

**UNIVERSIDAD COMPLUTENSE DE MADRID**  
**FACULTAD DE CIENCIAS FÍSICAS**  
**Departamento de Astrofísica y Ciencias de la Atmósfera**



**MASS AND STAR FORMATION RATE EVOLUTION OF  
INFRARED SELECTED GALAXIES IN THE COSMOS FIELD**

**MEMORIA PARA OPTAR AL GRADO DE DOCTOR  
PRESENTADA POR**

**Helena Domínguez Sánchez**

Bajo la dirección de los doctores  
Carlotta Gruppioni  
Andrea Cimatti  
Francesca Pozzi

**Madrid, 2012**



UNIVERSIDAD COMPLUTENSE DE MADRID

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DEPARTAMENTO DE ASTROFÍSICA Y CIENCIAS DE LA ATMÓSFERA

*Mass and Star Formation Rate Evolution of Infrared  
selected Galaxies in the COSMOS Field*

**Evolución de la Masa y de la Tasa de Formación  
Estelar de Galaxias Seleccionadas en el Infrarrojo en  
el Campo COSMOS**

Tesis de Doctorado  
de  
**HELENA DOMÍNGUEZ SÁNCHEZ**

DIRECTORES:  
Dra. **Carlotta GRUPPIONI (INAF-OABO)**  
Dr. **Andrea CIMATTI (UniBo)**  
Dra. **Francesca POZZI (UniBo)**

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Memoria presentada por Helena Domínguez Sánchez para aspirar al grado de Doctora en Ciencias  
Físicas  
Madrid, 2012







*A Sara e Francesca P., per aprirmi la strada.  
A Via Orfeo 25, per i pranzi della domenica.  
Ad Annamaria, per rendere possibile questa dedica.*

*A mis abuelas (y a todos los que vienen detrás).*



*‘La astrofísica es una ciencia que debe ser estudiada del siguiente modo. Beber un poco de vodka, una noche clara y fría, envolverse los pies en una manta de pelo, sentarse en el balcón y mirar al cielo. La ubicación preferible es una zona montañosa, con un débil retumbar de aludes en la lejanía; el momento preferible, las horas de la melancolía. Si no se sigue esta receta, la ciencia en cuestión parecerá un bosque petrificado de números y ecuaciones; pero aquel que se atiene a lo prescrito experimentará un estado curioso de éxtasis. Los signos algebraicos se transformarán en claves de violín, X e Y vibrarán y resonarán como sopranos y bajos, y de las extrañas ecuaciones surgirán la sinfonía del progreso y la decadencia del universo...’*

**Arthur Koestler, El Camino hacia Marx**



# Contents

<b>Resumen de la Tesis</b>	<b>1</b>
<b>Motivation and Aims of the Thesis Project</b>	<b>9</b>
<b>1 Galaxy Formation Theory</b>	<b>15</b>
1.1 Cosmology . . . . .	15
1.1.1 Density Perturbations . . . . .	18
1.1.2 Dark Matter Halos and Gas Cooling . . . . .	20
1.2 Star Formation in Galaxies . . . . .	22
1.2.1 Giant Molecular Clouds . . . . .	22
1.2.2 The Formation of Individual Stars . . . . .	26
1.2.3 The Kennicutt-Schmidt Law . . . . .	27
<b>2 Analysis of Stellar Populations in Galaxies</b>	<b>31</b>
2.1 Stellar Evolutionary Tracks . . . . .	31
2.2 Initial Mass Function . . . . .	33
2.3 Spectral Synthesis . . . . .	34
2.3.1 Spectral Features . . . . .	38
2.3.2 Age-Metallicity Degeneracy . . . . .	39
2.4 Emission and Absorption by the Inter-Stellar Medium . . . . .	39
2.4.1 Emission . . . . .	39
2.4.2 Dust Extinction . . . . .	40

<b>3</b>	<b>Late Type Galaxies</b>	<b>43</b>
3.1	The Existence of Bimodality in Observed Galaxies . . . . .	43
3.2	Late Type Galaxy Properties . . . . .	45
3.2.1	The Star Formation Rate-Mass Relation . . . . .	47
3.3	Star Formation Diagnostics . . . . .	48
3.3.1	Ultra-Violet Continuum . . . . .	48
3.3.2	Recombination Lines . . . . .	49
3.3.3	Forbidden Lines . . . . .	50
3.3.4	Far-Infrared Continuum . . . . .	50
<b>4</b>	<b>Early Type Galaxies</b>	<b>53</b>
4.1	Early Type Galaxy Properties . . . . .	53
4.1.1	The Color-Magnitude Relation . . . . .	53
4.1.2	The Fundamental Plane . . . . .	55
4.1.3	Absorption Line Indices . . . . .	56
4.2	The Formation of Elliptical Galaxies . . . . .	56
4.2.1	The Monolithic Collapse Scenario . . . . .	56
4.2.2	The Hierarchical Merger Scenario . . . . .	57
4.3	The Observed Evolution of Early and Late Galaxy Types . . . . .	59
<b>5</b>	<b>Sample Selection and Analysis to Study the Evolution of the Galaxy Stellar Mass Function</b>	<b>65</b>
5.1	COSMOS field . . . . .	65
5.1.1	Optical and Ultraviolet . . . . .	67
5.1.2	Near Infrared . . . . .	68
5.1.3	Mid-Infrared . . . . .	69
5.2	The Multi-wavelength Catalog . . . . .	69
5.2.1	The Likelihood Ratio Technique . . . . .	69
5.2.2	Results . . . . .	71

5.3	SED fitting Photometric Redshifts . . . . .	73
5.3.1	The SED-fitting Technique . . . . .	73
5.3.2	Method . . . . .	75
5.3.3	Photometric Redshift Accuracy . . . . .	75
5.3.4	Redshift Distribution . . . . .	82
5.4	SED-fitting Galaxy Stellar Masses and Star Formation Rates . . . . .	84
5.4.1	Stellar Population Synthesis Models . . . . .	84
5.4.2	Maraston 2005 Models . . . . .	85
5.4.3	Method . . . . .	86
5.4.4	Stellar Masses Uncertainties . . . . .	87
5.5	Galaxy Classification . . . . .	88
5.5.1	Method . . . . .	88
5.5.2	Comparison with BzK . . . . .	89
5.5.3	High Redshift Quiescent Galaxies . . . . .	91
<b>6</b>	<b>The Evolution of the Galaxy Stellar Mass Function</b>	<b>95</b>
6.1	The Galaxy Stellar Mass Function . . . . .	95
6.1.1	The $1/V_{max}$ Method . . . . .	96
6.1.2	Mass Limit . . . . .	99
6.1.3	Galaxy Stellar Mass Function Errors . . . . .	99
6.1.4	Comparison of the Total Galaxy Stellar Mass Function with the Literature . . . . .	102
6.2	The Evolution of Galaxies with Different Star Formation Rates . . . . .	103
6.2.1	Stellar Mass Density . . . . .	108
6.2.2	Comparison with Theoretical Models . . . . .	114
<b>7</b>	<b>Comparison of Star Formation Rate Estimates</b>	<b>117</b>
7.1	Data Analysis . . . . .	118
7.1.1	The Herschel Space Observatory . . . . .	118

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7.1.2	Herschel Selected Sample . . . . .	119
7.1.3	Active Galactic Nuclei Detection . . . . .	120
7.1.4	Stellar Masses . . . . .	125
7.2	Star Formation Rate Derivation . . . . .	126
7.2.1	Star Formation Rate from the Infrared Luminosity . . . . .	126
7.2.2	Star Formation Rate from the H $\alpha$ Emission Line . . . . .	131
7.3	Star Formation Rate Comparison . . . . .	142
7.4	Star Formation Rate Dependences . . . . .	147
7.4.1	Redshift . . . . .	147
7.4.2	Mass . . . . .	147
7.4.3	Metallicity . . . . .	151
7.4.4	Specific Star Formation Rate . . . . .	154
7.4.5	Morphological Type . . . . .	156
	<b>Conclusions</b>	<b>161</b>
	<b>Publications</b>	<b>165</b>
	<b>Bibliography</b>	<b>169</b>

# Resumen de la Tesis de Doctorado

**E**STA Tesis de Doctorado ha sido realizada y escrita gracias a una Beca Internacional del Instituto de Astrofísica de Canarias (IAC) en el Observatorio Astronómico de Bolonia (INAF-OABO), Italia. Tanto los directores de la Tesis como el resto de los colaboradores son de habla no hispana, con lo que habría sido incoherente escribir la Tesis en castellano. A continuación presentamos un resumen con los principales contenidos y resultados de esta Tesis en castellano.

En esta Tesis se pretende estudiar la evolución de la masa y de la tasa de formación estelar de galaxias seleccionadas en el infrarrojo utilizando datos multi-banda del campo COSMOS. En la primera parte de la tesis hemos analizado una muestra de  $\sim 80000$  galaxias en el campo COSMOS seleccionadas en la banda  $3.6 \mu\text{m}$  de IRAC con  $\text{mag}_{3.6} < 22.0$  y hemos calculado sus desplazamientos al rojo a partir de la información fotométrica. Una vez calculados sus desplazamientos al rojo, hemos estudiado en mayor detalle una sub-muestra de  $\sim 20000$  galaxias con alto desplazamiento al rojo ( $1.4 < z < 3.0$ ), calculando importantes parámetros físicos como la masa, la tasa de formación estelar o la edad, a partir de sus distribuciones espectrales de energía. Hemos dividido nuestras galaxias en 3 tipos dependiendo de su tasa de formación específica (*e.d.*, la cantidad de masa transformada en estrellas por unidad de tiempo y masa de la galaxia): galaxias pasivas, galaxias con formación estelar activa y galaxias de tipo intermedio. Hemos calculado la Función de Masa Estelar para la población total y para los 3 tipos de galaxias en 4 bins de desplazamiento al rojo con el propósito de estudiar la evolución de galaxias de distinta masa y modos de formación estelar con el tiempo.

Por otra parte, ya que la tasa de formación estelar es un parámetro fundamental para estudiar la formación y evolución de las galaxias, en la segunda parte de la Tesis, hemos aprovechado la posibilidad que nos brindan los nuevos datos del Telescopio

Espacial de la Agencia Espacial Europea Herschel para probar la validez de la receta para calcular la tasa de formación estelar a partir de la luminosidad infrarroja. Para este propósito hemos utilizado una muestra de 474 galaxias seleccionadas en el infrarrojo lejano (100 y 160  $\mu\text{m}$ ) con  $0 < z < 0.46$ , que tienen también asociada información espectroscópica del estudio 20k en el campo COSMOS. Hemos calculado la tasa de formación estelar a partir de la luminosidad infrarroja simplemente multiplicando esta luminosidad por una constante derivada a partir de modelos teóricos por Kennicutt (1998b). Para probar la validez de esta fórmula hemos comparado el resultado con la tasa de formación estelar obtenida a partir de la luminosidad de la línea de emisión  $\text{H}\alpha$ . Hemos comparado los dos resultados y hemos estudiado en mayor profundidad la dependencia de los resultados con distintas propiedades de las galaxias como el desplazamiento al rojo, la masa, la metalicidad, la tasa de formación estelar específica o el tipo morfológico.

## **Estudio de la Función Estelar de Masa de Galaxias con Alto Desplazamiento al Rojo**

Para analizar la Función Estelar de Masa de galaxias con alto desplazamiento al rojo hemos construido un catálogo multi-banda desde la banda  $u^*$  hasta 24  $\mu\text{m}$  a partir del método de ‘Likelihood Ratio’ (Sutherland & Saunders, 1992). Hemos partido de una muestra de  $\sim 80000$  galaxias detectadas en la banda 3.6  $\mu\text{m}$  de IRAC y hemos asociado un catálogo óptico multi-banda (Capak et al., 2007) que incluye fotometría en 9 bandas ( $u^*$ ,  $B_J$ ,  $g^+$ ,  $V_J$ ,  $r^+$ ,  $i^+$ ,  $z^+$ ,  $J$ ,  $K_s$ ), así como el catálogo MIPS 24  $\mu\text{m}$  (Le Floch et al., 2009). Para las fuentes para las que no se ha encontrado una asociación con el catálogo óptico hemos realizado la asociación con un catálogo seleccionado en el infrarrojo cercano, en la banda  $K_s$  (McCracken et al., 2010) que contiene información adicional en las bandas  $B_J$ ,  $i^+$ ,  $z^+$  y  $J$ .

El hecho de utilizar una muestra seleccionada en el infrarrojo es muy importante para tener una muestra completa en masa, ya que la emisión en el infrarrojo cercano traza la emisión de las estrellas que contribuyen en mayor parte a la masa estelar de las galaxias. Además, los datos en el infrarrojo son muy importantes para estudiar objetos débiles en las longitudes de onda ópticas, pero que pueden suponer una importante contribución a la densidad de masa estelar a alto desplazamiento al rojo. Por otra parte, el hecho de estudiar datos del campo COSMOS, con una gran área

( $2 \text{ deg}^2$ ), nos ayuda a evitar errores asociados a la variación cósmica. Además, al ser uno de los campos del cielo más profundamente estudiado, es posible recuperar información de estudios precedentes en casi todas las longitudes de onda.

Una vez construido el catálogo multi-banda en el campo COSMOS hemos calculado los desplazamientos al rojo de nuestras galaxias mediante un ajuste por mínimos cuadrados de librerías teóricas a la distribución espectral de energía de cada galaxia (*SED-fitting*). Este método, ampliamente utilizado en la literatura, permite conocer el desplazamiento al rojo de un gran número de galaxias sin necesidad de tener información espectroscópica. Comparando los desplazamientos al rojo obtenidos mediante la fotometría con los desplazamientos al rojo espectrales conocidos para una sub-muestra de nuestras galaxias hemos comprobado que los resultados de los desplazamientos al rojo fotométricos son certeros, con  $\sigma_{\Delta z/(1+z_s)} = 0.06$  y un porcentaje de errores catastróficos del  $\eta = 3.3\%$ .

Como estamos interesados en estudiar la evolución de galaxias lejanas, hemos procedido a analizar con mayor detalle sólo las galaxias con un desplazamiento al rojo ( $z$ )  $1.4 < z < 3.0$ . Para esta sub-muestra, de nuevo utilizando las distribuciones espectrales de energía y haciendo uso de modelos de síntesis estelares de Maraston (2005), hemos derivado las características fundamentales que nos permitirán estudiar la evolución de estas galaxias (masa, edad, metalicidad, tasa de formación estelar...). Para clasificar las galaxias en distintos tipos nos hemos basado en los valores de la tasa de formación específica, *e.d.*, la tasa de formación estelar dividida entre la masa de cada galaxia ( $SSFR = SFR/M$ ):

1. Galaxias con formación activa: aquellas con  $\log(SSFR[\text{Gyr}^{-1}]) > -0.5$
2. Galaxias de tipo intermedio: aquellas con  $-2 < \log(SSFR[\text{Gyr}^{-1}]) < -0.5$ .
3. Galaxias pasivas: aquellas con  $\log(SSFR[\text{Gyr}^{-1}]) < -2$ .

Con todos los ingredientes necesarios calculados anteriormente, hemos calculado la Función de Masa Estelar (FME) para todas las galaxias y las galaxias divididas en distintos tipos en 3 bins de  $z$ : 1.4-1.6, 1.6-2.0, 2.0-2.5 and 2.5-3.0. Nuestra FME para la población total coincide, en general, con otras FME calculadas anteriormente por otros autores. La FME de las galaxias pasivas muestra una considerable evolución desde  $2.5 < z < 3.0$  hasta  $1.4 < z < 1.6$ . Para las galaxias masivas ( $\log M \sim 11.0$ ) el número de galaxias pasivas aumenta  $\sim 1$  dex en este intervalo de tiempo. La evolución es prácticamente constante en todos los bins de  $z$  ( $\sim 0.3$  dex), *e.d.*, el

número de galaxias pasivas aumenta continuamente con el tiempo cósmico. Otro de los resultados interesantes que hemos encontrado es el hecho de que  $z \sim 1.5$  parece ser una época de transición. Mientras a  $z \gtrsim 1.5$  las FME está dominada por galaxias con formación estelar activa para todas las masas, las galaxias de tipo intermedio e incluso las pasivas empiezan a ser más abundantes a  $z \lesssim 1.5$ . Además, esta evolución depende significativamente de la masa, ya que las galaxias con masas mayores dejan de formar estrellas antes que las galaxias de masas menores. De hecho, la mayor parte de las galaxias de baja masa ( $M \lesssim 10^{10.5} M_{\odot}$ ) sigue formando estrellas activamente incluso a  $1.4 < z < 1.6$ .

También hemos calculado la densidad de masa estelar de los distintos tipos de galaxias. Por una parte la de las galaxias pasivas y por otra la de las galaxias de tipos intermedios o con gran actividad de formación estelar. La densidad estelar en el periodo de tiempo estudiado ( $t \sim 2 - 4.5$  Gyr) aumenta muy rápidamente para las dos poblaciones ( $\sim 0.5$  dex para las galaxias con formación estelar y  $\sim 0.9$  dex para las galaxias pasivas). Este aumento se ve frenado a  $z$  menores, pero, mientras que la densidad de masa estelar de galaxias con formación estelar se mantiene prácticamente constante desde  $z \sim 1.2$  ( $t \sim 5.0$  Gyr), la densidad estelar de galaxias pasivas continúa aumentando hasta  $z \sim 1.0$  ( $t \sim 6.0$  Gyr), alcanzando valores comparables a los de la densidad de las galaxias con formación estelar a  $z < 1.0$ .

