbiology, School of Medicine, Av. Blasco Ibáñez 17, 46010  
23  
24 30 Valencia, Spain. Phone: +34 963864657; Fax: +3963864173; E-mail:  
25  
26 31 david.navarro@uv.es.

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3 **42 Abstract**  
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6 **43 Background:** Conflicting data have been published as to the risk of cytomegalovirus  
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9 **44 (CMV) DNAemia and CMV disease in patients undergoing haploidentical hematopoietic**  
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11 **45 stem cell transplantation (Haplo-HSCT) with post-transplantation cyclophosphamide.**

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13 **46 Methods:** We conducted a multicenter retrospective study including 118 patients  
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15 **47 subjected to unmanipulated Haplo-HSCT to further clarify this issue. An historic cohort**  
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17 **48 comprising 165 patients undergoing other transplant modalities (HLA-matched related,**  
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19 **49 matched unrelated or mismatched) was built for comparison purposes. Plasma CMV**  
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21 **50 DNA monitoring was performed using two highly sensitive real-time PCR assays.**

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23 **51 Results:** Overall, the cumulative incidence of CMV DNAemia, recurrent CMV  
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25 **52 DNAemia and CMV DNAemia requiring preemptive antiviral therapy in patients**  
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27 **53 undergoing Haplo-HSCT was 63.9%, 34.9% and 50.1%, respectively. These figures were**  
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29 **54 rather comparable for other transplant modalities ( $P=0.22$ ,  $P=0.13$  and  $P=0.72$ ,**  
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31 **55 respectively). A trend towards longer duration of episodes and shorter CMV DNA**  
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33 **56 doubling times was observed in Haplo-HSCT patients in comparison with other transplant**  
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35 **57 modalities. Furthermore, median CMV DNA peak load was significantly higher in Haplo-**  
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37 **58 HSCTs ( $P=0.008$ ), yet overall mortality by day 180 and 365 was the same across**  
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39 **59 comparison groups. There were five cases of CMV disease and all occurred in Haplo-**  
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41 **60 HSCT patients. This latter observation is worrying and merits further investigation.**

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43 **61 Conclusions:** The incidence of initial and recurrent episodes of CMV DNAemia either  
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45 **62 requiring or not antiviral therapy in unmanipulated Haplo-HSCT was comparable to other**  
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47 **63 transplant modalities in our cohort.**

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6 66 **Key words:** Cytomegalovirus (CMV), CMV DNAemia, haploidenticalhematopoietic  
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8 67 stem cell transplantation, overall mortality.  
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## 18 70 **Introduction**

21 71 Haploidentical hematopoietic stem cell transplantation (Haplo-HSCT) with  
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23 72 posttransplantation cyclophosphamide (PT-Cy) is being increasingly used as the first-  
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25 73 choice platform for treatment of high-risk hematological malignancies, in the absence of  
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27 74 a suitable HLA-matched donor. The potential advantages of Haplo-HSCT lie in the wide  
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29 75 and rapid availability of donors and comparable clinical outcomes to other alternative  
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31 76 allogeneic stem cell transplant (allo-HSCT) modalities<sup>1-5</sup>.

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35 77 Cytomegalovirus (CMV) remains a threat for allo-HSCT recipients despite the  
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37 78 availability of effective strategies for the prevention of end-organ disease (i.e. preemptive  
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39 79 therapy)<sup>6</sup>, since viral DNAemia itself may be detrimental in terms of survival<sup>7-11</sup>.

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42 80 Conflicting data have been published as to the incidence of CMV DNAemia and CMV  
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44 81 disease in haplo-HSCT recipients, with some studies suggesting that this transplant  
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46 82 modality harbors a significantly higher risk for both events when compared to HLA-  
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48 83 matched related or unrelated allo-HSCTs<sup>12-22</sup>; yet this appeared not to have an impact on  
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50 84 overall (OM) and non-relapse (NRM) mortality<sup>18,23-25</sup>. In the current multicenter study we  
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52 85 estimated the incidence of CMV DNAemia, CMV disease OM and NRM in Haplo-allo-  
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54 86 HSCT and compared to that in other transplant modalities. Additionally, we provide  
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3 87 comparative data regarding the dynamics of CMV DNAemia across donor types, which  
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5 88 to our knowledge have not been thoroughly investigated.  
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## 8 89 **Patients and methods**

### 9 90 *Study population*

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12 91 In this multicenter, observational study, we retrospectively reviewed CMV DNA PCR  
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15 92 results and clinical charts of 283 patients who underwent allo-HSCT in four Spanish  
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18 93 institutions.  
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22 94 A total of 118 consecutive patients who underwent Haplo-HSCT with cyclophosphamide  
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25 95 (50mg/kg/day on days 3 and 5 after transplantation) at the Hospital Clínico Universitario  
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28 96 of Valencia-HCUV- (n=63), Hospital Regional Universitario of Málaga, Spain –HRUM-  
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31 97 (n=16), Hospital Clínico Universitario of Salamanca, Spain-HCUS- (n=30), or Hospital  
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34 98 General Universitario Gregorio Marañón of Madrid, Spain –HGUGM- (n=9), between  
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37 99 January 2016 and December 2018 were included in the current study. In turn, a historical  
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40 100 cohort was built that included a total of 165 consecutive patients undergoing other allo-  
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43 101 HSCT modalities at two of these centers (HCUV, n=137 from May 2012 to June 2018,  
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46 102 and HRUM, n=28 from January 2016 to February 2018). Of these, 76 were HLA-  
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49 103 matched-related (MRD), 62 HLA-matched unrelated (MUD) and 27 HLA-mismatched  
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52 104 either related-MMRD (n=24) or unrelated –MMUD- (n=3) (n=27). All but 8 patients were  
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55 105 followed up through day 365. These 8 patients were followed up for a median of median  
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58 106 294 days (227-328). This study was approved by the Hospital Clínico, Fundación  
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60 107 INCLIVA Ethics Committee. Signed informed consent was obtained from participants.

