

## RESEARCH ARTICLE

# On the one-dimensional polynomial, regular, and regulous images of closed balls and spheres

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## Abstract

We present a full geometric characterization of the one-dimensional (semialgebraic) images  $S$  of either  $n$ -dimensional closed balls  $\overline{B}_n \subset \mathbb{R}^n$  or  $n$ -dimensional spheres  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$  under polynomial, regular, and regulous maps for some  $n \geq 1$ . In all the previous cases, one can find a new polynomial, regular, or regulous map with domain either  $\overline{B}_1 := [-1, 1]$  or  $\mathbb{S}^1$  such that  $S$  is the image under such map of either  $\overline{B}_1 := [-1, 1]$  or  $\mathbb{S}^1$ . As a by-product, we provide a full characterization of the images of  $\mathbb{S}^1 \subset \mathbb{C} \equiv \mathbb{R}^2$  under Laurent polynomials  $f \in \mathbb{C}[z, z^{-1}]$ , taking advantage of some previous works of Kovalev-Yang and Wilms. We also alternatively prove that all polynomial maps  $\mathbb{S}^k \rightarrow \mathbb{S}^1$  are constant if  $k \geq 2$ .

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## 1 | INTRODUCTION

A map  $f := (f_1, \dots, f_m) : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is a *polynomial map* if each of its components  $f_i \in \mathbb{R}[x] := \mathbb{R}[x_1, \dots, x_n]$  is a polynomial. Let  $T \subset \mathbb{R}^n$  and  $S \subset \mathbb{R}^m$ . We say that  $S$  is a *polynomial image of  $T$*  if there exists a polynomial map  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  such that  $S = f(T)$ . A rational map  $f := (f_1, \dots, f_m) : \mathbb{R}^n \dashrightarrow \mathbb{R}^m$  is a *regular map on  $T \subset \mathbb{R}^n$*  if each component  $f_i \in \mathbb{R}(x_1, \dots, x_n)$  is a rational function, that is, each  $f_i := \frac{g_i}{h_i}$  is a quotient of polynomials, and the zero set of  $h_i$  does not meet  $T$ . A subset  $S$  of  $\mathbb{R}^m$  is a *regular image of  $T$*  if  $S = f(T)$  for some rational map  $f : \mathbb{R}^n \dashrightarrow \mathbb{R}^m$  that is regular on  $T$ . A rational map  $f := (f_1, \dots, f_m) : \mathbb{R}^n \dashrightarrow \mathbb{R}^m$  is a *regulous map on  $T \subset \mathbb{R}^n$*  if

it extends to a continuous function on  $T$  and the complement of the set of poles of  $f$  meets  $T$  in a dense subset of  $T$ . A subset  $S$  of  $\mathbb{R}^m$  is a *regulous image* of  $T$  if  $S = f(T)$  for some rational map  $f : \mathbb{R}^n \dashrightarrow \mathbb{R}^m$  that is regulous on  $T$ .

A *semialgebraic subset*  $S$  of  $\mathbb{R}^n$  is a set that admits a description as a finite boolean combination of polynomial equalities and inequalities. By *elimination of quantifiers*,  $S$  is semialgebraic if it has a description by a first-order formula *possibly with quantifiers*. Such a freedom provides semialgebraic descriptions for topological operations. For instance: interiors, closures, borders, connected components of semialgebraic sets are again semialgebraic sets. A map  $f : T \rightarrow S$  between two semialgebraic sets  $T \subset \mathbb{R}^n$  and  $S \subset \mathbb{R}^m$  is *semialgebraic* if its graph is a semialgebraic set.

A celebrated theorem of Tarski–Seidenberg [1, Thm.1.4] states that the image of a semialgebraic set  $T \subset \mathbb{R}^n$  under a semialgebraic map  $f : T \rightarrow \mathbb{R}^m$  (which include the case of polynomial, regular, and regulous maps on  $T$ ) is a semialgebraic subset  $S$  of  $\mathbb{R}^m$ . In an *Oberwolfach* week [23], Gamboa proposed to characterize the (semialgebraic) sets of  $\mathbb{R}^m$  that are polynomial images of  $\mathbb{R}^n$  for some  $n \geq 1$ . During the last 25 years, we have approached this problem and obtained several results in two directions.

- *General properties.* We have found conditions [4, 9, 16, 34] that a semialgebraic subset must satisfy to be either a polynomial, regular, or regulous image of  $\mathbb{R}^m$ . The most remarkable one states that the set of points at infinity of a polynomial image of  $\mathbb{R}^m$  is connected [16]. The one-dimensional polynomial and regular images of  $\mathbb{R}^n$  were fully described in [4]. In [7, Thm.17], we proved the equality between the family of regular images of  $\mathbb{R}^2$  and the family of regulous images of  $\mathbb{R}^2$ .
- *Representation of semialgebraic sets as polynomial or regular images of  $\mathbb{R}^n$ .* We have performed constructions to represent as either polynomial or regular images of  $\mathbb{R}^n$  semialgebraic sets that can be described by linear equalities and inequalities. In [4, 8, 11–15, 17–20, 35], we have analyzed the cases of convex polyhedra and their interiors, together with their respective complements and we have provided a full answer [20, Table 1].

In [26], Kubjas–Parrillo–Sturmfels proposed to describe explicitly the two-dimensional images of  $\overline{B}_3$  under a polynomial image  $f : \mathbb{R}^3 \rightarrow \mathbb{R}^2$ . We have generalized the previous problem and proposed in [21] to determine the semialgebraic subsets of  $\mathbb{R}^m$  that are images of either an  $n$ -dimensional closed ball  $\overline{B}_n \subset \mathbb{R}^n$  (of center the origin and radius 1) or an  $n$ -dimensional sphere  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$  (of center the origin and radius 1) mainly under polynomial maps (but also under regular maps). We have obtained full information for the case of unions of finitely many convex polyhedra that provide semialgebraic sets connected by analytic paths [6, 21]. We have also treated in [21] more demanding cases, but we feel far from obtaining a full answer for semialgebraic sets of arbitrary dimension.

The class of semialgebraic maps with more tools to attack this type of problems is the Nash category. This is the only case for which we have a full geometric characterization for the images under Nash maps of affine spaces, closed balls and spheres [2, 3, 5]. Recall here that a *Nash function* on an open semialgebraic subset  $U \subset \mathbb{R}^m$  is an analytic function on  $U$  that satisfies a nontrivial polynomial equation, that is, there exists a nonzero  $P \in \mathbb{R}[x, y]$  such that  $P(x, f(x)) = 0$  for all  $x \in U$ . If  $S \subset \mathbb{R}^m$  is a semialgebraic set, the ring  $\mathcal{N}(S)$  of *Nash functions on  $S$*  is the collection of all functions on  $S$  that admits a Nash extension to an open semialgebraic neighborhood  $U$  of  $S$  in  $\mathbb{R}^m$  and it is endowed with the usual sum and product (for further details see [10]).

The interest of polynomial, regular, regulous, or Nash images of affine spaces, closed balls or spheres arises because there are several problems in Real Algebraic Geometry that for such images can be reduced to analyze them on the corresponding models: affine spaces, closed balls or spheres [8, 9, 11, 17]. Examples of such problems are:

- optimization of polynomial, regular, regulous, or Nash functions on  $S$  (see also [33]),
- characterization of the polynomial, regular, regulous, or Nash functions that are positive semidefinite on  $S$  (Hilbert’s 17th problem and Positivstellensatz),
- constructing Nash paths on semialgebraic sets connected by analytic paths [6].

### 1.1 | Invariants

Consider the families of models of semialgebraic sets:  $\mathfrak{A} := \{\mathbb{R}^n : n \geq 1\}$  (affine spaces),  $\mathfrak{B} := \{\overline{B}_n : n \geq 1\}$  (closed balls), and  $\mathfrak{C} := \{S^n : n \geq 1\}$  (spheres). A semialgebraic set  $S \subset \mathbb{R}^m$  is a polynomial image of an affine space (resp. a closed ball or a sphere) if there exist an element  $\mathbb{R}^n \in \mathfrak{A}$  (resp.  $\overline{B}_n \in \mathfrak{B}$  or  $S^{n-1} \in \mathfrak{C}$ ) and a polynomial map defined on  $\mathbb{R}^n$  such that  $f(\mathbb{R}^n) = S$  (resp.  $f(\overline{B}_n) = S$  or  $f(S^{n-1}) = S$ ). The same definitions can be proposed for regular, regulous, and Nash maps in the obvious way.

Let  $\mathfrak{G}$  be either  $\mathfrak{A}$ ,  $\mathfrak{B}$  or  $\mathfrak{C}$  and define for a set  $S \subset \mathbb{R}^m$  the following invariants:

$$\begin{aligned}
 p_{\mathfrak{G}}(S) &:= \inf\{p \geq 1 : S \text{ is a polynomial image of } E \in \mathfrak{G} \text{ and } \dim(E) = p\}, \\
 r_{\mathfrak{G}}(S) &:= \inf\{r \geq 1 : S \text{ is a regular image of } E \in \mathfrak{G} \text{ and } \dim(E) = r\}, \\
 rs_{\mathfrak{G}}(S) &:= \inf\{r \geq 1 : S \text{ is a regulous image of } E \in \mathfrak{G} \text{ and } \dim(E) = r\}, \\
 n_{\mathfrak{G}}(S) &:= \inf\{n \geq 1 : S \text{ is a Nash image of } E \in \mathfrak{G} \text{ and } \dim(E) = n\}.
 \end{aligned}$$

In case a subset  $A \subset \mathbb{N}$  is empty, we write  $\inf(A) := +\infty$ . If one of the previous invariant values  $+\infty$ , then  $S$  is not an image of the corresponding type of semialgebraic maps. We have the following initial inequalities:

$$\max\{rs_{\mathfrak{G}}(S), n_{\mathfrak{G}}(S)\} \leq r_{\mathfrak{G}}(S) \leq p_{\mathfrak{G}}(S)$$

for each  $S \subset \mathbb{R}^m$  and each  $\mathfrak{G} \in \{\mathfrak{A}, \mathfrak{B}, \mathfrak{C}\}$ . If any of the previous invariants is finite, then  $S$  is by Tarski–Seidenberg theorem [1, Thm.1.4] a semialgebraic set and by [1, Thm.2.8.8] the dimension  $\dim(S)$  of  $S$  is less than or equal to any of them.

The closed ball  $\overline{B}_n$  is the projection of the sphere  $S^n$  and  $S^n$  is a regular image of  $\overline{B}_n$  (see [21, Cor.2.9 & Lem.A.4]). In Example 2.2, we recall an explicit regular map  $f : \mathbb{R} \rightarrow \mathbb{R}^2$  such that  $f(\overline{B}_1) = S^1$ . In addition, the closed ball  $\overline{B}_n$  (and consequently the sphere  $S^n$ ) is a regular image of  $\mathbb{R}^n$  by [4, Lem.3.1] and [21, Cor.2.9 & Lem.2.10]. Obviously, as both  $\overline{B}_n$  and  $S^n$  are compact sets,  $\mathbb{R}^n$  is neither a polynomial, regular, regulous, nor a Nash image of either  $\overline{B}_n$  or  $S^n$ . In Lemma 2.1, we show that the image of a compact subset of  $\mathbb{R}^n$  with nonempty interior under a polynomial map cannot be a compact algebraic subset of  $\mathbb{R}^m$  of dimension  $\geq 1$ . In particular, a sphere  $S^m$  cannot be the image under a polynomial map of any closed ball  $\overline{B}_n$ . In addition, polynomial images of  $\mathbb{R}^n$  are either unbounded or a singleton [8, Rem.1.3(3)]. We deduce the following extra relations between the invariants:

$$\left\{ \begin{aligned}
 &r_{\mathfrak{A}}(S) \leq r_{\mathfrak{B}}(S) = r_{\mathfrak{C}}(S), \\
 &rs_{\mathfrak{A}}(S) \leq rs_{\mathfrak{B}}(S) = rs_{\mathfrak{C}}(S), \\
 &rs_{\mathfrak{A}}(S) \leq 2 \implies rs_{\mathfrak{A}}(S) = r_{\mathfrak{A}}(S) \text{ by [7, Thm.1.7] (see Remark 1.3(ii) below),} \\
 &p_{\mathfrak{C}}(S) \leq p_{\mathfrak{B}}(S), \\
 &p_{\mathfrak{C}}(S) < +\infty \text{ or } p_{\mathfrak{B}}(S) < +\infty, \text{ and } S \text{ is not a singleton} \implies p_{\mathfrak{A}}(S) = +\infty, \\
 &p_{\mathfrak{A}}(S) < +\infty \text{ and } S \text{ is not a singleton} \implies p_{\mathfrak{B}}(S) = +\infty, p_{\mathfrak{C}}(S) = +\infty.
 \end{aligned} \right.$$

**TABLE 1** Notations: C:=Compact, I:=Irreducible, O:=Otherwise.

$S$	$\mathbb{R}$ or $[0, +\infty)$	$(0, +\infty)$	$[0,1)$	$(0,1)$	$[0,1]$	$\mathbb{S}^1$	Parabola	Non-rational curves
$p_{\mathfrak{A}}(S)$	1	2	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	$+\infty$
$r_{\mathfrak{A}}(S)$	1	2	1	2	1	1	1	$+\infty$
$rs_{\mathfrak{A}}(S)$	1	2	1	2	1	1	1	$+\infty$
$n_{\mathfrak{A}}(S)$	1	1	1	1	1	1	1	1 (I) or $+\infty$ (O)
$p_{\mathfrak{B}}(S)$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	$+\infty$	$+\infty$	$+\infty$
$r_{\mathfrak{B}}(S)$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	1	$+\infty$	$+\infty$
$rs_{\mathfrak{B}}(S)$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	1	$+\infty$	$+\infty$
$n_{\mathfrak{B}}(S)$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	1	$+\infty$	1 (C, I) or $+\infty$ (O)
$p_{\mathfrak{C}}(S)$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	1	$+\infty$	$+\infty$
$r_{\mathfrak{C}}(S)$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	1	$+\infty$	$+\infty$
$rs_{\mathfrak{C}}(S)$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	1	$+\infty$	$+\infty$
$n_{\mathfrak{C}}(S)$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	1	1	$+\infty$	1 (C, I) or $+\infty$ (O)

The invariants  $n_{\mathfrak{A}}(S)$ ,  $n_{\mathfrak{B}}(S)$  and  $n_{\mathfrak{C}}(S)$  only take the values  $\dim(S)$  (if  $S$  is a Nash image) or  $+\infty$  (if  $S$  is not a Nash image) and have been computed in [4] and [2, 3] for each semialgebraic set  $S \subset \mathbb{R}^m$ . It holds  $\dim(S) \leq n_{\mathfrak{A}}(S) \leq n_{\mathfrak{B}}(S) = n_{\mathfrak{C}}(S)$  for each semialgebraic set  $S \subset \mathbb{R}^m$ .