Por último, hemos comparado nuestros resultados con las predicciones de los modelos teóricos. Aunque todos los modelos predicen un aumento del número de galaxias pasivas con el tiempo cósmico, sólo uno, el modelo basado en la simulación Millennium, reproduce los datos observados. Este modelo tiene en consideración el *feedback* de las galaxias centrales en cúmulos de galaxias, que parece ser fundamental para obtener resultados de acuerdo con las observaciones.

## Comparación de Indicadores de la Tasa de Formación Estelar

En este caso hemos estudiado una muestra de 474 galaxias con  $0 < z < 0.46$  seleccionadas en el infrarrojo lejano ( $100$  y  $160 \mu\text{m}$ ) que además tienen información espectroscópica del estudio 20k de zCOSMOS asociada, así como información fotométrica en las bandas ópticas y infrarrojas cercanas. Hemos eliminado las galaxias con núcleos galácticos activos para evitar contaminación de emisión

infrarroja no asociada a la formación estelar.

Para este estudio hemos utilizado datos del estudio PEP (PACS Evolutionary Probe) del Telescopio Espacial de la Agencia Espacial Europea Herschel. Herschel es un telescopio espacial infrarrojo que permite observar las galaxias en longitudes de onda jamás observadas hasta el momento con tanta precisión. Los datos de PACS a 100 y 160  $\mu\text{m}$  son fundamentales para muestrear el pico en el infrarrojo lejano de las galaxias cercanas y calcular así la luminosidad infrarroja (8-1000  $\mu\text{m}$ ) con la mayor precisión conseguida hasta el momento.

Uno de los indicadores más usados en la literatura para calcular la tasa de formación estelar es precisamente la luminosidad infrarroja. Generalmente se utiliza la conversión propuesta por Kennicutt (1998b) [K98], que simplemente multiplica esta luminosidad infrarroja por una constante. Este factor de conversión ha sido calculado a partir de modelos teóricos para unas condiciones particulares (formación estelar continua, metalicidad solar, función inicial de masa de Salpeter) y debería ser válido solamente para brotes de formación estelar menores de  $10^8$  yr. Sin embargo, esta fórmula se utiliza comunmente en la literatura para calcular la tasa de formación estelar de todo tipo de galaxias con distintas propiedades. En este trabajo hemos comprobado si la tasa de formación estelar calculada de esta manera es válida para galaxias con distintos tipos morfológicos y distintas propiedades. El uso de los datos de PEP nos permite hacer esto sin la incerteza asociada a la extrapolación del pico infrarrojo. Una vez calculada la luminosidad infrarroja a partir del *SED-fitting* de los datos de nuestras galaxias en las bandas IRAC, MIPS y PEP, calculamos la tasa de formación estelar multiplicando este valor por la constante calculada en K98 (la denotaremos como  $\text{SFR}(L_{IR})$ ).

Desgraciadamente, ningún indicador de tasa de formación estelar es exacto, con lo que no tenemos un valor de referencia con el que comparar los resultados obtenidos a partir de la luminosidad infrarroja. Sin embargo, uno de los indicadores más directo de la tasa de formación estelar es la luminosidad  $H\alpha$ , con lo que hemos calculado nuestra tasa de formación estelar a partir de estos valores para nuestras galaxias. La luminosidad  $H\alpha$  se ve afectada por la extinción del polvo y gas que rodea a las estrellas recién formadas, con lo que es necesario aplicar una corrección de extinción. Lamentablemente, la calidad de los espectros de nuestra muestra no es lo suficientemente alta como para proporcionarnos una medida fiable de la línea  $H\beta$  (necesario para calcular la extinción a partir de la relación  $H\beta/H\alpha$  observada y la teórica). Por lo tanto, hemos construido 3 espectros medios

dividiendo nuestras galaxias en 3 bins de luminosidad infrarroja. Los espectros medios tienen una mayor S/N con lo que podemos medir la línea de emisión  $H\beta$ . Hemos calculado la extinción media en cada bin de luminosidad infrarroja y hemos aplicado la extinción correspondiente al bin de  $L_{IR}$  al que pertenece cada galaxia a la luminosidad  $H\alpha$  de cada galaxia. La tasa de formación estelar a partir de la luminosidad  $H\alpha$  ha sido calculada mediante una simple relación de conversión (*e.d.*, multiplicando la luminosidad por una constante, como con la luminosidad infrarroja), así como haciendo uso de métodos algo más complicados obtenidos por Brinchmann et al. (2004) [B04]. En B04 se utiliza un factor de conversión no constante, sino dependiente de la masa de las galaxias. También para los valores de extinción en  $H\alpha$  se proponen valores distintos para galaxias de distintas masas. Denotamos la tasa de formación estelar derivada a partir de la luminosidad  $H\alpha$  como  $SFR(H\alpha)$ .

Por tanto, una vez calculada la tasa de formación estelar de diversos modos, hemos comparado los resultados, encontrando que están bastante de acuerdo, teniendo en cuenta el amplio rango de tasas de formación estelar consideradas (3 órdenes de magnitud). También hemos estudiado cuáles son las propiedades de las galaxias que más afectan la comparación entre indicadores. El desplazamiento al rojo no parece afectar sustancialmente el cálculo de la tasa de formación estelar (al menos hasta  $z=0.46$ ). El efecto de la masa no es muy significativo y se ve reducido cuando usamos las recetas de B04 que tienen en cuenta la masa de las galaxias. Sin embargo, las galaxias con distintas metalicidades sí parecen tener distintos comportamientos. Si comparamos los valores  $\log [SFR(L_{IR})/SFR(H\alpha)]$  para galaxias con altas y bajas metalicidades encontramos una diferencia de hasta 0.6 dex. Este efecto no se reduce ni siquiera cuando utilizamos los métodos derivados por B04. La tasa de formación estelar específica parece afectar a la comparación de indicadores de tasa de formación para bajos valores de tasa de formación estelar específicos, ya que la  $SFR(L_{IR})$  está sobrestimada debido a la contribución a la  $L_{IR}$  de la población estelar de mayor edad. Por último hemos estudiado la validez de los indicadores de tasa de formación estelar para galaxias con distintos tipos morfológicos (Sa, Sb, Sc, Sd/Irr). Para el grueso de las galaxias espirales ambos indicadores parecen estar de acuerdo, con valores de la pendiente  $SFR(L_{IR})$  vs  $SFR(H\alpha)$  muy cercanos a 1. Para las galaxias de últimos tipos (Sd/Irr), la pendiente es mucho mayor ( $m = 2.45 \pm 0.99$ ), aunque sigue siendo consistente a  $3\sigma$  con la relación uno a uno debido al reducido número de galaxias clasificadas

como tal (sólo 17). Sin embargo, podemos confirmar que observamos un continuo aumento de la pendiente de la relación  $\text{SFR}(L_{IR})$  vs  $\text{SFR}(H\alpha)$  desde las espirales de primeros tipos hasta las espirales de últimos tipos, que sería interesante estudiar con una muestra más grande de galaxias irregulares.



# Motivation and Aims of the Thesis Project

**A**MONG the variety of open issues in galaxy formation and evolution, the question of mass assembly and star formation are certainly crucial. The global aim of this thesis project is to study two complementary aspects of galaxy formation: the stellar mass evolution and the star formation rate and its accuracy. Two main studies allowed us to better understand these two issues. First, we present a detailed analysis of the stellar mass function evolution of a sample of high redshift galaxies with different star formation rates. Second, we show the comparison of two star formation rate indicators and their dependence on the galaxies properties.

## The Evolution of the Galaxy Stellar Mass Function of Different Galaxy Populations

In this thesis we aim to present a complete study on the evolution of different galaxy populations at high redshifts ( $1.4 \leq z \leq 3.0$ ). It is well known that galaxies can be roughly classified into star-forming and quiescent (or passive) galaxies depending on their star-formation activity. This bimodality, which affects the observed properties of galaxies (colors, morphologies, spectral features), is confirmed to exist at least up to  $z \sim 1$  and possibly up to  $z \sim 2$ . Both populations evolve in very different ways, so comparing the observations of their properties at different redshifts with those predicted by theoretical models is a crucial tool of present day astronomy to better understand how galaxies in the Universe formed and evolved. In particular, the quiescent population, composed by early type galaxies

(ETGs), often related to elliptical morphologies, is a key piece of the evolution and formation of galaxies, as these systems contain most of the mass of the present day universe. Besides, their formation is a rather controversial subject, so their observed properties can help to disentangle between the theoretical predictions of various cosmological models.

While the results up to  $z \sim 1$  are quite solid thanks to the large samples of galaxies and to the spectroscopic information available, the samples of galaxies divided into different types at  $z > 1 - 2$  are still small. Moreover, ETGs are the more clustered galaxies in the universe, making their study very complicated due to field to field variations, which introduce large uncertainties in their statistical properties, due to the cosmic variance.

Therefore, the uncertainties involved in the study of the evolution of quiescent galaxies at high redshifts are still large due to the observational difficulty to identify large samples of ETGs at high  $z$  ( $> 1.5$ ). In particular, long wavelength data are necessary to cover the optical-near infrared part of the spectrum of high redshift galaxies, a crucial spectral range fundamental for estimating the photometric redshifts and for calculating the galaxy stellar masses. In addition, the collection of a large sample of high redshift galaxies requires a combination of large areas and deep near-IR (NIR) observations. Due to these difficulties, the study of the Galaxy Stellar Mass Function (GSMF hereafter) divided by galaxy types at  $z > 1.5$  so far has been only roughly explored and our knowledge of the evolution of galaxies at high  $z$  is still rather poor.

Motivated by the limited information available at  $z \geq 1.5$ , we have studied the evolution of the GSMF with cosmic time for  $\sim 18000$  high- $z$  (specifically at  $z \geq 1.4$ ) galaxies for the total galaxy population and for the sample divided on the basis of the galaxy star-formation activity. We considered the COSMOS survey, which is the perfect wide area sample to look for rare and clustered objects, such as the old and massive ETGs, with much improved statistics. This survey (Scoville, 2007) presents many advantages when compared with other surveys. First of all, the continuous coverage of  $\sim 2 \text{ deg}^2$  substantially reduces the effect of cosmic variance. Besides, its extensive multi- $\lambda$  coverage allows to calculate accurate photometric redshifts (Ilbert et al., 2009) and to study the sources based on their spectral energy distributions (SEDs), which is a more physical and complete approach than using only colors or morphological information. Secondly, the multiwavelength data include deep Spitzer/IRAC ( $3.6 - 8.0 \mu\text{m}$ ; Sanders et al. 2007) and  $K_s$ -band data (McCracken

et al., 2010), crucial for estimating stellar masses at  $z \sim 2$ .

Galaxies of the present work are selected in the IRAC1 channel ( $3.6 \mu\text{m}$ ), allowing to sample the optical-NIR stellar bump at  $z > 1$ . In addition, data at red wavelength are crucial to detect galaxies that are very faint in the optical (or even undetected) but may contribute significantly to, or even dominate, the stellar mass density at high redshift (Yan et al. 2000, Franx et al. 2003, Rodighiero et al. 2007). The extensive multi-band data are used to separate galaxies into different populations based on their SEDs. The present work extends to higher redshifts the study of Ilbert et al. (2010). The sample of Ilbert et al. (2010) was selected at  $3.6 \mu\text{m}$  as the present one, but their restriction to IRAC sources with an  $i^+$ -band counterpart ( $i^+ < 25.5$ ) limited their study to  $z \lesssim 2$  and to larger stellar masses. In our work we considered and carefully analyzed also sources undetected in the optical bands.

## Comparison of Star Formation Rate Indicators

By definition, the main difference between the star-forming and the quiescent galaxies is the amount of star formation taking place on the galaxies at the present time. As galaxies have wide ranges of masses, the classification is often based on the specific star formation rate (*SSFR*), which is the ratio between the star formation rate and the galaxy mass ( $SSFR = SFR/M$ ). This is the approach commonly used in literature and which we used in this thesis. It is, therefore, of a great importance, to have a good SFR estimate. Many recipes have been derived to estimate SFR from emission at different wavelengths, as well as through SED-fitting procedures or spectroscopic analysis.

The SFR can be derived from the infrared (IR) emission, since the recently formed stars are usually embedded in dust and gas clouds which absorb the ultra-violet (UV) light from these young stars and re-emit at the IR wavelengths; thus the IR emission is a good tracer of the SFR. The most common method to derive SFR from the IR emission is to apply the Kennicutt (1998b) relation, which relates the SFR and the total infrared luminosity ( $L_{IR}$ ;  $8\text{-}1000 \mu\text{m}$ ). However, this is a theoretical relation based on the starburst synthesis models of Leitherer & Heckman (1995a) obtained for a continuous burst, solar abundances and a Salpeter Initial Mass Function (IMF), assuming that young stars dominate the radiation field throughout the UV-visible and that the far infrared luminosity measures the

bolometric luminosity of the starburst. This physical situation holds in dense circumnuclear starburst that power many IR-luminous galaxies. In normal disk galaxies or early type galaxies, the situation is much more complex as dust heating from the radiation field of older stars may be very important. Strictly speaking, as remarked by Kennicutt, the relation above applies only to starburst with ages less than  $10^8$  yr, while, for other cases, it would be probably better to rely on an empirical calibration of  $\text{SFR}/L_{IR}$ .

During the PhD, the Herschel Space Observatory was launched into space and the first data were ready to be used. The advent of the ESA Herschel Space Observatory, a far-infrared telescope observing at wavelengths that had never previously been explored (from 75 up to 500  $\mu\text{m}$ ), allows us to better resolve the IR peak of the SED of star-forming galaxies and therefore to have a more accurate measure of the SFR from the  $L_{IR}$  emission. Making use of Herschel data at 100-160  $\mu\text{m}$  from the PEP (PACS Evolutionary Probe) survey (P.I. D. Lutz), sampling the critical far-infrared peak of nearby galaxies, we have tried to empirically derive the relation between the observed  $L_{IR}$  and the SFR without associated uncertainties due to extrapolation of the IR peak. To do so, we have analyzed a PEP selected sample of  $\sim 500$  galaxies in the COSMOS field with associated multi-band photometry and 20k zCOSMOS spectral data at  $z = 0.1 - 0.46$ .

Unfortunately, none of the SFR estimates is totally correct, since all of them are subject to uncertainties due to approximations on the SFR derivations. Although the SFR derived from the  $\text{H}\alpha$  emission line is supposed to be one of the better tracers of the young population, thus giving an accurate measure of the SFR, the  $\text{H}\alpha$  emission is highly affected by extinction. The  $\text{H}\alpha$  emission line luminosity has to be corrected by dust extinction before being used to derive the SFR. Deriving accurate values of extinction from the  $\text{H}\alpha/\text{H}\beta$  ratio for each galaxy of our sample is of great difficulty as the  $\text{H}\beta$  emission line cannot be accurately measured for each galaxy. We tried to solve the extinction problem by deriving median extinction values. We constructed median spectra after binning our galaxies in 3 different  $L_{IR}$  bins. The median spectra have higher S/N than the single spectra, allowing us to measure the  $\text{H}\beta$  line with more accuracy. We also made use of Brinchmann et al. (2004) recipes to derive the  $\text{H}\alpha$  emission line extinction. These authors propose different extinction values depending on the mass of the galaxies.

We have compared the SFR values derived from  $L_{IR}$  emission (using the simple transformation from luminosity to SFR given by Kennicutt 1998) with that obtained

from the  $H\alpha$  emission line using different recipes. We studied how these SFR values compare with each other and how different intrinsic properties of galaxies, such as redshift, mass, metallicity, SSFR or morphology, affect the comparison of both SFR estimates.

The comparison of the SFR indicators at low redshift is crucial to test the validity of the SFR estimates which are often used in high redshift studies. If the SFR values obtained with both methods are in agreement for the low redshift samples, we can extend their validity at higher redshifts. This allows us to derive the SFR of distant galaxies when only the  $L_{IR}$  or the  $H\alpha$  information are available, with the assurance that we are not introducing important systematic effects.

## Structure of the Thesis

The thesis is organized as follows: In Chapter 1 we give a brief explanation of the galaxy formation theory, from the initial perturbations to the formation of stars in galaxies. In Chapter 2 we explain the main ingredients to study the stellar populations in galaxies, while in Chapter 3 and 4 we study in detail the observed properties of the Late Type Galaxies (LTGs) and the Early Type Galaxies (ETGs), respectively. In Chapter 5 we explain how we have derived the main properties of our IRAC selected sample (photometric redshifts, stellar masses, SFR), considered to study the evolution of the different galaxy classes at high redshift ( $1.4 < z < 3.0$ ) in Chapter 6. In Chapter 7 we show the comparison between the SFR derived from the  $L_{IR}$  and the SFR derived from  $H\alpha$  for a PEP selected sample of  $\sim 500$  galaxies and the dependence of this comparison on different galaxy properties. Finally, in the Conclusions, we summarize our main results.