### 108 *Management of CMV infection*

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3 109 CMV infection was managed in all patients by a preemptive antiviral therapy approach.  
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5 110 Plasma CMV DNA load was monitored using the CMV RealTime CMV PCR (Abbott  
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7 111 Molecular, Des Plaines, IL, USA), whose limit of detection (LOD) is approximately 31  
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9 112 IU/ml (95% C.I.)<sup>26</sup>, at all centers except at HCUS in which the Affigene CMV Trender  
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11 113 kit (Cepheid AB, Bromma, Sweden) was used<sup>27</sup>; the LOD of this assay is 137 IU/ml,  
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13 114 according to the manufacturer. CMV DNA load monitoring was conducted on a weekly  
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15 115 basis through day +100. From day +100 until day +365 patients at risk for recurrent  
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17 116 episodes of CMV DNAemia were also monitored on a weekly basis, while the remaining  
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19 117 patients were monitored at each planned visit. Preemptive antiviral therapy with oral  
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21 118 valganciclovir (900 mg/12 h), iv. ganciclovir (5 mg/kg/12 h), or foscarnet (60mg/kg/12  
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23 119 h) was initiated at HCUV when the plasma CMV DNA load reached levels of  $\geq 1,500$   
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25 120 IU/ml, or when the CMV doubling time (dt) was  $\leq 2.0$  days, whichever occurred first (this  
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27 121 latter strategy since May 2014)<sup>28</sup>. CMV DNA threshold for inception of preemptive  
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29 122 therapy was  $\geq 600$  IU/ml at HCUS,  $\geq 156$  IU/ml at HRUM and any level at HGUGM.  
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31 123 CMV disease was diagnosed and treated as previously detailed<sup>6</sup>.  
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#### 38 124 *CMV DNA kinetics parameters*

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41 125 The CMV DNA doubling time was given by  $dt = (t_2 - t_1) \times [\ln 2 / \ln(q_2/q_1)]$ , with  $q_1$  and  
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43 126  $t_1$  representing the CMV DNA (copies/ml) at the time of the first positive qRT-PCR (in  
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45 127 days), respectively, and  $q_2$  and  $t_2$  representing CMV DNA at the time of the second  
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47 128 positive qRT-PCR (in the absence of antiviral treatment)<sup>29</sup>. Only episodes showing an  
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49 129 increase between the first and second CMV DNA load values of  $>3$ -fold were considered  
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51 130 for analysis. The kinetics of CMV DNA load clearance is expressed by the equation  $y_t =$   
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53 131  $y_0 e^{-kt}$ , where  $y_0$  is the peak CMVDNA load,  $t$  is time elapsed between  $y_0$  and  $y_t$  and  $k$  is  
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55 132 the decay constant<sup>29</sup>.  
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3 133 *Definitions*  
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6 134 CMV DNAemia was defined as detection of CMV DNA at any level in one or more  
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8 135 plasma specimens. The overall duration of a given episode of viral DNAemia was the  
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10 136 time elapsed between the day of first detection of viral DNA in plasma and that of the  
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12 137 first negative (undetectable) PCR result. Recurrent episodes were those that developed at  
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14 138 least 15 days after clearance of the previous one. Acute graft versus host disease (aGvHD)  
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16 139 was diagnosed and graded as previously reported<sup>30</sup>.  
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21 140 *Statistical analysis*  
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24 141 The cumulative incidence of events of interest was estimated by the cumulative incidence  
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26 142 method using the statistical software R (<http://www.r-project.org/>) with the survival and  
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28 143 cmprsk packages. The Fine-Gray test was used for comparisons. Overall mortality (OM)  
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30 144 was defined as the total number of deaths from any cause. Non-relapse mortality (NRM)  
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32 145 was defined as the total number of deaths without relapse or recurrence; the occurrence  
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34 146 of relapse or progression was considered a competitive event and censored. The  
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36 147 cumulative incidence of CMV DNAemia was calculated considering death and relapse as  
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38 148 competitive events. Cox proportional hazards regression models were used to assess the  
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40 149 potential risk factors for OM and NRM, as previously reported<sup>10</sup>. Post-transplant events  
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42 150 such as CMV DNAemia and acute Graft versus Host Disease (aGvHD) were treated as  
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44 151 time-dependent variables. For multivariate analyses, only variables with parameter  
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46 152 estimates showing a  $P$  value  $\leq 0.10$  in the univariate analyses were included; two-sided  
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48 153  $P$ -values  $\leq 0.05$  were deemed to be significant. Differences between medians were  
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50 154 compared using the Mann-Whitney U-test and the Kruskal-Wallis test, when appropriate.  
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52 155 The latter statistical analyses were performed using SPSS version 20.0 (SPSS, Chicago,  
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54 156 IL, USA).  
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3 157 **Results**  
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6 158 *Clinical characteristics of patients*  
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9 159 Demographics, baseline and post-transplant characteristics of patients undergoing  
10 160 different transplant modalities are shown in Table 1. Sex, age, underlying diseases,  
11 161 conditioning regimen used and incidence of aGvHD were comparable across groups,  
12 162 which in turn differed in parameters linked to the allo-HSCT platform selected (i.e. use  
13 163 of ATG), or aGvHD prophylaxis regimens (i.e. use of PT-Cy). Of note, the  
14 164 donor/recipient CMV serostatus pair D-/R+ was underrepresented in patients undergoing  
15 165 haploidentical and MRD transplantation  
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17 166 *Incidence of CMV DNAemia in unmanipulated Haplo-HSCT and in other transplant*  
18 167 *modalities*  
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20 168 Overall, the cumulative incidence of CMV DNAemia in patients undergoing Haplo-  
21 169 HSCT was 63.9% (95% CI, 55%-72.6%). This figure was lower at HCUS (48.4%- 95%  
22 170 CI, 30%-67%) than at the other participating centers: HCUV (71%; 95% CI, 60%-83%,  
23 171 HRUM 56% (95% CI, 29%-84%), and HGUGM78% (95% CI, 44%-100%). In this sense  
24 172 it is noteworthy that the LOD of the Affigene PCR assay, used at HCUS, is higher (less  
25 173 sensitive) than that of the Abbott PCR assay (used at the remaining centers). For patients  
26 174 subjected to MRD, MUD and MMUR or MMRD allo-HSCTs (all monitored with the  
27 175 Abbott PCR assay) the cumulative incidence of CMV DNAemia was 73.7% (95% CI,  
28 176 63.6%-83.8%), 80.7% (95% CI, 70.5%-90.8%) and 70.4% (95% CI, 52%-88.8%),  
29 177 respectively. Figure 1 shows the data for patients monitored with the Abbott PCR assay  
30 178 (n=252): the cumulative incidence of CMV DNAemia appeared to be comparable across  
31 179 the different transplant modalities ( $P=0.22$ ). In our series, no risk factors for the  
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3 180 occurrence of CMV DNAemia in Haplo-HSCT were identified other than recipient CMV  
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5 181 seropositivity. This was so both when the entire cohort was considered for the analyses  
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7 182 (Table 2) and when only patients monitored with the Abbott PCR assay were included  
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9 183 (data not shown)