As we have already pointed out in [8], there are some straightforward properties that a regular image  $S \subset \mathbb{R}^m$  must satisfy: *it has to be pure dimensional, connected, semialgebraic, and its Zariski closure has to be irreducible*. Furthermore,  $S$  must be by [10, (3.1) (iv)] *irreducible* in the sense that its ring  $\mathcal{N}(S)$  of Nash functions on  $S$  is an integral domain.

### 1.2 | The one dimensional case

In this work, we focus our attention on the one-dimensional case and present a full geometric characterization of the polynomial, regular, and regulous one-dimensional images of closed balls and spheres. In fact, we compute the exact value of the invariants  $p_{\mathfrak{C}}$ ,  $r_{\mathfrak{C}}$ , and  $rs_{\mathfrak{C}}$  for all of them, where  $\mathfrak{C} = \mathfrak{B}, \mathfrak{C}$ . We will see in this work that in the one-dimensional case, the only possible values for the invariants  $p_{\mathfrak{C}}$ ,  $r_{\mathfrak{C}}$ , and  $rs_{\mathfrak{C}}$  (where  $\mathfrak{C} = \mathfrak{B}, \mathfrak{C}$ ) are either 1 or  $+\infty$ . In Table 1, we illustrate the situation with several examples and compare the invariants  $p_{\mathfrak{C}}$ ,  $r_{\mathfrak{C}}$ , and  $rs_{\mathfrak{C}}$  (where  $\mathfrak{C} = \mathfrak{B}, \mathfrak{C}$ ) with the invariants  $p_{\mathfrak{A}}$ ,  $r_{\mathfrak{A}}$ ,  $rs_{\mathfrak{A}}$ , and  $n_{\mathfrak{C}}$  (where  $\mathfrak{C} = \mathfrak{A}, \mathfrak{B}, \mathfrak{C}$ ), which were mainly computed in [2–5, 7].

### 1.3 | Notations and terminology

Before stating our main results whose proofs are developed in Section 3, after the preparatory work of Section 2, we recall some preliminary standard notations and terminology. We write  $\mathbb{K}$  to refer indistinctly to  $\mathbb{R}$  or  $\mathbb{C}$  and denote the hyperplane at infinity of the projective space  $\mathbb{K}\mathbb{P}^n$  with  $H_{\infty}^n(\mathbb{K}) := \{x_0 = 0\}$ . The projective space  $\mathbb{K}\mathbb{P}^n$  contains  $\mathbb{K}^n$  as the set  $\mathbb{K}\mathbb{P}^n \setminus H_{\infty}^n(\mathbb{K}) = \{x_0 = 1\}$ . If  $n = 1$ , the point of infinity of the projective line  $\mathbb{K}\mathbb{P}^1$  is  $[0 : 1]$ .

For each  $n \geq 1$ , denote the complex conjugation with

$$\sigma_n : \mathbb{C}\mathbb{P}^n \rightarrow \mathbb{C}\mathbb{P}^n, z := [z_0 : z_1 : \dots : z_n] \mapsto \bar{z} := [\bar{z}_0 : \bar{z}_1 : \dots : \bar{z}_n].$$

Clearly,  $\mathbb{R}P^n$  is the set of fixed points of  $\sigma_n$ . A set  $A \subset \mathbb{C}P^n$  is called *invariant* if  $\sigma_n(A) = A$ . It is well known that if  $Z \subset \mathbb{C}P^n$  is an invariant nonsingular (complex) projective variety, then  $Z \cap \mathbb{R}P^n$  is a nonsingular (real) projective variety. We also say that a rational map  $h : \mathbb{C}P^n \dashrightarrow \mathbb{C}P^m$  is *invariant* if  $h \circ \sigma_n = \sigma_m \circ h$ . Of course,  $h$  is invariant if its components can be chosen as homogeneous polynomials with real coefficients, so it provides by restriction a real rational map  $h|_{\mathbb{R}P^n} : \mathbb{R}P^n \dashrightarrow \mathbb{R}P^m$ .

Given a semialgebraic set  $S \subset \mathbb{R}^m \subset \mathbb{R}P^m \subset \mathbb{C}P^m$ , we denote its Zariski closure in  $\mathbb{K}P^m$  with  $Cl_{\mathbb{K}P^m}^{\text{zar}}(S)$ . Obviously,  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap \mathbb{R}P^m = Cl_{\mathbb{R}P^m}^{\text{zar}}(S)$  and  $Cl^{\text{zar}}(S) = Cl_{\mathbb{R}P^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is the *Zariski closure of  $S$  in  $\mathbb{R}^m$* . Observe that  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)$  is an invariant algebraic set. In addition,  $Cl_{\mathbb{K}P^m}(S)$  denotes the closure of  $S$  in  $\mathbb{K}P^m$  with respect to the quotient topology of  $\mathbb{K}P^m$  induced by the canonical map  $\pi : \mathbb{K}^{m+1} \setminus \{0\} \rightarrow \mathbb{K}P^m, x := (x_0, x_1, \dots, x_n) \mapsto [x] := [x_0 : x_1 : \dots : x_n]$ . We endow the linear space  $\mathbb{K}^{m+1}$  for  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$  with the Euclidean topology (in the case  $\mathbb{K} = \mathbb{R}$ , it is induced by the Euclidean norm, whereas in the case  $\mathbb{K} = \mathbb{C}$ , it is induced by the norm associated to its usual Hermitian inner product). The projective spaces  $\mathbb{K}P^m$  (endowed with the previous topology) can be embedded as real algebraic submanifolds of  $\mathbb{R}^M$  for some positive integer  $M$  large enough [1, §.3.4.1 & Prop.3.4.6].

A *complex rational curve* is the image of  $\mathbb{C}P^1$  under a birational map, which is by [28, Prop.(7.1)] in addition regular, because  $\mathbb{C}P^1$  does not have singular points (see also Lemma 2.3). We denote the *set of points* of the semialgebraic set  $S$  that have local dimension  $k$  with  $S_{(k)}$ , which is a semialgebraic subset of  $S$ . If  $k = \dim(S)$ , then  $S_{(k)}$  is in addition closed in  $S$ . A *real rational curve* is a real projective irreducible curve  $C$  such that  $C_{(1)}$  is the image of  $\mathbb{R}P^1$  under a birational map, which by Lemma 2.3 is in addition a regular map.

We also deal with the *irreducibility of analytic set germs*. A set germ  $X_p$  of  $\mathbb{K}P^n_p$  is *analytic* if there exist finitely many analytic functions  $f_1, \dots, f_s$  on a neighborhood  $U$  of  $p$  (for instance, polynomial or regular on  $\{p\}$ ) such that  $X_p$  is the set germ at  $p$  of the common zero set of  $f_1, \dots, f_s$ . An analytic set germ  $X_p$  is *reducible* if there exist analytic set germs  $X_{1,p}$  and  $X_{2,p}$  such that  $X_p = X_{1,p} \cup X_{2,p}$  and  $X_p \neq X_{i,p}$  for  $i = 1, 2$ . Otherwise, we say that  $X_p$  is *irreducible*. The *analytic closure* of a set germ  $S_p$  of  $\mathbb{K}P^n_p$  is the smallest analytic set germ  $X_p$  that contains  $S_p$ .

### 1.3.1 | State of the art

We recall the geometric characterization of the one-dimensional polynomial images of affine spaces proposed in [4, Thm.1.1 & Prop.1.2] (see also [9, Prop.2.1, Cor.2.2]) and the description of those with  $p_{\mathfrak{A}} = 1$ .

**Theorem 1.1.** *Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set. The following conditions are equivalent.*

- (i)  $p_{\mathfrak{A}}(S) \leq 2$ .
- (ii)  $p_{\mathfrak{A}}(S) < +\infty$ .
- (iii)  *$S$  is irreducible, unbounded and  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)$  is an invariant rational curve such that the set of points at infinity  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})$  is a singleton  $\{p\}$  and the analytic set germ  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible.*

We also have:  $p_{\mathfrak{A}}(S) = 1$  if and only if  $p_{\mathfrak{A}}(S) < +\infty$  and  $S$  is closed in  $\mathbb{R}^m$ .

The counterpart of the previous results in the regular setting, already proved in [4, Thm.1.3 & Prop.1.4], consists of the full geometric characterization of the one-dimensional regular images of affine spaces and the description of those with  $r_{\mathfrak{A}} = 1$ .

**Theorem 1.2.** *Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set. The following conditions are equivalent:*

- (i)  $r_{\mathfrak{A}}(S) \leq 2$ .
- (ii)  $r_{\mathfrak{A}}(S) < +\infty$ .
- (iii)  $S$  is irreducible and  $\text{Cl}_{\mathbb{R}^m}^{\text{Zar}}(S)$  is a rational curve.

We also have:  $r_{\mathfrak{A}}(S) = 1$  if and only if  $r_{\mathfrak{A}}(S) < +\infty$  and either

- (1)  $\text{Cl}_{\mathbb{R}^m}(S) = S$  or
- (2)  $\text{Cl}_{\mathbb{R}^m}(S) \setminus S = \{p\}$  is a singleton and the analytic closure of the set germ  $S_p$  is irreducible.

Remarks 1.3.

- (i) There is no one-dimensional semialgebraic set  $S \subset \mathbb{R}^m$  with  $p_{\mathfrak{A}}(S) = 2$  and  $r_{\mathfrak{A}}(S) = 1$ , see [4, Cor.1.5].
- (ii) Let  $S \subset \mathbb{R}^m$  be a semialgebraic set of dimension 1. We claim:  $r_{\mathfrak{A}}(S) = rs_{\mathfrak{A}}(S)$ .

As regular functions are regulous functions,  $rs_{\mathfrak{A}}(S) \leq r_{\mathfrak{A}}(S)$ . Let us check: If  $rs_{\mathfrak{A}}(S) < +\infty$ , then  $r_{\mathfrak{A}}(S) < +\infty$ . This implies the equivalence:  $rs_{\mathfrak{A}}(S) < +\infty$  if and only if  $r_{\mathfrak{A}}(S) < +\infty$ . By Theorem 1.2, we have to prove:  $\text{Cl}_{\mathbb{R}^m}^{\text{Zar}}(S)$  is a rational curve and  $S$  is irreducible.

Let  $n \geq 1$  and  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a regulous map such that  $f(\mathbb{R}^n) = S$ . By [4, Lem.2.2(i) & Lem.2.3(i)], there exists a rational function  $g \in \mathbb{R}(x_1, \dots, x_n)$  and a regular map  $h : \mathbb{R} \rightarrow \mathbb{R}^m$  such that  $f = h \circ g$ . Then,  $S \subset T := \text{im}(h)$ . By [4, Lem.2.2(ii)], the Zariski closure  $\text{Cl}_{\mathbb{R}^m}^{\text{Zar}}(T)$  is a rational curve. As  $S$  is one-dimensional and  $\text{Cl}_{\mathbb{R}^m}^{\text{Zar}}(T)$  is irreducible,  $\text{Cl}_{\mathbb{R}^m}^{\text{Zar}}(S) = \text{Cl}_{\mathbb{R}^m}^{\text{Zar}}(T)$  is a rational curve.

To prove that  $S$  is irreducible, it is enough to show by [5, Main Thm.1.4 & Lem.7.3] that  $S$  is connected by analytic paths. Pick two different points  $y_1, y_2 \in S$  and let  $x_1, x_2 \in \mathbb{R}^n$  be such that  $f(x_i) = y_i$  for  $i = 1, 2$ . Consider the line  $L \subset \mathbb{R}^n$  that passes through  $x_1, x_2$  and let  $\varphi : \mathbb{R} \rightarrow \mathbb{R}^n$  be an affine parameterization of  $L$  such that there exist values  $t_1 < t_2$  in  $\mathbb{R}$  satisfying  $\varphi(t_i) = x_i$  for  $i = 1, 2$ . The map  $f \circ \varphi : \mathbb{R} \rightarrow \mathbb{R}^m$  is regulous, so by [22, Cor.3.6],  $f$  is a regular map, and consequently, it is an analytic map. Thus,  $\alpha := (f \circ \varphi)|_{[t_1, t_2]} : [t_1, t_2] \rightarrow S$  is an analytic path that connects  $y_1$  and  $y_2$ , so  $S$  is connected by analytic paths.

Suppose next that  $r_{\mathfrak{A}}(S) < +\infty$ . By Theorem 1.2, we have  $rs_{\mathfrak{A}}(S) \leq r_{\mathfrak{A}}(S) \leq 2$ . If  $rs_{\mathfrak{A}}(S) = 2$ , then  $2 = rs_{\mathfrak{A}}(S) \leq r_{\mathfrak{A}}(S) \leq 2$ , so  $rs_{\mathfrak{A}}(S) = r_{\mathfrak{A}}(S) = 2$ . Assume next  $rs_{\mathfrak{A}}(S) = 1$ . As the regulous maps on  $\mathbb{R}$  coincide by [22, Cor.3.6] with the regular maps on  $\mathbb{R}$ , we deduce  $r_{\mathfrak{A}}(S) = rs_{\mathfrak{A}}(S) = 1$ .

In the Nash case, we have the following two conclusive results proved in [2, 3, 5].

**Theorem 1.4** [5, Prop.1.6]. *Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set. The following conditions are equivalent:*

- (i)  $n_{\mathfrak{A}}(S) = 1$ .
- (ii)  $n_{\mathfrak{A}}(S) < +\infty$ .
- (iii)  $S$  is irreducible.

**Theorem 1.5** [2, Prop.1.20], [3, Thm.1.10]. *Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set. The following conditions are equivalent:*

- (i)  $n_{\mathfrak{B}}(S) = 1$ .
- (ii)  $n_{\mathfrak{C}}(S) = 1$ .
- (iii)  $n_{\mathfrak{C}}(S) < +\infty$ .
- (iv)  $n_{\mathfrak{B}}(S) < +\infty$ .
- (v)  $S$  is irreducible and compact.

### 1.4 | Main results

The main results of this article, which will be proved in Section 3, are the following. We begin with the invariants corresponding to regular and regulous cases.

**Theorem 1.6.** *Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set. The following conditions are equivalent:*

- (i)  $r_{\mathfrak{B}}(S) = 1$ .
- (ii)  $r_{\mathfrak{B}}(S) < +\infty$ .
- (iii)  $rs_{\mathfrak{B}}(S) = 1$ .
- (iv)  $rs_{\mathfrak{B}}(S) < +\infty$ .
- (v)  $r_{\mathfrak{C}}(S) = 1$ .
- (vi)  $r_{\mathfrak{C}}(S) < +\infty$ .
- (vii)  $rs_{\mathfrak{C}}(S) = 1$ .
- (viii)  $rs_{\mathfrak{C}}(S) < +\infty$ .
- (ix)  $S$  is irreducible, compact and  $Cl_{\mathbb{R}P^m}^{\text{zar}}(S)$  is a rational curve.

