

# Supercritical Solution Impregnation of naproxen into mesoporous SiO<sub>2</sub> SBA-15

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## ABSTRACT

Naproxen was impregnated into mesoporous SiO<sub>2</sub> SBA-15 using the Supercritical Solution Impregnation (SSI) technique. Experiments were performed at 50–70°C and 15–25 MPa in pure CO<sub>2</sub> and CO<sub>2</sub> modified with ethanol, ethyl acetate and menthol. Materials were also impregnated from liquid solutions in ethanol and chloroform. In the SSI experiments, naproxen was deposited on the internal surface of the mesopores as shown by N<sub>2</sub>-adsorption experiments. The percentage of naproxen impregnated decreased from 11.1% to 7.4% mass as the CO<sub>2</sub> density increased. Likewise, adding ethanol, ethyl acetate or menthol to CO<sub>2</sub> decreased the percentage of naproxen adsorbed on the support. Thermal analysis showed that naproxen impregnated on SiO<sub>2</sub> by SSI became amorphous. FTIR and XRD confirmed the loss of crystallinity of naproxen and its interaction with the SiO<sub>2</sub> support. Samples impregnated in liquid medium however kept partially their crystallinity. Release tests of naproxen on SiO<sub>2</sub> SBA-15 prepared by SSI followed an almost zero-order release profile; the drug is released at a constant rate into a PBS pH= 7.4 medium. The release rate slowed down in comparison to that of pure naproxen, due to the interaction of the drug with the support and the diffusion of the drug outside the support mesopores. Thus, a sustained release system was achieved, which may help to attain a longer therapeutic effect with a lower naproxen dose.

## 1. Introduction

The need to improve the bioavailability of orally applied drugs has been a challenge during the last decades [1]. Since the bioavailability of poorly water-soluble compounds depends strongly on the particle size distribution, morphology and crystallinity of the particles, most efforts have been directed to control these variables.

Most of the new Active Pharmaceutical Ingredients (API) brought to the market belong to class II in the Biopharmaceutics Classification System (BCS); they have high permeability but low solubility. Many solutions have been proposed to improve the bioavailability of drugs: (i) size reduction by micronization to improve the intracellular uptake and enable the use of different administration routes [2], (ii) encapsulation with different polymers/excipients to permit a controlled delivery, prevent the degradation of the active ingredients or mask unpleasant properties as taste or odour, [3] or (iii) impregnation into polymeric or mesoporous supports to develop controlled drug-delivery systems [4]. Interaction of the drug with the excipient may increase the solubility of the drug by decreasing its crystallinity [5].

Naproxen is a nonsteroidal anti-inflammatory drug (NSAID) class II BCS which has anti-inflammatory, analgesic and antipyretic properties. It is used to treat arthritis, musculoskeletal pain, headache and fever [6]. When the drug is administered, plasma drug levels are maximized after two hours, at which point the concentration of naproxen begins to gradually decline [7].

Naproxen has various side effects, such as heartburn, digestive problems, ulcers and stomach bleeding [8]. The concentration and presence of naproxen in the body can be controlled through the use of controlled drug delivery systems. By developing a system in which the drug is interacting with the carrier/excipient and is not introduced directly into the body, adverse effects can be avoided or minimized.

The use of mesoporous SiO<sub>2</sub> materials as non-toxic excipients in the preparation of drug formulations has been proposed [9,10]. Mesoporous silica materials offer excellent properties as they are stable mesoporous structures with large surface areas, which imply high adsorption power, they have tunable pore sizes and pore volumes to allow sufficient drug loading and well-defined surface properties as their silanol surface can be functionalized in different ways.

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The loading of the drug into mesoporous drug-delivery systems and its controlled release may help to prolong and more effectively deliver the drug, reducing many undesired side effects [11]. High dosing frequency of drugs and their absorption at unrelated sites leads to restriction of therapeutic effect and is a cause of adverse drug reactions. This can be avoided if the delivery of the drug can be controlled.

There are numerous reviews on the use of mesoporous silica materials in drug delivery and biomedical applications [10,12–15]. Drugs of all kinds have been impregnated into SiO<sub>2</sub> SBA-15. Examples include antihypertensives, antibiotics, antifungal, psychoactive, anticancer and anti-inflammatory drugs among others [16]. These composite systems can be used to produce intravenous, oral and topical formulations and in the preparation of implants. In some cases, the functionalization of the support was studied to test its effect on drug release. In particular, naproxen has been impregnated into SiO<sub>2</sub> SBA-15 [11] and MCM-41 functionalized mesoporous SiO<sub>2</sub> supports [17].

In these examples, drugs were generally loaded into the mesoporous SiO<sub>2</sub> supports by liquid impregnation using an aqueous or organic solvent. Impregnation takes place thanks to the capillary forces. However, the poor wetting of the support surface with the liquid solvent may difficult filling the pores with the drug leading to non-homogenous materials, therefore the functionalization of the SiO<sub>2</sub> surface is often-times required. Then during the drying step, the support can also suffer from structural damage. If the impregnation is performed using liquid organic solvents, traces of toxic solvent residues may be left in the system. To overcome these limitations, impregnation using supercritical fluids has been proposed.

The process of impregnation using a supercritical fluid as a solvent is called Supercritical Solution Impregnation (SSI). The SSI method involves the dissolution of the drug in the supercritical fluid media, and its adsorption/precipitation onto the support. Of the different supercritical fluids, CO<sub>2</sub> is the compound most commonly used as it has very accessible critical parameters (31°C and 7.38 MPa), and it is cheap, non-toxic, innocuous and non-flammable. CO<sub>2</sub> is a gas at room temperature, so it is easily removed by depressurization without leaving any kind of residue. The low surface tension of supercritical CO<sub>2</sub> favors the wetting of the support pores and enhances impregnation. The low temperatures avoid the thermal degradation of the drugs. In polymers, the supercritical fluid diffuses through the polymer chains, leading to the polymer's swelling/plasticization, and the drug is homogeneously impregnated and dispersed into the matrix [18,19]. Controlled pressurization and depressurization are required to avoid foaming. In porous matrixes, the solute dissolved in supercritical carbon dioxide diffuses into the porous network and impregnates the material not only via adsorption but via precipitation from the supercritical fluid solution during depressurizations in what has been called adsorptive precipitation [4]. Some authors also refer to this process as Controlled Particle Deposition (CPD) [20,21]. After depressurization, a homogeneous distribution of the active component through the solid matrix is obtained, without producing aqueous or organic liquid waste and eliminating the need for drying steps. SSI is considered a green technique for preparing drug formulations.

The SSI technique has been successfully applied to the preparation of pharmaceutical formulations for controlled drug-delivery systems [4, 22–27] and the impregnation of nutraceuticals in polymers for the preparation of active packaging materials [28]. At the industrial scale is used in wood impregnation [29], leather tanning [30] and textile dyeing [31].

The impregnation of different NSAID in near-critical and supercritical CO<sub>2</sub> on mesoporous silica has been previously performed. For example, ibuprofen has been impregnated into SiO<sub>2</sub> SBA-15, MCM-41 and other large pore sizes spherical mesoporous silica supports [25, 32–34]. Piroxicam was also loaded into mesoporous SiO<sub>2</sub> SBA-15 and Grace Syloid® [35]. Comparing the SSI process versus the conventional liquid impregnation [35,36], SSI led to higher drug loadings and modified the drug release profile. Gurikov and Smirnova have reviewed

the impregnation of solid porous matrices with different drugs with an emphasis on drug amorphization [4].

Although naproxen has not been impregnated into mesoporous SiO<sub>2</sub> material by SSI, it has been impregnated into ethylcellulose/methylcellulose blends [37], polymer patches of ethylene vinyl acetate and Eudragit® E100 [38] and PLA suture materials [39] from scCO<sub>2</sub> solutions.