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13 184 Overall, the cumulative incidence of recurrent CMV DNAemia in Haplo-HSCT was 34%  
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15 185 (95% CI, 23%-45%); this figure was not significantly different ( $P=0.13$ ) from that seen  
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17 186 in patients who underwent MRD (44.6%; 95% CI, 31%-58%), MUD (44%; 95% CI, 30%-  
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19 187 58%) and MMRD or MMUD (63%; 95% CI, 39%-87%). Nevertheless, the cumulative  
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21 188 incidence of recurrent episodes receiving PET was higher in MMRD and MMUD than  
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23 189 in haploidentical, MUD and MRD modalities (Figure 2).

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28 190 We next assessed the incidence of episodes of CMV DNAemia that required  
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30 191 administration of preemptive antiviral therapy (CMV PET). Overall cumulative incidence  
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32 192 of CMV PET (including initial and recurrent episodes) was not different across the  
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34 193 donor types ( $P=0.72$ ): 50.9% (95% CI, 31.7%-72%) in Haplo-HSCT, 44.7% (95% CI,  
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36 194 33%-56%) in MRD, 43.6% (95% CI, 30.8%-56%) in MUD and 51.9% (95% CI, 31.7%-  
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38 195 72%) in MMRD or MMUD. This was also the case ( $P=0.22$ ) when only first episodes of  
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40 196 CMV DNAemia were analyzed: 50% (95% CI, 41%-59%) in Haplo-HSCT, 36.8% (95%  
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42 197 CI, 26%-48%) in MRD, 37% (95% CI, 25%-49% in MUD and 40.7% (95% CI, 21%-  
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44 198 61%) in MMRD/MMUD.

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49 199 To control for the potential impact of the CMV DNA threshold triggering PET in the  
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51 200 results, we performed a subanalysis including only patients attended at the HCUV  
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53 201 (consistent criterion for PET inception throughout the study period), which comprised the  
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55 202 majority of patients in our series. As shown in Figure 3, no significant differences were

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3 203 found, regardless of whether all episodes documented throughout the study follow-up (3A)  
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5 204 or only initial episodes (3B) were considered for the analyses.  
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9 205 *Incidence of CMV disease*

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11 206 Five of the 118 patients undergoing Haplo-HSCT developed CMV disease (cumulative  
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13 207 incidence, 4.5%; 95% CI, 0.5-7.9%), in all cases involving the gastro-intestinal tract. Two  
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15 208 cases were documented at HCUV, two at HCUS and one at HRUM. Relevant virological  
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17 209 characteristics of these clinical episodes are displayed in Table 4. Of note: in one patient  
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19 210 CMV DNAemia was not documented, preemptive antiviral therapy was started in 3 out  
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21 211 of the 5 patients and the CMV DNA dt could only be calculated (according to established  
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23 212 criteria) in one patient. No cases of CMV disease were diagnosed in control patients  
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25 213 undergoing other allo-HSCT modalities (at HCUV and HRUM) ( $P=0.74$ ).  
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31 214 *Kinetics of CMV DNAemia in Haplo-HSCT and other transplant modalities*

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34 215 We next investigated the kinetics of initial episodes of CMV DNAemia in patients  
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36 216 undergoing different allo-HSCT donor types. For these analyses, as detailed in the  
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38 217 footnotes of Table 3, we selected specific subgroups of patients (episodes) to ensure  
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40 218 homogeneity. No differences were seen across transplant modalities regarding the  
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42 219 timeframe of CMV DNAemia documentation, the magnitude of initial CMV DNA load  
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44 220 or the CMV DNA half-life, in either treated or untreated episodes (Table 3). A trend  
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46 221 towards longer duration of episodes and shorter CMV DNA dt<sub>s</sub> was observed in Haplo-  
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48 222 HSCT patients in comparison with other transplant platforms. Furthermore, median CMV  
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50 223 DNA peak load was significantly higher in Haplo-HSCTs ( $P=0.007$ ).  
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55 224 *CMV DNAemia, all-cause and non-relapse mortality*  
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3 225 A total of 58 and 74 patients had died by day 180 (Haplo-HSCT, n=24; MRD, n=13;  
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5 226 MUD, n=13 and MMUD, n=8) and 365 (Haplo-HSCT, n=33; MRD, n=18; MUD, n=15,  
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7 227 and MMUD, n=8) after allo-HSCT. The causes of death are shown in Supplementary  
8  
9 228 Table 1. As shown in Figure 4, neither OM nor NRM was different across comparison  
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11 229 groups.