We present next the results for the polynomial case. We begin with the invariant corresponding to closed balls, which is simpler.

**Theorem 1.7.** *Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set. The following conditions are equivalent:*

- (i)  $p_{\mathfrak{B}}(S) = 1$ .
- (ii)  $p_{\mathfrak{B}}(S) < +\infty$ .
- (iii)  $S$  is irreducible, compact and  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)$  is an invariant rational curve such that the set of points at infinity  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})$  is a singleton  $\{p\} \subset H_{\infty}^m(\mathbb{R})$  and the analytic set germ  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible.

The case of polynomial images of spheres presents the following peculiarity, which contrast with the polynomial images of affine spaces: *The family of polynomial images of the circle  $\mathbb{S}^1$  is larger than the family of the polynomial images of the spheres  $\mathbb{S}^k$  of dimension  $k \geq 2$ .* This statement is deduced from Theorem 1.8 and Proposition 1.12 below. In fact, the reader can check using the quoted two results that  $\mathbb{S}^1$  is an example of a one-dimensional semialgebraic set, which is a polynomial image of  $\mathbb{S}^1$ , but it is not a polynomial image of  $\mathbb{S}^k$  for each  $k \geq 2$ . (*Hint:*  $Cl_{\mathbb{C}P^2}^{\text{zar}}(\mathbb{S}^1) \cap H_{\infty}^2(\mathbb{C}) = \{[0 : 1 : i], [0 : 1 : -i]\}$ ). We denote along this article  $i := \sqrt{-1}$ .

We characterize next the images of  $\mathbb{S}^1$  in  $\mathbb{R}^m$  under polynomial maps.

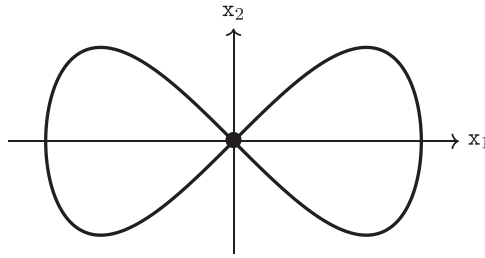


FIGURE 1 Geronon's lemniscate

**Theorem 1.8.** *Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set. The following conditions are equivalent:*

- (i)  $p_{\mathbb{R}^m}(S) = 1$ .
- (ii)  $S$  is irreducible, compact and the Zariski closure  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$  is an invariant rational curve such that one of the following three situations hold:
  - (1)  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p\}$  is a singleton (which belongs to  $H_{\infty}^m(\mathbb{R})$ ) and the analytic set germ  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  is irreducible.
  - (2)  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p\}$  is a singleton (which belongs to  $H_{\infty}^m(\mathbb{R})$ ), the analytic set germ  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  has exactly two irreducible components that are conjugated, and  $S = Cl_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S)_{(1)}$ .
  - (3)  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{q, \bar{q}\}$  (where the points  $q, \bar{q} \notin H_{\infty}^m(\mathbb{R})$ ), the analytic set germs  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_q$  and  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_{\bar{q}}$  are irreducible and conjugated, and  $S = Cl_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S)_{(1)}$ .

Remarks and Examples 1.9. Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set.

- (i) We will prove in Lemma 2.7 that if  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p\}$  and  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  is irreducible, then  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is unbounded (case (ii.1) above).

An example of this situation is  $S := [-1, 1] \times \{0\} \subset \mathbb{R}^2$ . We have  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) = \{x_2 = 0\}$ ,  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) \cap H_{\infty}^2(\mathbb{C}) = \{p := [0 : 1 : 0]\}$ , and  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)_p = \{x_2 = 0\}_p$  is irreducible.

- (ii) We will prove in Lemma 2.8 that if  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p\}$  is a singleton and the analytic set germ  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  has exactly two irreducible components that are conjugated, then  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is bounded (case (ii.2) above).

An example of this situation is Geronon's lemniscate  $S := \{x_2^2 - x_1^2 + x_1^4 = 0\} \subset \mathbb{R}^2$  (Figure 1). Then  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) = \{x_0^2(x_2^2 - x_1^2) + x_1^4 = 0\}$ ,  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) \cap H_{\infty}^2(\mathbb{C}) = \{p := [0 : 0 : 1]\}$  and  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)_p$  has two conjugated irreducible components parameterized by  $\alpha := [i \frac{t^2}{\sqrt{1-t^2}} : t : 1]$  and  $\bar{\alpha} := [-i \frac{t^2}{\sqrt{1-t^2}} : t : 1]$ . Observe that  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)$  is the rational curve parameterized by

$$\Pi : \mathbb{C}\mathbb{P}^1 \rightarrow Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S), [t_0 : t_1] \mapsto [(t_0^2 + t_1^2)^2 : t_1^4 - t_0^4 : 2t_0t_1(t_1^2 - t_0^2)]$$

and  $S$  is the image of  $\mathbb{S}^1$  under the polynomial map  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2, (x, y) \mapsto (x, xy)$ .

- (iii) If  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{q, \bar{q}\}$  (with  $q \neq \bar{q}$ ) and the analytic set germs  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_q$  and  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_{\bar{q}}$  are irreducible and conjugated, then  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is bounded (case (ii.3) above).

As  $Cl_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{q, \bar{q}\}$ , we have  $Cl_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{R}) = \emptyset$ , so  $Cl_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S) = Cl_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is compact.

An example of this situation is  $S := \{x_1^2 + x_2^2 - 1 = 0\} \subset \mathbb{R}^2$ . Then,  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) = \{x_1^2 + x_2^2 - x_0^2 = 0\}$ ,  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) \cap H_\infty^2(\mathbb{C}) = \{q := [0 : 1 : i], \bar{q} := [0 : 1 : -i]\}$  and the analytic set germs  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_q$  and  $Cl_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_{\bar{q}}$  are irreducible, nonsingular, and conjugated. We have used that  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)$  is a nonsingular invariant (complex) projective algebraic set.

### 1.4.1 | Images of the unit circumference under Laurent polynomials

The polynomial images of  $\mathbb{S}^1$  in  $\mathbb{R}^2$  coincide with the images of  $\mathbb{S}^1$  under Laurent polynomials  $f \in \mathbb{C}[z, z^{-1}]$  in one variable  $z$  with coefficients in  $\mathbb{C}$ . We refer the reader to [25, Thm.2.1] (whose proof strongly relies on [36]) for a result that explores the algebraic structures of such images. This result is not fully conclusive, but it is crucial to analyze situations (ii.2) and (iii.3) of Theorem 1.8. As a consequence of Theorem 1.8, we provide the full characterization of the images of  $\mathbb{S}^1$  under Laurent polynomials completing the valuable information provided in [25, Thm.2.1].

**Corollary 1.10.** *Let  $S \subset \mathbb{C} \equiv \mathbb{R}^2$  be a one-dimensional semialgebraic set. The following conditions are equivalent.*

- (i) *There exists a Laurent polynomial  $f \in \mathbb{C}[z, z^{-1}]$  such that  $f(\mathbb{S}^1) = S$ .*
- (ii)  *$S$  is irreducible, compact and the Zariski closure  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)$  is an invariant rational curve such that one of the following three situations hold:*
  - (1)  *$Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) \cap H_\infty^2(\mathbb{C}) = \{p\}$  is a singleton (which belongs to  $H_\infty^2(\mathbb{R})$ ) and the analytic set germ  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)_p$  is irreducible.*
  - (2)  *$Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) \cap H_\infty^2(\mathbb{C}) = \{p\}$  is a singleton (which belongs to  $H_\infty^2(\mathbb{R})$ ), the analytic set germ  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)_p$  has exactly two irreducible components that are conjugated, and  $S = Cl_{\mathbb{R}\mathbb{P}^2}^{\text{zar}}(S)_{(1)}$ .*
  - (3)  *$Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) \cap H_\infty^2(\mathbb{C}) = \{q, \bar{q}\}$  (where  $q, \bar{q} \notin H_\infty^2(\mathbb{R})$ ), the analytic set germs  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)_q$  and  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S)_{\bar{q}}$  are irreducible and conjugated, and  $S = Cl_{\mathbb{R}\mathbb{P}^2}^{\text{zar}}(S)_{(1)}$ .*

*Remark 1.11.* In reference to [25, Thm.2.1], observe that in case (ii.1) the Zariski closure  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) \cap \mathbb{R}^2$  is unbounded (use Lemma 2.7), so the difference  $Cl_{\mathbb{R}\mathbb{P}^2}^{\text{zar}}(S) \setminus S$  is an infinite (one-dimensional semialgebraic) set (because  $S$  is compact), whereas in cases (ii.2) and (ii.3), the Zariski closure  $Cl_{\mathbb{C}\mathbb{P}^2}^{\text{zar}}(S) \cap \mathbb{R}^2$  is bounded (use Lemma 2.8 and Remark 1.9(iii)) and the difference  $Cl_{\mathbb{R}\mathbb{P}^2}^{\text{zar}}(S) \setminus S = Cl_{\mathbb{R}\mathbb{P}^2}^{\text{zar}}(S)_{(0)}$  is a finite set (maybe empty).

### 1.4.2 | Images of the unit spheres of higher dimension

As a consequence of Theorem 1.7 and Proposition 1.12, if we consider spheres  $\mathbb{S}^k$  for some  $k \geq 2$  instead of the circumference  $\mathbb{S}^1$ , one realizes that the polynomial images of  $\mathbb{S}^k$  coincide with those of a closed interval. By [37, Thm.2], all polynomial maps  $f : \mathbb{S}^2 \rightarrow \mathbb{S}^1$  are constant. Consequently, by [1, Lem.13.1.1], all polynomial maps  $f : \mathbb{S}^k \rightarrow \mathbb{S}^1$  are constant for each  $k \geq 2$ .

**Proposition 1.12.** *Let  $S \subset \mathbb{R}^m$  be a one-dimensional semialgebraic set. The following conditions are equivalent.*

- (i)  *$S$  is the image of  $\mathbb{S}^2$  under a polynomial map  $f : \mathbb{R}^3 \rightarrow \mathbb{R}^m$ .*
- (ii)  *$S$  is the image of  $\mathbb{S}^k$  under a polynomial map  $f : \mathbb{R}^{k+1} \rightarrow \mathbb{R}^m$  for some  $k \geq 2$ .*

(iii)  $S$  is irreducible, compact,  $\text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is unbounded and  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)$  is an invariant rational curve such that the set of points at infinity  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})$  is a singleton  $\{p\}$  and the analytic set germ  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible.

Remarks 1.13. Proposition 1.12 alternatively proves that all polynomial maps  $f : \mathbb{S}^k \rightarrow \mathbb{S}^1$  are constant if  $k \geq 2$  (see also [37, Thm.2] and [1, Lem.13.1.1]).

## 2 | MAIN TOOLS

In this section, we present the main tools used to prove the results proposed in this article. We will use usual concepts of (complex) Algebraic Geometry such as rational map, regular map, normalization, and so on, and refer the reader to [28, 29, 32] for further details. We begin proving that compact algebraic sets of dimension  $\geq 1$  are not images of compact subsets  $K \subset \mathbb{R}^n$  with nonempty interior under polynomial maps.

**Lemma 2.1.** *Let  $X \subset \mathbb{R}^m$  be a compact algebraic set and  $f := (f_1, \dots, f_m) : \mathbb{R}^n \rightarrow \mathbb{R}^m$  a polynomial map. Let  $K \subset \mathbb{R}^n$  be a compact set with non-empty interior in  $\mathbb{R}^n$  such that  $f(K) \subset X$ . Then,  $f(K)$  is a singleton contained in  $X$ .*

*Proof.* Let  $B \subset K$  be a nonempty open ball. We claim: *The Zariski closures of both  $f(\mathbb{R}^n)$  and  $f(B)$  coincide.*

If  $g \in \mathbb{R}[x_1, \dots, x_m]$  satisfies  $g(f(B)) = 0$ , then  $(g \circ f)|_B = 0$ , so by the Identity Principle,  $g \circ f = 0$  on  $\mathbb{R}^n$  and  $g(f(\mathbb{R}^n)) = 0$ . Thus,  $\text{Cl}^{\text{zar}}(f(\mathbb{R}^n)) \subset \text{Cl}^{\text{zar}}(f(B)) \subset \text{Cl}^{\text{zar}}(f(\mathbb{R}^n))$ , so  $\text{Cl}^{\text{zar}}(f(\mathbb{R}^n)) = \text{Cl}^{\text{zar}}(f(B))$ .

As  $f(B) \subset f(K) \subset X$  and  $X$  is an algebraic set,  $f(\mathbb{R}^n) \subset \text{Cl}^{\text{zar}}(f(\mathbb{R}^n)) = \text{Cl}^{\text{zar}}(f(B)) \subset X$ . As  $X$  is bounded, we deduce  $f(\mathbb{R}^n)$  is bounded, so it is by [8, Rem.1.3(3)] a singleton (contained in  $X$ ), as required. □

We will use freely along this work the existence of regular maps  $f : \mathbb{R}^n \rightarrow \mathbb{R}^{n+1}$  such that  $f(\overline{B}_n) = \mathbb{S}^n$  (see [21, Cor.2.9 & Lem.A.4]). We recall here an explicit regular map  $f : \mathbb{R} \rightarrow \mathbb{R}^2$  such that  $f(\overline{B}_1) = \mathbb{S}^1$  for the case  $n = 1$ .

**Example 2.2.** Let us show that  $\mathbb{S}^1$  and  $\mathbb{R}P^1$  are regular images of  $[-1, 1]$ . Since  $\mathbb{R}P^1$  is the image of  $\mathbb{S}^1$  via the canonical projection  $\pi : \mathbb{S}^1 \rightarrow \mathbb{R}P^1$ , it is enough to prove that  $\mathbb{S}^1$  is a regular image of  $[-1, 1]$ . To that end, we may take for instance the regular map

$$f : \mathbb{R} \rightarrow \mathbb{S}^1, t \mapsto \left( \left( \frac{2t}{t^2 + 1} \right)^2 - \left( \frac{t^2 - 1}{t^2 + 1} \right)^2, 2 \left( \frac{2t}{t^2 + 1} \right) \left( \frac{t^2 - 1}{t^2 + 1} \right) \right),$$

which satisfies  $f(\overline{B}_1) = f([-1, 1]) = \mathbb{S}^1$ . The previous map  $f$  is the composition of the inverse of the stereographic projection

$$\varphi : \mathbb{R} \rightarrow \mathbb{S}^1, t \mapsto \left( \frac{2t}{t^2 + 1}, \frac{t^2 - 1}{t^2 + 1} \right)$$

of  $\mathbb{S}^1$  from  $(0,1)$  with

$$g : \mathbb{C} \equiv \mathbb{R}^2 \rightarrow \mathbb{C} \equiv \mathbb{R}^2, z = x + iy \equiv (x, y) \mapsto z^2 \equiv (x^2 - y^2, 2xy).$$

We recall the following useful and well-known fact concerning the regularity of rational maps defined on a nonsingular curve [28, Prop.(7.1)] that will be used several times. As a straightforward consequence of the following result applied to  $Z = \mathbb{C}\mathbb{P}^1$ , the reader can deduce (alternatively to [22, Prop.3.5]) that each regulous map  $f : \mathbb{R} \rightarrow \mathbb{R}^m$  is in fact a regular map.

