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# Multifunctional Protocells for Enhanced Penetration in 3D Extracellular Tumoral Matrices.

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3 Keywords: Mesoporous silica nanoparticles, supported lipid bilayers, protocells, penetration,  
4 targeting, 3D tumoral tissue model.  
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## 11 **ABSTRACT**

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16 The high density of the extracellular matrix in solid tumors is an important obstacle to  
17 nanocarriers for reaching deep tumor regions and has severely limited the efficacy of  
18 administrated nanotherapeutics. The use of proteolytic enzymes prior to nanoparticle  
19 administration or directly attached to the nanocarrier surface has been proposed to enhance their  
20 penetration, but the low *in vivo* stability of these macromolecules compromises their efficacy and  
21 strongly limits their application. Herein, we have designed a multifunctional nanocarrier able to  
22 transport cytotoxic drugs to deep areas of solid tumors and once there, to be engulfed by tumoral  
23 cells causing their destruction. This system is based on mesoporous silica nanocarriers  
24 encapsulated within supported lipid bilayers (SLB). The SLB avoids premature release of the  
25 housed drug while providing high colloidal stability and an easy to functionalize surface. The  
26 tumor penetration property is provided by attachment of engineered polymeric nanocapsules that  
27 transport and controllably unveil and release the proteolytic enzymes that in turn digest the  
28 extracellular matrix, facilitating the nanocarrier diffusion through the matrix. Additionally,  
29 targeting properties were endowed by conjugating an antibody specific to the investigated  
30 tumoral cells to enhance binding, internalization, and drug delivery. This multifunctional design  
31 improves the therapeutic efficacy of the transported drug as a consequence of its more  
32 homogeneous distribution throughout the tumoral tissue.  
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## INTRODUCTION

Despite huge research efforts carried out in the last decades, cancer continues to be one of the leading causes of mortality worldwide.<sup>1</sup> Cancer cannot be considered as one simple disease. There are many different types of cancer depending on the type of malignant cell and the affected organ and even patient specific heterogeneity. Therefore, each of them presents its own set of particular features and therapeutic challenges. Moreover, even within the same tumor type, usually coexists in multiple tumor clonal cell populations with different genetic alterations which respond differently to the common administered therapeutic agents.<sup>2</sup> When it is not possible to remove the tumoral mass by surgery, the common treatment involves the administration of high energy radiation (radiotherapy) and/or the use of potent cytotoxic compounds (chemotherapy) in order to destroy dividing cells. However, the lack of selectivity of these therapies causes severe systemic toxicity on surrounding healthy tissues compromising not only the efficacy of the therapy but also the patient's life or quality of life. Since Maeda and Matsumura's discovery in 1986 of passive accumulation of nanoparticles within tumoral masses,<sup>3</sup> the use of nanoparticles as drug carriers has been extensively studied in oncology.<sup>4</sup> This phenomenon, referred to as the *Enhanced Permeation and Retention effect* (EPR), is caused by the unique blood vessel architecture present in solid tumors that presents pores with diameters up to a few hundred nanometers.<sup>5</sup> Thus, when nanoparticles reach the tumoral area, they are extravasated into the tumor passing through these pores, whereas they cannot cross the healthy blood vessel walls. The discovery of the EPR effect triggered the development of a multitude of nanocarriers with the aim to deliver the cytotoxic compounds directly to the diseased zone, without affecting the rest of the healthy tissues.<sup>6</sup> These nanodevices have been engineered to possess remarkable

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3 properties such as stimuli-responsive drug release,<sup>7</sup> invisibility to the immune system,<sup>8</sup> capacity  
4 to recognize the tumoral cells<sup>9</sup> or even target to the tumor stem cells.<sup>10</sup> However, the clinical  
5 application of nanocarriers is still far from being a reality. A recent meta-study concluded that  
6 only 0.7% of the administered nanoparticles accumulate in the tumoral tissue which could  
7 explain the low effectiveness of the nanotherapies observed in clinical trials.<sup>11</sup> One common  
8 problem in nanomedicine, is the lack of system penetration into the tumoral tissue.<sup>12</sup> The  
9 extracellular matrix of tumoral tissues has usually a denser extracellular matrix than their healthy  
10 counterparts due to the higher presence of collagen which limits the penetration of carriers into  
11 the tissue.<sup>13</sup> Thus, the extravasated nanomedicine accumulates mainly on the periphery of the  
12 tumor where it can be easily flushed out of the tumor by intravasation after causing only local  
13 peripheral effects. The intratumoral administration of proteolytic enzymes such as collagenase or  
14 hyaluronidase prior to nanoparticle injection has been proposed to enhance the particle  
15 penetration.<sup>14</sup> These proteolytic enzymes have also been anchored on the nanocarrier surface  
16 resulting in improved particle penetration.<sup>15</sup> Recently, Villegas *et al.* reported the use of pH-  
17 responsive polymeric nanocapsules which contains collagenase for enhancing the particle  
18 penetration in 3D tumoral tissue models while protecting the proteolytic enzyme.<sup>16</sup> These  
19 nanocapsules were rapidly hydrolyzed under mild acidic conditions expected within hypoxic  
20 tumor tissue. In the mild acidic environment, the housed enzymes are released in two hours,  
21 whereas nanocapsule hydrolysis requires longer times under normal physiological conditions. In  
22 addition to achieving higher penetration within the tumoral mass, an efficient nanocarrier should  
23 be able to fulfill other features such as the ability to selectively bind to the target tumoral cell  
24 within a myriad of different cell populations and retain the transported therapeutic payload until  
25 it reaches the intracellular space of the malignant cell.<sup>17</sup>

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3 Herein, we report the synthesis and evaluation of a novel multifunctional nanocarrier able to  
4 penetrate deeply within a solid tumoral mass while retaining their payload and selectively bind  
5 and internalize into tumor cells where the triggered release of the cytotoxic cargo leads to  
6 destruction of the targeted tumor cell. This nanodevice is composed of a mesoporous silica  
7 nanoparticle (MSN) capable of loading high amounts of drugs due to its very high specific area  
8 and accessible interior pore volume. The MSN external surface is encapsulated within a  
9 supported lipid bilayer conjugated with proteolytic NPs, targeting ligands, and PEG in a  
10 nanocarrier construct referred to as a *protocell*.<sup>18,19</sup>