Throughout this thesis we use standard cosmology ( $\Omega_m = 0.3, \Omega_\Lambda = 0.7$ ), with  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ . Magnitudes are given in AB system. The stellar masses are given in units of solar masses ( $M_\odot$ ).



# Galaxy Formation Theory

**U**NDERSTANDING how galaxies in the Universe have been formed and evolved during cosmic times is one of the major aims of current astrophysics. However, galaxy formation is a very complicated process involving many orders of magnitude in time and space-scales, with many different physical processes taking place, and where also feedback plays a very important role. Therefore, studying these objects in detail requires a multidisciplinary view and a detailed comprehension of all the elements influencing their formation and subsequent evolution.

In order to illustrate the great complexity of the galaxy formation, we show in Fig. 1.1 a flow chart that reflects our current view of galaxy formation with all the various physical interconnected processes. Starting from the cosmological initial and boundary conditions and after gravity instabilities, large mass systems begin to collapse. If cooling is effective, and depending on different physical properties, such as angular momentum, gas instabilities, etc., the formation of the different types of observed galaxies (ellipticals, disk systems...) can roughly be explained. In this Section we aim to give a summary on how, starting from the initial perturbations soon after the Big Bang, matter aggregates in a determined manner giving rise to large structures such as galaxies where the first stars are formed.

## 1.1 Cosmology

Modern cosmology is based upon the cosmological principle, *i.e.* the hypothesis that the Universe is spatially homogeneous and isotropic, meaning that in the rest

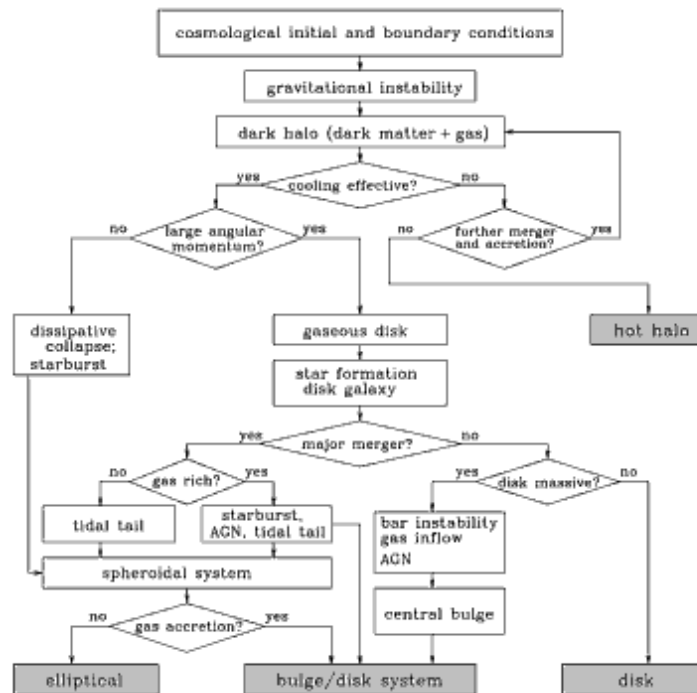


Figure 1.1: Reproduced from Mo et al. (2010). A logic flow chart for galaxy formation. In the standard scenario, the initial and boundary conditions for galaxy formation are set by the cosmological framework. The paths leading to the formation of various galaxies are shown along with the relevant physical processes. Note, however, that processes do not separate as neatly as this figure suggests.

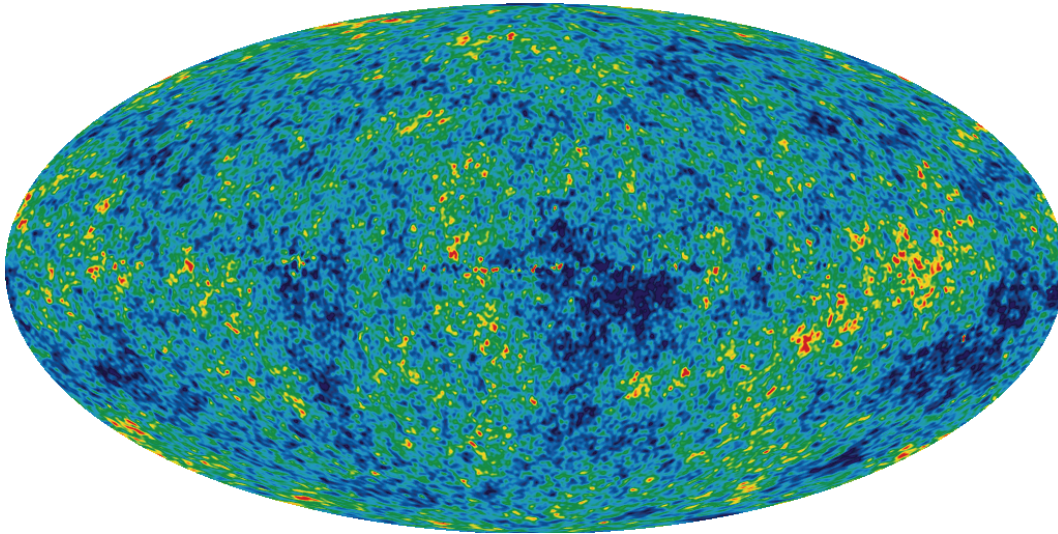


Figure 1.2: CMB map of WMAP data.

system of matter there is no preferred point and no preferred direction. There are many strong and independent observational evidences of the goodness of these assumptions, such as the large-scale distribution of galaxies and the near-uniformity of the Cosmic Microwave Background (CMB) temperature. Results from the COBE mission in 1994 showed that the spectrum of the cosmic background radiation is consistent with a black body at temperature  $(2.726 \pm 0.010K)$  over more than three decades in wavelength (Mather et al., 1994), showing anisotropies only of the order of  $\Delta T/T \approx 10^{-5}$ . More recent surveys, such as WMAP (Jarosik et al., 2011; Komatsu et al., 2011) or the Planck satellite (Planck Collaboration et al., 2011), confirm the picture shown by COBE satellite with an extraordinary precision (see Fig. 1.2)

Another key piece of modern cosmology is the Einstein's theory of general relativity, according to which the structure of space-time is determined by the mass distribution in the Universe. These two assumptions lead to what is known as the standard model of cosmology, that can be completely specified by the curvature of the Universe  $K$  and the scale factor  $a(t)$ , which describes the change of the length scale of the Universe with time.

The most popular cosmological model is the so-called  $\Lambda$ CDM model. In this model, the Universe is flat and it consists of three main components:  $\sim 75\%$  is supposed to be dark energy,  $\sim 21\%$  is due to 'cold' dark matter and the remaining  $\sim 4\%$  is due

to the ‘ baryonic’ matter, *i.e.* the protons, neutrons and electrons that make up the visible matter.

The first evidence that the Universe may contain dark matter came in 1933, when Zwicky studied the velocities in the Coma cluster, finding that the total mass required to maintain the system in equilibrium had to be much more than the observed matter. Later studies confirmed the results by Zwicky discovering that massive halos are required around the Milky Way and other nearby galaxies in order to explain the motions of their satellites.

Three different kinds of dark matter can be postulated: a ‘ hot dark matter’ (HDM) composed by ultra-relativistic particles ( $v/c > 0.95$ ), a ‘ warm dark matter’ (WDM) composed by relativistic particles ( $0.1 < v/c < 0.95$ ), and a ‘ cold dark matter’ (CDM) composed by particles with non-relativistic speed ( $v/c < 0.1$ ). These categories of dark matter are theoretically equally valid. However, both WDM and HDM are characterized by temperatures and speeds too high to match many observed phenomena. For example, they do not properly explain the observed galactic rotational curves and velocity dispersions, neither can they account for the observed weak lensing properties.

The dark energy can be associated to a cosmological constant which causes the Universe to accelerate its expansion. The first piece of evidence of the expansion of the Universe came with the Hubble discovery (Hubble, 1929) that the recession velocities of galaxies were related to their distances, demonstrating that our Universe is expanding. Previously, when trying to solve its own equations of general relativity, Einstein found that all the solutions required the Universe to expand or to contract. In order to obtain a static solution, he introduced a cosmological constant into his field equations to oppose the standard gravitational attraction. Many years later, in the 1998, two teams independently used the Type Ia supernova data to show that the Universe expansion is accelerating at the present time. The present acceleration requires the introduction of a cosmological constant in the Einstein’s equations that, rather than counterbalancing the attractive effects of normal gravitation, induces an even more rapid expansion.

### 1.1.1 Density Perturbations

If the cosmological principle held perfectly and the uniformity and isotropy of matter in the Universe were perfect, there would be no structure formation. To

be able to have more and less dense regions, as we observe nowadays, we need some deviations from uniformity at the very beginning of the Universe to induce the subsequent evolution of structures. The answer to this deviation from uniformity can be found in the quantum fluctuations. At very early times ( $t \sim \text{sec}$  after the Big Bang) the Universe is so dense that the quantum effects are important. The expansion of the Universe generates density perturbations by quantum fluctuations, responsible for the formation of the structures that are observed in today's Universe. The Universe is supposed to have gone through a phase of rapid, exponential expansion, known as inflation. Some inflationary models are able to reproduce the observed properties of large scale structure by assuming that quantum fluctuations in the vacuum energy produce density perturbations responsible of the formation of the large scale structure.

In an expanding Universe dominated by non-relativistic matter (CDM), perturbations grow with time. If we imagine an almost homogeneous Universe with a slightly over-dense region, it is easy to understand that, due to gravitational forces, this region will attract matter slightly stronger than its surroundings, thus producing a denser region which has an ever stronger attraction effect. On the other hand, under-dense regions become less dense as matter flows away from them, and also expand quicker as the gravitational force opposing to the expansion of the Universe is less strong in those regions. This amplification of density perturbations is known as gravitational instability. In a static universe, the amplification is out of control and the density contrast,  $\delta\rho/\rho$  grows exponentially with time. The effect of the expansion, prevents the accretion flows, so the resulting growth rate is usually a power law as a function of time  $\delta\rho/\rho \propto t^\alpha$ , with  $\alpha > 0$ . The value of  $\alpha$  depends on the cosmological model. At early times, the perturbations are still in the linear regime ( $\delta\rho/\rho \ll 1$ ) and the size of an over-dense region increases with time due to the overall expansion of the universe. Once the linear regime is broken and the perturbation reaches the over-density ( $\delta\rho/\rho \sim 1$ ) it stops expanding and starts collapsing.

In a universe with both baryonic and dark matter, each initial perturbation contains both ingredients in roughly their universal proportions. When the collapse takes place, the dark matter violently relaxes to form a dark matter halo, while the gas shocks to the virial temperature,  $T_{vir}$ <sup>1</sup> and, if the cooling time-scale is slow enough,

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<sup>1</sup> $T_{vir}$  is the temperature of an object in virial equilibrium ( $2E_K + E_G = 0$ , where  $E_K$  is the kinetic energy and  $E_G$  is the gravitational energy).

may reach hydrostatic equilibrium in the potential well of a dark matter halo. This, as we will see later, is the origin of a galaxy to be formed.

### 1.1.2 Dark Matter Halos and Gas Cooling

It seems to be confirmed that galaxies reside in extended halos of dark matter. As we have previously seen, once the density perturbations become non-linear, the dark matter collapses and forms virialized dark matter halos. These halos continue to grow in mass and size by merging with other halos or by accreting material from the neighborhood. At later stages, halos merge together to form a single virialized dark matter halo with an ellipsoidal shape, containing some substructure in the form of dark matter sub-halo. Many recent numerical simulations are able to reproduce the formation of a dark matter halo in a CDM cosmology. In Fig. 1.3 we show a numerical simulation from the cosmological simulation MultiDark (Klypin et al. 2001, Prada et al. 2011) for different redshifts, from  $z=4.000$  up to  $z=0.000$ . It can be seen how structures are formed with the cosmic time. At  $z=0.000$  the resulting structure contains different clusters of galaxies. In particular, one of the most massive galactic clusters from the simulation can be observed at  $z=0.000$ , with an estimated mass of  $2.39 \times 10^{15} M_{\odot}$ .

Since dark matter halos are the host of galaxies, their properties have a direct impact on the galaxy observed properties. Understanding the structure and formation of dark matter halos is fundamental to assess the formation and evolution of galaxies and many recent studies focus on the dark matter halo mass function, density profiles, shapes, angular momentum or large-scale environment. It is not the purpose of this work to give a detailed explanation of all these properties, but it is interesting to remind that dark matter halos and galaxies are intimately related.

Gas cooling is another crucial ingredient in galaxy formation. Depending on the temperature and density of the gas many different processes can take place, like bremsstrahlung emission for very hot ionized gas ( $T_{vir} \gtrsim 10^6 K$ ), excitations and de-excitations at lower temperatures or inverse Compton scattering of CMB photons at high redshifts ( $z \gtrsim 6$ ). As normally these processes (with the exception of the inverse Compton scattering), involve two particles, cooling is generally more effective in higher density regions. After the nonlinear gravitational collapse, the hot gas in virialized halos may be dense enough to effectively cool. If the cooling time is shorter than the dynamical time of the halo, the gas loses pressure support

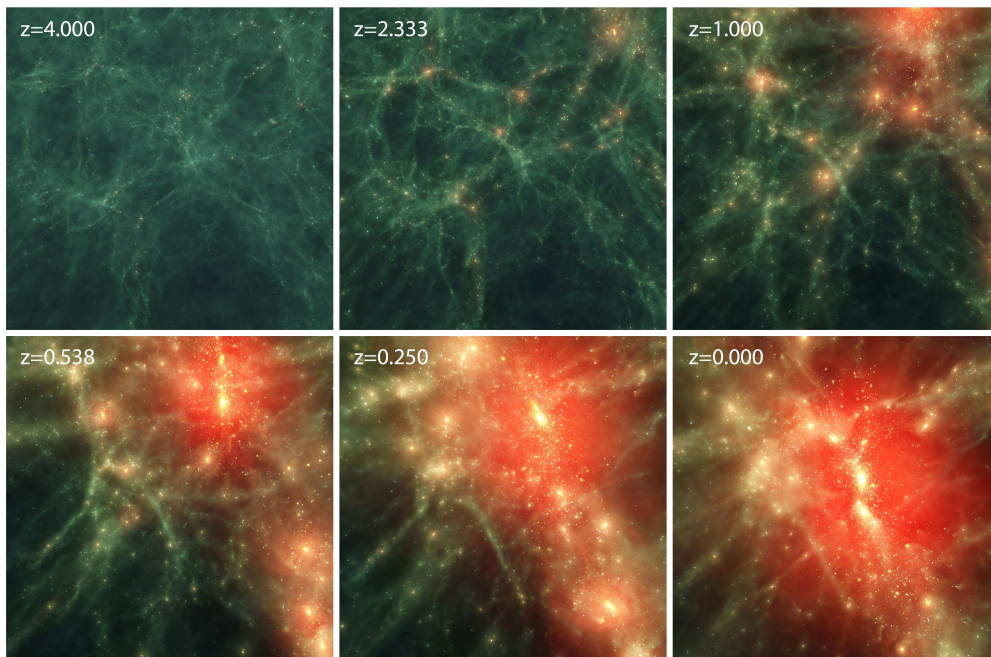


Figure 1.3: Dark matter distribution in the cosmological simulation MultiDark (Klypin et al. 2001, Prada et al. 2011) for different redshift, from  $z=4.000$  up to  $z=0.000$ . The region in the figure is a  $6\text{Mpc}^3$  volume that has been resimulated with high resolution, including gas particles and radiative physics.

and falls towards the center of the halo potential well, increasing its density. When the density of the gas exceeds that of the dark matter in the central region of the halo, the gas becomes self-gravitating and collapses under its own gravity. If the cooling is efficient enough, it causes the gas to catastrophically collapse, leading to the formation of dense clouds of cold gas within which star formation can occur.

## 1.2 Star Formation in Galaxies

From studies of the Milky Way and nearby galaxies we know that star formation always takes place in dense molecular clouds. CO emission is commonly used to trace the presence of H<sub>2</sub>. H<sub>2</sub> is a symmetric molecule, so its associated emission is very weak. CO emission is much easier to detect, so it is used to detect H<sub>2</sub> since it is usually present in regions where there is also H<sub>2</sub>. Observations of CO emission from starburst galaxies show that star-formation is associated with large amounts of molecular gas ( $10^8 - 10^{10} M_{\odot}$ ) confined to small volumes of sizes  $\lesssim 2$  kpc. To study the star formation process in galaxies is often useful to divide it into 'microphysics', *i.e.* the formation of individual stars in dense molecular clouds, and 'macrophysics', which deals with the formation and structure of molecular clouds in galaxies.

### 1.2.1 Giant Molecular Clouds

Star formation takes place in the core of giant molecular clouds (GMC). In Fig. 1.4 we show an impressive image of a GMC from the Eagle nebulae taken with the Hubble Space Telescope where star-formation is happening. GMCs of very different sizes and densities have been observed, ranging from the largest clouds with masses of  $10^5 - 10^6 M_{\odot}$ , densities of the order of  $n_{H_2} \approx 100 - 500 \text{ cm}^{-3}$  and sizes of a few tens of parsecs, to the smallest 'cores' with masses of  $0.1 - 10 M_{\odot}$  and densities  $n_{H_2} > 10^5 \text{ cm}^{-3}$ . However, the star formation efficiency is very low and star formation seems to happen only in the most massive clumps (given as a result a star cluster) or in the cores of GMC (forming single stars).