**Lemma 2.3.** *Let  $Z \subset \mathbb{C}\mathbb{P}^n$  be a nonsingular projective curve and  $F : Z \dashrightarrow \mathbb{C}\mathbb{P}^m$  a rational map. Then,  $F$  can be (uniquely) extended to a regular map  $F' : Z \rightarrow \mathbb{C}\mathbb{P}^m$ . Moreover, if  $Z, F$  are invariant, then also  $F'$  is invariant.*

## 2.1 | Normalization of an algebraic curve

A main tool to prove the results of this article will be the normalization of either affine or projective algebraic curves  $X$  of either  $\mathbb{C}^n$  or  $\mathbb{C}\mathbb{P}^n$ . We refer the reader to [32, Ch.II.§5] and [29, III.§9] for a detailed exposition. Let  $X$  be an either affine or projective algebraic curve  $X$  of either  $\mathbb{E}_{\mathbb{C}}^n := \mathbb{C}^n$  or  $\mathbb{C}\mathbb{P}^n$  and denote the set of singular points of  $X$  with  $\text{Sing}(X)$ . The normalization  $(\tilde{X}, \Pi)$  of  $X$  is a pair constituted by a nonsingular algebraic set  $\tilde{X} \subset \mathbb{E}_{\mathbb{C}}^k$  and a (birational) regular map  $\Pi : \tilde{X} \rightarrow X$  such that the restriction  $\Pi|_{\tilde{X} \setminus \Pi^{-1}(\text{Sing}(X))} : \tilde{X} \setminus \Pi^{-1}(\text{Sing}(X)) \rightarrow X \setminus \text{Sing}(X)$  is a biregular diffeomorphism. The normalization is unique up to a biregular diffeomorphism. Recall that all fibers of  $\Pi : \tilde{X} \rightarrow X$  are finite and if  $x \in X$  is a nonsingular point, then the fiber of  $x$  is a singleton. If  $X$  is a complex algebraic curve, the cardinal of the fiber of a point  $x \in X$  coincides with the number of irreducible components of the analytic set germ  $X_x$ . If  $\Pi^{-1}(x) := \{z_1, \dots, z_r\}$ , the irreducible components of the analytic set germ  $X_x$  are  $\Pi(\tilde{X}_{z_1}), \dots, \Pi(\tilde{X}_{z_r})$ .

Denote the complex conjugation of  $\mathbb{E}_{\mathbb{C}}^n$  with  $\sigma_n$ . If  $X$  is an invariant complex algebraic curve, we may assume that both  $\tilde{X}$  and  $\Pi$  are also invariant. To prove this, one can construct  $(\tilde{X}, \Pi)$  as the desingularization of  $X$  via a finite chain of suitable invariant blowing-ups.

Denote with  $\mathbb{E}_{\mathbb{R}}^m$  either  $\mathbb{R}^m$  or  $\mathbb{R}\mathbb{P}^m$ , let  $X \subset \mathbb{E}_{\mathbb{R}}^m$  be a real algebraic curve and denote  $Y := \text{Cl}_{\mathbb{E}_{\mathbb{R}}^m}^{\text{zar}}(X)$ . Let  $(\tilde{Y} \subset \mathbb{E}_{\mathbb{R}}^k, \Pi)$  be an invariant normalization of  $Y$ . We claim:

(•) If  $\tilde{Z} := \tilde{Y} \cap \mathbb{E}_{\mathbb{R}}^k$  and  $Z := \text{Cl}_{\mathbb{E}_{\mathbb{R}}^m}^{\text{zar}}(X)$ , then  $\Pi(\tilde{Z}) = Z_{(1)}$ .

*Proof.* Pick a nonsingular point  $z \in Z$ . Then, there exists a unique point  $w \in \tilde{Y}$  such that  $\Pi(w) = z$ . As  $\Pi$  is invariant,  $\Pi(\sigma_k(w)) = \sigma_m(\Pi(w)) = \sigma_m(z) = z$ , so  $w = \sigma_k(w) \in \tilde{Z}$  (because the fiber of  $z$  is a singleton). Thus,  $Z_{(1)} \setminus \text{Sing}(Z) \subset \Pi(\tilde{Z})$ . As  $\Pi|_{\tilde{Z}}$  is proper and  $Z_{(1)} \setminus \text{Sing}(Z)$  is dense in  $Z_{(1)}$ , we have  $Z_{(1)} \subset \Pi(\tilde{Z})$ . As  $\tilde{Y}$  is an invariant nonsingular projective algebraic curve, and the intersection  $\tilde{Z} := \tilde{Y} \cap \mathbb{E}_{\mathbb{R}}^k$  is a nonsingular projective real algebraic curve, so it is pure dimensional of dimension 1. As  $\Pi^{-1}(\text{Sing}(Y))$  is a finite set and  $\Pi|_{\tilde{Y} \setminus \Pi^{-1}(\text{Sing}(Y))} : \tilde{Y} \setminus \Pi^{-1}(\text{Sing}(Y)) \rightarrow Y \setminus \text{Sing}(Y)$  is a biregular isomorphism, we deduce that  $\Pi(\tilde{Z} \setminus \Pi^{-1}(\text{Sing}(Y))) \subset Z_{(1)}$  (because  $\tilde{Z}$  is pure dimensional of dimension 1 and  $\Pi^{-1}(\text{Sing}(Y))$  is a finite set). As  $Z_{(1)}$  is a closed semialgebraic set,  $\tilde{Z} \setminus \Pi^{-1}(\text{Sing}(Y))$  is dense in  $\tilde{Z}$  and  $\Pi|_{\tilde{Z}}$  is continuous, we deduce  $\Pi(\tilde{Z}) \subset Z_{(1)}$ , so  $\Pi(\tilde{Z}) = Z_{(1)}$ , as required.  $\square$

We recall the following result concerning normalizations of invariant rational curves.

**Corollary 2.4.** *Let  $X \subset \mathbb{C}\mathbb{P}^m$  be an invariant rational curve. Let  $\Pi : \tilde{X} \rightarrow X$  be an invariant normalization of  $X$ , where  $\tilde{X} \subset \mathbb{C}\mathbb{P}^n$  is an invariant non-singular algebraic curve. Then  $\mathbb{C}\mathbb{P}^1$  and  $\tilde{X}$  are biregularly diffeomorphic, so we may assume  $\tilde{X} = \mathbb{C}\mathbb{P}^1$ .*

*Proof.* As  $X$  is an invariant rational curve,  $X$  is the image of  $\mathbb{C}\mathbb{P}^1$  under an invariant birational (regular) map  $\varphi : \mathbb{C}\mathbb{P}^1 \rightarrow X$ . Thus, there exists a birational map  $\psi := (\Pi|_{\Pi^{-1}(X \setminus \text{Sing}(X))})^{-1} \circ \varphi|_{\varphi^{-1}(X \setminus \text{Sing}(X))}$  between  $\mathbb{C}\mathbb{P}^1$  and  $\tilde{X}$ . As both  $\mathbb{C}\mathbb{P}^1$  and  $\tilde{X}$  are nonsingular, we deduce by Lemma 2.3 that  $\psi$  extends to  $\mathbb{C}\mathbb{P}^1$  as a regular map and  $\psi^{-1}$  extends to  $\tilde{X}$  as a regular map too. Consequently,  $\mathbb{C}\mathbb{P}^1$  and  $\tilde{X}$  are biregularly diffeomorphic, so we may assume  $\tilde{X} = \mathbb{C}\mathbb{P}^1$ , as required. □

The following two results borrowed from [4] (without proof) are crucial to prove the main results stated in the Introduction.

**Lemma2.5** [4, Lem.2.2]. *Let  $f : \mathbb{R} \rightarrow \mathbb{R}^m$  be a nonconstant rational map and  $S := f(\mathbb{R})$ . Then*

- (i)  *$f$  can be (uniquely) extended to an invariant regular map  $F : \mathbb{C}\mathbb{P}^1 \rightarrow \mathbb{C}\mathbb{P}^m$  such that  $F(\mathbb{C}\mathbb{P}^1) = \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$ .*
- (ii)  *$\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$  is an invariant rational curve and if  $(\mathbb{C}\mathbb{P}^1, \Pi)$  is an invariant normalization of  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$ , there exists an invariant surjective regular map  $\tilde{F} : \mathbb{C}\mathbb{P}^1 \rightarrow \mathbb{C}\mathbb{P}^1$  such that  $F = \Pi \circ \tilde{F}$ .*
- (iii) *If  $f$  is polynomial, we may choose  $\Pi$  and  $\tilde{F}$  such that  $\pi := \Pi|_{\mathbb{R}}$  and  $\tilde{f} := \tilde{F}|_{\mathbb{R}}$  are polynomial. In particular,  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})$  is a singleton  $p$  and the analytic set germ  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  is irreducible.*

**Lemma2.6** [4, Lem.2.3]. *Let  $f := (f_1, \dots, f_m) : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a nonconstant rational map such that its image  $f(\mathbb{R}^n)$  has dimension 1. Then*

- (i)  *$f$  factors through  $\mathbb{R}$ , that is, there exist a rational function  $g \in \mathbb{R}(x)$  and a rational map  $h : \mathbb{R} \rightarrow \mathbb{R}^m$  such that  $f = h \circ g$ .*
- (ii) *If  $f$  is in addition a polynomial map, we may also assume that  $g$  and  $h$  are polynomial.*

## 2.2 | Branches at infinity of a real algebraic curve

We prove next two announced results in the Introduction (Remarks 1.9). Although they are surely well known, we have not found any explicit reference to them in the literature, so we provide explicit proofs of them here. For  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ , we denote the ring of convergent power series in one variable and coefficients in  $\mathbb{K}$  with  $\mathbb{K}\{t\}$  and its field of fractions with  $\mathbb{K}(\{t\})$ .

**Lemma 2.7.** *Let  $S \subset \mathbb{R}^m$  be a semialgebraic set of dimension 1. If  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p\}$  and  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  is irreducible, then  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is unbounded.*

*Proof.* Consider the complex conjugation  $\sigma_m : \mathbb{C}\mathbb{P}^m \rightarrow \mathbb{C}\mathbb{P}^m$ . Both the Zariski closure  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$  and  $H_{\infty}^m(\mathbb{C})$  are invariant (under the complex conjugation  $\sigma_m$ ). Observe that  $\sigma_m(p) \in \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p\}$ , so  $p = \sigma_m(p)$  and  $p \in H_{\infty}^m(\mathbb{R})$ . After an invariant projective change of coordinates that keeps invariant the hyperplane at infinity  $H_{\infty}^m(\mathbb{C})$ , we may assume that

$p = [0 : 1 : 0 : \dots : 0]$ . Consider the chart  $\{x_1 \neq 0\}$  of  $\mathbb{C}P^m$  and identify it with  $\mathbb{C}^m$ , so  $p = (0, \dots, 0)$ . As  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible, there exist, after a linear change of coordinates in  $\mathbb{C}^m$ , by Rückert's parameterization [31, Prop.3.4]:

- irreducible monic polynomials  $P_j \in \mathbb{C}\{x_0\}[x_j]$  of degree  $d_j \geq 1$  such that  $P_j(0, x_j) = x_j^{d_j}$  for  $j = 2, \dots, m$ ,
- polynomials  $Q_j \in \mathbb{C}\{x_0\}[x_2]$  of degree  $< d_2$  for  $j = 3, \dots, m$ ,
- an open (small enough) neighborhood  $U \subset \mathbb{C}^m$  of the origin,

such that

$$Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap U = \{(x_0, x_2, \dots, x_m) \in U : P_2(x_0, x_2) = 0, x_j = \frac{Q_j(x_0, x_2)}{\Delta_2(x_0)} \text{ for } j = 3, \dots, m\}$$

$$\subset \{(x_0, x_2, \dots, x_m) \in U : P_j(x_0, x_j) = 0 \text{ for } j = 2, \dots, m\},$$

where  $\Delta_2 \in \mathbb{C}\{x_0\} \setminus \{0\}$  is the discriminant of  $P_2$ . By Newton–Puiseux theorem [31, Prop.4.5], there exist  $\alpha_2 \in \mathbb{C}\{t\}$  and an integer  $\ell \geq 1$  such that  $\alpha_2(0) = 0$  and  $P_2(t^\ell, \alpha_2) = 0$ . Define  $\alpha_j := \frac{Q_j(t^\ell, t)}{\Delta_2(t^\ell)} \in \mathbb{C}\{t\}$  for  $j = 3, \dots, m$ . As  $P_j(t^\ell, \alpha_j(t)) = 0$  and  $P_j \in \mathbb{C}\{x_0\}[x_j]$  is a monic polynomial such that  $P_j(0, x_j) = x_j^{d_j}$ , we have  $\alpha_j \in \mathbb{C}\{t\}$  (because  $\mathbb{C}\{t\}$  is integrally closed in  $\mathbb{C}\{t\}$ ), as it is a unique factorization domain) and  $\alpha_j(0) = 0$  for  $j = 2, \dots, m$ . We may assume that each  $\alpha_k$  is defined on a disc  $D \subset \mathbb{C}$  centered at the origin. The fibers of  $\alpha := [t^\ell : 1 : \alpha_2 : \dots : \alpha_m] : D \rightarrow Cl_{\mathbb{C}P^m}^{\text{zar}}(S)$  are (complex) analytic subsets of  $D$ . As  $\alpha$  is nonconstant, we deduce that  $\alpha^{-1}(\alpha(z))$  have dimension 0 for each  $z \in D$ . We conclude by [30, Ch.VII.Prop.3, pag.131] that  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_p = \text{im}(\alpha)_p$ , because  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is an irreducible analytic set germ of dimension 1.