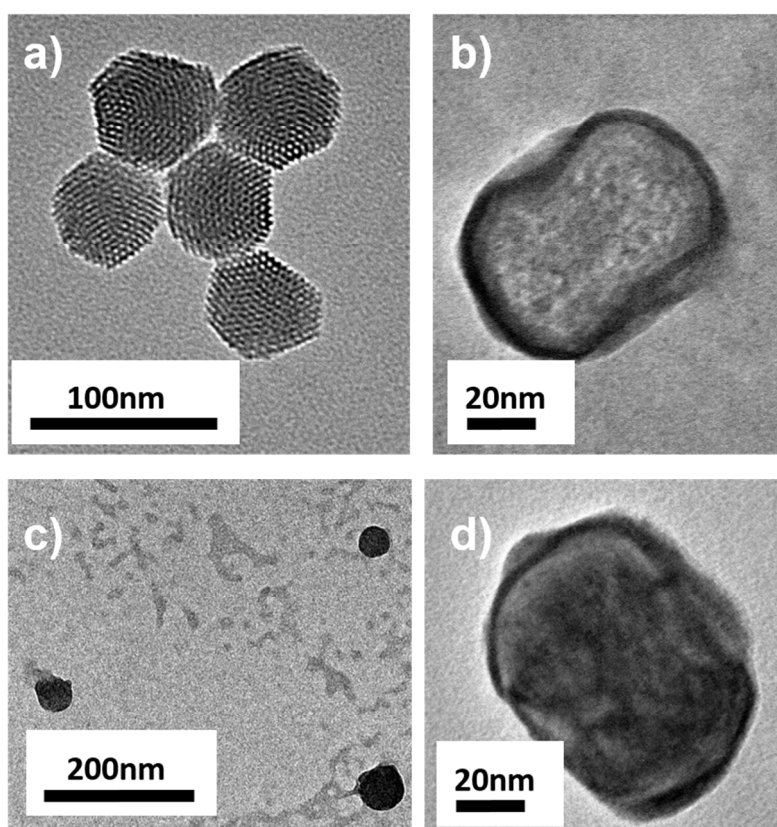
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22 The *protocell* SLB serves to protect and retain cargo within the MSN until pH triggered release  
23 within acidified endosomal environments.<sup>19</sup> It also confers unique properties to the system such  
24 as high colloidal stability, low immunogenicity and long circulation times within the blood  
25 stream. The SLB of the *protocell* was decorated with pH-responsive collagenase nanocapsules  
26 for enhancing tissue penetration and with EGFR-antibodies, able to selectively bind to cells that  
27 overexpress epidermal growth factor receptors (EGFR), in order to increase the internalization of  
28 the *protocell* into tumoral cells and ensuing drug delivery. The capacity of this novel  
29 multifunctional protocell to recognize EGFR-positive tumoral cells which are deeply located  
30 within a solid tumoral mass was tested employing an *in vitro* 3D cell culture model, showing  
31 significantly improved penetration, internalization and destruction capacity of the malignant cells  
32 relative to protocells prepared without collagenase nanocapsules.

## 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 **RESULTS**

### 48 49 50 **2.1. Synthesis of Protocells**

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52 The protocell MSN core was designed with hexagonally arranged cylindrical pores of diameter  
53 3-4-nm, which represents the optimal size for loading therapeutic agents based on small  
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3 molecules,<sup>20,21</sup> as is the case for many conventional cytotoxic compounds employed in  
4 antitumoral chemotherapy.<sup>22</sup> MSNs were synthesized following a modified Stöber method  
5 reported elsewhere,<sup>23</sup> yielding monodisperse nanoparticles with an average diameter of 75-100  
6 nm according to dynamic light scattering (DLS) measurements (**Figure S1**) and transmission  
7 electron microscopy (TEM) (**Figure 1a**). MSNs were covalently labeled with rhodamine B  
8 throughout the silica matrix to enable fluorescence imaging.  
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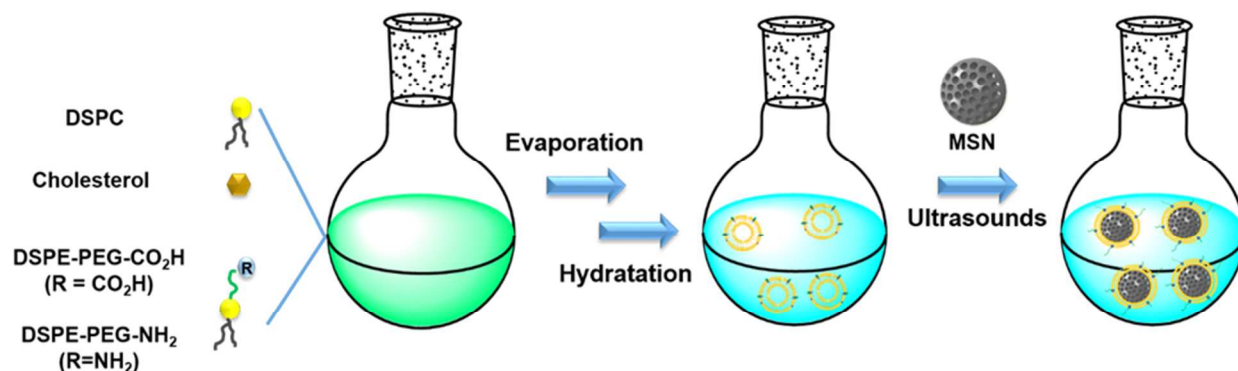


46 **Figure 1.** TEM images of a) MSN, b) PC-COOH13, c) Col<sub>nc</sub> and d) PC-COOH13-Col<sub>nc</sub>.

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49 MSNs were encapsulated within SLBs formed by fusion of zwitterionic lipid-based vesicles  
50 (**Scheme 1**). The zwitterionic lipid, 1,3-distearoyl-*sn*-glycero-3-phosphocholine (DSPC) was  
51 chosen as the main component in order to provide structural support to the liposome and  
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3 cholesterol was added for controlling the fluidity of the SLB. In order to provide anchoring  
4 points for the further introduction of targeting moieties and collagenase nanocapsules, the  
5 functional PEGylated lipids, 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-  
6 [carboxy(polyethylene glycol)-2000] (DSPE- PEG(2000)-COOH) providing a functional  
7 carboxylic group and 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-[amino(polyethylene  
8 glycol)-2000] (DSPE-PEG(2000)-NH<sub>2</sub>), providing a functional amine, were also employed in the  
9 vesicle formulation. Here the polyethylene glycol chain (2000 Da) intercalated between the  
10 phospholipid head group and the respective functional group serves to enhance the colloidal  
11 stability of the resulting vesicle, as well as the protocells once formed. Moreover, it is well-  
12 established that the presence of PEG chains on the particle surface improves the circulation time  
13 of the nanocarriers within the blood stream.<sup>25</sup> These polymeric chains hamper the adsorption of  
14 the immune proteins (opsonins) responsible for labeling foreign bodies for macrophage capture.  
15 Liposomes were synthesized following the thin-film hydration or Bangham method.<sup>26</sup> This  
16 method is based on the dissolution of various phospholipids in one organic phase followed by  
17 solvent evaporation, obtaining a lipid film. After that, the film is hydrated in a salt-rich aqueous  
18 medium under strong sonication which results in the liposome formation. Two batches of  
19 vesicles were synthesized maintaining a fixed molar ratio of cholesterol to DSPE-PEG(2000)-  
20 NH<sub>2</sub> (20:2) and varying the molar ratio of DSPC and DSPE-PEG(2000)-COOH (65:13) and  
21 (48:30), respectively. The percentage of introduced amino groups was kept constant at 2%,  
22 because this percentage is sufficient for the antibody attachment as previously reported.<sup>18</sup> Thus,  
23 the two batches present different concentrations of carboxylic groups in order to determine the  
24 effect of the concentration of collagenase nanocapsules on penetration. Both vesicle batches  
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were extruded to yield a monodisperse size distribution centered at 100 nm according to DLS measurements (DLS of DSPE-PEG(2000)-COOH (65:13) shows in **Figure S2** as an example).