Cosolvents have been also used in an effort to increase the impregnation efficiency. The addition of cosolvents increases the solubility of the solute in scCO<sub>2</sub> and can favor adsorption in compounds with very low solubility in scCO<sub>2</sub>, providing that there is a strong interaction with the support. However, if the interaction is not very strong, the cosolvent can change the partition coefficient of the solute between the surface and the fluid phase and induce desorption. Furthermore, the cosolvent can compete with the solute for the adsorption sites. Thus, depending on the interplay between these factors, the loading of a drug on a given support can increase or decrease with the use of cosolvents. For example, the use of small amounts of ethanol, methanol, acetone or cyclohexane dissolved in CO<sub>2</sub> had a negative impact when loading ibuprofen into mesostructured silica [32,33]. In contrast, the impregnation of nimesulide on hydrophilic mesoporous SiO<sub>2</sub> aerogels [40] or quercetin in silica mesoporous microparticles [41] increased when ethanol was used as a cosolvent.

In this paper, we propose the preparation of a controlled drug-delivery system using mesoporous silica SBA-15 as the excipient/support and naproxen as the drug by the SSI technique. This is the first time that this system has been addressed using this technique. Furthermore, we explore the use of ethanol, ethylacetate and menthol as cosolvents of the drug in CO<sub>2</sub>. The use of menthol as a cosolvent in the impregnation processes has been never attempted before and it is interesting because menthol has pharmacological activity and can add beneficial properties to the composite system.

## 2. Experimental

### 2.1. Materials

Naproxen [C<sub>14</sub>H<sub>14</sub>O<sub>3</sub>], tetraethyl orthosilicate (TEOS, purity >98%), poly(ethylene oxide)-co(propylene oxide)-co(ethylene oxide) block copolymer (Pluronic P123, non-ionic surfactant, PEO<sub>20</sub>PPO<sub>70</sub>PEO<sub>20</sub>), hydrochloric acid (HCl, purity 37%) and Phosphate Buffered Solution (PBS) medium sachets were purchased from Sigma-Aldrich. Absolute ethanol (+99%) was obtained from Sharlab and ethyl acetate (+99%) from Fisher. L(-)-menthol (+99.5) was purchased from Across. The chemical structures of naproxen and L-menthol are shown in Fig. 1.

The dialysis bags (12,000 Da), 35 mm wide and variable length, used in the solubility tests were also purchased from Sigma-Aldrich.

Mesoporous SiO<sub>2</sub> SBA-15 was prepared using the PEO-PPO-PEO Pluronic surfactant as the structure-directing agent and TEOS as the precursor [42,43]. Briefly, 4.0 g of Pluronic P123 was dissolved in 30 mL of water and mixed with 120 mL of 2 M HCl in a round bottom flask with stirring at 35 °C. When a completely transparent and colourless solution was observed, 8.5 g of TEOS was added to the solution and kept at a constant temperature for 24 h. Then, the temperature of the bath was increased to 100 °C, and the mixture was aged without stirring at 100 °C for 12 h. After cooling, the white precipitate was

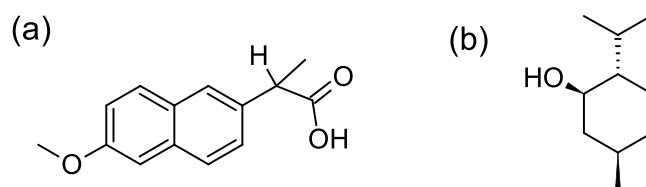


Fig. 1. Chemical structures of (a) naproxen and (b) L-menthol.

filtered and washed with ethanol several times and calcined in a furnace at 550 °C for 6 h with a temperature ramp of 1 °C/min.

## 2.2. Supercritical Solution Impregnation

Experiments were performed using a ca. 60 mL custom-made high-pressure vessel. A scheme of the SSI equipment is shown in Fig. 2. The vessel was provided with inlet and outlet lines which can be isolated by high-pressure valves (V1, V2). A relief valve (Swagelok) and a high-pressure transducer (Gems) were also connected. Heating was performed using an aluminium heating jacket with cartridge heaters and a Proportional-Integral-Derivative (PID) temperature controller (Micro-mega, model CN77322) connected to a J-type thermocouple located in a thermowell housed in the vessel. The system was mounted onto a stirring plate. Carbon dioxide was added using an ISCO 260D high-pressure syringe pump, thermostated at the temperature of the experiments.

For the supercritical CO<sub>2</sub> impregnation experiments, ca. 40 mg of naproxen was introduced directly into the vessel along with a magnet and, in some experiments, a small amount of cosolvent (1.5 mL ethanol or ethyl acetate or 100, 50 and 25 mg menthol). Then ca. 50 mg of mesoporous SiO<sub>2</sub> SBA – 15 were placed in a stainless steel basket covered with a perforated stainless steel plate. The basket was clamped in the thermocouple jacket in the upper part of the vessel to separate the drug and the support. Additional experiments were performed using ca. 60 mg of naproxen and 150 mg of SiO<sub>2</sub> with and without menthol (37.5 mg).

First, the vessel was heated to the selected temperature. Then CO<sub>2</sub> was introduced from the thermostated pump into the vessel up to the desired pressure and the system was stirred. Experiments were performed at 50 and 70°C and 15 and 25 MPa. These four points were combined to perform four tests and finally a central point at 60°C and 20 MPa. The cosolvent tests were also carried out at 60°C and 20 MPa. In the experiments with liquid cosolvents, the same volume of solvent (1.5 mL) was added (2.5% and 1.5% mol of ethanol and ethyl acetate in CO<sub>2</sub>, respectively). In the experiments with menthol (solid), the mass of menthol used was varied (0.016–0.060% mol/mol menthol in CO<sub>2</sub>). Even though the % menthol may seem very low in comparison to that of ethanol or ethyl acetate, it represents, for the higher concentrations, a mass larger than those of naproxen or support in the experiments. Experiments were performed at different concentrations of menthol to minimize the amount of cosolvent impregnated on the support. The impregnation tests were maintained for 24 h to ensure the solubilisation of the drug and to promote saturation of the mesoporous surface. Once the test was finished, the stirring was stopped and the system was

depressurized at a rate of ca. 1 MPa/min. Experiments at 50°C and 25 MPa and 70°C and 25 MPa were performed in triplicate to determine the reproducibility of the method, obtaining average absolute standard deviations of ± 0.6% in both. Data shown in Fig. 4 are the average of at least two replicates.

The solubility of naproxen in pure CO<sub>2</sub> and CO<sub>2</sub> modified with ethanol or ethyl acetate has been previously reported [44–46]. Solubility of naproxen in pure CO<sub>2</sub> is lower than 7 · 10<sup>-5</sup> in mole fraction at pressures up to 25 MPa between 35 and 75°C [44,45]. At pressures between 10 and 15 MPa, the solubility varies little with temperature, and the crossover of the curves is observed at ca. 16 MPa. However, at 35 MPa, solubility increases markedly with temperature. The moderate/low solubility of the drug seems to be related to its low vapor pressure, which increases significantly with temperature. The addition of cosolvents increases the solubility of naproxen in the supercritical mixtures reaching values of 4.8 · 10<sup>-4</sup> and 1.4 · 10<sup>-4</sup> in mole fraction when ca. 5% mol ethanol and ethyl acetate are used, respectively [46]. Considering these data, the impregnation experiments have been performed at every pressure and temperature condition using a very large excess of naproxen. Experiments performed with different amounts of support at saturation conditions led to the same drug load within the experimental uncertainty.

## 2.3. Liquid impregnation with solvent evaporation

For comparison purposes, liquid impregnation of naproxen followed by solvent evaporation was also performed on mesoporous SiO<sub>2</sub> SBA-15 following the procedure described in the literature [17,47]. ca. 10 mg of naproxen were dissolved in 10 mL of solvent and placed in a beaker containing a Teflon-coated magnet and 50 mg of SBA-15 support. The mixture was stirred at ca. 400 rpm for 24 h at room temperature in the fume hood. During this time, the solvent evaporated. The central part of the impregnated sample was then collected for further characterization. Impregnations were carried out using ethanol and chloroform as solvents.