As  $S \subset \mathbb{R}^n$  is a semialgebraic set,  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)$  is invariant and there exist finitely many homogeneous polynomials  $F_k \in \mathbb{R}[x_0, x_1, \dots, x_m]$  such that  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S) = \{F_1 = 0, \dots, F_s = 0\}$ . Write  $\alpha_j := \sum_{q \geq 0} a_{jq} t^q$  where each  $a_{jq} \in \mathbb{C}$  and define  $\beta_j := \sum_{q \geq 0} \overline{a_{jq}} \overline{t}^q$ . As

$$0 = \overline{F_k(t^\ell, 1, \alpha_2(t), \dots, \alpha_m(t))} = F_k\left(\overline{t}^\ell, 1, \sum_{q \geq 0} \overline{a_{2q}} \overline{t}^q, \dots, \sum_{q \geq 0} \overline{a_{mq}} \overline{t}^q\right)$$

$$= F_k(\overline{t}^\ell, 1, \beta_2(\overline{t}), \dots, \beta_m(\overline{t})),$$

we deduce  $\beta := [t^\ell : 1 : \beta_2 : \dots : \beta_m] : D \rightarrow Cl_{\mathbb{C}P^m}^{\text{zar}}(S)$  and  $\beta(0) = p \in H_\infty^m(\mathbb{R})$ . As  $\text{im}(\alpha)_p$  is an irreducible analytic set germ of dimension 1, also  $\text{im}(\beta)_p$  is an irreducible analytic set germ of dimension 1. As  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible,  $\text{im}(\alpha)_p = \text{im}(\beta)_p$ .

Consequently, for each  $t \in D \setminus \{0\}$ , there exists  $s \in D \setminus \{0\}$  such that  $t^\ell = s^\ell$  and  $\alpha(t) = \beta(s)$ . In particular, there exists an  $\ell^{\text{th}}$  root of unity  $\zeta(t, s)$  such that  $s = \zeta(t, s)t$  and  $\alpha(t) = \beta(\zeta(t, s)t)$ . As there are only  $\ell$  possible values of  $\zeta(t, s)$ , we deduce taking a sequence in  $D$  converging to the origin and the Identity Principle that there exists an  $\ell^{\text{th}}$  root of unity  $\zeta$  that does neither depend on  $t$  nor on  $s$  such that  $\alpha(t) = \beta(\zeta t)$  for  $t \in D$ , so  $\alpha(t) = \beta(\zeta t)$ . Thus,  $a_{jq} = \overline{a_{jq}} \zeta^q$  for each  $j = 2, \dots, m$  and each  $q \geq 0$ . Write each nonzero  $a_{jq} = \rho_{jq} \theta_{jq}$  where  $\rho_{jq} \in \mathbb{R}$  is a positive real number and  $\theta_{jq} \in \mathbb{C}$  is a complex number of module 1. Consequently,  $\rho_{jq} \theta_{jq} = \rho_{jq} \overline{\theta_{jq}} \zeta^q$ . As  $\rho_{jq} \neq 0$ , we have  $\theta_{jq} = \overline{\theta_{jq}} \zeta^q$  and (multiplying the previous equality by  $\theta_{jq}$ ) we deduce  $\theta_{jq}^2 = \zeta^q$ . Let  $\xi \in \mathbb{C}$  be such that  $\xi^2 = \zeta$ , so  $\theta_{jq}^2 = \xi^{2q} = (\xi^q)^2$  for  $j = 2, \dots, m$  and each  $q \geq 0$  such that  $a_{jq} \neq 0$ . Thus, there

exist  $\varepsilon_{jq} \in \{-1, +1\}$  such that  $\theta_{jq} = \varepsilon_{jq} \xi^q$  for each  $j = 2, \dots, m$  and each  $q \geq 0$  such that  $a_{jq} \neq 0$ . If  $a_{jq} = 0$ , we define  $\rho_{jq} := 0$  and  $\varepsilon_{jq} := 1$ , so  $a_{jq} = \rho_{jq} \varepsilon_{jq} \xi^q$ . We deduce

$$\alpha_j \left( \frac{t}{\xi} \right) = \sum_{q \geq 0} a_{jq} \frac{1}{\xi^q} t^q = \sum_{q \geq 0} \rho_{jq} \varepsilon_{jq} \xi^q \frac{1}{\xi^q} t^q = \sum_{q \geq 0} \rho_{jq} \varepsilon_{jq} t^q \in \mathbb{R}\{t\}$$

for each  $j = 2, \dots, m$  and  $(\frac{t}{\xi})^\ell = \varepsilon t^\ell$  for some  $\varepsilon \in \{-1, 1\}$ , because  $(\xi^\ell)^2 = \zeta^\ell = 1$ . Consequently, there exists  $\delta > 0$  such that  $\gamma := \alpha(\frac{t}{\xi}) : [-\delta, \delta] \rightarrow \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}\mathbb{P}^m$  and  $\gamma(0) = p \in H_\infty^m(\mathbb{R})$ . As  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_\infty^m(\mathbb{R}) = \{p\}$ , we conclude  $\text{im}(\gamma) \setminus \{p\} \subset \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$ , so  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is unbounded, as required. □

**Lemma 2.8.** *Let  $S \subset \mathbb{R}^m$  be a semialgebraic set of dimension 1. If  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_\infty^m(\mathbb{C}) = \{p\}$  and the analytic set germ  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  has exactly two irreducible components that are conjugated, then  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is bounded.*

*Proof.* As  $S \subset \mathbb{R}^n$  is a semialgebraic set,  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$  is invariant and there exist finitely many homogeneous polynomials  $F_1, \dots, F_s \in \mathbb{R}[x_0, x_1, \dots, x_n]$  such that  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) = \{F_1 = 0, \dots, F_s = 0\}$ . As  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_\infty^m(\mathbb{C}) = \{p\}$ , we deduce  $F_k(p) = F_k(\bar{p}) = 0$  for  $k = 1, \dots, s$ . Thus,  $\bar{p} \in \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap H_\infty^m(\mathbb{C}) = \{p\}$ , so  $p = \bar{p} \in H_\infty^m(\mathbb{R})$ . If  $T := \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is unbounded,  $p \in \text{Cl}_{\mathbb{R}\mathbb{P}^m}(T)$ . We embed  $\mathbb{R}\mathbb{P}^m$  as a real algebraic subset of  $\mathbb{R}^{(m+1)^2}$ , see [1, §3.4.2]. By the Nash curve selection lemma [1, Prop.8.1.13], there exists a Nash map  $\alpha : [-1, 1] \rightarrow \mathbb{R}\mathbb{P}^m$  such that  $\alpha(0) = p$  and  $\alpha((0, 1)) \subset T$ . As  $\text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S)$  is a real algebraic set and  $\alpha((0, 1)) \subset \text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S)$ , we deduce by the Identity Principle that  $\alpha([-1, 1]) \subset \text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S)$ .

Let  $D \subset \mathbb{C}$  be a disc centered in the origin such that there exists a holomorphic extension  $\beta : D \rightarrow \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$  of  $\alpha|_{(-\varepsilon, \varepsilon)}$  for some  $0 < \varepsilon < 1$ . As the components of  $\alpha$  are real analytic functions, the coefficients of the Taylor expansions at the origin of the components of  $\beta$  are real numbers. The fibers of  $\beta$  are (complex) analytic subsets of  $D$ . As  $\beta$  is nonconstant, we deduce  $\beta^{-1}(\beta(z))$  have dimension 0 for each  $z \in D$ . By [30, Ch.VII. Prop.3, pag. 131], the set germ  $\text{im}(\beta)_p \subset \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  is a (complex) analytic set germ of dimension 1. In fact, it is irreducible, because  $D$  is an irreducible (complex) analytic set. As  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  has dimension 1,  $\text{im}(\beta)_p$  is one of the irreducible components of the (complex) analytic set germ  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$ . As the coefficients of the Taylor expansions at the origin of the components of  $\beta$  are real numbers,  $\text{im}(\beta)_p$  is invariant under conjugation, which is a contradiction, because  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)_p$  has exactly two irreducible components that are conjugated. Consequently,  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is bounded, as required. □

### 3 | PROOFS OF THE MAIN RESULTS

The main purpose of this section is to prove Theorems 1.6, 1.7, and 1.8. We also prove Corollary 1.10 and Proposition 1.12 at the end of the section.

#### 3.1 | Proof of Theorem 1.6

The chain of implications (i)  $\implies$  (v)  $\implies$  (vii)  $\implies$  (iii)  $\implies$  (i)  $\implies$  (ii)  $\implies$  (vi)  $\implies$  (viii)  $\implies$  (iv) follows from the existence of regular surjective maps between  $\overline{B}_n$  and  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$  for each  $n \geq 1$  and vice versa and the fact that a regulous map on a nonsingular algebraic curve is a regular map.

We prove next (iv)  $\implies$  (ix). By [10, (3.1)(iv)] and [22, Thm.3.11], we deduce that  $S$  is irreducible and as  $f$  is continuous and  $\overline{B}_n$  is compact, also  $S$  is compact. Now let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a rational map such that  $f$  extends continuously to  $\overline{B}_n$  and  $f(\overline{B}_n) = S$ . By Lemma 2.6, there exist a rational function  $g \in \mathbb{R}(x)$  and a rational map  $h := (\frac{h_1}{h_0}, \dots, \frac{h_m}{h_0}) : \mathbb{R} \rightarrow \mathbb{R}^m$  such that  $f = h \circ g$ . By Lemma 2.5, we deduce that  $\text{Cl}_{\mathbb{R}^m}^{\text{zar}}(S)$  is a rational curve.

Let us prove (ix)  $\implies$  (i). Let  $\Pi : \mathbb{C}P^1 \rightarrow \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)$  be the normalization of  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)$ . By §2.1(•), we have  $\Pi(\mathbb{R}P^1) = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$ . If  $S = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$ , then  $S$  is by Example 2.2 a regular image of  $S^1$  and consequently a regular image of  $\overline{B}_1$ . On the other hand, if  $S \neq \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$ , we may assume (after a projective change of coordinates in  $\mathbb{R}P^1$ ) that the image of the point at infinite  $[0 : 1]$  of  $\mathbb{R}P^1$  under  $\Pi$  belongs to  $\text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} \setminus S$ . By [10, Cor.3.5], there exists an interval  $I \subset \mathbb{R} = \mathbb{R}P^1 \setminus \{[0 : 1]\}$  that is the one-dimensional part of  $\Pi^{-1}(S) \cap \mathbb{R}P^1$ . As  $S$  is compact and  $\Pi|_{\mathbb{R}P^1}$  is proper, the interval  $I$  is compact, so we may assume  $I = [-1, 1] = \overline{B}_1$ . Thus,  $S$  is a regular image of  $\overline{B}_1$ , as required.

### 3.2 | Proof of Theorem 1.7

We first prove the equivalence (i)  $\iff$  (ii). The implication left to right is clear. Let us prove the converse. Let  $f := (f_1, \dots, f_m) : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a polynomial map such that  $f(\overline{B}_n) = S$ . As  $S$  is one dimensional, its Zariski closure  $Z$  in  $\mathbb{R}^m$  is also one dimensional. As the interior in  $\mathbb{R}^n$  of  $\overline{B}_n$  is nonempty, we deduce by the Identity Principle  $f(\mathbb{R}^n) \subset Z$ . By Lemma 2.6, there exist a polynomial function  $g \in \mathbb{R}[x]$  and a polynomial map  $h : \mathbb{R} \rightarrow \mathbb{R}^m$  such that  $f = h \circ g$ . Consequently,  $f(\overline{B}_n) = h(g(\overline{B}_n))$ . As  $\overline{B}_n$  is compact and connected,  $g(\overline{B}_n)$  is a compact (nontrivial) interval  $I$  of  $\mathbb{R}$  and, after a change of coordinates in  $\mathbb{R}$ , we may assume  $I = [-1, 1]$ . Thus,  $S$  is a polynomial image of  $\overline{B}_1$ .

Let us prove (i)  $\implies$  (iii). Let  $f : \mathbb{R} \rightarrow \mathbb{R}^m$  be a polynomial map such that  $f([-1, 1]) = S$  and define  $T := f(\mathbb{R})$ . As  $S$  is one dimensional, its Zariski closure  $Z$  in  $\mathbb{R}^m$  is also one dimensional. As the interior in  $\mathbb{R}$  of  $[-1, 1]$  is nonempty, we deduce by the Identity Principle  $T = f(\mathbb{R}) \subset Z$ , so  $T$  is also one dimensional. By Theorem 1.1, we have that  $T$  is irreducible, unbounded and  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) = \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(Z) = \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(T)$  is an invariant rational curve such that the set of points at infinity  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_\infty^m(\mathbb{C})$  is a singleton  $\{p\}$  and the analytic set germ  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible. In addition,  $S$  is by [10, (3.1)(iv)] irreducible and compact, because  $[-1, 1]$  is irreducible and compact.

We prove next (iii)  $\implies$  (i). As the set of points at infinity  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_\infty^m(\mathbb{C})$  is a singleton  $\{p\}$  and the analytic set germ  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible, the intersection  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is by Lemma 2.7 unbounded. Thus, the one-dimensional component  $T$  of  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is unbounded. In addition,  $T$  is irreducible and  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(T) = \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)$  is an invariant rational curve such that the set of points at infinity  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(T) \cap H_\infty^m(\mathbb{C})$  is a singleton  $\{p\}$  and the analytic set germ  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(T)_p$  is irreducible. Let  $\Pi := [\Pi_0 : \dots : \Pi_m] : \mathbb{C}P^1 \rightarrow \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(T)$  be an invariant normalization of the rational curve  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(T)$  such that  $\Pi([0 : 1]) = p \in H_\infty^m(\mathbb{C})$ . We claim:  $\Pi|_{\mathbb{R}}$  is a polynomial map.

As  $\Pi$  is invariant, we may assume that the components  $\Pi_k$  of  $\Pi$  are real homogeneous polynomials of certain common degree  $d$ . Thus,  $\Pi|_{\mathbb{R}P^1} : \mathbb{R}P^1 \rightarrow \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(T)$  is a real polynomial map. As  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(T) \cap H_\infty^m(\mathbb{C}) = \{p\}$  and the analytic set germ  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(T)_p$  is irreducible,

$$\{\Pi_0 = 0\} = \Pi^{-1}(\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_\infty^m(\mathbb{C})) = \Pi^{-1}(\{p\})$$

is a singleton (see §2.1). As  $\Pi([0 : 1]) = p$ , we deduce  $\{\Pi_0 = 0\} = \{[0 : 1]\}$ . Thus,  $\Pi_0 = \lambda t_0^d$  for some  $\lambda \in \mathbb{R} \setminus \{0\}$  and, in fact, we may assume  $\lambda = 1$ . Consequently,  $\Pi|_{\mathbb{R}}$  is a polynomial map (recall that  $\mathbb{R} \equiv \{t_0 = 1\}$ ), as claimed.