**Scheme 1.** Synthetic pathway for the production of protocells.

Two batches of protocells were prepared by incubating an aqueous suspension of MSN with vesicles of the respective formulation under strong sonication for 20 seconds in phosphate buffered saline (PBS). Excess vesicles not fused to the silica surface were removed via centrifugation. Both protocell batches (PC-COOH13 and PC-COOH30, respectively) showed monodisperse sizes centered around 175 nm according to the DLS measurements (**Figure S3**). The presence of the lipid bilayer on the MSN surface was confirmed by TEM using phosphotungstic acid (PTA) as a staining agent (**Figure 1b**).<sup>26</sup> The thickness of the supported lipid bilayer was measured to be ~4.7 nm on both batches ( $n = 20$ ), which is in agreement with the values reported in the literature for 'protocell' systems.<sup>18</sup>

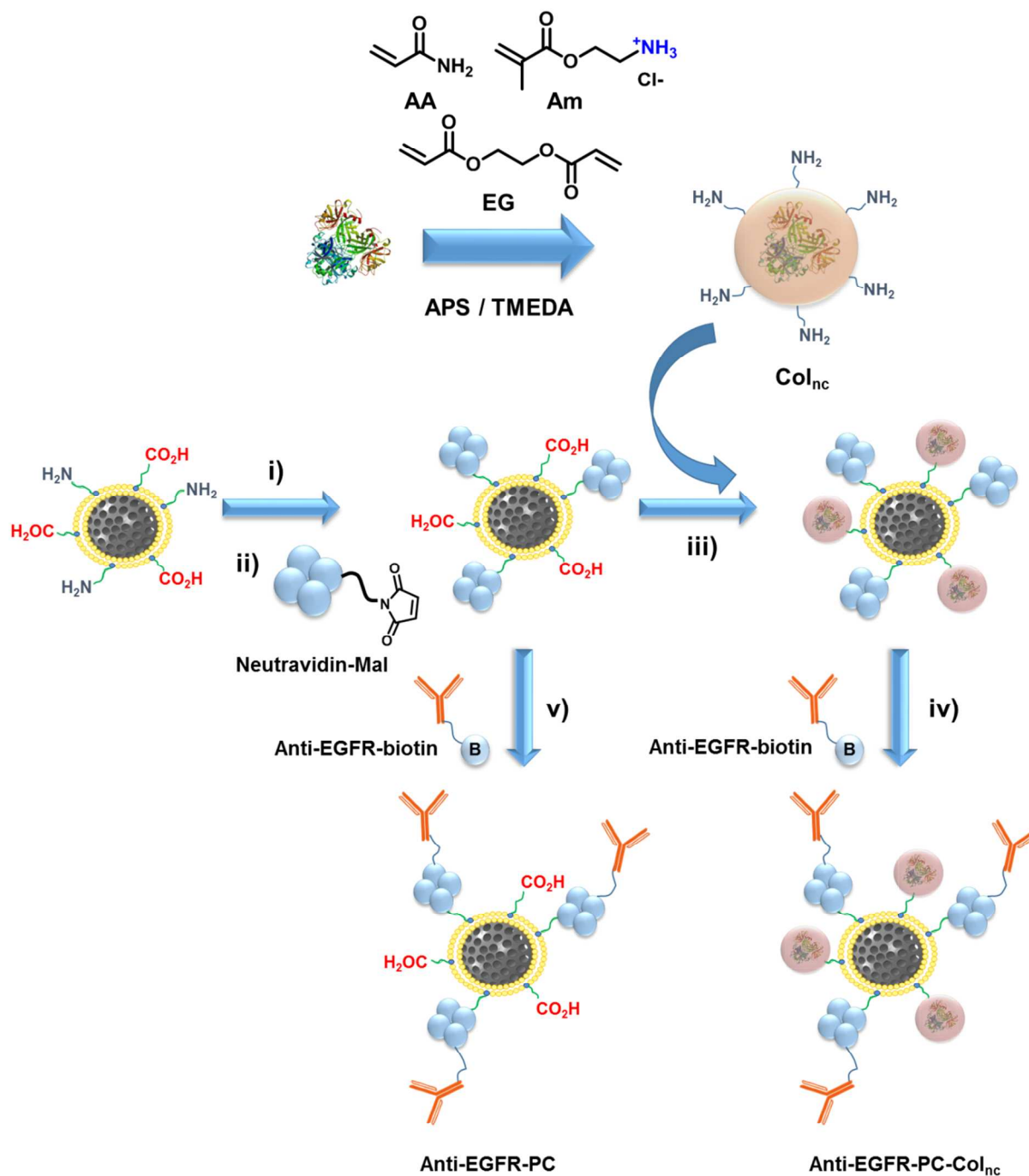
## 2.2. Synthesis of Collagenase Nanocapsules (Col<sub>nc</sub>)

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3 As was mentioned in the introduction, tumor penetration is one of the most important  
4 challenges facing the field of nanomedicine. The diffusion of nanometric objects in a fluid is  
5 understood by the Stokes-Einstein equation ( $D = KT/6\pi\eta r$ ) which indicates that the diffusion rate  
6 ( $D$ ) is inversely proportional to the particle radius ( $r$ ) and also to the viscosity of the media ( $\eta$ ).  
7  
8 Therefore, the diffusion of nanometric objects is clearly reduced by increasing particle size and  
9 the viscosity of the media. Many solid tumors exhibit overproduction of collagen, which  
10 accumulates within the extracellular matrix (ECM) and greatly impedes particle diffusion  
11 through the inner tumoral core. The intratumoral injection of proteolytic enzymes able to destroy  
12 these collagen accumulations partially alleviates this problem, but due to the labile nature of  
13 these enzymes, it is necessary to administer several dosages of them in order to achieve  
14 significant results. Our research group has reported the use of polymeric nanocapsules which  
15 contain enzymes encapsulated within an organic shell in order to preserve their catalytic function  
16 in the presence of aggressive agents such as temperature or other proteolytic enzymes.<sup>27,28</sup>  
17  
18 Recently, collagenase has been encapsulated within pH-sensitive polymeric nanocapsules which  
19 were designed to rapidly release the housed enzyme when the pH drops to the mild acidic  
20 conditions usually present in many tumoral tissues but retains the enzyme trapped within the  
21 polymeric matrix up to 24 hours under normal physiological conditions.<sup>16</sup> Following the same  
22 methodology, collagenase polymeric nanocapsules were formed by a radical polymerization  
23 method which employs acrylamide (AA), as the main structural monomer, 2-  
24 aminoethylmethacrylate (Am) as the monomer that provides amino groups required for the  
25 further attachment on the protocell surface, and ethylenglycol dimethacrylate (EG) as pH-  
26 degradable cross-linker (**Scheme 2**). In this process, monomer/protein molar ratio of 2419:1 and  
27 AA:Am:EG ratio (7:6:2) were employed.  
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3 The resulting nanocapsules were characterized by DLS measurements and TEM showing an  
4 average diameter of about 50 nm and a round-shaped morphology (**Figure 1c**). The employed  
5 polymer composition was selected in order to exhibit degradation by progressive hydrolysis over  
6 24 hours, which is accelerated in the mild-acidic conditions present in the tumor environment.  
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8 The nanocapsule degradation at physiological pH was monitored by following decrease in  
9 hydrodynamic diameter over time. We observed that the collagenase nanocapsules initially of  
10 ~50-nm in hydrodynamic diameter, were progressively degraded until they reached a size of ~7  
11 nm, indicating the enzymes complete release after 24 hours (**Figure S4**). The encapsulated  
12 collagenase retained its catalytic activity as was previously reported.<sup>16</sup>  
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### 26 **2.3. Protocell functionalization: Anchoring of anti-EGFR and collagenase nanocapsules.**