GMCs have very homogeneous temperatures ( $\sim 10$  K), large line-widths ( $\sim 10 \text{ km s}^{-1}$ ) indicative of supersonic turbulence and short lifetimes ( $\sim 10^7$  yr). In the mass range  $10^2 - 10^6 M_{\odot}$ , the masses and velocity widths of individual molecular clouds and clumps is observed to be tightly correlated with their radii:

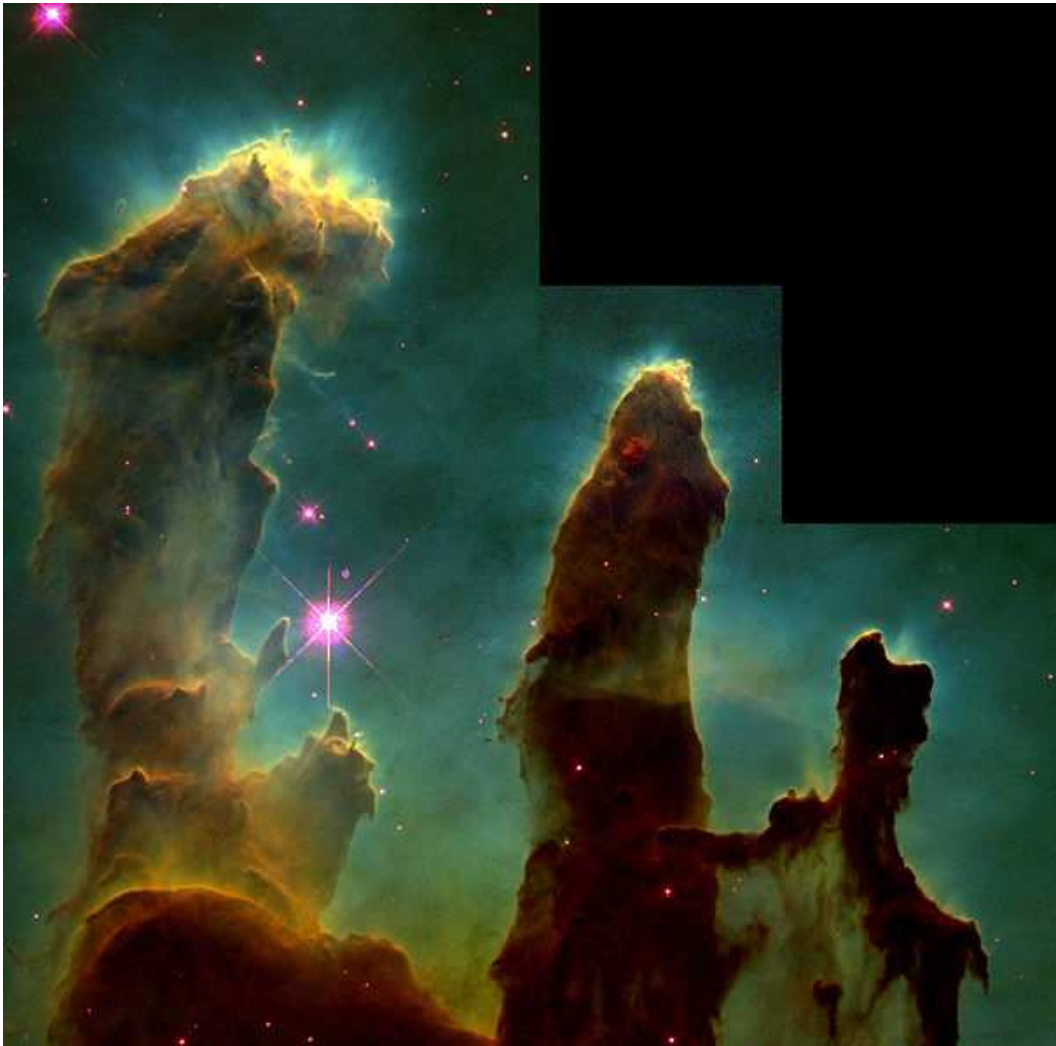


Figure 1.4: Hubble image of a GMC in the Eagle nebulae where stars are being formed.

$$M \propto R^2, \Delta v \propto R^{1/2} \propto \rho^{-1/2} \quad (1.1)$$

Star formation starts when a GMC collapses under its own gravity, thus producing such high densities and temperatures in the center that nuclear reactions can happen, giving rise to a protostar that will become a star at later times. For a GMC to collapse under its own gravity, its mass must exceed the Jeans mass. If we consider the simplest case of a self-gravitating, homogeneous, isothermal sphere of gas, we can derive the Jeans mass, which is the mass for which the gravitational potential energy is higher than the internal thermal energy, causing the cloud to collapse.

Considering the virial theorem  $2E_K + E_G = 0$ , where  $E_K$  is the internal thermal energy and  $E_G$  is the gravitational energy. If  $E_K < -1/2E_G$  the system collapses, while for  $E_K > -1/2E_G$  the system expands. Assuming an ideal gas, its internal thermal energy can be expressed as

$$E_K = \frac{3}{2}NkT \quad (1.2)$$

where  $N$  is the number of particles,  $T$  is the temperature of the gas and  $k$  is the Boltzmann constant. Therefore, the minimum mass for the system to collapse is the Jeans Mass:

$$3NkT < \frac{3GM^2}{5R} \quad (1.3)$$

$$M_J = \left( \frac{5NkTR}{G} \right)^{1/2} \quad (1.4)$$

Considering that  $N$  can be expressed in terms of the gas number density for mean mass per particle  $\mu$ , the gas mass  $M_g$  and the particle mass  $m_p$  as  $N = M_g/\mu m_p$  and that the gas mass is related to the density and size of the GMC ( $M_g = 4/3\pi R^3\rho$ ), we can re-write the Jeans Mass in the form:

$$M_J \propto \rho^{-1/2} T^{3/2} \left( \frac{5k}{G\mu m_p} \right) \quad (1.5)$$

It can also be expressed in terms of the speed of sound  $c_s$  and the  $H_2$  column number density  $N_{H_2}$ :

$$M_J = \frac{\pi^{5/2} c_s^3}{6(G^3 \rho)^{1/2}} \simeq 40 M_\odot \left( \frac{c_s}{0.2 \text{ km s}^{-1}} \right)^3 \left( \frac{n_{H_2}}{100 \text{ cm}^{-3}} \right)^{-1/2} \quad (1.6)$$

A more appropriate approximation for molecular clumps and cores is to consider an isothermal sphere in pressure equilibrium with its surrounding. Then, the equivalent mass to the Jeans mass is called the Bonnor-Ebert mass:

$$M_{BE} = 1.182 \frac{c_s^3}{(G^3 \rho)^{1/2}} = 1.182 \frac{c_s^4}{(G^3 P_{th})^{1/2}}, \quad (1.7)$$

where  $P_{th} = \rho c_s^2$  is the surface pressure (Bonnor 1956; Ebert 1957). GMCs have usually masses exceeding the Jeans mass or the Bonnor-Ebert mass, meaning that they should collapse and subsequently form stars on a free-fall time  $\tau_{ff}$ <sup>2</sup>

$$\tau_{ff} = \left( \frac{3\pi}{32G\rho} \right)^{1/2} \simeq 3.6 \times 10^6 \text{ yr} \left( \frac{n_{H_2}}{100 \text{ cm}^{-3}} \right)^{-1/2} \quad (1.8)$$

However, this is much shorter than the inferred lifetimes of the GMCs, indicating that these must be supported against gravity by some non-thermal pressure. The most probable sources of non-thermal pressure are turbulence and magnetic fields. Both turbulence and magnetic fields can prevent the GMCs from collapsing, thus regulating the overall star-formation efficiency.

The formation of GMCs is still a controversial topic. The most basic picture is that they are the cold gas parcels in a three phase medium where hot ( $\sim 10^6$  K), warm ( $\sim 10^4$  K) and cold ( $\sim 10^2$  K) gas phases coexist as a result of thermal instability. However this seems to be insufficient to produce GMCs but gives rise only to molecular gas. More important is the gravitational instability, which can be triggered by thermal instability. Turbulence may play a very important role in GMCs formation and it has been suggested that GMCs may be transient objects forming at converging points of the larger-scale turbulent flow of the galaxy. Most of the molecular gas in spiral galaxies is found in their spiral arms. Spiral arms are supposed to be density waves that compress the gas clouds, thus inducing thermal and gravitational instability and hence GMCs formation. Galaxy interactions and mergers are also of great importance for the triggering of star formation, as gas can be compressed by shocks when two galaxies merge and the energy of the merger is converted in turbulent motion.

<sup>2</sup>The free-fall time is the characteristic time that would take a body to collapse under its own gravitational attraction, if no other forces existed to oppose the collapse.

Once GMCs have been formed some process must regulate the star formation efficiency (SFE) within them. It is known that in disk galaxies the angular momentum due to the rotation prevents from gravitational collapse, thus suppressing possible star formation. This seems to be one of the major differences between spiral galaxies and starbursts: some merging event removes angular momentum and allows a great SFE in the starbursts. It was generally accepted in the 1980's that magnetic fields had a great influence in the regulation of the SFE, although this picture has been recently debated by observational and theoretical shortcomings. Supersonic turbulence also affects the SFE within GMCs in two different ways: turbulence motion increases the velocity dispersion of the gas, thus suppressing gravitational collapse. On the other hand turbulent shocks compress the gas, promoting gravitational collapse by increasing the gas density. Another very important mechanism that controls SFE is self-regulation. Star formation itself may prevent from further star formation due to galactic winds, supernovae explosions or OB massive stars ionization that destroys GMCs. However, these processes may also promote star-formation due to the compression of the surrounding gas.

### 1.2.2 The Formation of Individual Stars

Once a GMC has been formed and the gravitational collapse cannot be prevented, individual stars are formed in the central cores of GMCs. It is beyond the scope of this thesis to give a detailed explanation of this formation process, very complicated and not very well understood. However, we will briefly explain how this event happens.

First of all, the dense cores in GMCs collapse due to gravity, forming a highly stratified density profiles within which protostars form. Then, in-falling material from the original cloud is accreted, leading to the growth of the protostar. The material with high angular momentum are first accreted into a disk and then transported inwards by viscous processes. Further accretion may be prevented or reduced by the protostellar feedback, such as outflow and radiation, that tends to disperse the gas around a protostar. Finally, the protostar keeps on contracting until its internal energy and temperature are high enough to produce nuclear reactions in its nucleus, when the protostar becomes a star with a particular emitted luminosity, temperature and mass. The mass of a star is the most important parameter in the subsequent evolution of a star's life. Very high mass stars burn their internal material

very quickly and have much shorter life times than lower mass stars, as we will see in more detail in Sect. 2.1.

### 1.2.3 The Kennicutt-Schmidt Law

To characterize the star-formation rate (SFR) of a galaxy, the value  $\dot{\Sigma}_\star = \dot{M}_\star / \text{area}$  is often used, *i.e.* the mass in stars formed per unit time per unit area. Another important quantity is the gas consumption time  $\tau_{SF} = \Sigma_{gas} / \dot{\Sigma}_\star$  (with  $\Sigma_{gas}$  being the gas surface density), which gives an idea of the time a galaxy would need to convert all of its gas into stars if it continued forming stars at the same rate. Relating these parameters to galaxy intrinsic properties, such as density, temperature, magnetic field, etc. is very complicated because of our lack of knowledge in the physical processes involved. However, some empirical laws have confirmed the relation between gas density and star formation rate density.

The first to investigate this issue was Schmidt (Schmidt, 1959), who found a power law in the form

$$\dot{\Sigma}_\star \propto \Sigma_{gas}^N, \quad (1.9)$$

with  $N \sim 2$  for the observed distribution of HII and stars perpendicular to the Galactic plane. This relation is known as the Schmidt law. The analysis was extended to star-formation in normal spiral galaxies, as well as to starburst galaxies by Kennicutt (1998b). Interestingly, different kinds of galaxies seem to follow the Schmidt law with a very small scatter (see Fig. 1.5). The best fit to the observational data gives the Kennicutt-Schmidt law that can be expressed as:

$$\dot{\Sigma}_\star = (2.5 \pm 0.7) \times 10^{-4} \left( \frac{\Sigma_{gas}}{M_\odot pc^{-2}} \right)^{1.4 \pm 0.15} M_\odot yr^{-1} kpc^{-2} \quad (1.10)$$

This Kennicutt-Schmidt law is interpreted as an indication that the SFR is controlled by the self-gravity of the gas. In that case, the SFR is proportional to the gas mass divided by the time scale for gravitational collapse. If  $\tau_{ff} \propto 1 / \sqrt{\rho}$ , then

$$\dot{\rho}_\star = \varepsilon_{SF} \frac{\rho_{gas}}{\tau_{ff}} \propto \rho_{gas}^{1.5}, \quad (1.11)$$

where  $\varepsilon_{SF}$  is a measure of the efficiency of the star formation. For galaxies with same scale height this implies  $\dot{\Sigma}_\star \propto \Sigma_{gas}^{1.5}$ , in good agreement with the Kennicutt-Schmidt law.

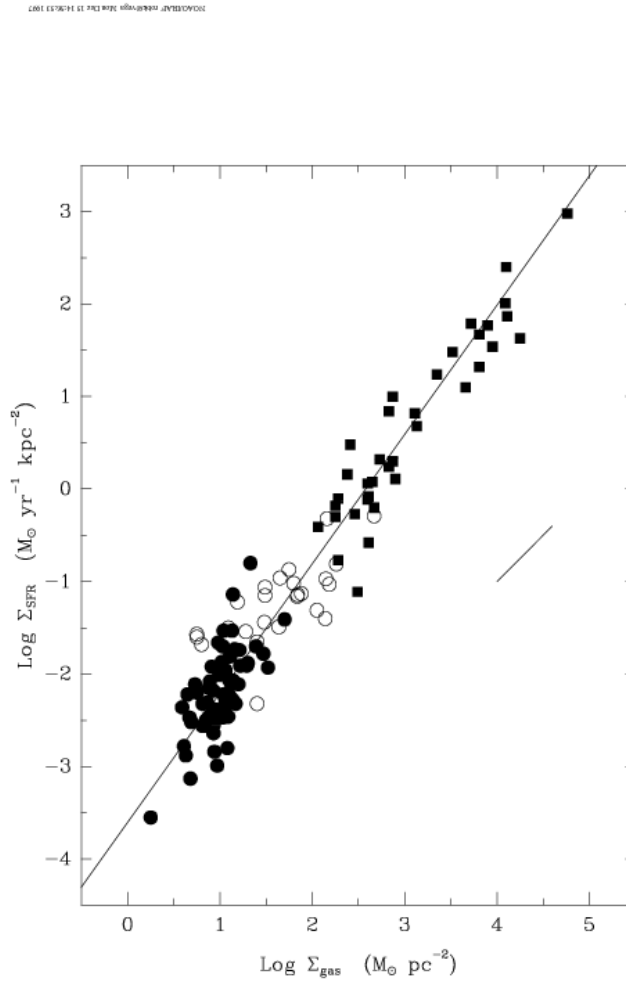


Figure 1.5: Reproduced from Kennicutt (1998b). Composite star-formation law for normal disk galaxies (filled circles), starbursts (squares) and centers of normal galaxies (open circles). The solid line corresponds to the Kennicutt Schmidt law (Eq. 1.10).

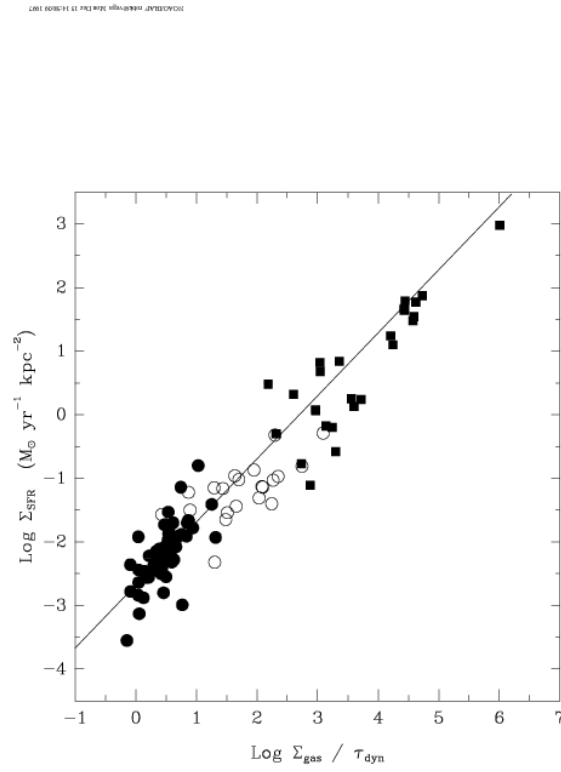


Figure 1.6: Reproduced from Kennicutt (1998b). SFR per unit area as a function of  $\Sigma_{gas}$  divided by the dynamical time. Symbols like in Fig. 1.5. Solid line is the best fit to the data (Eq. 1.12).