As  $S$  is irreducible, there exists by [10, Thm.3.15] a one-dimensional connected component  $I$  of  $\Pi^{-1}(S) \cap \mathbb{R}\mathbb{P}^1$  such that  $\Pi(I) = S$ . As  $\Pi([0 : 1]) = p$ , we deduce  $I \subset \mathbb{R}$ . As  $\Pi$  is proper and  $S$  is compact,  $I \subset \mathbb{R}$  is compact. We conclude that  $I$  is a nontrivial compact interval. Thus, after a change of coordinates in  $\mathbb{R}$ , we may assume  $I := [-1, 1] = \overline{B}_1$ , so  $S = \Pi|_{\mathbb{R}}(\overline{B}_1)$  is a polynomial image of  $\overline{B}_1$ , as required.

### 3.3 | Polynomial maps on the circle and complex laurent polynomials

Before proving Theorem 1.8, we recall that the restriction to  $\mathbb{S}^1 \subset \mathbb{R}^2$  of a polynomial map  $g := (g_1, g_2) : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  coincides with the restriction to  $\mathbb{S}^1 \subset \mathbb{C} \equiv \mathbb{R}^2$  of a Laurent polynomial  $\Gamma \in \mathbb{C}[z, z^{-1}]$ . Namely, if  $g_1 := \sum_{k,\ell} a_{k\ell} x^k y^\ell$  and  $g_2 := \sum_{k,\ell} b_{k\ell} x^k y^\ell$ , then

$$\Gamma := \sum_{k,\ell} (a_{k\ell} + i b_{k\ell}) \left(\frac{z + \bar{z}}{2}\right)^k \left(\frac{z - \bar{z}}{2i}\right)^\ell = \sum_{k,\ell} (a_{k\ell} + i b_{k\ell}) \left(\frac{z}{2} + \frac{1}{2z}\right)^k \left(\frac{z}{2i} - \frac{1}{2iz}\right)^\ell \in \mathbb{C}[z, z^{-1}],$$

where  $z = x + iy$  and  $z\bar{z} = 1$ . Conversely, if  $\Gamma = \sum_{k=-m}^n \alpha_k z^k \in \mathbb{C}[z, z^{-1}]$  is a Laurent polynomial for some integers  $m, n \geq 0$  and  $\alpha_k := a_k + i b_k$  where  $a_k, b_k \in \mathbb{R}$  for each  $k$ , the restriction  $\Gamma|_{\mathbb{S}^1} : \mathbb{S}^1 \rightarrow \mathbb{C}$  equals

$$\sum_{k=-m}^n \alpha_k z^k = \sum_{k=0}^n \alpha_k z^k + \sum_{k=0}^m \alpha_{-k} \bar{z}^k = \sum_{k=0}^n (a_k + i b_k)(x + iy)^k + \sum_{k=0}^m (a_{-k} + i b_{-k})(x - iy)^k.$$

Considering the real and imaginary parts of the previous expression, we find  $g_1, g_2 \in \mathbb{R}[x, y]$  such that the polynomial map  $g := (g_1, g_2) : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  satisfies  $g|_{\mathbb{S}^1} = \Gamma|_{\mathbb{S}^1}$  after identifying  $\mathbb{C} \equiv \mathbb{R}^2$ .

### 3.4 | Proof of Theorem 1.8

(i)  $\implies$  (ii) Suppose first  $S$  is a polynomial image of  $\mathbb{S}^1$ . As  $\mathbb{S}^1$  is compact,  $S$  is a compact semialgebraic set and by [10, (3.1)(iv)]  $S$  is irreducible.

Let  $g := (g_1, \dots, g_m) : \mathbb{R}^2 \rightarrow \mathbb{R}^m$  be a polynomial map, where  $g_i \in \mathbb{R}[x_1, x_2]$ , such that  $g(\mathbb{S}^1) = S$ . Let  $d := \max\{\deg(g_i) : i = 1, \dots, m\}$  and define  $G_i := g_i(\frac{x_1}{x_0}, \frac{x_2}{x_0})x_0^d$  for  $i = 1, \dots, m$  and  $G_0 := x_0^d$ . Consider the polynomial map  $G := [G_0 : G_1 : \dots : G_m] : \mathbb{C}\mathbb{P}^2 \dashrightarrow \mathbb{C}\mathbb{P}^m$  and its restriction to  $X := \{x_1^2 + x_2^2 - x_0^2 = 0\} \subset \mathbb{C}\mathbb{P}^2$ , which is the Zariski closure of  $\mathbb{S}^1$  in  $\mathbb{C}\mathbb{P}^2$ . By Lemma 2.3,  $G|_X$  extends to  $X$  as a (unique) invariant regular map that we denote with  $G$ . As  $G$  is continuous for the Zariski topology, we deduce  $G(X) \subset \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$ . By [28, Prop.(2.31)], it contains a nonempty Zariski open subset of  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$ . As  $G$  is proper and  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$  is irreducible, we conclude by [28, Thm.(2.33)]  $G(X) = \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$ .

Consider the parameterization  $\Phi : \mathbb{C}\mathbb{P}^1 \rightarrow X, [t_0 : t_1] \rightarrow [t_0^2 + t_1^2 : 2t_0 t_1 : t_1^2 - t_0^2]$ , which is the regular extension to  $\mathbb{C}\mathbb{P}^1$  of the inverse of the stereographic projection

$$\varphi : \mathbb{R} \rightarrow \mathbb{S}^1, t \mapsto \left(\frac{2t}{1+t^2}, \frac{t^2-1}{1+t^2}\right)$$

whose image is  $\mathbb{S}^1 \setminus \{(0, 1)\}$ . Consider the composition  $F := [F_0 : \dots : F_m] = G \circ \Phi : \mathbb{C}\mathbb{P}^1 \rightarrow \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$ , which is surjective, and observe that  $F_0 = (t_0^2 + t_1^2)^d$ . The  $\text{gcd}(F_0, \dots, F_m)$  is an invariant divisor of  $(t_0^2 + t_1^2)^d$ . After dividing each  $F_i$  by such greatest common divisor, we assume  $F_0 = (t_0^2 + t_1^2)^p$  for some integer  $p \geq 1$  and  $\text{gcd}(F_0, \dots, F_m) = 1$ .

Let  $(\tilde{Y} \subset \mathbb{C}\mathbb{P}^k, \Pi)$  be an invariant normalization of  $Y := \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$ . The composition  $\Pi^{-1} \circ F : \mathbb{C}\mathbb{P}^1 \dashrightarrow \tilde{Y} \subset \mathbb{C}\mathbb{P}^k$  defines an invariant rational map that can be extended to an invariant surjective regular map  $\tilde{F} : \mathbb{C}\mathbb{P}^1 \rightarrow \tilde{Y}$  such that  $F = \Pi \circ \tilde{F}$ . Observe that  $\tilde{Y}$  is by [28, Cor.(7.6), Cor.(7.20)] a nonsingular curve of arithmetic genus 0, that is, a nonsingular rational curve [28, Cor.(7.17)]. Consequently, we may take  $\tilde{Y} = \mathbb{C}\mathbb{P}^1$  and  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$  is an invariant rational curve. Thus,  $\Pi(\mathbb{R}\mathbb{P}^1) = \text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S)(1)$  (see §2.1(•)).

Write  $\Pi := (\Pi_0, \dots, \Pi_m)$  and  $\tilde{F} := (\tilde{F}_0, \tilde{F}_1)$  where  $\Pi_i, \tilde{F}_j \in \mathbb{R}[x_0, x_1]$  are homogeneous polynomials and  $\tilde{F}_0, \tilde{F}_1$  are relatively prime. We claim: *One of the following situations hold:*

- (1)  $\tilde{F}$  is an invariant projective change of coordinates in  $\mathbb{C}\mathbb{P}^1$ , so we assume  $F = \Pi$  and  $\Pi_0 = F_0 = (x_0^2 + x_1^2)^p$ .
- (2) After an invariant projective change of coordinates in  $\mathbb{C}\mathbb{P}^1$ , we have  $\Pi_0 = x_0^\ell$  for some  $\ell \geq 1$  and  $\tilde{F}_0 = (x_0^2 + x_1^2)^\ell$  where  $\ell \geq 1$  and  $p = \ell e$ .
- (3) After an invariant projective change of coordinates in  $\mathbb{C}\mathbb{P}^1$ , we have  $\Pi_0 = (x_0^2 + x_1^2)^{e_0}$ ,  $\tilde{F}_1 - i\tilde{F}_0 = \lambda_1(x_0 + ix_1)^k$ ,  $\tilde{F}_1 + i\tilde{F}_0 = \bar{\lambda}_1(x_0 - ix_1)^k$  for some positive integers  $e_0, k$  such that  $p = ke_0$  and some  $\lambda_1 \in \mathbb{C} \setminus \{0\}$ .

Observe first that  $\tilde{F}$  is not constant, because it is surjective. Factorize

$$\Pi_0 = u \prod_{i=1}^e (a_i x_1 - b_i x_0) \in \mathbb{C}[x_0, x_1]$$

where  $u \in \mathbb{R} \setminus \{0\}$ ,  $a_i \in \{0, 1\}$ ,  $b_i \in \mathbb{C}$ ,  $b_i = 1$  if  $a_i = 0$  and  $(a_i, b_i) \neq (0, 0)$  for  $i = 1, \dots, m$ . Denote  $p_i := \tilde{F}_i(1, x_1) \in \mathbb{R}[x_1]$  and observe

$$\prod_{i=1}^e (a_i p_1 - b_i p_0) = \Pi_0(p_0, p_1) = F_0(1, x_1) = (1 + x_1^2)^p = (1 + ix_1)^p (1 - ix_1)^p. \tag{3.1}$$

We proceed in several steps:

STEP 1. Suppose first  $a_1 = 1$  and  $b_1 \in \mathbb{C} \setminus \mathbb{R}$ . As all the involved rational maps are invariant, we may assume  $a_2 = 1$  and  $b_2 = \bar{b}_1$ . As  $p_0, p_1$  are relatively prime (and at least one of them is non constant), we deduce:

$$\begin{cases} p_1 - \underline{b}_1 p_0 = \underline{\lambda}_1 (1 + ix_1)^{k_1}, \\ p_1 - \bar{b}_1 p_0 = \bar{\lambda}_1 (1 - ix_1)^{k_1} \end{cases}$$

for some  $k_1 \geq 1$  and  $\lambda_1 \in \mathbb{C} \setminus \{0\}$ .

Otherwise, either  $k_1 = 0$ , which is a contradiction, because at least one between  $p_0, p_1$  is not constant, or  $(1 + x_1^2)$  divides both  $p_1 - b_1 p_0$  and  $p_1 - \bar{b}_1 p_0$ , so  $(1 + x_1^2)$  divides both  $p_0$  and  $p_1$ , which is a contradiction, because  $p_0, p_1$  are relatively prime. We have the system:

$$\begin{cases} p_1 - \underline{b}_1 p_0 = \underline{\lambda}_1 (1 + ix_1)^{k_1}, \\ p_1 - \bar{b}_1 p_0 = \bar{\lambda}_1 (1 - ix_1)^{k_1}. \end{cases}$$

Consequently,

$$p_0 = \frac{\lambda_1(1 + ix_1)^{k_1} - \overline{\lambda_1}(1 - ix_1)^{k_1}}{\overline{b_1} - b_1} \quad \text{and} \quad p_1 = \frac{\overline{b_1}\lambda_1(1 + ix_1)^{k_1} - b_1\overline{\lambda_1}(1 - ix_1)^{k_1}}{\overline{b_1} - b_1}. \quad (3.2)$$

STEP 2. Suppose that there exists a root  $[a_3 : b_3] \in \mathbb{C}P^1$  of  $\Pi_0$  different from  $[1 : b_1]$  and  $[1 : \overline{b_1}]$ . Then,  $a_3p_1 - b_3p_0 = \lambda_3(1 + ix_1)^{k_3}(1 - ix_1)^{k'_3}$  for some  $k_3, k'_3 \geq 0$  and  $\lambda_3 \in \mathbb{C} \setminus \{0\}$ . Suppose first  $k_3 > 0$  and consider the system:

$$\begin{cases} p_1 - b_1p_0 = \lambda_3(1 + ix_1)^{k_3}, \\ a_3p_1 - b_3p_0 = \lambda_3(1 + ix_1)^{k_3}(1 - ix_1)^{k'_3}. \end{cases}$$

Then,  $p_0, p_1$  share the irreducible factor  $1 + ix_1$ , which is a contradiction. Consequently,  $k_3 = 0$ . If  $k'_3 > 0$ , we consider the system:

$$\begin{cases} p_1 - \overline{b_1}p_0 = \overline{\lambda_3}(1 - ix_1)^{k_3}, \\ a_3p_1 - b_3p_0 = \lambda_3(1 - ix_1)^{k'_3}, \end{cases}$$

and we conclude that  $p_0, p_1$  share the irreducible factor  $1 - ix_1$ , which is a contradiction. Consequently,  $k'_3 = 0$  and  $a_3p_1 - b_3p_0 = \lambda_3$ . By (3.2),

$$\lambda_3(\overline{b_1} - b_1) = (a_3p_1 - b_3p_0)(\overline{b_1} - b_1) = (a_3\overline{b_1}\lambda_1 - b_3\lambda_1)(1 + ix_1)^{k_1} - (a_3b_1\overline{\lambda_1} - b_3\overline{\lambda_1})(1 - ix_1)^{k_1}.$$

We substitute  $x_1 = 0, x_1 = i$  and  $x_1 = -i$  and obtain:

$$\begin{cases} \lambda_3(\overline{b_1} - b_1) = (a_3\overline{b_1}\lambda_1 - b_3\lambda_1) - (a_3b_1\overline{\lambda_1} - b_3\overline{\lambda_1}), \\ \lambda_3(\overline{b_1} - b_1) = -(a_3b_1\overline{\lambda_1} - b_3\overline{\lambda_1})2^{k_1}, \\ \lambda_3(\overline{b_1} - b_1) = (a_3\overline{b_1}\lambda_1 - b_3\lambda_1)2^{k_1}. \end{cases}$$

Thus, using second and third equations, we have  $(a_3\overline{b_1}\lambda_1 - b_3\lambda_1) = -(a_3b_1\overline{\lambda_1} - b_3\overline{\lambda_1})$ . Using now the first equation, we deduce  $\lambda_3(\overline{b_1} - b_1) = 2(a_3b_1\overline{\lambda_1} - b_3\overline{\lambda_1})$ . As  $\lambda_3(\overline{b_1} - b_1) \neq 0$ , we have  $a_3\overline{b_1}\lambda_1 - b_3\lambda_1 \neq 0$ . Thus,

$$\begin{aligned} 2(a_3\overline{b_1}\lambda_1 - b_3\lambda_1) &= (a_3\overline{b_1}\lambda_1 - b_3\lambda_1)((1 + ix_1)^{k_1} + (1 - ix_1)^{k_1}) \\ &\rightsquigarrow 2 = (1 + ix_1)^{k_1} + (1 - ix_1)^{k_1}. \end{aligned}$$

If we substitute  $x_1 = 2i$ , we deduce  $2 = (-1)^{k_1} + 3^{k_1}$ , which only holds if  $k_1 = 1$ . This means by (3.2)

$$p_0 = \frac{\lambda_1(1 + ix_1) - \overline{\lambda_1}(1 - ix_1)}{\overline{b_1} - b_1} \quad \text{and} \quad p_1 = \frac{\overline{b_1}\lambda_1(1 + ix_1) - b_1\overline{\lambda_1}(1 - ix_1)}{\overline{b_1} - b_1}, \quad (3.3)$$

so  $[\widetilde{F}_0 : \widetilde{F}_1] : \mathbb{C}P^1 \rightarrow \mathbb{C}P^1$  is an invariant projective change of coordinates. We may assume, after changing  $\Pi$  by  $F$ , that  $\Pi = F$ , which corresponds to situation (1) above.