## 2.4. Materials characterization

The amount of naproxen and cosolvents impregnated into the SiO<sub>2</sub> supports was determined by Thermogravimetric analysis (TGA) using an SDT- Q600 from TA at a heating rate of 10 °C/min in N<sub>2</sub> flow. The amount of naproxen was further confirmed by UV–vis spectroscopy of the released drug using an Agilent Cary 8454 spectrophotometer. In a typical experiment, 5.0 mg of the impregnated material was added to

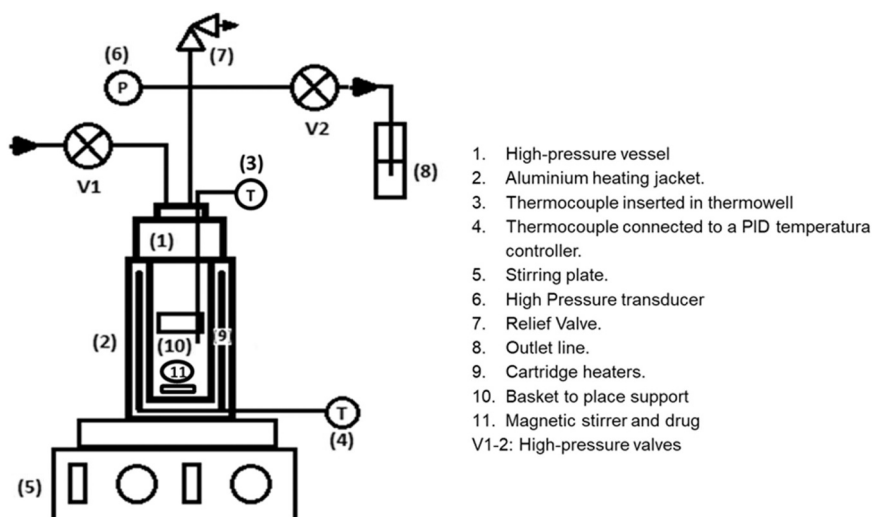


Fig. 2. High-pressure vessel used in the SSI experiments.

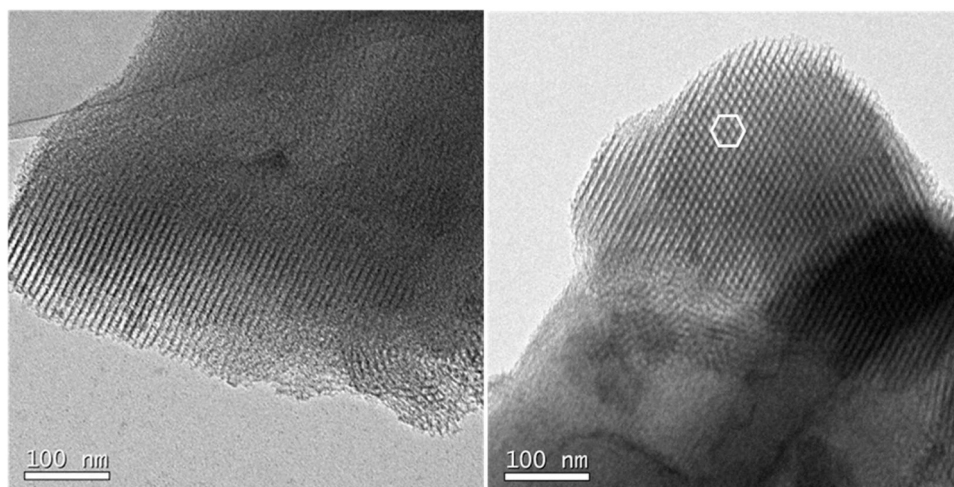


Fig. 3. TEM images of the SiO<sub>2</sub> SBA-15 support.

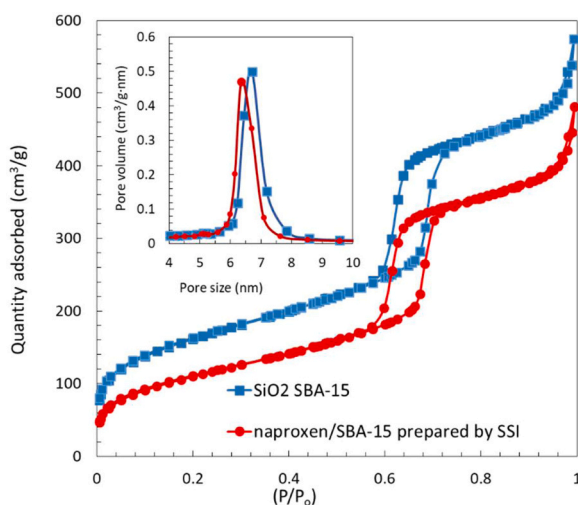


Fig. 4. N<sub>2</sub> adsorption-desorption isotherms of mesoporous SiO<sub>2</sub> SBA-15 and naproxen impregnated on SBA-15 by SSI. Inset shows the pore size distributions obtained from the adsorption branch of the isotherm.

10 mL of water and stirred for 6–12 h for the total dissolution of the drug. The solution was filtered through a filter paper and the UV–vis spectrum of the samples was recorded. The concentration of naproxen was calculated from the absorption band at 262 nm, subtracting the absorbance at 350 nm for baseline correction due to the scattering of colloidal SiO<sub>2</sub> particles. Uncertainty in the determination was large.

Differential Thermal Analysis (DTA) provided by the SDT-Q600 apparatus was used to follow the thermal transition upon heating, such as the melting of the crystalline drug. Fourier-Transform Infrared (FTIR) spectra were recorded using Perkin Elmer 1000 apparatus using KBr disks at a spectral resolution of 4 cm<sup>-1</sup> between 4000 and 450 cm<sup>-1</sup>. FTIR was used to assess the interaction between naproxen and the support and the crystallinity of the drug. The porosity of the bare support and the impregnated materials was determined by measuring N<sub>2</sub> adsorption-desorption isotherms at 77 K using Micromeritics ASAP-2020. Samples were out-gassed at 110 °C for 6 h before the measurement. Textural properties were obtained using standard procedures [48]. The BET equation and the BJH method were used for the specific surface area and pore size distribution calculation. The total pore volume was calculated from the amount adsorbed at a relative pressure of 0.95. Wide angle X-Ray Diffraction (XRD) patterns of the composite materials were collected using an X'PERT MPD diffractometer with Cu K $\alpha$  radiation at

2 $\theta$  values between 5 and 35°. TEM images of the mesoporous SiO<sub>2</sub> support were obtained using a JEOL JEM 1400 transmission electron microscope. The material was dispersed in 1-butanol over a copper grid and dried in air.

### 2.5. Drug release tests

Drug release tests were performed using dialysis bags following a procedure previously described [47]. Tests were performed in 0.01 M PBS at pH 7.4 at 37.0  $\pm$  0.5 °C. The dialysis bags were pre-treated with deionized water for 24 h and placed before the tests for a few minutes in the PBS medium. Bags were filled with 10 mL PBS and 100 mg of the naproxen-SiO<sub>2</sub> samples, sealed and placed into a flask containing 190 mL of PBS. Aliquots of 5 mL were taken from the medium every 5–10 min up to 120 min. The same volume of solvent was refilled to the solution after each sample extraction. Tests were performed under sink conditions. The concentration of naproxen in the samples was determined using an Agilent Cary 8454 UV–vis spectrophotometer following the naproxen absorption band at 262 nm. Experiments were performed in triplicate. The data shown are averages.

## 3. Results and discussion

Mesoporous SiO<sub>2</sub> SBA-15 supports were impregnated with naproxen by SSI. The influence of pressure and temperature and the use of cosolvents on the amount of impregnated drug was studied to see the dependence on these parameters and thus on the density of the supercritical fluid and the solubility of the drug in CO<sub>2</sub>. Ethanol, ethyl acetate and menthol were tested as cosolvents. Results are summarized in Table 1.