Kennicutt (1998b) also found a very tight correlation between  $\dot{\Sigma}_\star$  and  $\Sigma_{gas}/\tau_{dyn}$ , where  $\tau_{dyn} = 2\pi R/V_{rot}(R)$ . This is shown in Fig. 1.6, where the solid line represents the best fit to the data:

$$\dot{\Sigma}_\star \approx 0.017 \Sigma_{gas} \Omega, \quad (1.12)$$

with  $\Omega$  the circular frequency, implying that about 10% of the available gas is consumed by star formation per orbital time.



# Analysis of Stellar Populations in Galaxies

**G**ALAXIES are mainly composed by stars. To understand the properties of observed galaxies it is then very useful to be able to predict the different observational properties of a certain stellar population. Stellar population synthesis models put together all the relevant information known of a given stellar population and reproduce the expected spectra of the composite population so that it can be compared with the observations to recover the physical properties of galaxies.

## 2.1 Stellar Evolutionary Tracks

Stellar evolution is one of the best understood topics in the present day astrophysics. Although there are still some uncertainties remaining, the evolution of stars with time has been deeply studied and we are able to predict the properties of stars of different masses and chemical compositions at different times. Stellar evolution is however, a very complex and wide aspect of astrophysics, and we will not enter into any detail in this work.

It is very important to be aware that, for physical reasons, the evolution of a star is almost completely determined by its initial mass and chemical composition. Thus, given these two quantities, a stellar evolution model yields all the properties of a star at any time  $t$  after its birth. The two most important properties of a star changing with time are its luminosity,  $L$ , and its effective temperature,  $T_{eff}$ . Stars

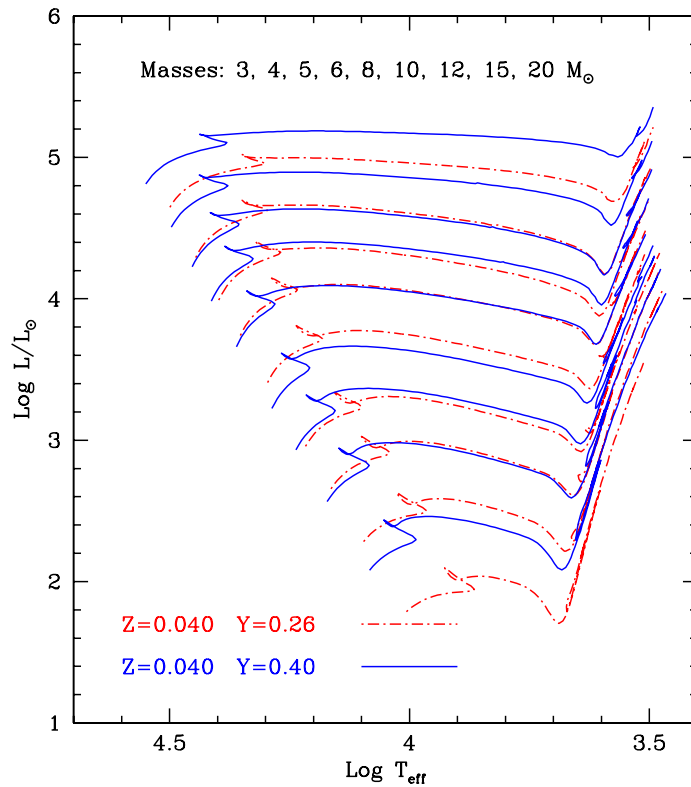


Figure 2.1: Reproduced from Bertelli et al. (2009). Evolutionary tracks in the HR diagram for the composition  $Z=0.040$ ,  $Y=0.26$  (dot-dashed line) and  $Z=0.040$ ,  $Y=0.40$  (solid line).

evolutionary tracks are usually represented in the so-called Hertzsprung-Russell (H-R) diagram, representing the color-magnitude plane, related to the  $L$  and  $T_{eff}$  of the stars. An example of evolutionary track from the Padova group can be seen in Fig. 2.1 for stars of different masses and metallicities.

In a very simplified manner, we can summarize that stars suffer a pre-main sequence evolution before entering to the main sequence, where the star spends most of its lifetime and where the burn of the internal H occurs in the nucleus of the star. The post-main sequence evolution strongly depends on the star mass, with the most massive stars evolving faster and entering the Red Giant Branch (RGB) and the Asymptotic Giant Branch (AGB) to end their lives as neutron stars or black holes (for stars with  $M > 8 M_{\odot}$ ), while the less massive galaxies evolve slower and may end up converted in white dwarfs.

Once the evolutionary tracks of stars are known, theoretical spectra can be constructed. The values of the effective temperature  $T_{eff}$  and surface gravity  $g$

of a star allow us to determine its density, temperature and ionization, assuming the atmosphere to be in both thermal and hydrostatic equilibrium. Sophisticated stellar-atmosphere codes including radiative transfer are used to predict the spectra of stars with wide ranges of properties.

## 2.2 Initial Mass Function

Once we know what is the output emission of light from a given star along its life, *i.e.* its spectra, it is fundamental to know what kind of stars are formed within a starburst. Then the emitted light from the whole population is just the integrated spectra of each single star. The nature of the formed stars is often expressed in the form of the Initial Mass Function (IMF).

The IMF  $\phi(m)$  is defined so that  $\phi(m)dm$  is the relative number of stars that were originally created in the mass range  $m \pm dm/2$ . It is frequently assumed to be a simple power law:

$$\phi(m) = cm^{-x} \quad (2.1)$$

The IMF is supposed to be a continuous function and is usually normalized as:

$$\int_{m_l}^{m_u} m\phi(m)dm = 1M_{\odot} \quad (2.2)$$

with  $m_u$  and  $m_l$  the upper and lower limits for stars, which normally take the values  $m_u \simeq 100 M_{\odot}$  and  $m_l \simeq 0.08 M_{\odot}$ .

In principle, the IMF could vary from galaxy to galaxy and within the galaxy itself. Observations of the Milky Way seem to suggest that the IMF is independent of the galaxy location. It is generally accepted that the IMF is universal, not only in the Milky Way, but also in other galaxies. However, current observational constraints are subject to high uncertainties, thus leaving as an open question the universality of the IMF.

Some of the most commonly used IMFs are the Salpeter (1955), Chabrier (2003) or Kroupa (2002) IMFs, although many other IMFs can be found in literature (*e.g.*, Tinsley 1980, Scalo 1986 or Scalo 1998).

The first determination of the IMF in the solar neighborhood was estimated by Salpeter (1955), who obtained

$$\phi(m)dm \propto m^{-b}dm, \quad b = 2.35 \quad (2.3)$$

A more recent analysis of the stars near the sun from Kroupa (2002) gives a similar shape as the Salpeter IMF, but flattens at lower masses:

$$\phi(m)dm \propto \begin{cases} m^{-2.7} & (1.0M_{\odot} < m < 100M_{\odot}) \\ m^{-2.3} & (0.5M_{\odot} < m < 1.0M_{\odot}) \\ m^{-1.3} & (0.08M_{\odot} < m < 0.5M_{\odot}) \\ m^{-0.3} & (0.01M_{\odot} < m < 0.08M_{\odot}) \end{cases}$$

An analysis of the IMF in diverse stellar components of the galaxy, such as disk stars, bulge stars or stars in globular clusters, has been done by Chabrier (2003) finding that all these IMFs have similar forms. For disk stars the IMF is:

$$\phi(m)dm \propto \begin{cases} m^{-1.35} & (m > 1.0M_{\odot}) \\ \exp[-[\log(m/0.2M_{\odot})]^2/0.6] & (m < 1.0M_{\odot}) \end{cases}$$

In Fig 2.2 (Fig. 1a from Romano et al. 2004) we show the values of different IMFs. The different slopes of the laws produce different spectral energy distributions, so having a good approximation of this function is fundamental to study the properties of observed galaxies.

## 2.3 Spectral Synthesis

Knowing the spectral emission of stars of given masses and chemical composition, assuming an universal IMF and a given metallicity, it is possible to reproduce the expected emission of an ensemble of stars and, as an extension, of a galaxy. Suppose that  $\Psi(t)$  is the star formation rate and  $\mathcal{L}_{\lambda}^{cp}$  is the luminosity per unit stellar mass of all stars of a coeval population of age  $\tau$ , were

$$\mathcal{L}_{\lambda}^{cp}(\tau) = \int \mathcal{L}_{\lambda}(m, \tau) \frac{\phi(m)}{M_{\odot}} dm \quad (2.4)$$

$m$  is the mass of a star,  $\phi(m)$  is the normalized IMF and  $\mathcal{L}_{\lambda}(m, \tau)$  is the luminosity of a star with initial mass  $m$  at age  $\tau$ .

Then, the luminosity of the galaxy at a given time  $t$  at some given wavelength  $\lambda$  can be written as:

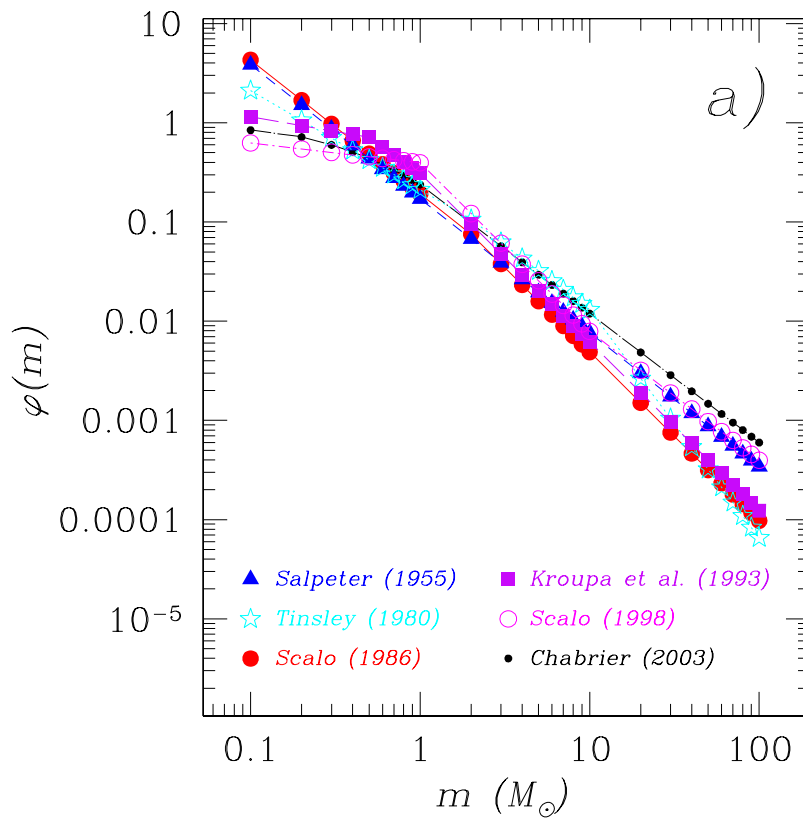


Figure 2.2: Reproduced from Romano et al. (2004). Stellar IMF according to Salpeter (1955), Tinsley (1980), Scalo (1986), Kroupa et al. (1993), Scalo (1998) and Chabrier (2003)

$$L_\lambda(t) = \int_0^t \mathcal{L}_\lambda^{cp}(t-t')\Psi(t')dt' \quad (2.5)$$

Therefore, once the star-formation rate  $\Psi(t)$  and  $\phi(m)$  are specified, we can calculate the Spectral Energy Distribution (SED) of a galaxy at any given time by summing the spectra of coeval populations with different ages.

To better understand the most important features of coeval stellar populations SEDs of different ages, we show in Fig. 2.3 the theoretical SEDs of a coeval stellar population as a function of age, with  $\tau$  ranging from 0 to 11 Gyrs. In very young populations ( $< 10^7$  yr) the spectra is completely dominated by the blue main sequence stars, thus resulting in a very strong UV emission due to their high effective temperatures. At later times, the most massive stars begin to leave the main sequence and become red giants, producing a drop in the UV flux and an increase in the near IR part of the spectrum. From  $10^8$  to  $10^9$  yr, the UV flux continues to drop while the near IR flux is maintained by the AGB stars. The small increase in the UV at ages later than 3-10 Gyr is produced by low mass stars entering their post-AGB phase.

The simplest application of spectral synthesis modeling is to consider a star-formation history given by a delta function  $\Psi(t) \propto \delta(t-t_{form})$ . This kind of evolution is often called passive evolution, and could be considered as an approximation for the evolution of the elliptical galaxies that we will study in more detail (see Chapter 4).

The colors of a passive evolving populations change with age in a very similar way to the spectra of coeval populations at different ages. The broad-band luminosities decrease with age, but this decrease is much more rapid in the bluer bands because of the disappearance of bright main-sequence stars and super-giants. Stars with masses  $> 1.25 M_\odot$  have lifetimes shorter than 4 Gyr, so any coeval population older than  $\sim 4$  Gyr contains only stars with  $\lesssim 1 M_\odot$ . Besides, since the temperature of the giant branch stars does depend weakly on the age, old stellar populations have red colors that change very slow with time.

Unfortunately, the evolution of real galaxies is not as simple as the passive evolution. Instead, the star-formation history of a galaxy can be very different, ranging from a single burst with subsequent passive evolution, a continuous burst or a burst exponentially declining with time ( $\psi(t) \propto e^{-t/\tau}$ ). The star-formation can stop and be triggered again (for example from mergers or tidal interactions) and

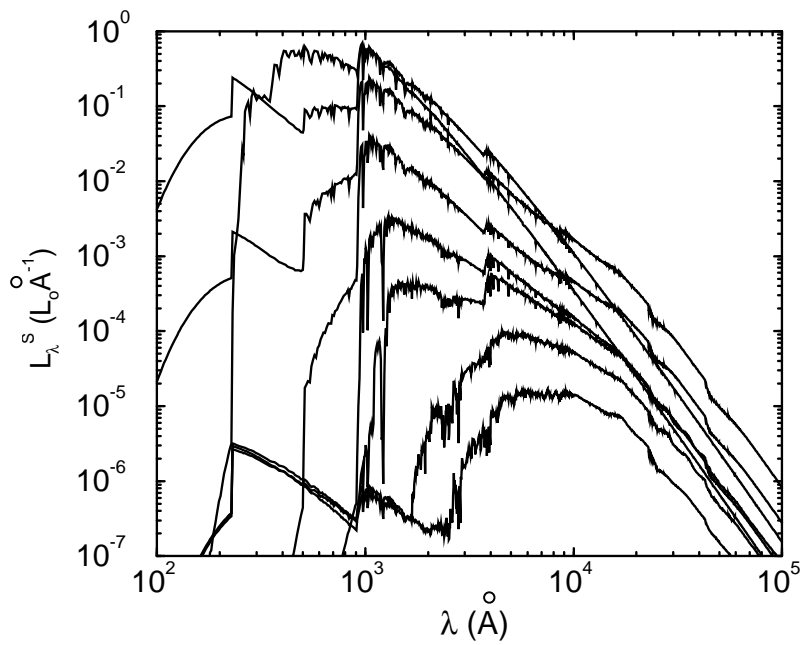


Figure 2.3: Reproduced from Kneiske et al. (2002). Spectral energy distributions (SEDs) of a coeval stellar population as a function of age  $\tau$ . The specific luminosity of the evolving stellar population is normalized to one solar mass. The stellar models assume standard solar composition. Shown are the SEDs for  $\tau = 0, 0.0038, 0.00724, 0.0138, 0.07187, 0.28612, 1.434,$  and  $11$  Gyrs (from top to bottom, based on calculations by Bruzual A. & Charlot 1993).

this process can happen more than once. The inclusion of these complicated star-formation histories makes it more difficult to unequivocally interpret the spectra of a given galaxy, although general properties can be usually recovered.

### 2.3.1 Spectral Features

There are some parts of the spectrum of galaxies that contain more information than the rest of it. These are the spectral discontinuities and the spectral indices.

The spectral discontinuities are clearly observed from Fig. 2.3 as pronounced discontinuities in the spectra at 912 Å (Lyman break) and at 4000 Å (the D(4000) break). The Lyman break of an stellar population increases rapidly at  $10^7$  yr, when the most massive stars move off the main sequence. At  $10^9$  yr more UV photons are generated due to the stars in the post-AGB phase and the break is no longer detectable. For a galaxy in which the stars have formed over an extended period of time, the change in the amplitude of the Lyman break is smaller due to the spread in stellar ages of the stars of the population. Therefore, a large Lyman break is a signature of a young population that has been produced on a short burst. Unfortunately, absorption due to the neutral hydrogen in the intergalactic medium between the observed galaxy and the observer can produce similar features in the galaxy spectra, thus complicating the interpretation of the Lyman break. The 4000 Å break is used to predict the stellar age of a galaxy as it is controlled by the most massive stars in the main sequence and continuously increases with time from  $10^7$  yr to about 10 Gyr. However, it also depends strongly on the metallicity, again making it more difficult to determine the galaxy properties if the chemical composition of the galaxy is unknown.

Based on the theoretical spectra obtained from the spectral synthesis models, one can describe several spectral indices, like for example the Lick indices, which contain information about the abundance of certain elements and about the age of the stellar population. Each index is defined by a central band of width  $\Delta\lambda_0$  and two side bands. The side-band intensity,  $I_s$ , is defined as the mean intensity over the two side bands. The central band intensity,  $I_c$ , is the mean intensity over the central band. Examples of these spectral indices are  $H\beta$ ,  $H\delta_A$ ,  $Mgb$ ,  $Fe_1$ ,  $Fe_2$ ,  $Mg_1$ ,  $Mg_2$ , etc. A systematic study of these indices can help to understand the given properties of a stellar population such as age and metallicity.