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28 In order to confer selectivity against tumoral cells, the nanoparticle surface was decorated with  
29 targeting moieties capable of being specifically recognized by cell membrane receptors  
30 overexpressed on the surface of cancerous cells. An EGFR antibody (anti-EGFR) was selected as  
31 a targeting ligand due to the common overexpression of EGFR in many human cancers, in  
32 particular lung cancer.<sup>30,31</sup> For this reason, A549 lung tumor cells were selected in this work as a  
33 cellular tumoral model. The anchoring of anti-EGFR and collagenase nanocapsules was carried  
34 out following a multi-step synthetic process (**Scheme 2**).  
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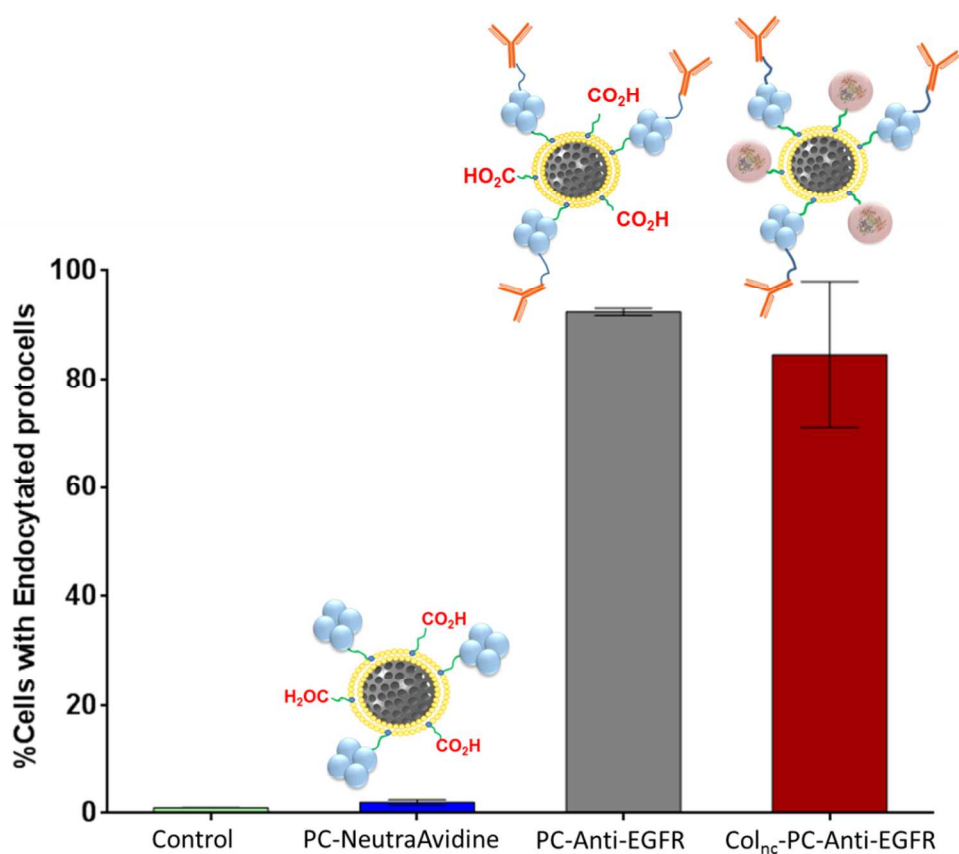
**Scheme 2.** Synthesis of Col<sub>1nc</sub> (upper scheme) and attachment pathway of Col<sub>1nc</sub> and Anti-EGFR-biotin on PC surface (lower scheme).

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3 The first step (i) was the conversion of the amino groups present on the protocell surface into  
4 thiol groups by the reaction with 2-iminothiolane hydrochloride (Traut's reagent). Then, these  
5 thiol groups were employed to anchor the maleimide-neutravidin moieties (ii). Thus, the  
6 protocells were ready for the antibody introduction employing biotinylated-Anti-EGFR (iv or v).  
7  
8 Due to the sensitive nature of antibodies, collagenase nanocapsules were anchored (iii) prior to  
9 the introduction of antibody in order to preserve the recognition capacity of this macromolecule.  
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11 As was mentioned above, two protocell batches displaying different percentages of carboxylic  
12 groups were synthesized (PC-COOH13 and PC-COOH30) in order to find the optimal  
13 composition which resulted in a higher collagenase nanocapsule attachment. Thus, collagenase  
14 nanocapsules were anchored on both types of protocells, using well-known carbodiimide  
15 chemistry (iii). The presence of collagenase nanocapsules was confirmed by measuring the  
16 enzymatic activity of the PC-COOH13-Col<sub>nc</sub> and PC-COOH30-Col<sub>nc</sub> respectively, using  
17 EnChekGelatinase/Collagenase Assay Kit. Both samples exhibited similar enzymatic activity  
18 and therefore, an increase in the amount of carboxylic groups on the PC surface did not improve  
19 the Col<sub>nc</sub> attachment (**Figure S5**). Additionally, the colloidal stability of PC-COOH13-Col<sub>nc</sub> was  
20 significantly higher than PC-COOH30-Col<sub>nc</sub>, showing that the particles remained well suspended  
21 in PBS for more than 15 days. For these reasons, PC-COOH13-Col<sub>nc</sub> was selected as the best  
22 system for the further attachment of Anti-EGFR which was carried out through the formation of  
23 a biotin-neutravidin bridge (iv and v).  
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#### 49 **2.4. *In vitro* Evaluation of Protocell targeting capacity in 2D model**

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51 As described above, Anti-EGFR was anchored on the protocell surface for improving the  
52 particle uptake within the tumoral cells. The capacity of these systems to selectively bind to  
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tumoral cells was tested by employing 2D cultures of A549 lung cancer cells, because these cells overexpress EGFR on their surface. A549 cells were exposed for 24 hours to a fixed concentration of protocells (80 $\mu$ g/ml) with and without the antibody anchored on their surface. After this time, the cells were gently washed with PBS in order to remove all non-internalized particles. The percentage of cells which had engulfed fluorescent nanoparticles was determined by flow cytometry thanks to the presence of rhodamine B covalently labeled within the silica matrix of the protocells (**Figure 2**).