Fig. 3. shows TEM images of the SiO<sub>2</sub> SBA-15 support. Images show cylindrical mesopores with very regular pore sizes around 6–7 nm, which are packed in a hexagonal way.

Adsorption-desorption isotherms for the support and a sample impregnated by SSI at 50°C and 25 MPa are compared in Fig. 4. Isotherms exhibit a type IV, subtype H1 hysteresis loop, which is characteristic of mesoporous materials with well-defined cylindrical-like pore channels. BET surface area value for the SiO<sub>2</sub> SBA-15 support was 580 m<sup>2</sup>/g. Using the BJH method for a cylindrical pore model, the pore size distribution obtained from the adsorption branch of the isotherm presented a maximum at 6.8 nm. After drug impregnation by SSI in pure CO<sub>2</sub> at 50°C and 25 MPa, the BET surface area and the maximum in the pore size distribution decreased to 402 m<sup>2</sup>/g and 6.4 nm, respectively. The pore volume of the support was also reduced from 0.75 to 0.61 cm<sup>3</sup>/g indicating the successful adsorption of the drug into the support pores.

**Table 1**

Summary of SSI experiments of naproxen on SiO<sub>2</sub> SBA-15 showing the % mass of naproxen and cosolvent impregnated on the support by TGA and UV-vis, an estimate of the number of monolayers (NML) adsorbed onto the support [4] and some characteristics determined from FTIR and XRD.

T (°C)	P (MPa)	% mol cosolvent in CO <sub>2</sub>	% mass solvents (TGA 50–200°C)	%mass naproxen ± 0.6 (TGA 200–700°C)	%mass naproxen ± 1.0 (UV-vis)	NML <sup>a</sup>	Crystallinity
50	25	-	2.9	7.4	7.7	0.37	Amorphous
50	15	-	1.3	9.9	9.2	0.52	Amorphous
70	25	-	1.5	9.0	9.1	0.46	Amorphous
70	15	-	2.1	11.1	10.4	0.59	Amorphous
60	20	-	1.5	9.4	9.7	0.49	Amorphous
60	20	2.5% EtOH	2.8	6.0	7.1	0.30	Amorphous
60	20	1.5% Ethyl acetate	2.9	4.4	5.1	0.22	Amorphous
60	20	0.060% Menthol	15.3	11.9	4.3	0.21	Amorphous
60	20	0.030% Menthol	11.0	12.5	3.1	0.15	Amorphous
60	20	0.024% Menthol	1.9	12.4	7.8	0.40	Amorphous
60	20	0.016% Menthol	2.0	13.6	6.3	0.32	Amorphous
Liquid EtOH	-	-	2.2	14.4	17.2	0.79	Crystalline + Amorphous
Liquid CHCl <sub>3</sub>	-	-	2.5	17.1	16.0	0.97	Crystalline + Amorphous

<sup>a</sup> Values calculated from %mass naproxen by TGA for all samples except those with menthol, estimated from %mass by UV-vis.

The relatively low change in pore size and pore volume may be due to the partial evaporation of naproxen during the sample degassing procedure previous to the gas adsorption measurements [25].

The drug content, defined as the ratio of the mass of adsorbed naproxen to the mass of the support was determined by TGA of the impregnated samples. The total drug content was also measured by UV-vis of the solutions after the total release of the drug.

TGA and DTA analyses were performed on all prepared samples, as well as on the pure drug and the SiO<sub>2</sub> SBA-15 support. From the heat flow curve, information about the crystallinity of the drug before and after the SSI process was obtained. TGA was also used to quantify the amount of cosolvent present in the samples.

Fig. 5 shows the TGA of the pure drug showing that naproxen decomposes in one step at temperatures between 200 and 300 °C. The heat flow curve of pure naproxen shows several peaks associated with endothermic (downward) and exothermic (upward) processes. The endothermic peak at 153 °C indicates the melting of the drug [49] as no mass loss is observed at that temperature. The other two exothermic peaks with maxima at ca. 290 and 450 °C are associated with the decomposition of the drug.

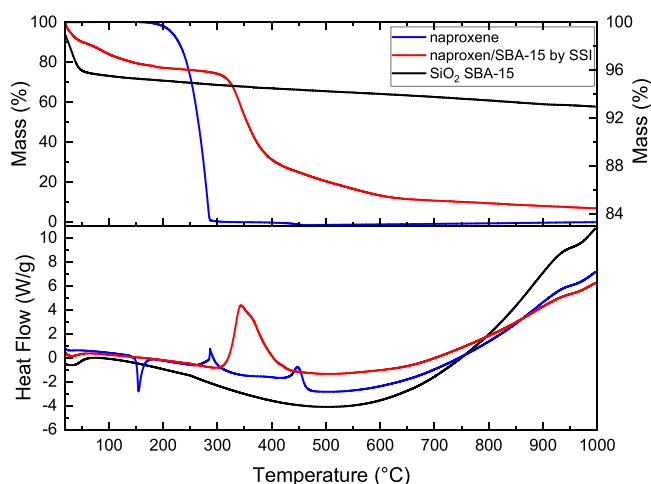
TGA analysis of the sample impregnated at 70°C and 25 MPa and the SiO<sub>2</sub> support is also shown in Fig. 5. The thermal analysis of the support shows that after the stabilization of the equipment, a practically insignificant loss of mass close to 2% is observed, which is due to the

condensation of the silanol groups and the dehydroxylation of the sample [47]. TGA of the impregnated sample shows a small mass loss below 100 °C, which may be associated with the evaporation of water present in the sample. Then the decomposition of the impregnated drug only starts at temperatures close to 300 °C and proceeds in two steps: the first pronounced mass loss occurs between 300 and 400°C and a second one between 400 and 650 °C. From this temperature onwards, the slope of the curve is very small and follows the same behaviour as the SiO<sub>2</sub> support. The heat flow curve of naproxen/ SBA-15 impregnated using scCO<sub>2</sub> shows only one exothermic peak with broad maxima between 340–360 °C. It appears that naproxen impregnated on the mesoporous SiO<sub>2</sub> support becomes more stable and starts decomposing at a higher temperature than the native drug. The decomposition profile indicates that the drug is stabilized due to its adsorption and confinement within the pores of the support [50]. As the criterion to determine the amount of impregnated naproxen, the percentage of mass loss between 200 and 700 °C was chosen. The mass loss between 50 and 200 °C was also estimated to determine the presence of water and cosolvent residues in the samples. The reproducibility of the method was estimated ± 0.6%.

Values obtained by UV-vis from the drug release in water are also presented in Table 1. For the SSI experiments in pure CO<sub>2</sub> and using ethanol and ethylacetate cosolvents, the values obtained by the different techniques agree with each other within experimental error. Because of the larger uncertainty of the UV-vis measurements (±1%), for these samples, the percentages of naproxen impregnated determined from TGA were used for the following discussion. However, for the experiments using menthol as a cosolvent the differences were large enough to confirm that the actual amount of loaded naproxen was lower and, as will be explained later, the percentages obtained from UV-vis were more realistic.

The results for all samples impregnated in pure supercritical CO<sub>2</sub> (Table 1) show that naproxen is impregnated on the SBA-15 support in percentages between 7.4% and 11.1% mass. In every case, the mass loss below 200 °C was close to 2.0%.