### 2.3.2 Age-Metallicity Degeneracy

The metallicity, usually noted as  $Z$  in the literature, is another parameter that affects the emitted spectra of a stellar population. Stars with higher metallicities evolve faster. Thus, an increase in the amount of metals contained in a galaxy produces redder colors in its spectra. A population of stars with very high metallicity has a spectral energy distribution with a very similar shape to that of an older population with less metallic content. In fact, it has been demonstrated that galaxies with the same  $\tau Z^{3/2}$  have identical colors. This is known as the age-metallicity degeneracy and is one of the most important limitations in the study of galaxy populations. Making use of additional information, such as  $H\alpha$  and  $H\beta$  emission lines (more sensitive to the stellar age) or metal line strengths as  $Mg_b$ ,  $Fe_1$  or  $Fe_2$  (sensitive to the metallicity), the age-metallicity degeneracy can be broken.

## 2.4 Emission and Absorption by the Inter-Stellar Medium

Real galaxies are not only made by stars, but are embedded in the inter-stellar medium (ISM) composed by dust and gas. The ISM affects the light emitted by the stars of a galaxy both through emitting and absorbing processes.

### 2.4.1 Emission

Emission by the ISM can ascribe mainly to HII regions or to heated dust. An HII region is a large, low-density cloud of partially ionized gas in which star formation has recently taken place. The young blue stars emit at the ultraviolet wavelengths, thus ionizing the surrounding gas. In fact, HII regions are named for the large amount of ionized atomic hydrogen that they contain, referred to as HII (HI region being neutral atomic hydrogen). In a HII region a hydrogen nucleus can recombine with a free electron to form a hydrogen atom. If the resulting atom is in an excited state, the atom will cascade to the ground state, emitting line photons. If the optical depth of the HII region is small, they will escape the HII region. In the opposite case the recombination photons will be absorbed, causing further ionizations or excitations of the gas.

Another source of emission are dust grains heated when absorbing photons which are then re-radiated in the mid to far-IR wavelengths. There are three main

components in the dust emission curve. Big grains ( $a > 100\text{\AA}$ ), whose emission can be approximated to that of a gray body at a given temperature; small grains ( $a \lesssim 100\text{\AA}$ ), which contribute significantly to the emission in the mid-IR ( $\lambda \sim 20 - 50\mu\text{m}$ ); and Polycyclic Aromatic Hydrocarbons (PAHs) which dominate the emission at  $\lambda \sim 3 - 10\mu\text{m}$ , producing strong bands at 3,6,8 and 11  $\mu\text{m}$  due to vibrational modes.

## 2.4.2 Dust Extinction

Dust in galaxies absorbs part of the light emitted by the stars. Dust extinction is higher at shorter wavelengths, causing the resulting spectra to be redder. To apply the dust extinction effect to the spectra of a galaxy the extinction law, *i.e.* the variation of extinction with wavelength, must be known

The dust extinction along a given optical line of sight is usually described by means of the optical depth  $\tau_\lambda$ <sup>1</sup>. The observed intensity at a given wavelength  $I_\lambda$  and the intensity that would be observed in the absence of dust extinction  $I_{\lambda 0}$  can be related to the optical depth in the form:

$$I_\lambda = I_{\lambda 0} e^{-\tau_\lambda} \quad (2.6)$$

The dust extinction is usually expressed in the form of an empirical extinction law,  $k(\lambda)$  where

$$k(\lambda) \equiv \frac{A_\lambda}{E(B-V)} \equiv R_V \frac{A_\lambda}{A_V}, \quad (2.7)$$

$A_\lambda = (2.5 \log e) \tau_\lambda$  is the change in magnitude at wavelength  $\lambda$  due to the extinction,  $E(B-V) \equiv A_B - A_V$  is the color excess between the  $B$  and  $V$  bands and  $R_V$  is defined as  $A_V / E(B-V)$ .

The extinction law depends on the physical properties and composition of the dust grains, as well as on the geometry of the dust cloud. Normally, empirically derived extinction laws are used, like the extinction laws from the Milky Way (MW, Allen 1976), from the Large Magellanic Clouds (LMC, Fitzpatrick 1985) or from the Small Magellanic Clouds (SMC, Prevot et al. 1984). In Fig. 2.4 we show the

<sup>1</sup>The optical depth  $\tau_\lambda$  is a measure of how opaque a medium is to radiation passing through it. It is measured along the vertical optical path  $dz$ :  $\tau_\lambda = \int_0^z K dz$ , where  $K$  is the extinction coefficient.

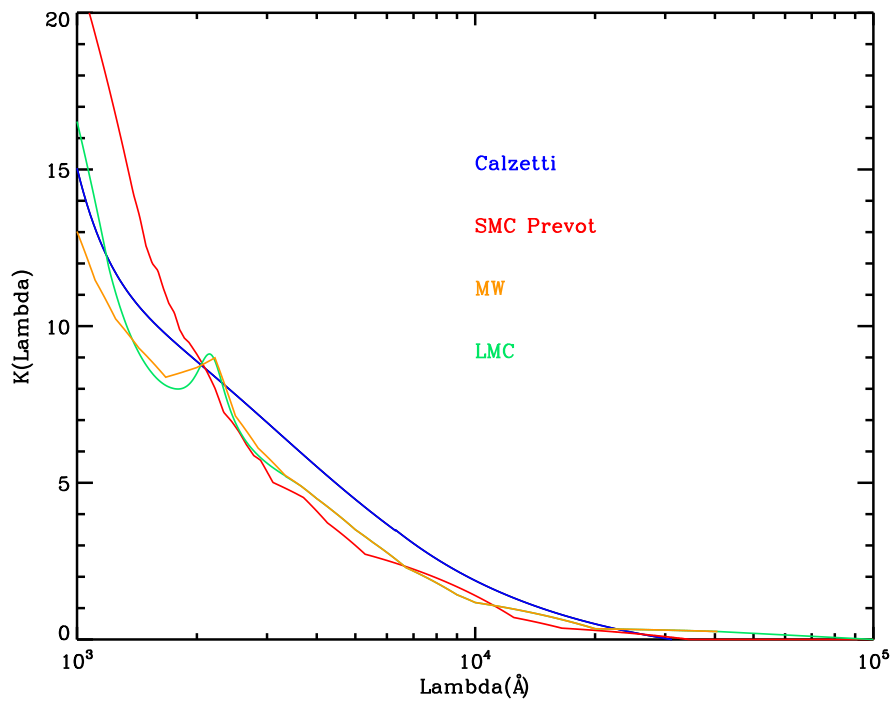


Figure 2.4: Extinction curves  $k(\lambda)$  for different extinction laws commonly used.

extinction curves derived for the MW, LMC and SMC, as well as the derived extinction law by Calzetti et al. (2000) for starburst galaxies.

Both the extinction laws for the MW and the LMC show a strong feature at 2175 Å, which is supposed to be produced by graphite dust grains. The peculiarity of the SMC extinction law by Prevot et al. (1984) is the lack of the graphite 2175 Å bump. The non detection of this bump is probably related to the large under-abundance of carbon in the SMC. The most commonly used attenuation curve in high redshift studies is the law derived by Calzetti as a purely empirical result from a sample of near Starburst (SB) galaxies. As it can be observed from Fig. 2.4 the Calzetti extinction law, as the SMC law, does not show the 2175 Å bump.



# Late Type Galaxies

**I**N this Chapter we introduce the concept of bimodality, which separates the galaxies in two main groups: Late Type Galaxies (LTGs), usually associated with blue colors and disk morphologies, and Early Type Galaxies (ETGs), related to red colors and elliptical morphologies. We also discuss some of the observed properties of LTGs, such as the SFR-Mass relation and explain the methods usually used to derive the SFR values.

## 3.1 The Existence of Bimodality in Observed Galaxies

The first classification of galaxies was made by Hubble (1926) and was purely based on the morphological properties of the galaxies. He ordered the galaxies in a morphological sequence, the now called Hubble sequence (Fig. 3.1), dividing the galaxies into four broad classes:

- Elliptical galaxies: These galaxies have elliptical shapes and smooth elliptical isophotes. They are subdivided into  $En$ , where the integer  $n$  reflects the ellipticity of the galaxy ( $n=10(1 - b/a)$ ;  $a$  and  $b$  the lengths of the semi-major and semi-minor axes).
- Spiral galaxies: These galaxies are thin disks with spiral arm structures. They are subdivided into barred and normal spirals, depending on the presence of a barred structure in the central part of the galaxy. A further division on each branch into  $a$ ,  $b$  or  $c$  is based on the fraction of light in the central bulge, the tightness with which the spiral arms are wound and the degree to which the

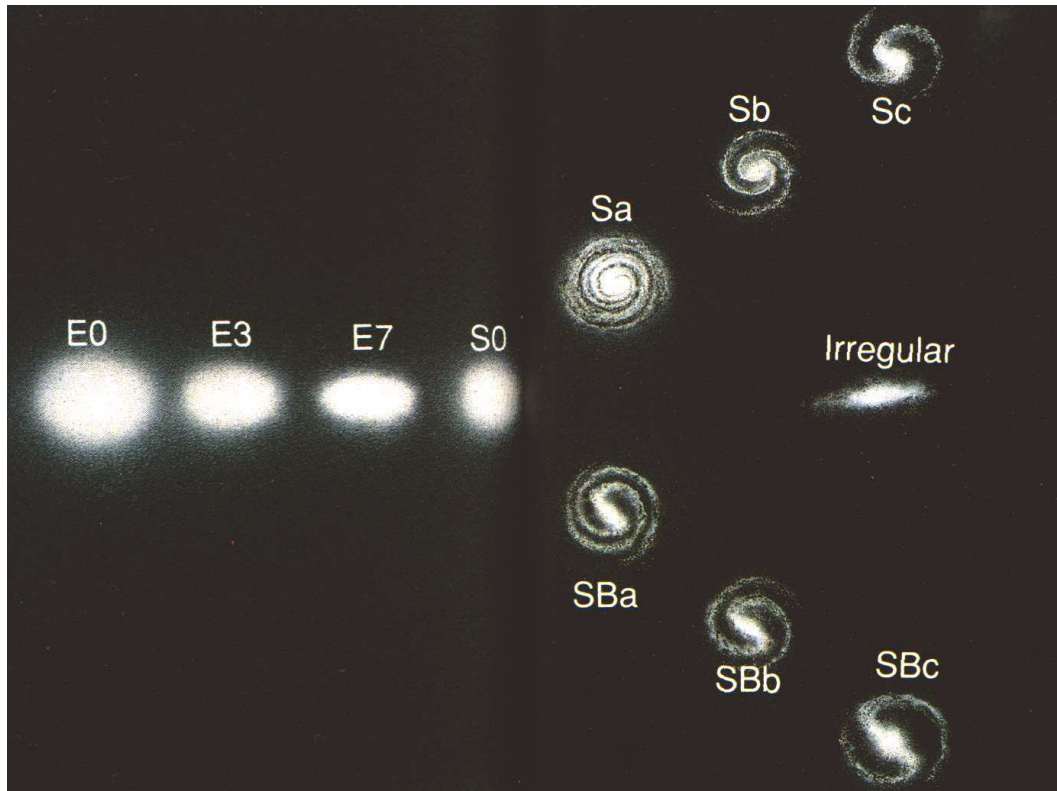


Figure 3.1: Hubble galaxy classification Scheme.

spiral arms are resolved into stars, HII regions and dust.

- Lenticular or S0 galaxies: These galaxies are an intermediate class between ellipticals and spirals.
- Irregular galaxies: These galaxies have no ordered structure and are usually asymmetric, showing irregular shapes.

Astonishingly, this pure morphological classification is deeply related to the stellar content and physical properties of the galaxies, with the elliptical or early type galaxies (ETGs) showing almost no star formation, a rather old stellar population and red colors, while most of the star formation is known to take place in blue disk systems, also known as late type galaxies (LTGs). Irregular galaxies are mostly the result of recent mergers, thus containing also merger induced star forming regions. Other intrinsic properties such as the dynamical structure or kinematics are also very different for different morphological types.

Studying local samples of galaxies a clear bimodality is seen in the local Universe in terms of galaxy properties (e.g., color, morphology, star formation, and spectral

features; Kauffmann et al. 2003; Baldry et al. 2004; Brinchmann et al. 2004). Galaxies in the local Universe exhibit distinctive bimodal color distributions, suggesting that different evolution histories exist for galaxies lying on the two sequences (Menci et al. 2005; De Lucia et al. 2007). The existence of this bimodality is confirmed to exist up to redshift  $z \sim 1$  (Bell et al. 2004; Weiner et al. 2005) and possibly up to  $z \sim 2$  (Giallongo et al. 2005, Cirasuolo et al. 2007, Cassata et al. 2008).

In Fig. 3.2 we show the color distribution (left panel) of a sample of galaxies at  $0.5 < z < 0.9$  studied by Pozzetti et al. (2010) from the 10k sample in the COSMOS field. The bimodality is clearly observed in terms of colors (left panel) and specific star formation rates (SSFR, right panel).

These two main classes of galaxies are also often referred as the ‘blue cloud’ and ‘red sequence’ galaxies. The red sequence galaxies include mostly passive galaxies with an elliptical morphology (*e.g.* Cassata et al. 2007), but also a significant fraction of dust-extincted star-forming galaxies and Spi/Irr galaxies with quenched star formation. Both populations can be separated in terms of colors, spectral features or morphological types. However, these classifications do not completely overlap. For example, in a study of local  $z \sim 0$  ETGs from the Sloan Digital Sky Survey (SDSS), out of the morphologically selected ETGs, 70% satisfy also the color selection. The correlation between color and morphology selection persists at high redshifts, as shown by Bell et al. (2004). At  $z \sim 0.7$  about 85% of color-selected, red sequence galaxies are also morphologically early type (E/S0/Sa). Besides, there are intermediate objects, supposed to be the transition between actively star-forming galaxies and passive galaxies, located in the color-color diagram in what is known as the green valley (*e.g.* Pozzetti et al. 2010).

## 3.2 Late Type Galaxy Properties

As we have already mentioned, Late Type Galaxies have blue colors and disk morphologies, usually presenting different components on its structure (thin disk, thick disk, bulge, stellar halo). The stellar populations are far more complicated than those of elliptical galaxies and show significant on going star formation. Their star formation histories are clearly inconsistent with a single burst, and are generally modeled as exponentially declining function of time. Moreover, the different

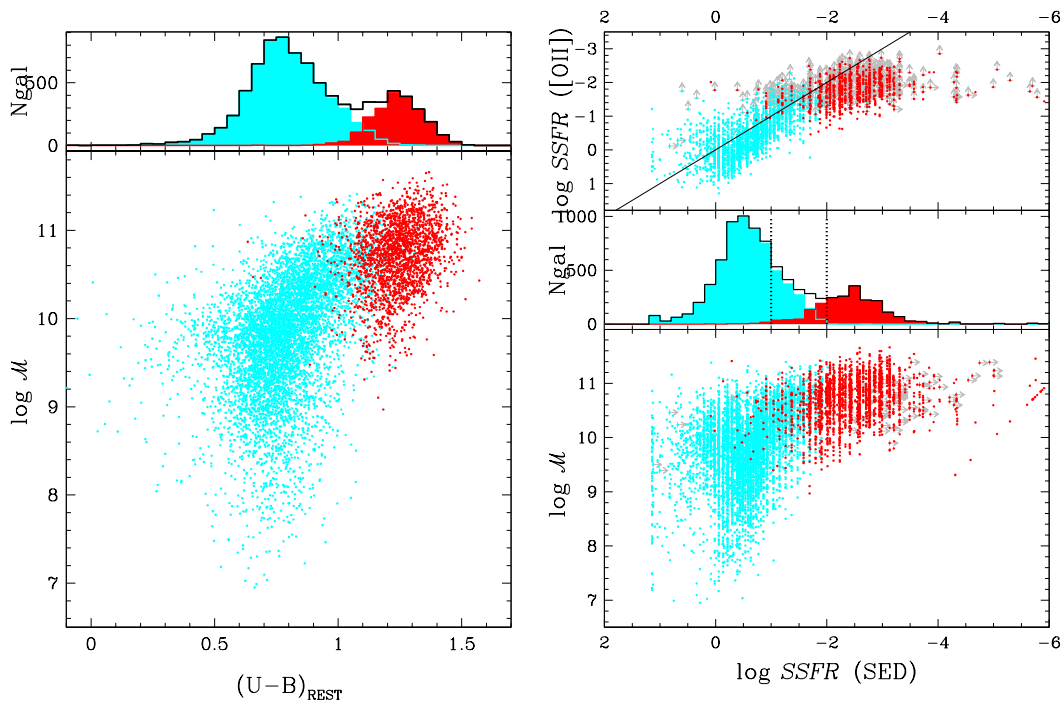


Figure 3.2: Reproduced from Pozzetti et al. (2010). Left figure: the upper panel shows the  $U - B$  rest-frame color distribution of galaxies. The bottom panel shows the color-mass diagram. Right figure:  $SSFR$  of galaxies with different photometric types. The upper panel shows the comparison between estimates from the SED-fitting and from [OII] for galaxies in the range  $0.5 < z < 0.9$ . The middle panel shows the  $SSFR$  distribution of galaxies, along with the two dotted lines used to separate active from quiescent ( $\log(SSFR [\text{Gyr}^{-1}]) = -1$ ) or from passive galaxies ( $\log(SSFR [\text{Gyr}^{-1}]) = -2$ ). The bottom panel shows the  $\log(SSFR [\text{Gyr}^{-1}])$ -mass diagram. Red dark and light cyan colors represent SED-ETGs and SED-LTGs respectively.