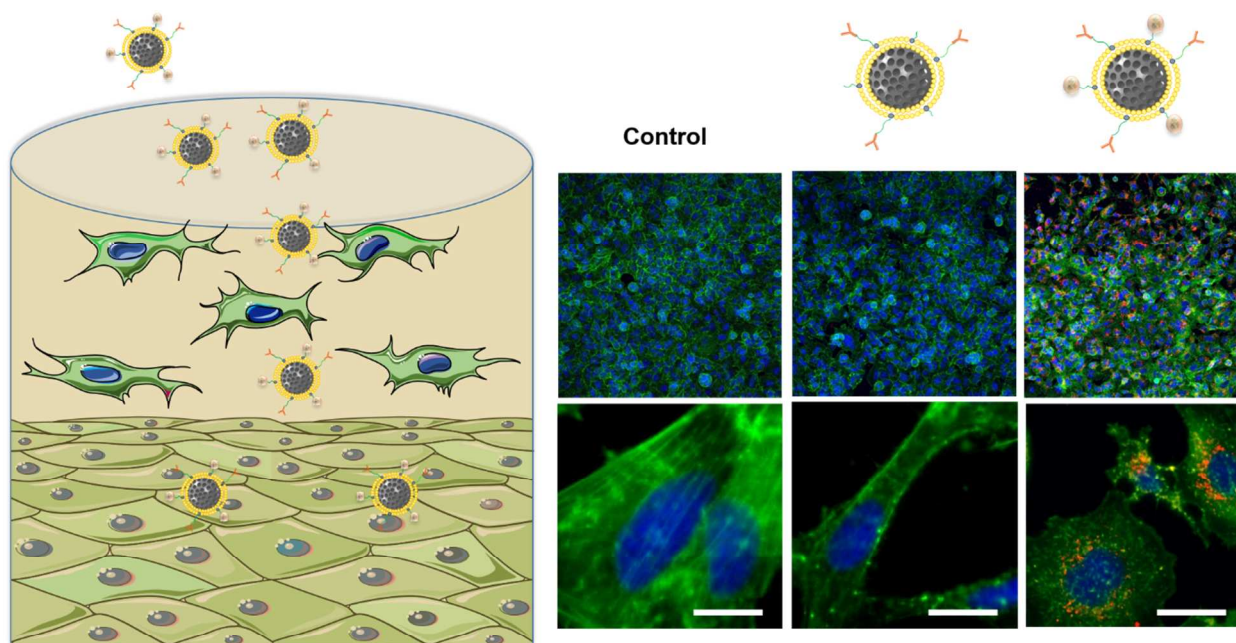
**Figure 2.** Cellular uptake of protocell with and without Anti-EGFR and Col<sub>nc</sub>. Samples were performed in triplicate.

The results confirmed the importance of the functionalization with the antibody because only 2% of the tumoral cells engulfed the protocells that did not present the antibody on their surface,

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3 whereas this value rose to over 90% when the antibody was present. In the complete system  
4 (Col<sub>nc</sub>-PC-Anti-EGFR), collagenase nanocapsules were anchored prior to the antibody  
5 functionalization. This anchoring process requires carboxylic acid activation by carbodiimide  
6 chemistry, which could alter the neutravidin pockets due to the presence of carboxylic and amine  
7 groups in this protein and therefore could compromise the antibody conjugation. In order to  
8 evaluate this possibility, the cells were exposed to Col<sub>nc</sub>-PC-Anti-EGFR and compared to the  
9 PC-Anti-EGFR alone. Both the full construct, Col<sub>nc</sub>-PC-Anti-EGFR, and PC-Anti-EGFR  
10 yielded similar uptake of 85% and >90% respectively, confirming the suitability of this strategy  
11 for the synthesis of the complete nanodevice.  
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## 24 **2.5. Evaluation of penetration capacity in 3D tumoral tissue model**

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26 In order to evaluate the penetration capacity of these multifunctional protocells, a 3D tissue  
27 model which mimics the existing conditions in a solid tumoral mass was prepared. This model  
28 was based on the use of a 3D collagen gel containing embedded tumoral cells within its  
29 structure. This model presents similar consistency and rheological properties to human tumor  
30 tissue, and therefore, it can be employed as a simple substitute for a complex *in vivo*  
31 microenvironment.<sup>32</sup> Our model consisted of a monolayer of A549 cells on which a 3D collagen  
32 gel with embedded tumoral cells of 200 μm thickness was grown (**Figure 3**).  
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**Figure 3.** Evaluation of penetration and internalization capacity of PC-Anti-EGFR and Col<sub>nc</sub>-PC-Anti-EGFR nanodevices, employing 3D tumoral tissue models. Cell nuclei are stained in blue, actin filaments were stained in green and protocells were labeled in red (white bars correspond to 25  $\mu\text{m}$ ). Confocal fluorescent micrographs of each separate channel can be found in supporting information (**Figure S6**).

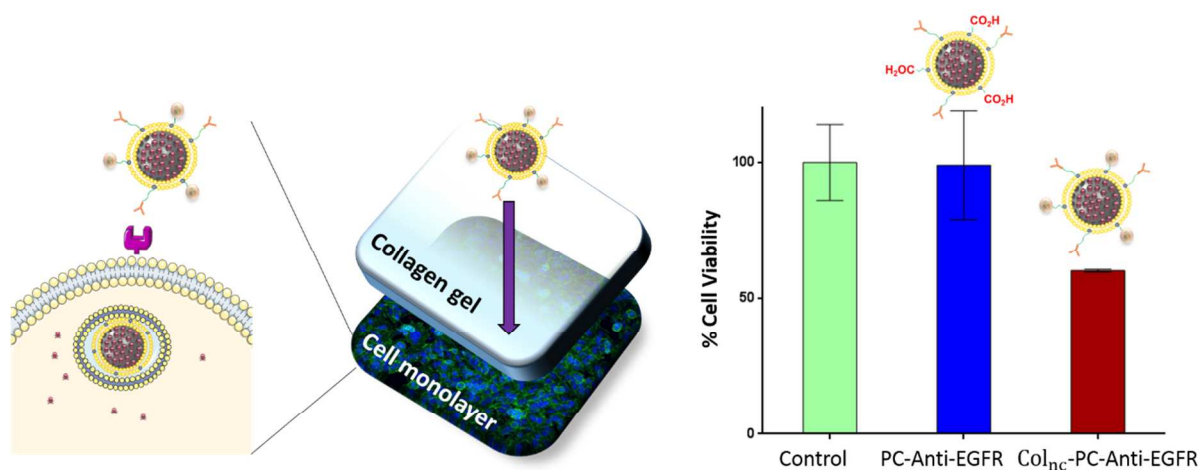
The gel mimics the ECM of the tumor and acts as barrier hindering the diffusion of protocells to the A549 monolayer. Thus, to test the enhanced penetration of the system decorated with collagenase nanocapsules, a suspension of fluorescent protocells (80  $\mu\text{g}/\text{mL}$ ) bearing the antibody and prepared with or without collagenase nanocapsules, was carefully placed on the top of the gel. After 24 h of incubation, the gels were gently washed with PBS in order to remove the protocells which were unable to penetrate within/into the collagen matrix. The capacity to reach the bottom cell monolayer was assessed in each case by fluorescence confocal microscopy. The cell nuclei were stained with DAPI (blue) and, with the aim to assess that protocells were truly

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3 engulfed within the cell cytoplasm thanks to the presence of the targeting moiety, actin filaments  
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5 were stained with green phalloidin. The confocal images show that only protocells functionalized  
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7 with nanocapsules were able to reach the bottom layer, as can be observed by the existence of  
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9 perinuclear red dots which correspond to the rhodamine B labeled MSN cores of the protocells.  
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11 This result confirms the capacity of Col<sub>nc</sub>-PC-Anti-EGFR to overcome the collagen barrier and  
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13 reach deep layers of the tissue model. In addition, these protocells were found within the tumoral  
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15 cell cytosol, which confirms the enhanced internalization of these nanocapsule-bearing protocells  
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17 inside malignant cells. On the contrary, PC-Anti-EGFR were not able to overcome the collagen  
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19 barrier and they were likely removed in the washing step, as can be observed by the absence of  
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21 red dots (labeled nanocapsule-free protocells) in the cell layer.  
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## 28 **2.6. Evaluation of cytotoxic capacity of drug-loaded protocells in 3D tumoral tissue model**