At each temperature, the % mass of the impregnated drug decreases as the pressure increases. At constant pressure, the amount of naproxen impregnated increases with temperature. The temperature dependence is different from that found when experiments are not performed under saturation conditions [4]. These results can be better understood in terms of CO<sub>2</sub> density. Fig. 6 shows the % mass of naproxen as a function of (a) the density of CO<sub>2</sub> [51] and (b) the solubility of the pure drug in CO<sub>2</sub>. Solubility values were interpolated from data in reference [44]. The impregnation of the drug is directly related to the CO<sub>2</sub> density. Fig. 6a shows that an increase in density decreases the amount of drug impregnated. The higher the density, the higher the solubility of the



**Fig. 5.** Thermal analysis of (—) pure naproxen, (—) SiO<sub>2</sub> SBA-15 support and (—) naproxen/SiO<sub>2</sub> SBA-15 prepared by SSI at 50°C and 25 MPa in pure CO<sub>2</sub> showing (a) the % mass loss and (b) the heat flow associated.

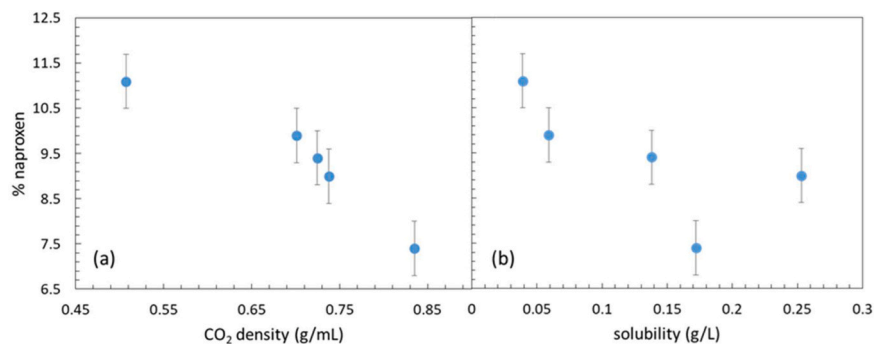


Fig. 6. Variation of the % naproxen impregnated on SiO<sub>2</sub> SBA-15 by SSI from TGA as a function of (a) CO<sub>2</sub> density [51] and (b) solubility of naproxen in CO<sub>2</sub> [44].

drug in CO<sub>2</sub> and the lower the amount impregnated (Fig. 6b). At higher densities, naproxen has more affinity for the solvent and the impregnation on the support decreases. In contrast, when the solubility is lower, the impregnation is more effective. This behavior reveals a not very strong interaction between the drug and support.

The influence of cosolvents on the impregnation process was further studied as some studies report an increase in the drug load adding cosolvents [40,41]. Experiments were carried out at the central point at 60 °C and 20 MPa since it is not necessary to increase the pressure and temperature too much to increase the solubility, due to the effect of the modifiers.

TGA of the samples impregnated with naproxen in CO<sub>2</sub> using ethanol and ethyl acetate as cosolvents are compared in Fig. 7 with that obtained in pure CO<sub>2</sub>. As can be seen, after the stabilization temperature of the equipment, a mass loss is observed below 200 °C. The values measured in the experiments using cosolvents in this range are slightly larger than those found in samples prepared by SSI in pure CO<sub>2</sub>. Ethanol and ethyl acetate boiling points are 77.1 and 78.4 °C, respectively [51]. Solvents adsorbed on the SiO<sub>2</sub> support may evaporate at a temperature slightly higher than their boiling temperatures. Thus this mass loss corresponds to the desorption of the cosolvents adsorbed on the support and water. From 200 °C onwards, the decomposition of naproxen is observed.

The percentages of naproxen impregnated using CO<sub>2</sub> modified with ethanol and ethyl acetate were at the same conditions much lower than the percentages impregnated using pure CO<sub>2</sub> (9.4% mass). The use of ethanol and ethyl acetate as modifiers, although improving the solubility of naproxen in supercritical carbon dioxide, does not favour its impregnation on the support. TGA shows that naproxen impregnated on

SiO<sub>2</sub> SBA-15 decomposes at the same temperature as that observed in the samples impregnated in pure CO<sub>2</sub>. Then, although less drug is loaded on the support, its interaction with the support is similar. Apart from the higher solubility of naproxen in the CO<sub>2</sub>-modified mixture, the competitive adsorption of the cosolvent on the support may also be responsible for the lower drug content. Similar results have been observed when loading ibuprofen into mesostructured silica using CO<sub>2</sub> modified with small amounts of ethanol, methanol, acetone or cyclohexane [32,33].

We have also tested the use of menthol as cosolvent. Menthol has been used to increase the solubility of different drugs in the Rapid Expansion of Supercritical Solution (RESS) process [52,53]. Furthermore, menthol has pharmacological activity, being a penetration enhancer in skin delivery, nasal decongestant and pain reliever [54]. It is also widely used in topical analgesic products, often combined with different NSAID [55].

Fig. 8 shows TGA of the samples impregnated with naproxen using menthol as cosolvent compared with that of the sample impregnated in pure CO<sub>2</sub>. Menthol is solid at room temperature which melts and boils at 41.5 and 212.1 °C, respectively [51]. However, because of its high vapour pressure, menthol evaporates continuously with heating in N<sub>2</sub> flow from 120 to 165 °C [56]. When menthol is adsorbed on the SiO<sub>2</sub> support, it evaporates at a slightly higher temperature. Thus, the large mass loss observed below 200 °C corresponds to the menthol desorption. The concentration of menthol was reduced from 0.06% to 0.016% mol in CO<sub>2</sub> to minimize its adsorption on the SiO<sub>2</sub> support. In all cases, an increase in the %mass loss between (200–700 °C), previously ascribed to

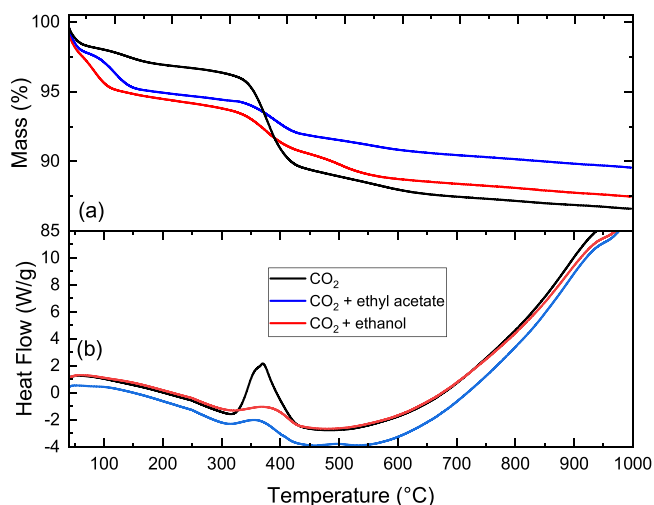


Fig. 7. Thermal analysis of naproxen/SiO<sub>2</sub> SBA-15 prepared by SSI at 60°C and 20 MPa in pure CO<sub>2</sub>, CO<sub>2</sub> + 2.5% mol EtOH and CO<sub>2</sub> + 1.5% mol ethyl acetate, showing (a) the % mass loss and (b) the heat flow associated.

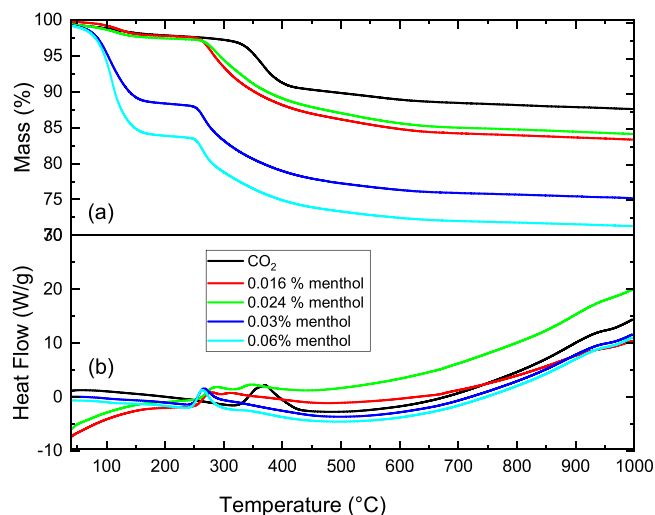


Fig. 8. Thermal analysis of naproxen/SiO<sub>2</sub> SBA-15 prepared by SSI at 60°C and 20 MPa in CO<sub>2</sub> modified with menthol at different concentrations: 0.06%, 0.03%, 0.024% and 0.016% mol showing (a) the % mass loss and (b) the heat flow associated.

naproxen impregnated on the support was observed (11.9–13%). These values were however much larger than the values determined from UV–vis (3.1–7.8%). The higher the menthol concentration the lower the naproxen content which suggests that menthol and naproxen compete for the adsorption sites.