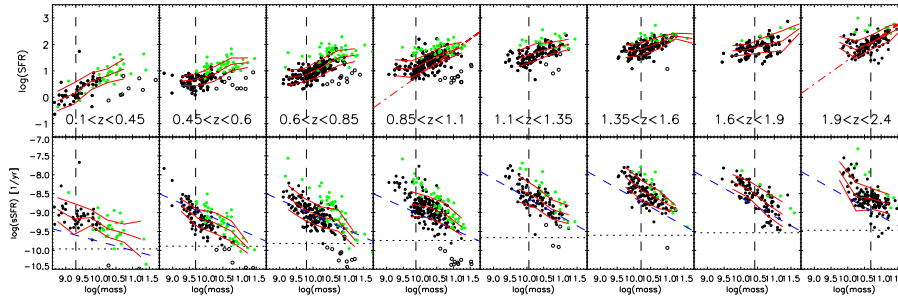


Figure 3.3: Reproduced from Rodighiero et al. (2010). Relation of the stellar mass as a function of SFR (*top panels*) and SSFR (*bottom panels*). Green points represent PACS detected sources for which the  $L_{IR}$  has been computed including all data points. Black points are MIPS  $24\mu\text{m}$  sources undetected by PACS: in this case the  $L_{IR}$  has been derived from the SED fitting procedure limited at  $24\mu\text{m}$ . Open symbols are passive sources with  $\text{color mag}[24]-\text{mag}[3.6]<0.5$ . Also reported with red lines the average of the relations and the  $\pm 1\sigma$  limits. The red dot-dashed lines correspond to literature observed relations between mass and SFR: at  $z \sim 1$  the GOODS relation by Elbaz et al. (2007); at  $z \sim 2$  the relation for star-forming  $BzK$  by Daddi et al. (2007). Vertical dashed lines mark the mass completeness limit as a function of  $z$ , and the horizontal dotted lines indicate the inverse of the age of the universe at the mean redshift of each bin. The dashed blue lines in the bottom panels show the relations derived from our stacking analysis on PACS maps.

components of a disk galaxy seem to have different stellar populations. While the stellar populations of the bulge and the stellar halo are dominated by old stars, the disk component is populated by stars of various ages and metallicities. Besides, thick disk stars are older and more metal poor than thin disk stars.

### 3.2.1 The Star Formation Rate-Mass Relation

One of the most important properties of blue, star forming galaxies is the tight correlation observed between the stellar mass and the SFR, also known as the Main Sequence of star forming galaxies. This was clearly observed at  $z = 0$  (Noeske et al., 2007) and  $z = 1$  (Elbaz et al., 2007). Daddi et al. (2007) found that a relatively tight stellar mass-SFR relation is already in place at  $z = 2$ , with a scatter of 0.16 dex in the SSFR. A recent work based on PEP Herschel data by Rodighiero et al. (2010) found the existence of positive correlations between the SFR and the stellar mass at all redshifts up to  $z = 2.4$ , although the SFR-Mass relation seems to be more robust at  $z < 1$  (see upper panel in Fig. 3.3). The authors also studied the relation

between the SSFR and the stellar mass, finding a negative trend at all redshifts, although presenting a quite large scatter (lower panel in Fig. 3.3). They also found that the mean SSFR of star forming galaxies rises with redshifts, implying that galaxies tend to form their stars more actively at high redshifts. Besides, the most massive galaxies at any redshift have the lowest SSFR, meaning that they are the fastest stellar mass assemblers, in agreement with the so-called downsizing scenario (Cowie et al., 1996).

### 3.3 Star Formation Diagnostics

The star formation contains significant information on the population of a certain galaxy and it is a key parameter when studying galaxy formation and evolution. Many methods have been developed to estimate the star formation rate of a galaxy, although many uncertainties are still remaining. In this section we will explain some of the most commonly used methods to derive SFR, trying to give a physical explanation and to comment their advantages and disadvantages. For a more detailed discussion on the topic see the review by Kennicutt (1998a).

#### 3.3.1 Ultra-Violet Continuum

The most massive stars have lifetimes much shorter than the typical age of the galaxy. Since the most massive stars emit most of their energy in the UV continuum, the UV part of the spectrum is dominated by young stars, so that the SFR scales linearly with UV luminosity. The optimal wavelength range is 1250–2500 Å, longward of the Ly $\alpha$  forest but short enough to minimize spectral contamination from older stellar populations. Although these wavelengths are inaccessible from the ground for local galaxies ( $z < 0.5$ ), the region can be observed in the redshifted spectra of galaxies at  $z \sim 1-5$ .

The conversion between the UV luminosity over a given wavelength interval and the SFR can be derived using the synthesis models. It is appropriate to assume that the SFR has remained constant over timescales that are long compared to the lifetimes of the dominant UV emitting population ( $< 10^8$  yr), in the ‘continuous star formation’ approximation. Considering a Salpeter (1955) IMF with mass limits 0.1 and 100  $M_{\odot}$  the calibration between UV and SFR yields (Kennicutt, 1998a):

$$\text{SFR} (M_{\odot} \text{ yr}^{-1}) = 1.4 \times 10^{-28} L_{\nu} (\text{ergs s}^{-1} \text{ Hz}^{-1}). \quad (3.1)$$

This calibration is valid for galaxies where the burst duration is  $\sim 10^8$  yr or longer. For a shorter burst, Eq. 3.1 may underestimate the SFR because the star-formation timescale is overestimated.

The most problematic aspects of using an UV-SFR calibration are the effect of the IMF and the dust extinction. Since the UV luminosity is dominated by stars with  $M \gtrsim 5 M_{\odot}$ , the conversion from UV to SFR is very sensitive to the shape of the IMF. Besides, most of the SFR occurs in obscured regions by dust clouds. As we have seen in Sect. 2.4.2, shorter wavelengths are more strongly affected by dust extinction. Therefore the UV luminosity must be corrected by dust extinction before transforming it into SFR.

### 3.3.2 Recombination Lines

The nebular lines effectively re-emit the integrated stellar luminosity of galaxies short-ward the Lyman limit, so they provide a direct, sensitive probe of the young massive stellar population. Many recombination lines can be used to estimate SFR, like  $H\beta$ ,  $P\beta$ ,  $Br\alpha$  and  $Br\gamma$ , although the most popular is the  $H\alpha$  emission line.

The conversion factor between the ionizing flux and the SFR is usually computed using an evolutionary synthesis model. Only stars with masses  $> 10 M_{\odot}$  and lifetimes  $< 20$  Myr contribute significantly to the integrated ionizing flux, so the emission lines provide a nearly instantaneous measure of the SFR, independent of the previous star formation history. For solar abundances and a Salpeter IMF, the conversion between  $H\alpha$  and the SFR is given by (see Kennicutt et al. 1994, Madau et al. 1998):

$$\text{SFR} (M_{\odot} \text{ yr}^{-1}) = 7.9 \times 10^{-42} L(H\alpha) (\text{ergs s}^{-1}) \quad (3.2)$$

However, as for the UV calibration, the main limitation is that a great part of the light emitted by young stars, which mostly reside within or behind clouds of gas and dust, is absorbed by dust and then re-emitted at longer wavelengths. To properly calculate the SFR from  $H\alpha$  emission line an extinction correction must be applied to take this effect into account. This method is also affected by the shape of the IMF as the  $H\alpha$  luminosity is a measure of the formation rate of very massive stars.

### 3.3.3 Forbidden Lines

For sources at  $z > 0.5$  the  $H\alpha$  emission line is redshifted out of the visible window so other emission lines have to be used as quantitative SFR tracers. Unfortunately the  $H\beta$  and the higher order Balmer emission lines are so weak that they are poor SFR diagnostics.

The strongest emission feature in the blue is the  $[OII]\lambda 3727$  forbidden-line doublet. The luminosities of forbidden lines are not directly coupled to the ionizing luminosity, and they are affected by the metallicity and the ionization state of the gas. However, the excitation of  $[OII]$  is sufficiently well behaved that it can be calibrated empirically (through  $H\alpha$ ) as a quantitative SFR tracer, with the following calibrations (Kennicutt, 1998a):

$$SFR (M_{\odot} yr^{-1}) \approx (1.4 \times 10^{-41} L[OII] (\text{ergs s}^{-1})), \quad (3.3)$$

As with Eq. 3.1, 3.2, the observed luminosities must be corrected for extinction. Besides, since this relation is calibrated through  $H\alpha$ , it includes the previously mentioned uncertainties, as well as its own intrinsic uncertainties.

### 3.3.4 Far-Infrared Continuum

As already mentioned, most of the star-formation takes place in dense dust clouds where stars are born. The light from these young stars, absorbed by the dust, is re-emitted at IR wavelengths. Therefore, the SFR can also be derived from the IR emission of the galaxies. In this case the calibration adopts the form (Kennicutt, 1998b):

$$SFR (M_{\odot} yr^{-1}) = 4.5 \times 10^{-44} L_{FIR} (\text{ergs s}^{-1}) \quad (3.4)$$

This is a theoretical relation based on the starburst synthesis models of Leitherer & Heckman (1995b) obtained for a continuous burst, solar abundances and a Salpeter (1955) IMF, assuming that young stars dominate the radiation field throughout the UV-visible and that the FIR luminosity measures the bolometric luminosity of the starburst. This physical situation holds in dense circumnuclear starbursts that power many IR-luminous galaxies. In the disk of normal galaxies or early type galaxies, the situation is much more complex as dust heating from the radiation field of older stars may be very important. Strictly speaking, as remarked by Kennicutt (1998b),

the relation above applies only to starburst with ages less than  $10^8$  years while, for other cases, it would be probably better to rely on an empirical calibration of  $\text{SFR}/L_{\text{IR}}$ .

One of the scopes of this thesis work is precisely to empirically calibrate the  $\text{SFR}-L_{\text{IR}}$  relation making use of recent Herschel data. In Chapter 7 we analyze in detail a far-IR selected sample of 474 star-forming galaxies and we compare the SFR derived from the IR emitted light using Eq. 3.4 with the SFR derived from  $\text{H}\alpha$  luminosity corrected by extinction (Eq. 3.2). We study in detail how different intrinsic properties of the galaxies affect the SFR estimates derived from the IR continuum emission. We also investigate if galaxies with different morphological types follow Eq. 3.4.



# Early Type Galaxies

**I**N this Chapter we aim to give an overview of the main observed properties and the evolution pattern of early type galaxies (ETGs), which are a fundamental piece to put constraints on cosmological models.

## 4.1 Early Type Galaxy Properties

ETGs at  $z \sim 0$  are known to be rather simple and homogeneous systems, with similar morphologies and colors and following tight scaling relations such as the fundamental plane or the color-magnitude relation. In Fig. 4.1, we show the contribution to the total stellar mass by red and blue galaxies in the various mass bins, as well as the contributions to the total number of galaxies, as derived from the local mass function of Baldry et al. (2004). Although ETGs represent only the 17% of the total number of galaxies, their mass accounts for  $\sim 57\%$  of the total mass. Besides,  $\gtrsim 80\%$  of the stellar mass in ETGs belongs to galaxies more massive than  $\sim 3 \times 10^{10} M_{\odot}$ . With spheroids holding the major share of stellar mass in galaxies, they are a key piece for understanding galaxy formation and evolution in general. In this Section we aim to describe some of the most important observed properties of the ETGs population.

### 4.1.1 The Color-Magnitude Relation

As already mentioned, ETGs have red colors. Many studies have shown that the colors of ETGs are tightly correlated with their luminosities, in the sense that more luminous galaxies are redder. In Fig.4.2 we show the color-magnitude relation for

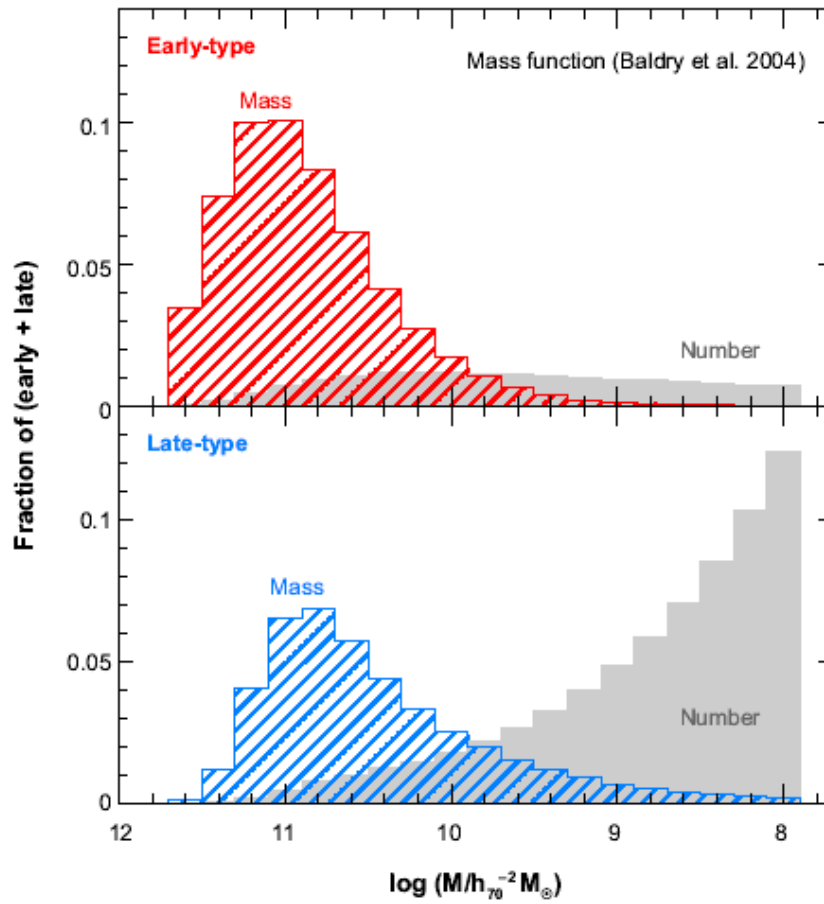


Figure 4.1: Reproduced from Renzini (2006). Contribution to the total stellar mass and to the number of galaxies by early-type (red) and late-type (blue) galaxies in the various mass bins, derived from the best-fit mass functions of Baldry et al. (2004).

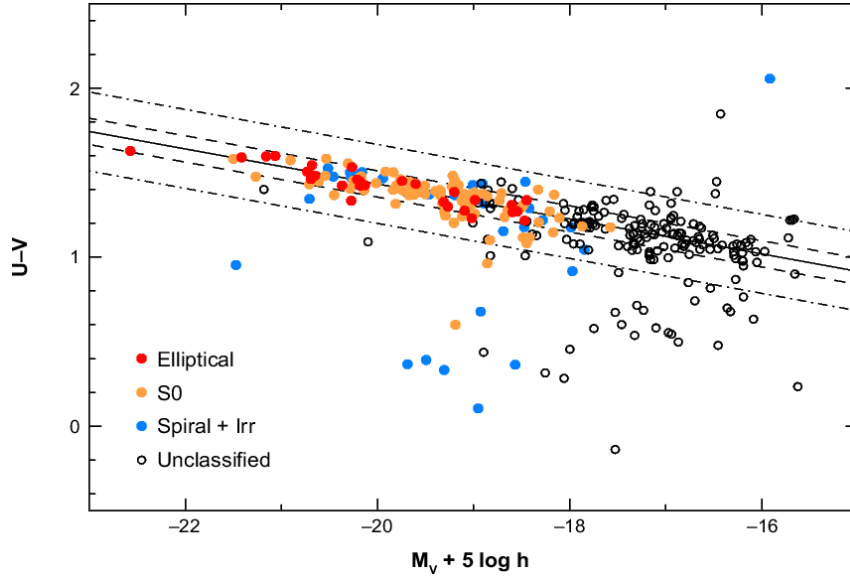


Figure 4.2: Reproduced from Renzini (2006). The  $(U - V) - M_V$  color-magnitude relation for galaxies that are spectroscopic members of the Coma cluster (Bower et al., 1999).

spectroscopic galaxies of the Coma cluster studied by Bower et al. (1999).

Unfortunately, the interpretation of this relation is severely impeded by the age-metallicity degeneracy. Taking the naive assumption that all ETGs have the same metallicity, then the color-magnitude relation implies that more massive galaxies are older. However, using additional data to break this degeneracy, recent studies (*e.g.* Kodama & Arimoto 1997, Graves et al. 2009) have demonstrated that more luminous ETGs are both redder and older, with the slope of the color-magnitude relation mainly driven by metallicity, while the small amount of scatter mainly reflects that ETGs have old, passively evolving stellar populations.