## 15 230 **Discussion**

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18 231 In this retrospective multicenter study including a relatively large cohort of patients  
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20 232 undergoing T-cell replete Haplo-HSCT we found an overall cumulative incidence of  
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22 233 CMV DNAemia of 63.9% by day 365 after transplantation, slightly higher than reported  
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24 234 in other fairly comparable series (ranging from 30% to 55%)<sup>12-14,18-20</sup>. Several non-  
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26 235 mutually exclusive factors may account for this apparent discrepancy, including different  
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28 236 follow-up lengths, the choice of immunosuppressive regimens for aGvHD prevention (i.e.  
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30 237 either including or not sirolimus<sup>31</sup>), the blood specimen for CMV DNA surveillance  
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32 238 (whole blood vs. plasma) or the use of real-time PCRs for CMV DNA detection  
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34 239 displaying distinct limits of detection. Regarding the latter issue, the cumulative incidence  
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36 240 of CMV DNAemia was lower at HCUS (48.4%), where the Affigene Trender CMV PCR  
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38 241 kit was used, than at the other participating centers (ranging from 56% to 78%), in which  
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40 242 a more sensitive PCR assay (Abbott) was employed. Notwithstanding, these figures are  
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42 243 substantially lower than those reported for Haplo-HSCT with combined used of  
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44 244 cyclophosphamide and ATG, which could be as high as 87%<sup>15,20</sup>.

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46 245 In our Haplo-HSCT cohort only recipient CMV seropositivity was associated with an  
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48 246 increased risk of CMV DNAemia. Use of high-dose corticosteroids for severe aGvHD<sup>14</sup>,  
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50 247 or conditioning regimens containing myeloablative doses of busulfan<sup>19</sup>, or preceding  
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3 248 HHV-6 DNAemia<sup>32</sup> have been reported to be associated with higher rates of CMV  
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5 249 DNAemia in patients undergoing unmanipulated Haplo-HSCT.  
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8 250 In our experience, the cumulative incidence of CMV DNAemia in Haplo-HSCT patients  
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10 251 was not different from that in patients undergoing other all-HSCT modalities, including  
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12 252 MRD, MUD or MMRD/MMUD, in line with previous observations<sup>14,18</sup>. A novel finding  
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14 253 of the current study was that the rate of recurrent CMV DNAemia as well as the  
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16 254 percentage of episodes that required PET administration, irrespective of whether they  
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18 255 were initial or recurrent, were rather comparable across the different transplant platforms.  
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22  
23 256 Occurrence of CMV disease in patients undergoing Haplo-HSCT with or without T-cell  
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25 257 depletion has been consistently reported as high, ranging from 11% to 17%<sup>12-15</sup>, compared  
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27 258 to those in other allo-HSCT modalities (less than 10%)<sup>6</sup>. In a recent survey across  
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29 259 Spanish centers performing all types of allo-HSCTs in adult patients the cumulative  
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31 260 incidence of CMV disease was 2.8%<sup>33</sup>. In our study, although CMV disease was  
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33 261 documented in 4.5% of patients, all cases (n=5) developed in the context of Haplo-HSCT.  
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37 262 The current study makes a novel contribution to the literature in providing insight into the  
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39 263 kinetics of CMV DNAemia in unmanipulated Haplo-HSCT. We observed a trend towards  
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41 264 longer duration of initial episodes of CMV DNAemia and shorter CMV DNA dt<sub>5</sub> and  
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43 265 significantly higher median CMV DNA peak loads in Haplo-HSCT patients than in those  
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45 266 undergoing other transplant modalities. This occurred despite the fact that the  
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47 267 donor/recipient CMV serostatus pair D-/R+, convincingly associated with a protracted  
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49 268 reconstitution of CMV-specific T-cell immunity<sup>6</sup>, was underrepresented in patients  
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51 269 undergoing Haplo-HSCT compared to other allo-HSCT platforms (MUD and  
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53 270 MMRD/MMUD).  
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3 271 The above observation could be explained, at least in part, by the delay in CD4<sup>+</sup> T-cell  
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5 272 reconstitution apparently experienced by patients undergoing Haplo-HSCT, in  
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7 273 comparison with HLA-matched allo-HSCTs<sup>34</sup>. This might also account for the increased  
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9 274 incidence of CMV disease seemingly occurring in our cohort and reported by other  
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11 275 groups<sup>12-15</sup> and the high rate of CMV drug resistance among patients receiving PET after  
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13 276 Haplo-HSCT, presumably linked to persistent viral replication<sup>14</sup>. In the absence of data  
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15 277 on CMV-specific T-cell immunity, this is nevertheless merely speculative, yet our data  
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17 278 suggest that OM and NRM in patients undergoing Haplo-HSCT by days 180 and 365 are  
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19 279 not different from that in patients subjected to other allo-HSCT types, as has been  
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21 280 previously reported<sup>18,23-25</sup>.

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27 281 There are several limitations in our study. The first and main one is the relatively high  
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29 282 heterogeneity across the study and historical cohorts. In particular, no patients undergoing  
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31 283 non-Haplo-HSCT from two centers HGUS and HGUGM were included. Nevertheless,  
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33 284 this is in our view unlikely to have had any impact on the conclusions reached, as allo-  
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35 285 HSCT management at these centers within the study period was largely comparable to  
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37 286 that in the other two centers (HCUV and HRUM) (not shown). Second, different CMV  
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39 287 PET strategies were used across centers; despite this, subanalyses performed with patients  
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41 288 attended at HCUV led to similar conclusions to those reached when all patients in this  
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43 289 series were taken into consideration. Third, no data on CMV-specific T-cell immunity  
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45 290 were provided, and finally, the robustness of mortality analyses was hampered by the  
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47 291 sample size.