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31 Having established the ability of Col<sub>nc</sub>-PC-Anti-EGFR to reach deep areas within a 3D tumoral  
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33 model and once there, to be selectively internalized by tumoral cells, the ability of the system to  
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35 transport and deliver cytotoxic drugs capable of inducing cell death was studied. Topotecan  
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37 (TOP) is a potent antitumoral therapeutic but it suffers significant instability in the blood stream  
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39 losing its activity in a few hours.<sup>33</sup> For this reason, repeated dosages are required in order to  
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41 maintain its concentration in the blood, which leads to severe side effects. It has been reported  
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43 that the encapsulation of TOP within a nanocarrier improves its therapeutic efficacy.<sup>34</sup> For these  
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45 reasons, topotecan was selected as drug model for this system. Col<sub>nc</sub>-PC-Anti-EGFR were loaded  
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47 prior to the formation of the protocell by incubating the silica core for 24 hours in an aqueous  
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49 solution of topotecan (3 mg·mL<sup>-1</sup>). The loading capacity of the protocells was determined by  
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51 differences in fluorescence between the topotecan solution, before and after the loading, yielding  
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0.6% (w:w) of cargo. A suspension of topotecan-loaded Col<sub>nc</sub>-PC-Anti-EGFR was deposited on the surface of the 3D tumoral tissue model. PC-Anti-EGFR loaded with the same amount of topotecan was employed as a control in order to evaluate the cytotoxic capacity of protocells which did not possess penetration capacity. After 24 and 48 hours of incubation time, cell viability was evaluated by the Alamar blue viability assay. At 24 hours, the results showed that topotecan-loaded Col<sub>nc</sub>-PC-Anti-EGFR induced around 40% of cell mortality, whereas the system which did not contain the collagenase nanocapsules had negligible cytotoxicity (**Figure 4**). After 48 hours, the cytotoxicity observed in the case of topotecan(TOP)-loaded Col<sub>nc</sub>-PC-Anti-EGFR reached up to 60% and PC-Anti-EGFR induced around 20% of cell destruction. The cytotoxicity provoked by this last nanodevice was probably caused by the small amount of TOP that leaks from protocells when they are exposed to physiological conditions over a relatively long timespan (Figure S7).<sup>18</sup> These results confirmed that the higher penetration capacity of collagenase nanocapsules results in significant improvements in the therapeutic efficacy of the cytotoxic compounds transported by these multifunctional protocells.



**Figure 4.** Cytotoxic capacity of Protocells in 3D tumoral tissue model after 24 hours of incubation (n =3, p<0.05).

### 3. Conclusions

Herein, a multifunctional tumor-penetrating nanocarrier capable of selective delivery of antitumoral drugs to deep tumoral layers has been developed. This system is composed of mesoporous silica nanocarriers encapsulated within a supported lipid bilayer (aka protocell). The SLB of the protocell construct was modified with collagenase containing nanocapsules that serve to digest the highly dense tumoral extracellular matrix and enable protocell penetration. These collagenase nanocapsules were designed to protect the enzymatic activity of the collagenase until the target tissue is reached and then, to have pH-triggered (accelerated) release of collagenase within the tumoral area. Additionally, the “plug and play” feature of protocell surface allowed anchoring of EGFR antibodies, whose receptors are commonly overexpressed in human lung cancer cells, allowing a preferential internalization within tumoral cells. Thus, the system achieves highly efficient penetration within dense matrix, selective internalization by tumoral cells and successful and controlled delivery of cytotoxic anticancer drugs. The importance of this system was highlighted by employing a 3D tumoral tissue model that mimics the extracellular matrix present in diseased tissues. Therefore, this system has demonstrated the capability to overcome one of the major problems of current nanomedicine, which is the limited penetration of nanosystems. The application of this strategy would pave the way for the design of smarter nanoconstructs able to achieve more homogeneous drug distribution within solid tumors, which is an important advance toward their clinical applications.

## MATERIALS AND METHODS

### 4.1. Materials

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3 Rhodamine B isothiocyanate (RBITC) (Sigma Aldrich); Ethanol (Dismadel); (3-  
4 aminopropyl)triethoxysilane (APTES) (ABCR); Hexadecyltrimethylammonium bromide  
5 (CTAB) (Sigma Aldrich); ammonium hydroxide (NH<sub>4</sub>OH, 28-30%) (Fluka); Tetraethyl  
6 orthosilicate (TEOS) (Aldrich); Ammonium nitrate (Sigma Aldrich); 1,3-distearoyl-sn-glycero-  
7 3-phosphocholine (DPSC) (Avanti Lipids); 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-  
8 [amino(polyethylene glycol)-2000] (ammonium salt) (DSPE-PEG(2000)-NH<sub>2</sub>) (Avanti Lipids);  
9 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[carboxy(polyethylene glycol)-2000]  
10 (ammonium salt) (DSPE- PEG(2000)-COOH) (Avanti Lipids); Cholesterol (Avanti Lipids);  
11 Traut's reagent (Aldrich); Maleimide activated netrAvidin (thermo Scientific); biotinlyated  
12 EGFR antibody (Abcam); Collagenase Type I (Life Technologies); Acrylamide (Fluka); 2-  
13 Aminoethyl methacrylate hydrochloride (Sigma Aldrich); Ethylene glycol dimethacrylate (Sigma  
14 Aldrich); Ammonium persulfate (Sigma Aldrich); N,N,N',N'-Tetramethylethylenediamine  
15 (Sigma Aldrich); Amicon®Ultra-2mL Centrifugal Filters Ultracel®- 10K (Millipore); N-(3-  
16 Dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride (Sigma Aldrich); N-  
17 Hydroxysuccidimide, (Sigma Aldrich); EnChek®Gelatinase/Collagenase Assay Kit (Life  
18 Technologies); 10X PBS Buffer pH=7.4 (Ambion); Dulbecco's modified eagle's medium  
19 (Sigma Aldrich); Rat tail collagen (type I) First Link (UK) Ltd; Bovine serum Albumin (BSA)  
20 (Sigma); Paraformaldehyde (Sigma Aldrich); Atto488 phalloidine (Sigma Aldrich); Triton® X-  
21 100 (Aldrich®); DAPI (Sigma®); Topotecan (Sigma Aldrich); Alamar blue (Invitrogen).

#### 4.2. Instrumental section

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23  
24 The hydrodynamic size of mesoporous nanoparticles and protein capsules was measured by  
25 means of a Zetasizer Nano ZS (Malvern Instruments) equipped with a 633 nm "red" laser.  
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27 Transmission Electron Microscopy (TEM) was carried out with a JEOL TEM 3000 instruments  
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operated at 300 kV, equipped with a CCD camera. Sample preparation was performed by dispersing MSN or protocells in pure ethanol or in distilled water respectively and subsequent deposition onto holey carbon coated copper grids. A solution of 1% of phosphotungstic acid (PTA) pH 7.0 was employed as staining agent in order to visualize the protein capsules alone and attached on the mesoporous surface. Fluorescence was measured with Synergy 4, power supply for Biotek Laboratory Instrument 100-240VAC, 50/60Hz, 250W. Confocal microscope Olympus FV1200 (Electron Microscopy Centre, UCM).