Furthermore, the decomposition of naproxen in the samples impregnated using CO<sub>2</sub> modified with menthol occurred below 250 °C, at slightly lower temperatures than that found in the samples impregnated using pure CO<sub>2</sub> (above 300 °C). This temperature was still higher than that observed for the pure drug. This suggests that the interaction of the drug with the support was weaker in these samples, or that different interactions among the support, drug and cosolvent were taking place.

In all samples impregnated by SSI using pure CO<sub>2</sub> and the different cosolvents, analysis of the heat flow curves of the DTA revealed that the melting point of naproxen was no longer observed at 153 °C. This indicates a loss of naproxen crystallinity in the mesoporous support. The samples impregnated by SSI with naproxen and menthol did not show a clear endotherm below 200 °C related to the evaporation of the cosolvent as expected from the TGA, but just two exothermic peaks in the DTA previously associated with the decomposition of naproxen. The maxima in the heat flow curves of the DTA for these samples appear at 270–285 and 360–380 °C, whilst in the samples impregnated with CO<sub>2</sub> pure or liquid cosolvents only the broad peak with a maximum at 360–380 °C was observed. The different thermal behaviour suggests that naproxen is adsorbed on the support in two different ways. It appears that menthol and naproxen compete for the adsorption sites. Thanks to its lower size and higher volatility, menthol could reach the SiO<sub>2</sub> active sites faster than naproxen and interact with the silanol groups. If menthol did not saturate the surface, naproxen could also adsorb directly on the SiO<sub>2</sub> support. But naproxen could adsorb on the menthol molecule forming a bilayer. Thus the second exothermic peak in the DTA at 360–380 °C would be ascribed to the decomposition of naproxen adsorbed on the SiO<sub>2</sub> support and it is also observed in samples impregnated in CO<sub>2</sub> in absence of menthol. The first one at 270–285 °C could be due to the desorption of the menthol-naproxen complex forming a bilayer. At the lowest menthol concentration, this peak weakens and shifts to slightly higher temperatures. Thus when menthol is used as a cosolvent, the mass loss determined from the TGA between 200 and 700 °C cannot be used to estimate the % naproxen as it may include the desorption of a small amount of menthol, particularly in the samples with the highest menthol concentration. This hypothesis is also confirmed by the smaller % mass of naproxen determined from UV–vis of the released drug. Then when menthol is used as cosolvent even at smaller concentrations than ethanol or ethyl acetate, the amount of naproxen adsorbed on the support decreases.

For comparison purposes, experiments were also performed using liquid ethanol and chloroform as described before. The results obtained for the liquid impregnation showed large percentages of naproxen on the mesoporous support with values equal to 14.4% and 17.1% mass for the samples impregnated in liquid ethanol and chloroform, respectively. Although the maximum amount of naproxen that could be impregnated considering the masses of support and drug used is 20% mass, the values measured by TGA were slightly lower. This is because for the TGA measurements only the central part of the sample was studied as excess naproxen crystals were observed in the border regions. In contrast, values determined by UV–vis from the mixture were closer to the theoretical one. In both cases, the % mass loss below 200 °C due to the solvent adsorption was close to 2.5%, similar to the values obtained in samples prepared by SSI using cosolvents.

As in the previous samples, the melting point of the drug was not observed in the heat flow curves of the thermal analysis, indicating changes in the crystallinity of the drug. In these samples, however, the decomposition of naproxen occurred at lower temperatures than in the samples prepared by SSI, both at almost 200 °C, coincident with the value measured for pure naproxen. This indicates that the interaction of the drug with the support has not occurred in the same way as in the

samples prepared in the supercritical phase.

In comparison to previous reports, drug loadings obtained are similar to those previously given for the liquid impregnation of naproxen solutions in ethanol into pristine and surface functionalized SiO<sub>2</sub> SBA-15 by Žid et al. [11] but much lower than those given by Halamová on mesoporous SiO<sub>2</sub> SBA-15 and MCM-41 [6,17].

XRD analysis confirmed the amorphous state of naproxen in the samples impregnated by SSI. Fig. 9 compares the diffraction patterns of naproxen and naproxen/SiO<sub>2</sub> SBA-15 materials prepared by SSI in pure CO<sub>2</sub>, CO<sub>2</sub> modified with 0.03% menthol and impregnated in liquid EtOH and CHCl<sub>3</sub>. Naproxen is crystalline and its diffraction pattern fits the pattern reported in the literature [57]. The naproxen/SiO<sub>2</sub> SBA-15 composite materials showed however a broad band between 20° and 25° associated with the amorphous SiO<sub>2</sub> support. A very weak peak at 28.4° is an artifact that comes from the Si sample holder. Close inspection of the XRD patterns showed in the sample prepared in liquid CHCl<sub>3</sub> reflections at ca. 6.7, 12.7, 18.1 and 19.1° coincident with some of the peaks of pure naproxen, which indicates some crystallinity in this sample. As the melting peak of the crystalline phase did not appear in the DTA of this sample, this also indicates that the sample is not homogeneous.

An estimate of the number of monolayers (NML) of naproxen adsorbed into the mesoporous SiO<sub>2</sub> SBA-15 support was calculated following Gurikov and Smirnova [4] using the formula

$$NML = \frac{L N_A A_{drug}}{M_{drug} S_{BET}}$$

where  $L$  is the drug loading expressed in mass of drug per mass of support easily obtained from the drug content,  $N_A$  is the Avogadro number,  $A_{drug}$  is the projection area of the molecule,  $M_{drug}$  is the molar mass of naproxen equal to 230.26 g/mol and  $S_{BET}$  is the BET area of the SiO<sub>2</sub> SBA-15 support equal to 580 m<sup>2</sup>/g. Using the length and width for naproxen of 1.41 nm and 0.74 nm, respectively, reported in reference [58], an area equal to 1.04 10<sup>-18</sup> nm<sup>2</sup> can be calculated. NML values are given in Table 1. Values varied from 0.22 to 0.5 in the samples prepared by SSI, whilst were somewhat larger, up to 1, in samples impregnated in the liquid medium. These values are in the range of those collected by Gurikov and Smirnova [4] but are slightly lower than those reported by Reiser for the adsorption of ibuprofen in different mesoporous materials [25].

FTIR of the impregnated samples was performed to study the interaction of the drug with the support. Fig. 10 compares the FTIR of the

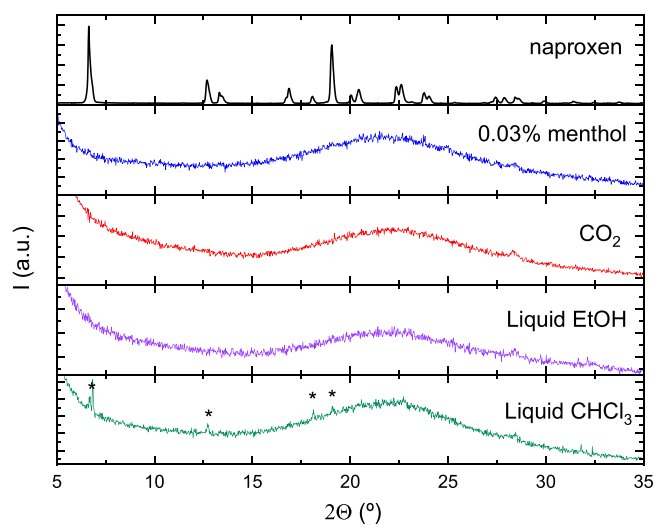


Fig. 9. XRD patterns of naproxen and naproxen/SiO<sub>2</sub> SBA-15 impregnated by SSI in CO<sub>2</sub> and CO<sub>2</sub> modified with 0.03% mol menthol at 60°C and 20 MPa and impregnated in liquid EtOH and CHCl<sub>3</sub>.