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53 292 In summary, our study suggests that unmanipulated Haplo-HSCT increases neither the  
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55 293 risk of CMV DNAemia and recurrent CMV DNAemia, as documented by highly-  
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57 294 sensitive real-time PCR assays, nor the need for preemptive antiviral therapy and OM and  
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3 295 NRM. Nevertheless, the data presented herein relative to certain kinetics parameters of  
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5 296 CMV DNAemia (i.e. duration of the episodes and CMV DNA dt and peak levels) and the  
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7 297 seemingly high incidence of CMV disease in these patients should be taken seriously into  
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9 298 consideration and further studies to clarify this are warranted.  
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26 433 **Author Contribution**

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28  
29 434 David Navarro and Dixie Huntley developed the study idea. Maria Jesús Pascual, Juan  
30  
31 435 Carlos Hernández-Boluda, Beatriz Gago, Lourdes Vázquez, José Luis Piñana, Magdalena  
32  
33 436 García, Ariadna Pérez, David Serrano, Marta Hernández and Carlos Solano revised and  
34  
35 437 collected de data. Dixie Huntley and Estela Giménez performed the statistical analysis.  
36  
37 438 José Luis Piñana, Carlos Solano and Eliseo Albert supported the data interpretation. All  
38  
39 439 the coauthors revised the draft of the manuscript and David Navarro wrote the final  
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41 440 version of the manuscript.  
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46 441 **Figure Legends**

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49 442 **Figure 1.** Cumulative incidence of CMV DNAemia through day 365 after transplantation  
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51 443 in patients undergoing different transplant modalities in whom plasma CMV DNA  
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53 444 monitoring was performed by means of the Abbott real time PCR assay (n=252). MRD  
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55 445 refers to matched related allo-HSCT and MUD to matched unrelated allo-HSCT. HLA-  
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57 446 mismatched includes allo-HSCTs with unrelated and related donors.  
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3 447 **Figure 2.** Overall cumulative incidence of recurrent CMV DNAemia receiving  
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5 448 preemptive antiviral therapy (PET) through day 365 after transplantation in patients  
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7 449 undergoing different transplant modalities. MRD refers to matched related allo-HSCT  
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9 450 and MUD to matched unrelated allo-HSCT. HLA-mismatched includes allo-HSCTs with  
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11 451 unrelated and related donors.  
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15 452 **Figure 3.** Cumulative incidence of CMV DNAemia requiring preemptive antiviral  
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17 453 therapy (PET) through day 365 after transplantation in patients attended at Hospital  
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19 454 ClínicoUniversitario of Valencia undergoing different transplant modalities. At this  
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21 455 center PET was incepted when the plasma CMV DNA load reached levels of  $\geq 1,500$   
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23 456 IU/ml, or when the CMV doubling time (dt) was  $\leq 2.0$  days, whichever occurred first.  
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25 457 MRD refers to matched related allo-HSCT and MUD to matched unrelated allo-HSCT.  
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27 458 HLA-mismatched includes allo-HSCTs with unrelated and related donors.  
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32 459 **Figure 4.** Cumulative incidence of all-cause (overall) mortality by day 180 and by day  
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34 460 365 in patients undergoing different transplant modalities. MRD refers to matched related  
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36 461 allo-HSCT and MUD to matched unrelated allo-HSCT. HLA-mismatched includes allo-  
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38 462 HSCTs with unrelated and related donors.  
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<b>Parameter</b>	<b>no. (%)</b>	<b>Haploidentical (n=118)</b>	<b>HLA mismatched (MMRD/MMUD) (n=27)</b>	<b>MUD (n=62)</b>	<b>MRD (n=76)</b>	<b>Pvalue<sup>a</sup></b>
<b>Sex</b>						0.34
Male	165 (58.3)	69 (59)	14 (52)	32 (52)	50 (66)	
Female	118 (41.7)	49 (41)	13 (48)	30 (48)	26 (34)	
<b>Age (median, range)</b>	55 (18-73)	58 (18-73)	50 (19-67)	55 (18-70)	54 (18-70)	0.59
<b>Underlying disease</b>						0.07
Acute leukemia	100 (35.3)	43 (36.4)	6 (22.2)	20 (32.3)	31 (40.8)	
Chronic leukemia	26 (9.2)	9 (7.6)	3 (11.1)	7 (11.3)	7 (9.2)	
Lymphoma	82 (29)	26 (22)	16 (59.3)	15 (24.2)	25 (32.9)	
MDS/ Multiple myeloma/Myelofibrosis	52 (18.4)	25 (21.2)	0	19 (30.6)	8 (10.5)	
Other	23 (8.1)	15 (12.7)	2 (7.4)	1 (1.6)	5 (6.6)	
<b>Stem cell source</b>						<0.001
PB	258 (91.2)	110 (93.2)	19 (70.4)	57 (91.9)	72 (94.7)	
BM	18 (6.4)	8 (6.8)	3 (11.1)	3 (4.8)	4 (5.3)	
UCB	7 (2.5)	0	5 (18.5)	2 (3.2)	0	
<b>D/R CMV serostatus</b>						0.001

D+/R+	162 (57.2)	73 (61.9)	12 (44.4)	24 (38.7)	53 (69.7)	
D-/R+	84 (29.7)	30 (25.4)	10 (37)	31 (50)	13 (17.1)	
D+/R-	21 (7.4)	12 (10.2)	2 (7.4)	2 (3.2)	5 (6.6)	
D-R-	16 (5.7)	3 (2.5)	3 (11.1)	5 (8.1)	5 (6.6)	
<b>Conditioning regimen</b>						0.55
Myeloablative	81 (28.6)	35 (29.7)	10 (37)	14 (22.6)	22 (28.9)	
Reduced intensity	202 (71.4)	83 (70.3)	17 (63)	48 (77.4)	54 (71.1)	
<b>Containing ATG</b>	25 (8.8)	1 (0.8)	19 (70.4)	1 (1.6)	4 (5.3)	<0.001
<b>aGvHD prophylaxis</b>						<0.001
Based on Cyclosporin A	134 (47.3)	44 (37.3)	11 (40.7)	18 (29)	61 (80.3)	
Based on Tacrolimus	59 (20.8)	49 (41.5)	2 (7.4)	4 (6.5)	4 (5.3)	
Tacrolimus plus sirolimus	52 (18.4)	0	13 (48.1)	34 (54.8)	5 (6.6)	
Sirolimus/Mycophenolate Mophetil/ Cyclophosphamide	30 (10.6)	20 (16.9)	1 (3.7)	5 (8.1)	4 (5.3)	
Other combinations	8 (2.8)	5 (4.2)	0	1 (1.6)	2 (2.6)	
<b>Acute GvHD</b>						0.08
grades 0-I	195 (68.9)	73 (61.9)	23 (85.2)	45 (72.6)	54 (71.1)	0.08
grades II-IV	88 (31.1)	45 (38.1)	4 (14.8)	17 (27.4)	22 (28.9)	
<b>Chronic GvHD</b>	54 (19.1)	12 (10.2)	6 (22.2)	16 (25.8)	20 (26.3)	0.01