STEP 3. Assume next that no root  $[a_3 : b_3] \in \mathbb{C}P^1$  of  $\Pi_0$  is different from either  $[1 : b_1]$  or  $[1 : \overline{b_1}]$ . Thus, the degree  $e$  of  $\Pi_0$  is even, say  $e = 2e_0$ , and

$$\Pi_0 = u(x_1 - b_1x_0)^{e_0}(x_1 - \overline{b_1}x_0)^{e_0}.$$

After an invariant projective change of coordinates in  $\mathbb{C}P^1$  that maps  $[1 : b_1]$  to  $[1 : i]$  and  $[1 : \overline{b_1}]$  to  $[1 : -i]$ , we may assume  $\Pi_0 = u(x_0^2 + x_1^2)^{e_0}$ ,  $\Pi_0(p_0, p_1) = u(p_0^2 + p_1^2)^{e_0} = (1 + x_1^2)^p$  and

$$\begin{cases} p_1 - ip_0 = \varpi_1(1 + ix_1)^{k_1}, \\ p_1 + ip_0 = \overline{\lambda_1}(1 - ix_1)^{k_1}. \end{cases}$$

Consequently,

$$\begin{cases} \widetilde{F}_1 - i\widetilde{F}_0 = \lambda_1(x_0 + ix_1)^{k_1}, \\ \widetilde{F}_1 + i\widetilde{F}_0 = \overline{\lambda_1}(x_0 - ix_1)^{k_1}. \end{cases} \tag{3.4}$$

As  $\Pi_0(\widetilde{F}_0, \widetilde{F}_1) = (x_0^2 + x_1^2)^p$ , we have  $k_1e_0 = p$ . This means that we are in situation (3) above. In Remark 3.1, we will use (3.4) to better understand the regular map  $\widetilde{F}$ .

STEP 4. Assume next the roots of  $\Pi_0$  belong to  $\mathbb{R}P^1$ . Suppose after interchanging the variables  $x_0, x_1$  if necessary that  $a_1 = 1$  and  $[1 : b_1] \neq [a_2 : b_2]$ . As  $p_i \in \mathbb{R}[x_1]$  for  $i = 0, 1$ , we have by (3.1) the system:

$$\begin{cases} p_1 - b_1p_0 = \varpi_1(1 + x_1^2)^{k_1}, \\ a_2p_1 - b_2p_0 = \lambda_2(1 + x_1^2)^{k_2}, \end{cases}$$

for some  $\lambda_1, \lambda_2 \in \mathbb{R} \setminus \{0\}$  and  $k_1, k_2 \geq 0$ . As  $p_0, p_1$  are relatively prime, we deduce that either  $k_1 = 0$  or  $k_2 = 0$ . Suppose that there exists  $[a_3 : b_3] \in \mathbb{R}P^1$  different from  $[1 : b_1]$  and  $[a_2 : b_2]$ . Then, there exists  $\lambda_3 \in \mathbb{R} \setminus \{0\}$  such that

$$a_3p_1 - b_3p_0 = \lambda_3(1 + x_1^2)^{k_3}$$

for some  $k_3 \geq 0$ . As  $p_0$  and  $p_1$  are relatively prime, we deduce that in the triple  $k_1, k_2, k_3$ , there are two integers, which are zero. This implies solving the corresponding linear system that  $p_0$  and  $p_1$  are constant, which is a contradiction. Thus,  $\Pi_0$  has only two different roots  $[1 : b_1]$  and  $[a_2 : b_2]$  of multiplicities  $e_0$  and  $e_1$  such that  $e_0 + e_1 = e$ . Consequently, after an invariant projective change of coordinates in  $\mathbb{C}P^1$  that maps  $[1 : b_1]$  to  $[1 : 0]$  and  $[a_2 : b_2]$  to  $[0 : 1]$ , we may assume  $\Pi_0 = x_0^{e_0}x_1^{e_1}$  (where  $e_0, e_1 \geq 0$ ). We have  $(x_0^2 + x_1^2)^p = F_0 = \Pi_0(\widetilde{F}_0, \widetilde{F}_1) = \widetilde{F}_0^{e_0}\widetilde{F}_1^{e_1}$ , which is a contradiction, because  $\widetilde{F}_0, \widetilde{F}_1 \in \mathbb{R}[x_0, x_1]$  are relatively prime. Thus,  $\Pi_0 = u(a_1x_1 - b_1x_0)^e$  and after an invariant projective change of coordinates in  $\mathbb{C}P^1$ , we may assume  $\Pi_0 = ux_0^e$ . As  $(x_0^2 + x_1^2)^p = F_0 = \widetilde{F}_0^e$ , we conclude that  $\widetilde{F}_0 = (x_0^2 + x_1^2)^\ell$  for some  $\ell \geq 1$  such that  $p = \ell e$ . This corresponds to situation (2) above.

We deduce  $\Pi^{-1}(Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_\infty^m(\mathbb{C}))$  is either equal to  $[0 : 1]$  (in situation (2)) or to  $\{[1 : i], [1 : -i]\}$  (in situations (1) and (3)). We distinguish several cases:

CASE 1.  $\Pi^{-1}(\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})) = \{[0 : 1]\}$ . Then  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p := \Pi([0 : 1])\}$ , so it is a singleton that belongs to  $H_{\infty}^m(\mathbb{R})$ . As  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_p = \Pi(\mathbb{C}P^1_{[0:1]})$ , the analytic set germ  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible. Consequently, by Lemma 2.7,  $\text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} \cap \mathbb{R}^m$  is unbounded.

CASE 2.  $\Pi^{-1}(\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})) = \{[1 : i], [1 : -i]\}$  and  $\Pi([1 : i]) = \Pi([1 : -i])$ . Then,  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p := \Pi([1 : i])\}$ , so it is a singleton that belongs to  $H_{\infty}^m(\mathbb{R})$ , because  $p = \Pi([1 : i]) = \Pi(\sigma_1([1 : i])) = \sigma_m(\Pi([1 : i])) = \sigma_m(p)$ . Observe that  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_p = \Pi(\mathbb{C}P^1_{[1:i]}) \cup \Pi(\mathbb{C}P^1_{[1:-i]})$ , so the analytic set germ  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  has exactly two irreducible components that are conjugated, that is,  $\sigma_m(\Pi(\mathbb{C}P^1_{[1:i]})) = \Pi(\sigma_1(\mathbb{C}P^1_{[1:i]})) = \Pi(\mathbb{C}P^1_{[1:-i]})$ , because  $\Pi$  is invariant. In this case,  $\Pi(\mathbb{R}P^1) = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} \subset \mathbb{R}^m$  (see §2.1(•)) is compact by Lemma 2.8.

CASE 3.  $\Pi^{-1}(\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})) = \{[1 : i], [1 : -i]\}$  and  $q := \Pi([1 : i]) \neq \Pi([1 : -i]) = \bar{q}$ . Then  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{q, \bar{q}\}$  and  $q, \bar{q} \notin H_{\infty}^m(\mathbb{R})$ . Observe that  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_q = \Pi(\mathbb{C}P^1_{[1:i]})$  and  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_{\bar{q}} = \Pi(\mathbb{C}P^1_{[1:-i]})$  are irreducible and conjugated, because  $\Pi$  is invariant, so

$$\sigma_m(\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_q) = \sigma_m(\Pi(\mathbb{C}P^1_{[1:i]})) = \Pi(\sigma_1(\mathbb{C}P^1_{[1:i]})) = \Pi(\mathbb{C}P^1_{[1:-i]}) = \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_{\bar{q}}.$$

In this case,  $\Pi(\mathbb{R}P^1) = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} \subset \mathbb{R}^m$  (see §2.1(•)) is compact, because  $\text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{R}) = \emptyset$ .

It remains to show for CASES 2 and 3 that  $S = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$ . We have already proved in both cases that  $\Pi(\mathbb{R}P^1) = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} \subset \mathbb{R}^m$  is compact, so  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap \mathbb{R}^m = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} \cup (\text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(0)} \cap \mathbb{R}^m)$  is a compact set. As  $S = g(\mathbb{S}^1) \subset \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is a pure dimensional semialgebraic set of dimension 1, we deduce  $S \subset \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$ .

Denote  $Z := \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap \mathbb{C}^m$ , which is an irreducible algebraic set of  $\mathbb{C}^m$  of dimension 1, and let  $\rho : \mathbb{C}^m \rightarrow \mathbb{C}^2$  be an invariant generic projection such that  $Z' := \rho(Z) \subset \mathbb{C}^2$  is an algebraic curve and the restriction  $\rho|_Z : Z \rightarrow Z'$  is (surjective and) generically 1-1. To construct such a projection use Finiteness of Noether’s normalization [24, Thm.1.5.19] and algebraicity of generic projections [24, Lem.2.1.6, Thm.2.2.8]. As  $\rho|_Z$  is invariant and generically 1-1, only finitely many points of  $Z \setminus \mathbb{R}^m$  are mapped onto  $Z' \cap \mathbb{R}^2$  (because conjugated points have conjugated images). Thus, as  $Z \cap \mathbb{R}^m = \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap \mathbb{R}^m$  is a compact set and  $(Z' \cap \mathbb{R}^2)_{(1)} \setminus \rho(Z \setminus \mathbb{R}^m)$  is dense in the pure dimensional semialgebraic set  $(Z' \cap \mathbb{R}^2)_{(1)}$ , we deduce  $(Z' \cap \mathbb{R}^2)_{(1)} \subset \rho(Z \cap \mathbb{R}^m) \subset Z' \cap \mathbb{R}^2$ . Consequently,  $(Z' \cap \mathbb{R}^2)_{(1)}$  is a compact set, so  $Z' \cap \mathbb{R}^2$  is a compact (real) algebraic set.

Consider the polynomial map  $\rho \circ g : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  and let  $\Gamma \in \mathbb{C}[z, z^{-1}]$  be a Laurent polynomial such that  $\Gamma(\mathbb{S}^1) = (\rho \circ g)(\mathbb{S}^1)$  after identifying  $\mathbb{C}$  with  $\mathbb{R}^2$  (see §3.3). We have  $\Gamma(\mathbb{S}^1) = (\rho \circ g)(\mathbb{S}^1) \subset \rho(Z \cap \mathbb{R}^m) \subset Z' \cap \mathbb{R}^2$ , which is a compact set. By [25, Thm.2.1], the difference  $(Z' \cap \mathbb{R}^2) \setminus (\rho \circ g)(\mathbb{S}^1)$  is a finite set (maybe empty), so  $\rho(Z \cap \mathbb{R}^m) \setminus \rho(g(\mathbb{S}^1))$  is also a finite set (maybe empty). As  $\rho|_Z$  is generically 1-1, we deduce that  $(Z \cap \mathbb{R}^m) \setminus g(\mathbb{S}^1)$  is a finite set, so  $(Z \cap \mathbb{R}^m)_{(1)} \setminus g(\mathbb{S}^1)$  is a finite set. As  $\mathbb{S}^1$  is compact and  $(Z \cap \mathbb{R}^m)_{(1)}$  is pure dimensional of dimension 1, we conclude:  $S = g(\mathbb{S}^1) = (Z \cap \mathbb{R}^m)_{(1)} = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$ .

(ii)  $\implies$  (i) As  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)$  is an invariant rational curve, there exists by Corollary 2.4 an invariant normalization  $\Pi := [\Pi_0 : \dots : \Pi_m] : \mathbb{C}P^1 \rightarrow \text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)$ , which is a surjective regular map. In particular,  $\Pi(\mathbb{R}P^1) = \text{Cl}_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$  (see §2.1(•)). We distinguish three cases:

CASE 1.  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p\}$  is a singleton and the analytic set germ  $\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  is irreducible. Thus, we may assume

$$\{\Pi_0 = 0\} = \Pi^{-1}(\text{Cl}_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})) = \{[0 : 1]\}.$$

Consequently,  $\Pi_0 = \lambda t_0^d$  for some  $d \geq 1$  and some  $\lambda \in \mathbb{R} \setminus \{0\}$  (recall that  $\Pi$  is invariant), so we may assume  $\lambda = 1$ . This means that the restriction

$$\Pi|_{\mathbb{R}} : \mathbb{R} \equiv \mathbb{R}P^1 \setminus \{[0 : 1]\} \rightarrow Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap \mathbb{R}^m$$

is a polynomial map. As  $S$  is irreducible and one dimensional,  $S \subset Cl_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} \setminus H_{\infty}^m(\mathbb{R}) = \Pi(\mathbb{R})$  (the last equality holds, because  $\Pi^{-1}(Cl_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} \cap H_{\infty}^m(\mathbb{R})) = \{[0 : 1]\}$ ). As  $S$  is irreducible, the one-dimensional component  $I$  of  $\Pi^{-1}(S)$  is by [10, Thm.3.15] connected,  $I \subset \mathbb{R}$  (because  $\Pi^{-1}(Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})) = \{[0 : 1]\}$ ) and  $\Pi(I) = S$ . As  $S$  is compact and  $\Pi$  is proper, also  $I$  is compact, so  $I$  is a compact interval. After an affine change of coordinates, we may assume  $I = [-1, 1]$ . As  $I$  is a polynomial image of  $\mathbb{S}^1$ , the same happens to  $S$ .

CASE 2.  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{p\}$  is a singleton that belongs to  $H_{\infty}^m(\mathbb{R})$ , the analytic set germ  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_p$  has exactly two irreducible components that are conjugated and  $S = Cl_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$ .

CASE 3.  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C}) = \{q, \bar{q}\}$  (where  $q, \bar{q} \notin H_{\infty}^m(\mathbb{R})$ ), both germs  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_q$  and  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)_{\bar{q}}$  are irreducible and conjugated and  $S = Cl_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)}$ .