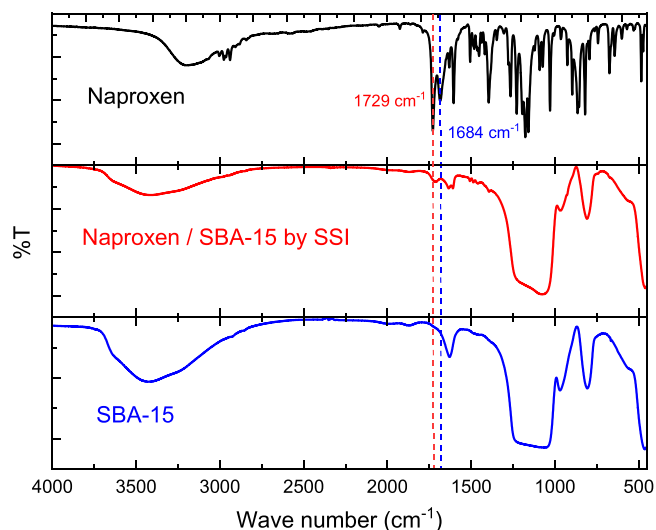


Fig. 10. FTIR spectrum of naproxen, SiO<sub>2</sub> SBA-15 and naproxen/SiO<sub>2</sub> SBA-15 impregnated by SSI in CO<sub>2</sub> at 50°C and 25 MPa.

bare support, the drug and the sample prepared by SSI in CO<sub>2</sub> at 50°C and 25 MPa.

FTIR spectra of the SiO<sub>2</sub> support show intense broad bands which are attributed to the Si-O-Si asymmetric stretching from 1000 to 1250 cm<sup>-1</sup> and Si-O-Si symmetric stretching at ca. 800 cm<sup>-1</sup>. The bending vibrational mode of Si-O-Si appears at ca. 460 cm<sup>-1</sup>. Finally, the band appearing between 3000 and 3500 cm<sup>-1</sup> and the peak around 1630 cm<sup>-1</sup> are ascribed to the bending and stretching vibrations, respectively, of the water physisorbed on the support [59].

The FTIR spectrum of pure naproxen shows the characteristic bands of the functional groups of the naproxen molecule (Fig. 1). The bands associated with the carbonyl group are observed in the 1680–1720 cm<sup>-1</sup> region. Signals corresponding to the C=C vibration of the aromatic rings are also observed in the 1500–1600 cm<sup>-1</sup> range. The band at 1396 cm<sup>-1</sup> is due to the naproxen in the plane O-H deformation vibration. The symmetric and antisymmetric C-H stretching vibrational modes of CH<sub>3</sub> substituents appear between 2940 and 3000 cm<sup>-1</sup>, whilst the C-H out-of-plane bending modes of the aromatic rings are observed below 900 cm<sup>-1</sup>. The broad band centered at 3200 cm<sup>-1</sup> is due to the O-H stretching vibration of the carboxylic acid group [47]. The infrared absorption of the impregnated support sample shows a combination of the characteristic signals of the support and naproxen.

The most characteristic signals to be analysed are those associated with the carboxylic acid group. An enlargement of this region from 1300 to 2000 cm<sup>-1</sup> is shown in Fig. 11. In the case of pure naproxen, two signals are observed at 1729 and 1684 cm<sup>-1</sup> which correspond to the free and associated carbonyl groups, respectively. When carboxylic acid groups form hydrogen bonds (HB), the C=O bond weakens and its vibrational frequency decreases. The crystalline structure of naproxen is known [60]. In the crystal, each naproxen molecule interacts with two other adjacent molecules through hydrogen bonds and aromatic interactions, but due to the crystalline chain-like structure, some C=O groups remain free. Then the signal observed in the carbonyl region at 1729 cm<sup>-1</sup> is due to the free C=O groups that do not interact, whilst the band at 1684 cm<sup>-1</sup> is due to HB carboxylic acid groups; both groups are present in the crystalline form [47]. When the drug becomes amorphous, however, the linear structure of the crystal is lost and most C=O groups hydrogen bond to other molecules forming dimers [61,62], disappearing the carbonyl higher frequency signal. At the same time, because molecules in the amorphous state are not as organized as they were in the crystal, the band at 1684 cm<sup>-1</sup> shifts to slightly larger wave numbers. The analysis of the frequencies of the carbonyl group in naproxen can be used to get an insight into the crystalline or amorphous state of the

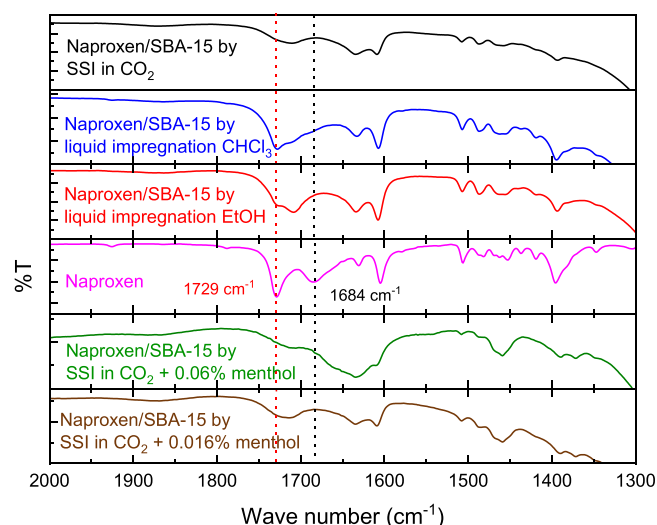


Fig. 11. FTIR spectrum of naproxen, naproxen/SiO<sub>2</sub> SBA-15 impregnated by SSI in pure CO<sub>2</sub> and CO<sub>2</sub> modified with 0.06% and 0.016% mol menthol in CO<sub>2</sub> at 60°C and 20 MPa and naproxen/SiO<sub>2</sub> SBA-15 prepared by liquid impregnation in EtOH y CHCl<sub>3</sub>.

impregnated drug.

In the sample impregnated by SSI in CO<sub>2</sub>, the C=O band associated with free COOH, which is present in crystalline naproxen, disappears and the HB carbonyl band is shifted to 1711 cm<sup>-1</sup>. This is in agreement with previous results (XRD and DTA) which indicate that the impregnated naproxen is in the amorphous state. The large shift observed in the carbonyl band also suggests that the interaction of the drug with the support may also happen via the carboxyl group. The change in crystallinity of the drug indicates that the impregnation on the support has been effective and has taken place inside the pores of the support.

Fig. 11 also compares the FTIR spectra of pure naproxen and naproxen/SiO<sub>2</sub> SBA-15 prepared by SSI in pure CO<sub>2</sub>, CO<sub>2</sub> modified with 0.06% and 0.016% mol menthol in CO<sub>2</sub> and impregnated in liquid ethanol and chloroform. In the samples prepared using liquid solvents, spectra show carbonyl bands that are very different from those observed in the materials prepared from SSI. In the sample impregnated using chloroform, the band at 1729 cm<sup>-1</sup> associated with crystalline naproxen is very intense and appears at the same position as in pure naproxen. Then there is a small shoulder at ca. 1707 cm<sup>-1</sup> due to the HB carbonyl group of naproxen, which suggests a weaker interaction of the drug and the support. In the sample impregnated using ethanol, however, the band at ca. 1707 cm<sup>-1</sup> associated with the amorphous drug is the most intense. Then in samples impregnated using liquid solvents, naproxen forms both crystals and an amorphous phase. Due to the small pore size of the mesoporous support, crystalline naproxen is most likely placed on the external surface of the support. In contrast, in the samples impregnated by SSI in CO<sub>2</sub>, the drug impregnates the support pores and appears only in an amorphous state.