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<b>Treatment with corticosteroids&gt;1mg- /kg/day</b>	77 (27.2)	31 (26.3)	5 (18.5)	17 (27.4)	24 (31.6)	0.61

<sup>a</sup>Statistically significant ( $P<0.05$ ).

**Abbreviations**, ATG, Anti-thymocyte globulin; aGvHD, Acute Graft versus Host Disease; BM, Bone Marrow; CMV, Cytomegalovirus; D, Donor; HL, Hodgkin's Lymphoma; HLA, Human Leukocyte Antigen; MDS, Myelodysplastic Syndrome; MUD, Matched Unrelated Donor; MRD, Matched Related Donor; MMRD/MMUD, HLA mismatched related or unrelated; NHL, Non-Hodgkin's lymphoma; PB, Peripheral Blood; R, Receptor; UCB, Umbilical Cord Blood.

For Review

**Table 2. Analysis of potential risk factors for Cytomegalovirus DNAemia in patients undergoing Haploidentical transplantation.**

Factor	Univariate	
	HR (95% CI)	Pvalue <sup>b</sup>
Sex (male/ female)	1.13 (0.71-1.79)	0.60
Age (< 65 years /> 65 years)	1.02 (0.58-1.79)	0.96
<b>Underlying disease</b>		
Acute leukemia	0.85 (0.40-1.81)	0.67
Chronic leukemia	1.73 (0.66-4.48)	0.26
lymphoma	1.08 (0.49-2.40)	0.84
MDS/MM/MF	0.74 (0.32-1.70)	0.48
Other	1 (ref)	
<b>Stem cell source</b>		
PB / UCB plus BM	0.87 (0.38-2.00)	0.74
<b>D/R CMV serostatus</b>		
R+ vs. R-	3.42 (1.25-9.38)	0.02
D+ vs. D-	1.40 (0.82-2.35)	0.23
D+ vs. D- in R+	1.52 (0.89-2.60)	0.13
<b>Conditioning regimen</b>		
Reduced intensity (Yes vs. No)	0.83 (0.51-1.34)	0.44
<b>aGvHD prophylaxis</b>		

Based on Tacrolimus	0.78 (0.24-2.57)	0.68
Based on Cyclosporin A	1.30 (0.40-4.29)	0.66
Sirolimus/Mycophenolate Mophetil/ Cyclophosphamide	1.71 (0.50-5.87)	0.40
Other	1 (ref)	
<b>aGvHD<sup>a</sup></b> 0-I/ vs II-IV	0.65 (0.37-1.14)	0.13
<sup>a</sup> Time dependent covariate <sup>b</sup> Statistically significant ( $P < 0.05$ ). <b>Abbreviations,</b> aGvHD, Acute Graft versus Host Disease; BM, Bone Marrow; CMV, Cytomegalovirus; D, Donor; HL, Hodgkin's Lymphoma; MDS, Myelodysplastic Syndrome; NHL, Non-hodgkin's lymphoma; PB, Peripheral Blood; R, Receptor; UCB, Umbilical Cord Blood.		

For Review

**Table 3. Virological features of initial episodes of Cytomegalovirus DNAemia according to the modality of allogeneic hematopoietic stem cell transplantation**

Factor	Haploidentical	HLA mismatched (MMRD/MMUD)	MUD	MRD	<i>P</i> value
<b>Patients monitored with the Abbott real-time PCR assay</b>					
Initial CMV DNA load (IU/ml) median (range) <sup>a</sup>	114 (30-8,705)	80 (31-402)	104 (31-66,717)	107 (33-9,711)	0.79
<b>All patients</b>					
Days to CMV DNAemia, median (range) <sup>b</sup>	32 (-7-123)	30 (-2-126)	30 (-8-204)	26 (-7-166)	0.35
CMV doubling time, median (range) <sup>c</sup>	1.97 (0.76-3.66)	2.12 (0.82-3.86)	2.48 (0.57-6.76)	2.32 (0.44-5.60)	0.08
CMV DNA half life following antiviral preemptive therapy <sup>b</sup>	3.23 (0.95-34.8)	2.64 (0.33-6.25)	3.57 (0.66-20.5)	2.22(0.36-15.5)	0.49
<b>Patients undergoing allo-HSCT at HCUV</b>					
CMV peak load (IU/ml),	4,324 (133-4,268,946)	1,883 (56-14,467)	847 (47-62466)	1,182 (66-122,547)	0.007

median (range) <sup>d</sup>					
Duration in days, median (range) <sup>d</sup>	49 (7-192)	28 (7-134)	42 (7- 162)	42 (3-158)	0.30
CMV DNA half life for non-treated episodes <sup>e</sup>	2.87 (0.95- 34.8)	2.49 (0.33-6.25)	3.57 (0.81- 20.5)	2.24 (0.36- 15.5)	0.98

<sup>a</sup>From 252 patients monitored with the Abbott RealTime CMV PCR to avoid a potential bias related to the different performance of this and the Affigene PCR assays<sup>27</sup>.

<sup>b</sup>All patients experiencing CMV DNAemia (n=200). As for the CMV DNA half life, the CMV DNA peak is taken as CMV DNA load at  $t_0$  irrespective of whether or not antiviral treatment has begun<sup>29</sup>.