We prove both CASES 2 and 3 simultaneously. Recall that  $\Pi := [\Pi_0 : \dots : \Pi_m] : \mathbb{C}P^1 \rightarrow Cl_{\mathbb{C}P^m}^{\text{zar}}(S)$  is an invariant normalization of  $Cl_{\mathbb{C}P^m}^{\text{zar}}(S)$ . Both in CASES 2 and 3 we may assume

$$\{\Pi_0 = 0\} = \Pi^{-1}(Cl_{\mathbb{C}P^m}^{\text{zar}}(S) \cap H_{\infty}^m(\mathbb{C})) = \{[1 : i], [1 : -i]\}.$$

As  $\Pi$  is invariant, we deduce  $\Pi_0 := \lambda(x_0 + ix_1)^p(x_0 - ix_1)^p = \lambda(x_0^2 + x_1^2)^p$  for some integer  $p \geq 1$  and some  $\lambda \in \mathbb{R} \setminus \{0\}$ , so we may assume  $\lambda = 1$ . As all the components of  $\Pi$  are homogeneous polynomials of the same degree, we deduce that such degree is  $2p$ . In addition, by §2.1(•), we have  $\Pi(\mathbb{R}P^1) = Cl_{\mathbb{R}P^m}^{\text{zar}}(S)_{(1)} = S$ .

Consider the regular map

$$\Psi : \{x_1^2 + x_2^2 - x_0^2 = 0\} \rightarrow \mathbb{C}P^1, [x_0 : x_1 : x_2] \mapsto [x_1 : x_2],$$

which is surjective, it is well defined and  $\Psi(\mathbb{S}^1) = \mathbb{R}P^1$ . Define

$$F := [F_0 : \dots : F_m] = \Pi \circ \Psi : \{x_1^2 + x_2^2 - x_0^2 = 0\} \rightarrow \mathbb{C}P^m$$

and observe that  $F_0 = (x_1^2 + x_2^2)^p = x_0^{2p}$  on the set  $\{x_1^2 + x_2^2 - x_0^2 = 0\}$ , so we may assume  $F_0 = x_0^{2p}$ . As  $\mathbb{S}^1 = \{x_1^2 + x_2^2 - x_0^2 = 0, x_0 = 1\}$ , the restriction map

$$f := F|_{\mathbb{S}^1} := [1 : F_1 : \dots : F_m] : \mathbb{S}^1 \rightarrow \mathbb{R}^m$$

is the restriction of a polynomial map to  $\mathbb{S}^1$  and  $f(\mathbb{S}^1) = \Pi(\mathbb{R}P^1) = S$ , as required.

*Remark 3.1.* The invariant regular map  $\tilde{F} := (\tilde{F}_0, \tilde{F}_1) : \mathbb{C}P^1 \rightarrow \mathbb{C}P^1$  of (3.4) satisfies

$$\begin{cases} \tilde{F}_0 = i \frac{\lambda_1}{2}(x_0 + ix_1)^{k_1} - i \frac{\bar{\lambda}_1}{2}(x_0 - ix_1)^{k_1} \\ \tilde{F}_1 = \frac{\lambda_1}{2}(x_0 + ix_1)^{k_1} + \frac{\bar{\lambda}_1}{2}(x_0 - ix_1)^{k_1}. \end{cases}$$

We have  $\tilde{F}|_{\mathbb{R}\mathbb{P}^1} : \mathbb{R}\mathbb{P}^1 \rightarrow \mathbb{R}\mathbb{P}^1$ ,  $[x_0 : x_1] \mapsto [-\mathfrak{F}(\lambda_1(x_0 + ix_1)^{k_1}) : \mathfrak{R}(\lambda_1(x_0 + ix_1)^{k_1})]$  and we may assume that  $\lambda_1 \overline{\lambda_1} = 1$ . We refer the reader to [27, Ch.VIII.§2] for the concept and main properties of the *topological degree of a continuous map*  $f : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ . Consider the regular maps  $\psi : \mathbb{S}^1 \rightarrow \mathbb{R}\mathbb{P}^1$ ,  $(x, y) \mapsto [x : y]$ , which has topological degree 2,  $\phi : \mathbb{R}\mathbb{P}^1 \rightarrow \mathbb{S}^1$ ,  $[t_0 : t_1] \mapsto (\frac{t_1^2 - t_0^2}{t_0^2 + t_1^2}, -\frac{2t_0 t_1}{t_0^2 + t_1^2})$ , which has topological degree 1 (because it is a homeomorphism), and the composition

$$\begin{aligned} \phi \circ \tilde{F}|_{\mathbb{R}\mathbb{P}^1} \circ \psi : \mathbb{S}^1 &\rightarrow \mathbb{S}^1, (x, y) \equiv x + iy =: z \mapsto \lambda_1^2 z^{2k_1} = \lambda_1^2 (x + iy)^{2k_1} \\ &\equiv (\mathfrak{R}(\lambda_1^2 (x_0 + ix_1)^{2k_1}), \mathfrak{I}(\lambda_1^2 (x_0 + ix_1)^{2k_1})), \end{aligned}$$

which has topological degree  $2k_1$ . Consequently,  $\tilde{F}|_{\mathbb{R}\mathbb{P}^1} : \mathbb{R}\mathbb{P}^1 \rightarrow \mathbb{R}\mathbb{P}^1$  has topological degree  $k_1 \geq 1$ .

*Proof of Corollary 1.10.* It is enough to apply Theorem 1.8 for  $m = 2$  using the equivalence between the restrictions to  $\mathbb{S}^1$  of Laurent polynomials in  $\mathbb{C}[z, z^{-1}]$  and polynomial maps  $\mathbb{R}^2 \rightarrow \mathbb{R}^2$  already seen in §3.3. □

*Proof of Proposition 1.12.* The implication (i)  $\implies$  (ii) is clear, so let us prove (ii)  $\implies$  (iii). Let  $k \geq 2$  and let  $f : \mathbb{R}^{k+1} \rightarrow \mathbb{R}^m$  be a polynomial map such that  $f(\mathbb{S}^k) = S$ . Let  $F : \mathbb{C}^{k+1} \rightarrow \mathbb{C}^m$  be the (invariant) polynomial extension of  $f$  to  $\mathbb{C}^{k+1}$ . Let  $Y := \{x_1^2 + \dots + x_{k+1}^2 - x_0^2 = 0\} \subset \mathbb{C}\mathbb{P}^{k+1}$  be the Zariski closure of  $\mathbb{S}^k$  in  $\mathbb{C}\mathbb{P}^{k+1}$ , which is a nonsingular complex projective algebraic set, and let  $X := \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S)$ , which is irreducible, because  $S$  is by [10, (3.1)(iv)] an irreducible semialgebraic set. As  $F$  is continuous for the Zariski topology,  $F$  is a polynomial map and  $F(\mathbb{S}^k) = S$ , we deduce  $F(Y \cap \mathbb{C}^{k+1}) \subset X \cap \mathbb{C}^m$ . By Chevalley’s elimination theorem [28, Thm.(2.31)],  $F(Y \cap \mathbb{C}^{k+1})$  is an invariant constructible subset of  $X \cap \mathbb{C}^m$ . As  $S$  has (real) dimension 1, the intersection  $X \cap \mathbb{C}^m$  has (complex) dimension 1. As  $X$  is irreducible, there exists an invariant (nonempty) finite set  $E \subset \mathbb{C}\mathbb{P}^m$  such that  $X \cap H_\infty^m(\mathbb{C}) \subset E$  and  $F(Y \cap \mathbb{C}^{k+1}) = X \setminus E \subset \mathbb{C}^m$ .

Let  $H \subset \mathbb{R}^{k+1}$  be any two-dimensional plane through the origin. Then,  $H \cap \mathbb{S}^k$  is a circle of center the origin and radius 1 and there exists an affine isomorphism  $\eta : \mathbb{S}^1 \rightarrow H \cap \mathbb{S}^k$ . As  $F$  is nonconstant (and for each pair of points of  $\mathbb{S}^k$ , there exists a two-dimensional plane through such points and the origin), there exists  $H$  such that  $f|_{H \cap \mathbb{S}^k} : H \cap \mathbb{S}^k \rightarrow S$  is nonconstant, so  $T := f(H \cap \mathbb{S}^k)$  is a one-dimensional semialgebraic subset of  $S$ . As  $S$  and  $T$  are by [10, (3.1)(iv)] irreducible, both have dimension 1 and  $T \subset S$ , we deduce  $X = \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(S) = \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T)$ . As  $T$  is the image of  $\mathbb{S}^1$  under  $g := f \circ \eta$ , we conclude by Theorem 1.8 that the Zariski closure  $X = \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T)$  is an invariant rational curve such that one of the following three cases hold:

- (1)  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T) \cap H_\infty^m(\mathbb{C}) = \{p\}$  is a singleton (which belongs to  $H_\infty^m(\mathbb{R})$ ) and the analytic set germ  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T)_p$  is irreducible.
- (2)  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T) \cap H_\infty^m(\mathbb{C}) = \{p\}$  is a singleton (which belongs to  $H_\infty^m(\mathbb{R})$ ), the analytic set germ  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T)_p$  has exactly two irreducible components that are conjugated, and  $T = \text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(T)_{(1)}$ .
- (3)  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T) \cap H_\infty^m(\mathbb{C}) = \{q, \bar{q}\}$  (where  $q, \bar{q} \notin H_\infty^m(\mathbb{R})$ ), the analytic set germs  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T)_q$  and  $\text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T)_{\bar{q}}$  are irreducible and conjugated, and  $T = \text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(T)_{(1)}$ .

Let us discard cases (2) and (3). In such cases,  $T \subset S \subset \text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S)_{(1)} = \text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(T)_{(1)} = T$ , so  $T = S = \text{Cl}_{\mathbb{R}\mathbb{P}^m}^{\text{zar}}(S)_{(1)}$ .

Let  $X := \{x_1^2 + x_2^2 - x_0^2 = 0\} \subset \mathbb{C}\mathbb{P}^2$  and let  $G : X \dashrightarrow \text{Cl}_{\mathbb{C}\mathbb{P}^m}^{\text{zar}}(T)$  be the regular extension of  $g$  to  $X$ . Consider the parameterization  $\Phi : \mathbb{C}\mathbb{P}^1 \rightarrow X$ ,  $[t_0 : t_1] \rightarrow [t_0^2 + t_1^2 : 2t_0 t_1 : t_1^2 - t_0^2]$  and the

composition  $P := G \circ \Phi : \mathbb{C}P^1 \rightarrow \mathbb{C}P^1_{\text{zar}}(S)$ . Let  $\Pi : \mathbb{C}P^1 \rightarrow X$  be an invariant normalization of  $X$  and let  $\tilde{P} : \mathbb{C}P^1 \rightarrow \mathbb{C}P^1$  be an invariant regular map such that  $P = \Pi \circ \tilde{P}$  (see the beginning of the proof of Theorem 1.8 for further details concerning the construction of the previous regular maps). The restriction  $\tilde{P}|_{\mathbb{R}P^1} : \mathbb{R}P^1 \rightarrow \mathbb{R}P^1$  is either  $\text{id}_{\mathbb{R}P^1}$  and has topological degree 1 or  $\tilde{P}|_{\mathbb{R}P^1} \neq \text{id}_{\mathbb{R}P^1}$  and has by Remark 3.1 topological degree  $\geq 1$ .

By §2.1(•), we have  $\Pi(\mathbb{R}P^1) = \mathbb{C}P^1_{\mathbb{R}P^1}^{\text{zar}}(S)_{(1)} = S$ . As  $\Pi : \mathbb{C}P^1 \rightarrow X$  is a proper finite map and  $E \neq \emptyset$  is invariant, we deduce that  $E' := \Pi^{-1}(E)$  is also an invariant finite (nonempty) set and  $\Pi : \mathbb{C}P^1 \setminus E' \rightarrow X \setminus E$  is proper, finite, and surjective. Thus,  $\Pi|_{\mathbb{C}P^1 \setminus E'} : \mathbb{C}P^1 \setminus E' \rightarrow X \setminus E$  is the normalization of  $X \setminus E$ . As  $Y \cap \mathbb{C}^{k+1}$  is nonsingular, it is a normal affine complex algebraic set. By the universal property of normalization [32, Ch.2.§5.Thm.5(ii), pag.130], there exists an invariant regular map  $F^* : Y \cap \mathbb{C}^{k+1} \rightarrow \mathbb{C}P^1 \setminus E'$  such that  $F|_{Y \cap \mathbb{C}^{k+1}} = \Pi|_{\mathbb{C}P^1 \setminus E'} \circ F^*$ . Let  $E : X \rightarrow Y$  be a regular extension of  $\eta : S^1 \rightarrow S^k$  and observe that  $\Pi \circ \tilde{P} = F \circ E \circ \Phi = \Pi \circ F^* \circ E \circ \Phi$  outside a finite subset of  $\mathbb{C}P^1$ , so  $\tilde{P} = F^* \circ E \circ \Phi$  outside a finite subset of  $\mathbb{C}P^1$ . We have  $\tilde{P}|_{\mathbb{R}P^1} = F^*|_{S^k} \circ \eta \circ \Phi|_{\mathbb{R}P^1} : \mathbb{R}P^1 \rightarrow S^1 \rightarrow S^k \rightarrow \mathbb{R}P^1$ . Fix a point  $c \in \mathbb{R}P^1$  and consider the induced chain of homomorphisms between the homotopy groups (see [27, Ch.II.§4] for further details)

$$(\tilde{P}|_{\mathbb{R}P^1})_* = (F^*|_{S^k})_* \circ \eta_* \circ (\Phi|_{\mathbb{R}P^1})_* : \pi_1(\mathbb{R}P^1, c) \rightarrow \pi_1(S^1, \Phi(c)) \rightarrow \pi_1(S^2, \eta(\Phi(c))) \rightarrow \pi_1(\mathbb{R}P^1, \tilde{P}(c)).$$

As  $\pi_1(S^2, \eta(\Phi(c))) = 0$ , we deduce  $(\tilde{P}|_{\mathbb{R}P^1})_* = 0$ , which is a contradiction, because  $\tilde{P}|_{\mathbb{R}P^1}$  has topological degree  $\geq 1$ , as we have explained above.

Consequently, only case (1) is possible and assertion (iii) holds.

We finally check (iii)  $\implies$  (i). By Theorem 1.7, there exists a polynomial map  $g : \mathbb{R} \rightarrow \mathbb{R}^m$  such that  $g([-1, 1]) = S$ . The projection  $\rho : \mathbb{R}^3 \rightarrow \mathbb{R}$ ,  $(x, y, z) \mapsto x$  satisfies  $\rho(S^2) = [-1, 1]$ . Thus, the composition  $f := g \circ \rho : \mathbb{R}^3 \rightarrow \mathbb{R}^m$  is a polynomial map such that  $(g \circ \rho)(S^2) = g([-1, 1]) = S$ , as required.  $\square$

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