### 4.3. Synthesis of Mesoporous Silica Nanoparticles (MSN)

In order to prepare dye-labeled mesoporous silica nanoparticles, 1.5 mg of Rhodamine B isothiocyanate (RBITC) was dissolved in 1mL of ethanol and 1.5  $\mu\text{L}$  of (3-aminopropyl)triethoxysilane (APTES) and the solution RBITC-APTES was kept at room temperature under magnetic stirring for 2 h. 0.290 g of Hexadecyltrimethylammonium bromide (CTAB) was dissolved 150 g in ammonium hydroxide 0.32 M and the solution was incubated at 50 °C under magnetic stirring for 1 h in a 200 mL beaker sealed with parafilm. Then 3 mL of 0.88 M Tetraethyl orthosilicate (TEOS) in ethanol and RBITC-APTES were added to the surfactant solution after adjusting the stirring speed to 650 rpm. The mixture was incubated at 50 °C under magnetic stirring for 1 h without parafilm, then the solution was aged at 50 °C overnight under static conditions. The next day, the particles were subjected to a hydrothermal treatment at 70°C for 20 h before being collected by centrifugation and washed three times with water and ethanol. The surfactant was removed placing the particles in 500 mL of a solution of 95% ethanol, 5% water, and 10 g  $\text{NH}_4\text{NO}_3 \text{ mL}^{-1}$  at 80 °C during 3 h with reflux and under stirring. The nanoparticles were washed with ethanol and were finally stored in pure ethanol.

### 4.4. Liposome preparation

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3 The lipids and cholesterol were solubilized in chloroform and were stored at  $-20\text{ }^{\circ}\text{C}$ . To prepare  
4 liposomes, the corresponding lipids were mixed at mol % ratios: DSPC/Chol/DSPE-PEG(2000)-  
5  $\text{NH}_2$ /DSPE-PEG(2000)-COOH=65/20/2/13 and 48/20/2/30. The lipids were dried under vacuum  
6  
7 to remove the organic solvent and obtain lipids film and were rehydrated in 1x PBS and bath  
8  
9 sonicated for 1 h to obtain liposome solution. In order to obtain a monodispersed liposome  
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11 solution, the liposomes were extruded using  $0.05\text{ }\mu\text{m}$  polycarbonate filter membrane at least 21  
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13 times. Then monodispersed liposomes were obtained.  
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#### 19 **4.5. Synthesis of Protocells (PC-CO<sub>2</sub>H13 and PC-CO<sub>2</sub>H30)**

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21 The silica nanoparticles were transferred to water at (2.5 mg/mL) from the ethanolic suspension  
22  
23 by centrifugation (15000 rpm, 10 min) and resuspension in water. To each batch of MSN, a  
24  
25 suspension of liposomes  $8 \cdot 10^{-6}$  mol in 1.2 mL of PBS with 13% or 30% of carboxylic groups  
26  
27 was added. The mixtures were sonicated for 20 s and the excess of liposomes was removed by  
28  
29 centrifugation (15000 rpm, 10 min). The pelleted protocells were redispersed in PBS(1x) by  
30  
31 sonication; this process was repeated two times. Finally, the protocells were stored in 1 mL of  
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33 PBS (2.5 mg/mL).  
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38 **4.6. Synthesis of Collagenase Capsule (Col<sub>nc</sub>).** Firstly, the reaction buffer  $\text{NaHCO}_3$  (0.01 M,  
39  
40 pH 8.5) was deoxygenated by freeze-vacuum- $\text{N}_2$  cycles. Then, Collagenase ( $3.1 \times 10^{-5}$  mmol)  
41  
42 was dissolved in the freshly deoxygenated buffer. In a separated vial, 0.035 mmol of acrylamide  
43  
44 (AA), 0.030 mmol of 2- aminoethyl metacrylate hydrochloride (Am), and 0.010 mmol of  
45  
46 ethylene glycol dimetacrylate (EG) were dissolved in 1 mL of deoxygenated buffer and the  
47  
48 monomer solution was added to the protein solution. This mixture was stirred at 300 rpm for 10  
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50 min under nitrogen atmosphere at room temperature. Then, 0.013 mmol of ammonium persulfate  
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52 and 0.02 mmol of *N,N,N',N'*-tetramethyl ethylenediamine (TMDA) dissolved in 1 mL of the  
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3 deoxygenated buffer were added. The solution was stirred at 300 rpm for 90 min at room  
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5 temperature under inert atmosphere. Next, the encapsulated enzyme was purified by centrifugal  
6  
7 separation with 10 KDa cut-off filters (AMICON Ultra-2 mL 10 KDa) and washed three times  
8  
9 with NaHCO<sub>3</sub> buffer (0.01 M pH 8.5). The capsules of collagenase were preserved at 4 °C.