<sup>c</sup>Calculated from a total 109 episodes meeting the criteria stated in the methods section and developing in patients attended at all participating centers (both PCRs are collinear and  $dt_s$  are calculated in the absence of antiviral therapy<sup>28</sup>)

<sup>d</sup>Only patients (n=199) undergoing allo-HSCT at HCUV were included, as the duration and the CMV DNA peak load of a given episode are dependent upon the CMV DNA load at which preemptive antiviral therapy is initiated<sup>29</sup> (different across institutions).

<sup>e</sup>Only patients (n=72) undergoing allo-HSCT at HCUV were considered, since there was not sufficient number (or simply there was not) of untreated episodes across transplant types in the remaining participating centers.

**Abbreviations**, CMV, Cytomegalovirus; dt, doubling time; HLA, Human Leukocyte Antigen; MMRD/MMUD, HLA mismatched related or unrelated; MUD, Matched Unrelated Donor; MRD, Matched Related Donor.

**Table 4. Virological characteristics of patients developing CMV disease within the study period**

Patient number	CMV D/R serostatus	Days to CMV DNAemia following transplantation	Initial CMV DNA load (IU/ml)	Preemptive antiviral therapy	CMV peak load (IU/ml)	Duration of CMV DNAemia (days)	CMV DNA dt (days)
13	D+/R+	34	240	Yes	671	15	Not calculated <sup>a</sup>
23	D+/R+	No CMV DNAemia	-	No	-	-	-
53	D-/R+	-1	<150	No	<150	7	Not calculated <sup>a</sup>
132	D+/R+	7	34	Yes	26,941	152	2.06
180	D-/R+	53	47	Yes	4,268,946	86	Not calculated <sup>a</sup>

CMV, Cytomegalovirus; D, Donor; dt, doubling time; IU, International Units; R, Recipient.

<sup>a</sup>Increase between the first and second CMV DNA load values of  $\leq 3$ -fold.

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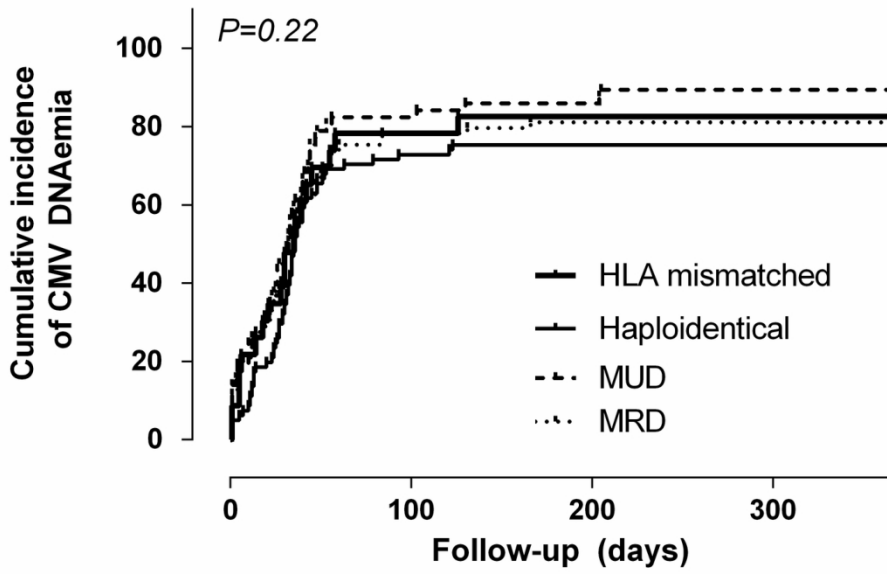


Figure 1

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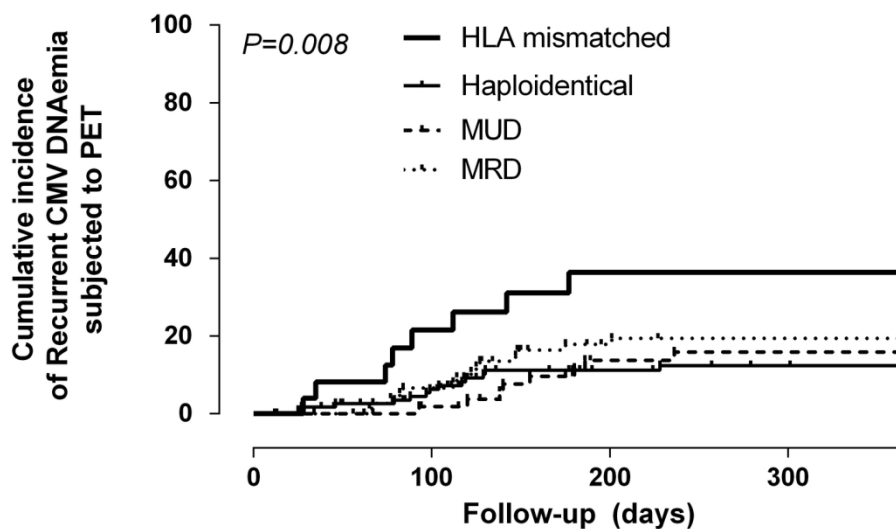