Infrared spectra of the samples impregnated by SSI in CO<sub>2</sub> using ethanol and ethyl acetate as cosolvents (not shown here) have been also analysed. Although the amount of drug impregnated is smaller, spectra confirmed the loss of crystallinity of the drug and its interaction with the support. Fig. 11 also shows the FTIR spectra of two materials prepared using solid menthol as cosolvent. The carbonyl band due to amorphous naproxen appears at the same position as in the samples prepared in CO<sub>2</sub>. A new band at 1460 cm<sup>-1</sup> is associated with the C-H bending mode. New intense bands between 2960 and 2850 cm<sup>-1</sup> due to the C-H stretching bands of menthol also appear (not shown here). The sample prepared with the largest menthol content also shows a shoulder at ca. 1665 cm<sup>-1</sup> which could be due to the interaction of the carbonyl group of naproxen with menthol. This band does not appear at the lower

concentrations of menthol. The combination of ibuprofen with naturally occurring phenolic compounds to form the prodrug ester derivative has been proposed as a method to improve the therapeutic efficacy of the drug by retarding gastrointestinal side effects [63]. Ibuprofen and naproxen are chemically similar NSAID drugs, with a carboxylic acid group that can interact with the OH group of menthol. Although the reaction between naproxen and menthol does not take place under these conditions, its interaction may be beneficial for the same purpose.

Drug release experiments in pH 7.4 buffer solution of the pure drug and the drug impregnated on the SiO<sub>2</sub> support by SSI and liquid impregnation using ethanol are compared in Fig. 12. The SSI sample was impregnated using pure CO<sub>2</sub> at 70 °C and 25 MPa. Under these conditions, the amount of naproxen impregnated on the support was 9.0% mass. The drug amount on the sample impregnated in liquid ethanol was 14.4%. The release test of the sample impregnated using 0.024% mol menthol in CO<sub>2</sub> was also performed.

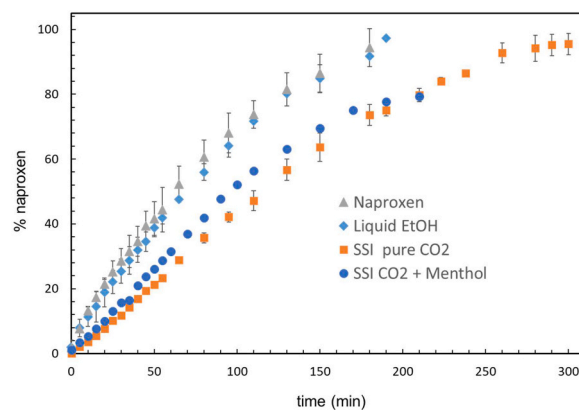
The pure drug took 3 h to release completely, whilst the formulation prepared from supercritical carbon dioxide took up to 5 h. This contrasts with some reports in which a faster release profile is observed upon amorphization of the drug [25,64,65]. Both the diffusion of naproxen outside the mesopores and the interaction of the drug with the support seem to slow down the release, resulting in an almost constant drug release profile. This near zero-order kinetics with a constant release rate is highly desirable for long-term drug delivery. Then a time-controlled therapeutic effect can be achieved with constant drug release. This system would avoid any adverse effects due to naproxen overdose, as the body would not be exposed to an excess drug concentration at any time, due to the interaction of the drug and the SiO<sub>2</sub> carrier.

On the other hand, the study with the formulation obtained using menthol was not complete because the released menthol formed an organic phase that was immiscible with the aqueous phase and clogged eventually the dialysis membrane before the total amount of naproxen was released. Considering the large uncertainty in the % naproxen content in these samples, this resulted in a slightly faster release of naproxen. The release test of the sample impregnated using liquid ethanol reveals a behaviour very similar to that of pure naproxen in agreement with the lower interaction of the drug with the support as determined by FTIR.

#### 4. Conclusions

This work presents the preparation of naproxen impregnated into SiO<sub>2</sub> SBA-15 by SSI technique as a model system for the preparation of a sustained drug release system. The effect of pressure and temperature on impregnation and drug content has been further studied. The highest drug content of 11.1% has been obtained at the lowest pressure and the highest temperatures, 70 °C and 15 MPa, which are the conditions of lower CO<sub>2</sub> density and lower solubility of naproxen in CO<sub>2</sub>. This indicates that although the solubility of naproxen in supercritical CO<sub>2</sub> is not very high, the interaction of the carboxylic acid group with the silanols groups from the SiO<sub>2</sub> surface drives the adsorption of naproxen to the SiO<sub>2</sub> support. However, at higher CO<sub>2</sub> densities the affinity of the drug for CO<sub>2</sub> and therefore its solubility increases, to the detriment of the amount adsorbed.

The influence of cosolvents on the impregnation process has been also studied. Ethanol and ethyl acetate have been proven good liquid cosolvents to increase the solubility of naproxen in CO<sub>2</sub>. However, these polar substances increase the affinity of naproxen for the fluid phase and compete with the drug for the adsorption sites, leading to significantly lower adsorption values. The use of the solid cosolvent menthol, even at much lower concentrations (0.016–0.06% mol in CO<sub>2</sub>) also leads to a decrease in the amount of naproxen adsorbed. Menthol adsorbs at large concentrations on the support impeding the direct adsorption of naproxen on the SiO<sub>2</sub> surface. However, naproxen can still adsorb on the adsorbed menthol layer. Menthol has pharmacological activity and can add beneficial properties to the system.



**Fig. 12.** Drug release tests in PBS pH 7.4 as a function of time for pure naproxen, and SiO<sub>2</sub> SBA-15 formulations prepared in liquid EtOH, by SSI in pure CO<sub>2</sub> at 70 °C and 25 MPa and by SSI in CO<sub>2</sub> modified with 0.024% mol menthol at 60 °C and 20 MPa.

As confirmed by XRD, DTA and FTIR, naproxen impregnated on SiO<sub>2</sub> by SSI changes its crystalline form and becomes amorphous. The release tests also confirm that the drug release profile is modified when it is impregnated on the SiO<sub>2</sub> support using scCO<sub>2</sub>. In contrast to some reports in the literature, in this case, a longer sustained release has been achieved, which may help to achieve a longer therapeutic effect with less naproxen dose, increasing the bioavailability and decreasing the adverse effects of the drug.

Besides the better properties of the drug delivery system prepared, the supercritical impregnation process in comparison to the liquid one using organic solvents has clear advantages which have been already demonstrated in different industrial processes: (i) elimination of polluting and toxic organic solvents; (ii) lower cost of CO<sub>2</sub> in comparison to organic solvent, (iii) reduction in time, both in the impregnation and in the subsequent drying steps and (iv) decrease of the energy cost due to solvent evaporation which may be comparable to that of the compression and decompression of CO<sub>2</sub>. As a disadvantage, the installation cost of high-pressure equipment is higher. Nevertheless, if the production capacity increases a lot and there is a disruptive advantage in eliminating organic solvent residues, the process could be competitive. These are practical aspects that can tip the balance towards supercritical impregnation, as has happened in the wood or textiles industries.

#### CRedit authorship contribution statement

**Juan González:** Investigation (impregnation experiments and characterization of the materials). **Eduardo Pérez:** Formal Analysis, Writing – review & editing. **Marzena Pepczynska:** Investigation (characterization of the materials, Writing – review & editing; **Lourdes Calvo:** Writing – review & editing, Funding acquisition. **Albertina Cabañas:** Conceptualization, Supervision, Formal analysis, Writing – original draft, Funding acquisition.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data Availability

Data will be made available on request.

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