#### 11 **4.7. Protocells functionalization with Anti-EGFR and Col<sub>nc</sub>**

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14 *4.7.1. Conversion of NH<sub>2</sub> groups into SH groups*, 125 μL of 250 mM Traut's reagent in PBS was  
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16 added to the protocells. The reaction was kept for 2 h under stirring at room temperature. After  
17  
18 this time, the excess of Traut's reagent was removed via centrifugation (15000 rpm, 10 min) and  
19  
20 the pellet of protocells was resuspended in PBS. This step was repeated twice. Finally, the thiol-  
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22 functionalized protocells were stored in 1 mL of PBS (2.5 mg·mL<sup>-1</sup>).  
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26 *4.7.2. Attachment of NeutrAvidin*: 0.5 mL of (1 mg·mL<sup>-1</sup> in water) maleimide-functionalized  
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28 NeutrAvidin protein was added to 1 mL (2.5 mg·mL<sup>-1</sup>) of thiol-functionalized protocells. The  
29  
30 reaction was incubated under stirring at room temperature during 12 hours. After this time, the  
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32 excess of maleimide-functionalized NeutrAvidin was removed via centrifugation (15000 rpm, 10  
33  
34 min) and the protocells pellet was resuspended in 1xPBS. This step was carried out twice. PC-  
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36 NeutrAvidin were stored in 1 mL of 1xPBS (2.5 mg·mL<sup>-1</sup>) at 4 °C.  
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40 *4.7.3. Synthesis of Col<sub>nc</sub>-PC*: the carboxylic groups of PC-NeutrAvidin (0.6 mL of 2.5 mg·mL<sup>-1</sup>  
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42 in NaHCO<sub>3</sub> buffer (0.01 M, pH 8.5)) were activated by adding 2.5 mg of *N*-(3-(Dimethylamino)-  
43  
44 propyl)-*N'*-ethylcarbodiimide hydrochloride (EDC), 2.5 mg of *N*-Hydroxysulfosuccinimide  
45  
46 (NHS). In order to maintain the basic conditions, 2.5 mg of NaHCO<sub>3</sub> was added to the mixture.  
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48 The sample was placed in an orbital stirrer at 400 rpm during 10 min. After this time, 4 mg of  
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50 collagenase nanocapsules were added and the mixture was stirred during 4 h. Col<sub>nc</sub>-PC-  
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3 NeutrAvidin were collected by centrifugation and washed three times with PBS. Col<sub>nc</sub>-PC-  
4 NeutrAvidin were stored at 4 °C.  
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8 *4.7.4. Synthesis of Col<sub>nc</sub>-PC-Anti-EGFR:* 25 µg of biotinlyated Anti-EGFR were mixed with 250  
9 µg of PC-NeutrAvidin or Col<sub>nc</sub>-PC-NeutrAvidin Protocells, respectively, during 1h at room  
10 temperature. After this time, protocells were isolated by centrifugation (15000 rpm, 10 min) and  
11 they were redispersed in 250 µL of PBS(1x) yielding PC-Anti-EGFR or Col<sub>nc</sub>-PC-Anti-EGFR,  
12 respectively.  
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19 **4.8. Cell culture and targeting studies in 2D.** Protocell selective uptake of each system were  
20 evaluated in 2D cell culture model and flow cytometry. For this study, 20,000 A549 cells·cm<sup>-2</sup>  
21 were seeded into each well of a 24-well plate. The cells were incubated with 80µg of the  
22 corresponding protocell (PC-NeutrAvidin or PC-Anti-EGFR) left untreated (control) during 24 h  
23 at 37 °C at 5% CO<sub>2</sub> atmospheric concentration. Then, the cells were washed two times with  
24 PBS(1x) in order to remove the non-internalized protocells and then, the cells were treated with  
25 trypsin and the percentage of cells which have internalized fluorescence protocells were  
26 measured by flow cytometry using a BD FACSCaliber flow cytometer. Samples were performed  
27 in triplicate and 10,000 cells were assessed per sample. To calculate the percent of cells positive  
28 for the protocells, a gate was set to contain 1% of control cells. This gate was then applied to all  
29 other samples to determine the percent of cells positive for protocells.  
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44 **4.9. Enzymatic Activity Measurements.** The enzymatic activity of all samples were evaluated  
45 following the protocol EnChekGelatinase/Collagenase Assay Kit.  
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49 **4.10. Preparation of 3D tumoral tissue models based on A549-seeded collagen gels.** For this  
50 study 20,000 A549 cells·cm<sup>-2</sup> were seeded in 24-well plate. 0.5 mL of complete media was  
51 added to each well, and the cells were cultured at 37 °C at 5% CO<sub>2</sub> atmospheric concentration for  
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3 24 h. Then, the collagen gel with A549 cells embedded into the collagen matrix was directly  
4 prepared in contact with the cell monolayer. For this purpose, 5.32 mL of rat tail collagen type I  
5 (3 mg·mL<sup>-1</sup>) and 15.48 mL of complete medium (Dulbecco's modified eagle's medium  
6 complemented with 10% of FBS) were mixed at 0 °C. Then, 100 μL of sodium hydroxide were  
7 added in order to obtain a mixture with neutral pH. 5 mL of FBS, 5 mL of complete medium and  
8 5 mL of a solution of cells of concentration A549  $1.7 \times 10^6$  cell·mL<sup>-1</sup> were added to the neutral  
9 collagen solution, keeping the temperature at 0 °C. The mixture was pipetted into 24 well plates  
10 (0.25 mL per well) and incubated at 37 °C at 5% CO<sub>2</sub> atmospheric concentration for 1 day, in  
11 order to promote gel formation. Then, 250 μL of complete medium was added in each well and  
12 the gel was incubated at 37 °C at 5% CO<sub>2</sub> atmospheric concentration overnight. These gels were  
13 used for the further experiments 2 days after gel formation.  
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28 **4.11. Study of penetration and cell internalization in A549-seeded collagen gels.** To study the  
29 penetration of nanocarriers in 3D tumoral tissue models, 80 μL of suspended PC-Anti-EGFR and  
30 Col<sub>nc</sub>-PC-Anti-EGFR (1 mg·mL<sup>-1</sup>) were respectively added on top of each gel. These samples  
31 were incubated at 37 °C at 5% CO<sub>2</sub> atmospheric concentration during 24h. Then, the supernatant  
32 was removed and the gel was washed twice with PBS. Then, 0.5 mL of a solution 4%  
33 paraformaldehyde and 1% sucrose in PBS was added to each well, and were incubated for  
34 20min. After this time, the wells were washed two times with PBS 1x and 0.5 mL of a solution  
35 of 0.5% triton X-100 in PBS was added and the wells were incubated during 5 min at room  
36 temperature in order to permeate the cell membrane. Then, the wells were washed with PBS and  
37 incubated for 20 min at 37 °C with a solution of BSA 1% in PBS. After this step, BSA was  
38 removed and 0.5 mL of a solution of 30 μL of ATTO488 phalloidine solution (1 mg·ml<sup>-1</sup> in  
39 methanol) in 1 mL of BSA1%, was added. The wells were incubated for 40 min, and washed two  
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3 times with PBS(1x). Then, 0.5 mL of one solution of DAPI in PBS (0.1 $\mu$ g/mL) was added and  
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5 the wells were incubated during 15 min at room temperature. Finally, the excess of DAPI was  
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7 removed washing two times with PBS(1x). The samples were ready for observation by  
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9 fluorescent confocal microscopy.  
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#### 11 **4.12. Topotecan loading**

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14 1 mL of ethanolic suspension of MSN (2.5 mg·ml<sup>-1</sup>) was washed two times with water and  
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16 finally was resuspended in 0.5 ml of an aqueous solution of topotecan (5 mg·ml<sup>-1</sup>) during 12  
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18 hours. The excess of topotecan was removed by centrifugation (15000 rpm, 10 min) and two  
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20 washing steps in H<sub>2</sub>O. The amount of topotecan housed in MSN was determined by the  
21  
22 difference in fluorescence in the initial cargo solution and the resulting supernatant. These  
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24 topotecan-loaded MSN were employed for the drug-loaded protocell following the method  
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26 described above.  
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#### 30 **4.13. Cell Viability Studies.**

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33 The cytotoxic capacity of these multifunctional protocells was evaluated using the same 3D  
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35 tumoral tissue model mentioned above. Briefly, 80  $\mu$ L of each drug-loaded protocell (1  
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37 mg·mL<sup>-1</sup>) were added on the top of 3D gels with cells A549 embedded. These gels were  
38  
39 incubated at 37 °C at 5% CO<sub>2</sub> atmospheric concentration during 24h. The supernatant was  
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41 removed and the gel was washed two times with PBS(1x). Then, 0.5 mL of Alamar Blue  
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43 solution (10%) in cell culture medium was added to each well and the gels were incubated at 37  
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45 °C at 5% CO<sub>2</sub> atmospheric concentration during 1 h. Finally, the fluorescence of supernatant was  
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47 measured using  $\lambda_{\text{ex}} = 570$  nm and  $\lambda_{\text{em}} = 585$  nm using a microplate reader.  
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#### 51 **ASSOCIATED CONTENT**

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3 **Supporting Information.** The following files are available free of charge. Hydrodynamic  
4 diameter of mesoporous silica nanoparticles, liposomes and protocells obtained by dynamic light  
5 scattering (DLS). Study of the collagenase nanocapsules hydrolysis under physiological  
6 conditions , enzymatic activity of different types of protocells and each separate channel of  
7 confocal fluorescent micrographs.  
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### 26 27 **Author Contributions**

28  
29 The manuscript was written through contributions of all authors. All authors have given approval  
30 to the final version of the manuscript.  
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6 Multifunctional protocell capable to penetrate deep into 3D extracellular matrices and once there,  
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