Figure 2 revised

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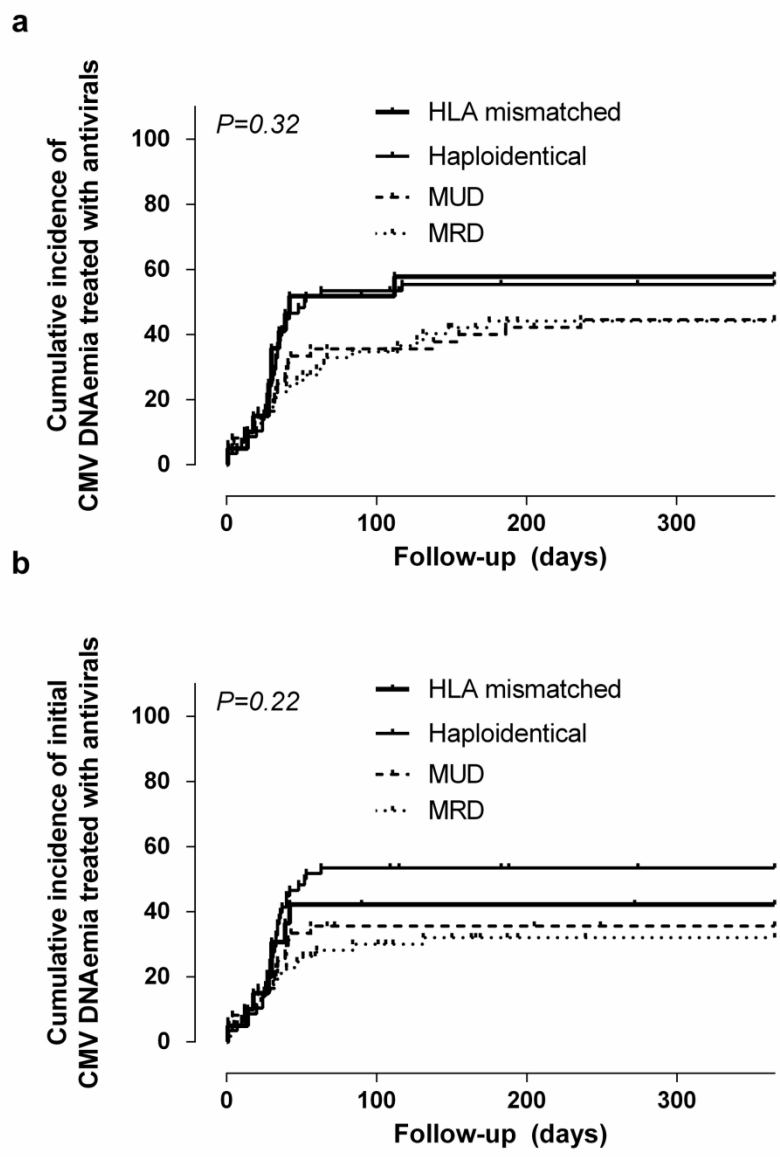


Figure 3

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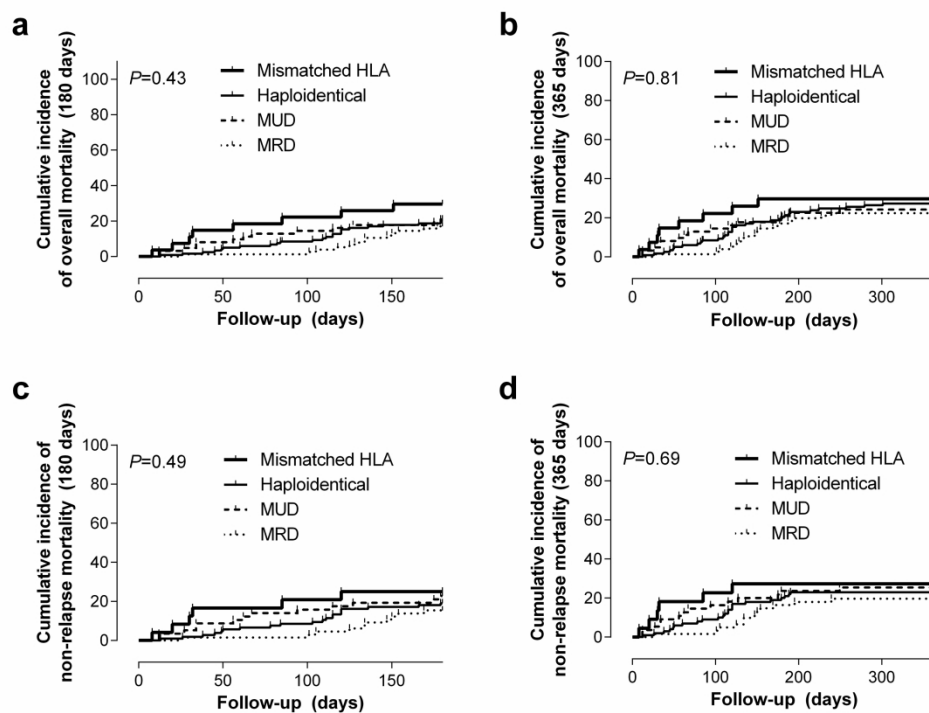


Figure 4

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**Supplementary Table 1. Causes of one-year overall mortality in patients undergoing different transplant modalities.**

<b>Cause</b>	<b>no. (%)</b>	<b>HLA mismatched</b>	<b>Haploidentical</b>	<b>MUD</b>	<b>MRD</b>	<b><i>P</i> value</b>
Infectious complications	16 (21.6)	1 (20)	7 (20)	4 (30.8)	4 (22.2)	0.65
Relapse	14 (18.9)	1 (20)	8 (22.9)	0	5 (27.8)	
Pneumonia	9 (12.2)	1 (20)	3 (8.6)	2 (15.4)	3 (16.7)	
GvHD	6 (8.1)	0	2 (5.7)	0	4 (22.2)	
Graft failure	1 (1.4)	0	1 (2.9)	0	0	
GvHD plus infectious complications	2 (2.7)	0	1 (2.9)	1 (7.7)	0	
CMV disease	1 (1.4)	0	1 (2.9)	0	0	
Other causes <sup>a</sup>	25 (33.8)	5 (62.5)	12 (34.3)	6 (46.2)	2 (11.1)	
<b>Total</b>	<b>74 (26.1)</b>	<b>8</b>	<b>35</b>	<b>13</b>	<b>18</b>	

<sup>a</sup>Including cardiac tamponade, diffuse leukoencephalopathy, sinusoidal obstruction syndrome, severe acute liver failure, intraventricular haemorrhage, among other causes

**Abbreviations.** GvHD, Graft versus Host Disease; HLA, Human Leukocyte Antigen; MUD, Matched Unrelated Donor; MRD, Matched Related Donor.