#### 4.1.2 The Fundamental Plane

Three main parameters relate the structural/dynamical properties of ETGs to their stellar content: the effective radius  $R_e$ , the central velocity dispersion  $\sigma$  and the luminosity  $L$  (or equivalently the effective surface brightness  $I_e = L/2\pi R_e^2$ ). ETGs obey a tight scaling relation occupying a region in the  $(R_e, \sigma, I_e)$  space similar to a plane, known as the fundamental plane (FP):

$$R_e \propto \sigma^a I_e^b \quad (4.1)$$

where the exponents  $a, b$  depend on the specific band to measure the luminosity. The projection of the FP over the  $(R_e, I_e)$  plane generates the Kormendy relation (Kormendy, 1977), while the projection over the  $(\sigma, L)$  plane is known as the Faber-Jackson relation (Faber & Jackson, 1976).

The existence of a FP implies that ETGs are well-virialized systems, have self-similar structures and contain stellar populations that must follow tight age and metallicity constraints.

### 4.1.3 Absorption Line Indices

Making use of absorption line indices, like the Lick indices discussed in 2.3.1, the age-metallicity degeneration can be broken. Thomas et al. (2005) studied 124 ETGs and compared their Lick indices with SSP models, showing that the Mg- $\sigma$  relation is mainly driven by metallicity, with similar contributions from the  $\alpha$ /Fe ratio (23%) and age (17%). In addition, there is a weak indication that ETGs galaxies in more dense environments have older stellar populations. The authors also found that more massive ellipticals are older, more metal enriched and more enhanced in  $\alpha$  elements, implying that more massive ellipticals have formed their stars on a shorter time-scale.

## 4.2 The Formation of Elliptical Galaxies

Elliptical galaxies are less ordered systems than disks galaxies, suggesting that their formation was followed by violent relaxation. There are two main proposed theories to explain the formation of elliptical galaxies: the monolithic collapse and the hierarchical merger.

### 4.2.1 The Monolithic Collapse Scenario

In the monolithic collapse scenario elliptical galaxies form in a single intense starburst at high redshift at the same time as they collapse to equilibrium, then following a passive evolution. This idea comes from the fact that all elliptical galaxies have very similar properties and old evolved stellar populations. Depending on the collapse and star-formation timescales, the system can lose radiative energy or not.

In the most extreme dissipationless case, all the gas is transformed into stars prior to or during the collapse. Given the sizes and masses of observed ellipticals, these should imply that these galaxies must have been formed at  $z \sim 20$ , in a great conflict with observations, which suggest that only a very small fraction of stars was formed before  $z \sim 6$ . Besides, under these assumption, violent relaxation cannot separate between stars and dark matter, thus producing the collapse of the total mass. This, again, is not in agreement with the observed matter distribution of elliptical galaxies, where most of the stars occupy a small central region surrounded by large dark matter halos. In the case that the star-formation timescale is comparable or longer than the collapse, then dissipation can occur during the collapse, so the gas can separate from dark matter at the center of the potential well before turning into stars.

The monolithic collapse assumes that the final assembly of an elliptical galaxy occurs simultaneously with the formation of the bulk of its stars in a short time interval. Normal elliptical galaxies seem to have old stellar populations with a mean age of 10 Gyr or more. This would imply that the formation events should have happened before  $z \gtrsim 2$  and that galaxies should have evolved passively thereafter. However, as explain in Sect. 4.3, the total mass density in massive, passive galaxies is a factor of 3 – 4 lower at  $z \sim 1$  than it is today, meaning that at least 70% of present-day ellipticals were still forming stars or not yet assembled at  $z=1$ . Another conflictive point is the observed evolution in size. Passive galaxies at  $z \gtrsim 1.5$  seem to have much smaller sizes than present-day elliptical galaxies with same mass values (*e.g.* Daddi et al. 2005, van Dokkum et al. 2008), in disagreement with a purely passive evolution. Therefore, the simple monolithic collapse scenario does not seem to be able to explain many of the observed properties of elliptical galaxies.

#### 4.2.2 The Hierarchical Merger Scenario

In the merger scenario, first introduced by Toomre (1977), star-formation is supposed to happen only in disk systems and all elliptical galaxies are the result of the merger of two or more galaxies. The first assumption is plausible since star formation in the local Universe seems to be restricted to the disks of spirals. To asses the second assumption the remnant of a merger between two galaxies has to resemble present-day ellipticals and the merger rate has to be consistent with the  $z = 0$  population.

Hydrodynamical simulations have shown that the result of a merger does strongly depend on the mass ratio of the galaxies merging, their angular momentum or the event being dissipational or not. For example, it has been suggested that massive ellipticals are the remnants of dissipationless (also called dry, because they are mergers of progenitors without much gas) mergers of elliptical galaxies, while their less massive counterpart require dissipational (also called wet) mergers.

Since the merger scenario predicts two or more galaxies to merge to form a new elliptical galaxy, and given that the progenitor galaxies have independently evolved forming stars over extended periods of time, it could be expected the remnant to have stars of different ages, in contradiction with the homogeneous old stellar populations observed in elliptical galaxies. However, if mergers happen early, the evolution of the stellar population is almost indistinguishable from an old SSP. Besides, data suffer from progenitor bias. If the progenitors of a present-day elliptical galaxy were spirals, these would not be included in observed samples of high-redshift ellipticals, causing evolution to appear more passive than it actually is.

Detailed galaxy formation models in the hierarchical CDM framework show that the predicted scatter in the color-magnitude relation is small, as observed. These models also predict galaxies in dense environments to form their stars earlier than galaxies in low-density environments. Since galaxy clusters correspond with the highest peaks in the primordial density field, their dark matter halos collapse earlier, producing more rapid mergers.

One of the most important problems when comparing results from hierarchical merging simulations and observed properties of galaxies come with the so-called downsizing scenario. Observations shows that most massive (elliptical) galaxies have older stellar populations and that most massive galaxies were already in place at high redshifts (see Sect 4.3). In the hierarchical models the most massive halos assemble later with time. If stars form when the assembly of the galaxy takes place, then the most massive galaxies should have younger populations. However, this is not the case. Although more massive halos assemble later, their progenitors form earlier, so the stellar population of a massive halo can be old.

Two improvements have been included in the hierarchical models that help reproducing the observed data. First, the appearance of the new currently popular  $\Lambda$ CDM cosmology reduced the merger rate with respect to the Einster-de

Sitter cosmology previously used. Second, more recent models include feedback mechanisms that prevent star-formation. These feedback mechanisms from active galactic nucleus (AGN) or galactic winds, which prevent gas from cooling in massive halos, help reproducing the observed trend between mass and stellar age. Other aspects, such as the metallicity gradients, have been successfully reproduced by the hierarchical formation scenario models.

As a conclusion, the most favored present theory for the formation of elliptical galaxies is the hierarchical merger scenario in the  $\Lambda$ CDM cosmology. However, there are many open issues and the high redshift ETGs population must be studied in more detail to put more constraints on the formation and evolution models.

### 4.3 The Observed Evolution of Early and Late Galaxy Types

A key piece to understand the formation and evolution of galaxies is to study their galaxy stellar mass function (GSMF), *i.e.* how the number of galaxies of a given mass changes with cosmic time. In order to investigate the observed excess of faint blue galaxies at high redshifts, incompatible with non evolutionary models, many redshift surveys were carried out in the mid-1990 out to  $z \sim 1$  using 4-m class telescopes (*e.g.* Lilly et al. 1995, Ellis et al. 1996). Further surveys, such as the deep data from the HST, the Hubble Deep Field (HDF), confirmed that the galaxy population is evolving with redshift.

Stellar mass assembly in galaxies subdivided by spectral and morphological types, as well as by star formation activity has been investigated at  $z > 0$  by many authors (*e.g.*, Bundy et al. 2005, Franceschini et al. 2006, Pannella et al. 2006).

In one of the most recent works by Pozzetti et al. (2010), the authors used 10k spectroscopic data from the COSMOS field up to  $z \sim 1$  to investigate the total GSMF, as well as the contributions of early- and late-type galaxies, defined by different criteria (broad-band spectral energy distribution, morphology, spectral properties, or star formation activities). In Fig. 4.3 we show the Galaxy Stellar Mass Function by galaxy types, based on the photometric classification (left panel) and the morphological classification (right panel) from Pozzetti et al. (2010). They found that the number density of blue or spiral galaxies with  $M > 10^{10}M_{\odot}$  remains almost constant, while the most extreme population of star-forming galaxies at intermediate/high mass is rapidly decreasing in number density with cosmic time.

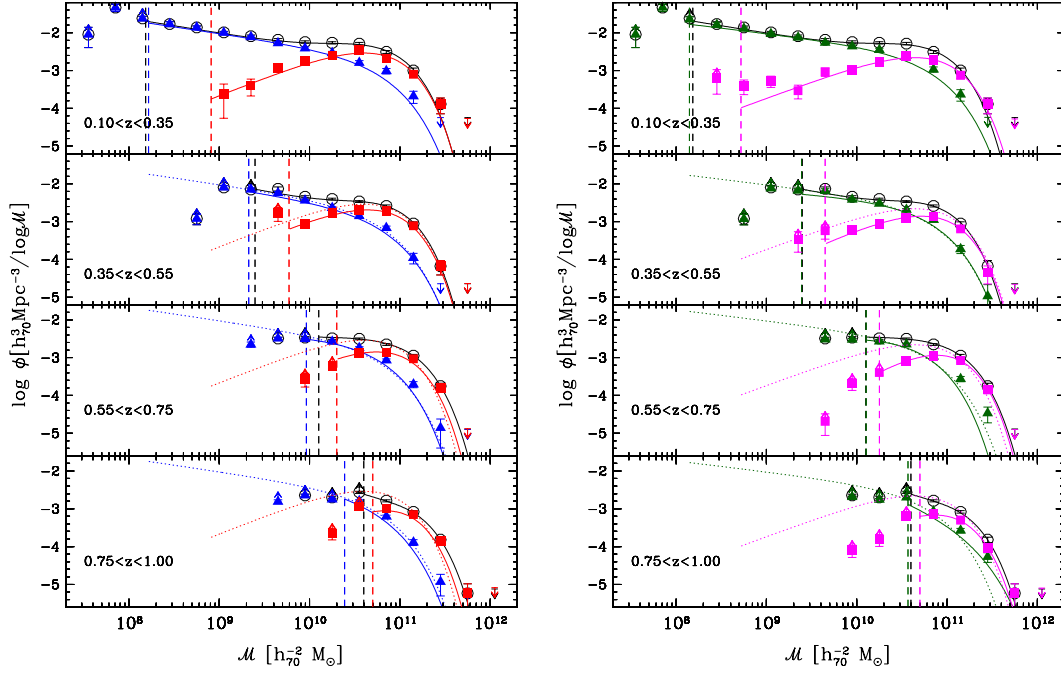


Figure 4.3: Reproduced from Pozzetti et al. (2010). Galaxy stellar mass function by galaxy types. Left panel: GSMFs by photometric types (E/S0 as red squares, early and late spirals plus irregular as blue triangles, total population as empty black circles). Right panel: GSMFs for morphological types (ellipticals and bulge dominated galaxies as magenta squares and disk and irregular galaxies as dark green triangles). In both panels, points represent the  $1/V_{max}$  determination, while continuous lines are the Schechter fits. Dotted lines reported in each panel, as a reference, are the Schechter fits to the first redshift bin. Dashed vertical lines represent the mass limit for the corresponding redshift bin.

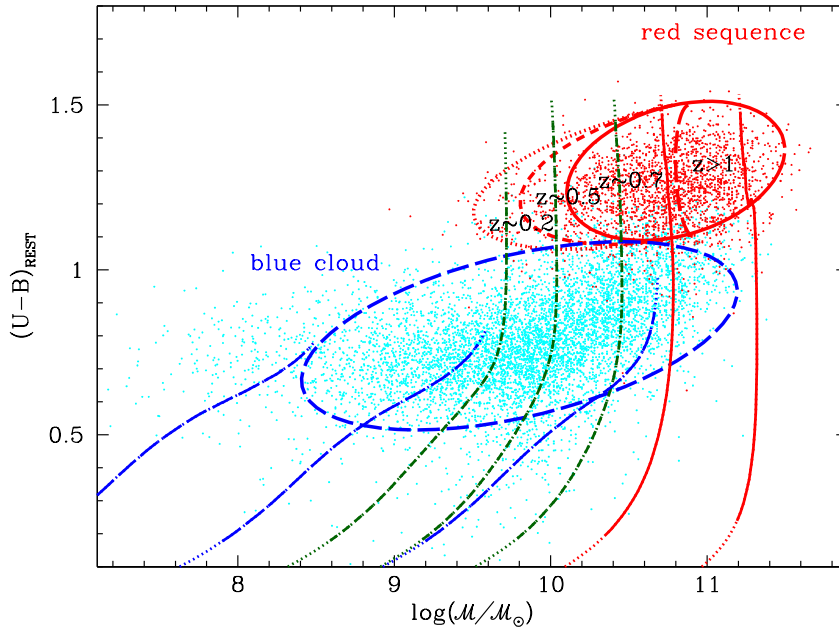


Figure 4.4: Reproduced from Pozzetti et al. (2010). Schematic scenario for galaxy evolution. Different ellipses refer to different redshifts and galaxy populations, while different evolutionary tracks are plotted between 0.1 and 10 Gyr and refer to star formation histories with different timescales and normalized to the total final mass.

They also confirmed that the amount of the evolution for the ETG population depends critically on the range of masses considered, *i.e.* most of the massive ETGs are already in place at  $z \sim 1$ , while their density is still increasing with time for lower masses. This means that more massive galaxies have older stellar populations and formed their stars earlier and more rapidly than low mass galaxies. This is in agreement with previous works such as Cimatti (2006) or Gallazzi et al. (2006). Therefore, the downsizing pattern (Cowie et al., 1996) could be applied to the assembly process of ellipticals at  $z < 1$ . The authors suggest a transformation from blue active spiral galaxies of intermediate mass into blue quiescent and successively into red passive types with low specific star formation. This is in agreement with the result obtained by Faber et al. (2007) who concluded that most present-day E/S0 near  $L^*$  arose from blue galaxies with ongoing star-formation that were quenched after  $z \sim 1$  and then migrated to the red sequence. The properties of nearby ETGs support a mixed scenario in which quenched galaxies enter the red sequence via wet, gas-rich mergers, followed by a limited number of dry, stellar mergers along the sequence (see Sect. 4.2). In Fig. 4.4 we show an schematic scenario for galaxy evolution as proposed by Pozzetti et al. (2010).

At higher redshifts Arnouts et al. (2007) and Cirasuolo et al. (2007) found a rapid rise in the space density of massive red sequence galaxies from  $z \sim 2$  to  $z \sim 1$ . A recent work by Ilbert et al. (2010) investigated the galaxy stellar mass assembly by morphological and spectral type in the COSMOS field, by deriving the stellar mass functions and stellar mass densities from  $z = 2$  to  $z = 0.2$  with a sample of  $\sim 200,000$  photometric galaxies.

In Fig. 4.5 we show their derived GSMF divided by spectral type at  $0.2 < z < 2.0$ . It can be seen that there is a rapid rise of quiescent galaxies at low/intermediate masses. For the quiescent population the stellar mass density of quiescent galaxies of all masses increases by 1.1 dex between  $z \sim 1.7$  and  $z \sim 1$ , while it evolves only by 0.3 dex between  $0.8 - 1$  and  $z \sim 0.1$ . They also found that the GSMF of the "high activity" galaxies shows that the most massive of them end their high activity phase first. Therefore, the low mass star-forming galaxies are able to maintain a high SSFR, while the massive galaxies evolve rapidly into systems with a lower SSFR. This redistribution of the star formation activity follows a clear "downsizing" pattern within the star-forming sample itself.

Similar results are also confirmed by Fontana et al. (2009), who found that the fraction of quiescent galaxies increases from 15 – 20% at  $z > 2$  to  $\sim 40\%$  at  $z \sim 1.2$ , and recently by Nicol et al. (2011), who found that the red sequence massive galaxies ( $M > 10^{11} M_{\odot}$ ) increase in mass density by a factor  $\sim 4$  from  $z \sim 2$  to 1.

Several studies suggest that the critical redshift range where the strongest evolution and assembly took place is  $1 \leq z \leq 2$  (eg., Arnouts et al. 2007, Abraham et al. 2007). However, while the results up to  $z \sim 1$  are quite solid thanks to the large samples of galaxies and to the spectroscopic information available, the samples of ETGs studied up to now at  $z > 1.4$  are still small. Besides, ETGs are the more clustered galaxies in the universe, making their study very complicated given field to field variations which introduce large uncertainties due to the cosmic variance. Anyway, many works have identified these objects spectroscopically, showing that passive/quiescent galaxies with elliptical morphology may exist up to  $z \sim 2.5$  (Cimatti et al. 2004, McCarthy et al. 2004, Cimatti et al. 2008). There are even some candidates of ETGs at  $z > 4-5$ , but their nature is still unknown as they are optically too faint for being spectroscopically observed. Therefore, the uncertainties involved in the study of the evolution of quiescent galaxies at high redshifts are still large due to the observational difficulty to identify large samples of ETGs at high  $z$  ( $> 1.5$ ).

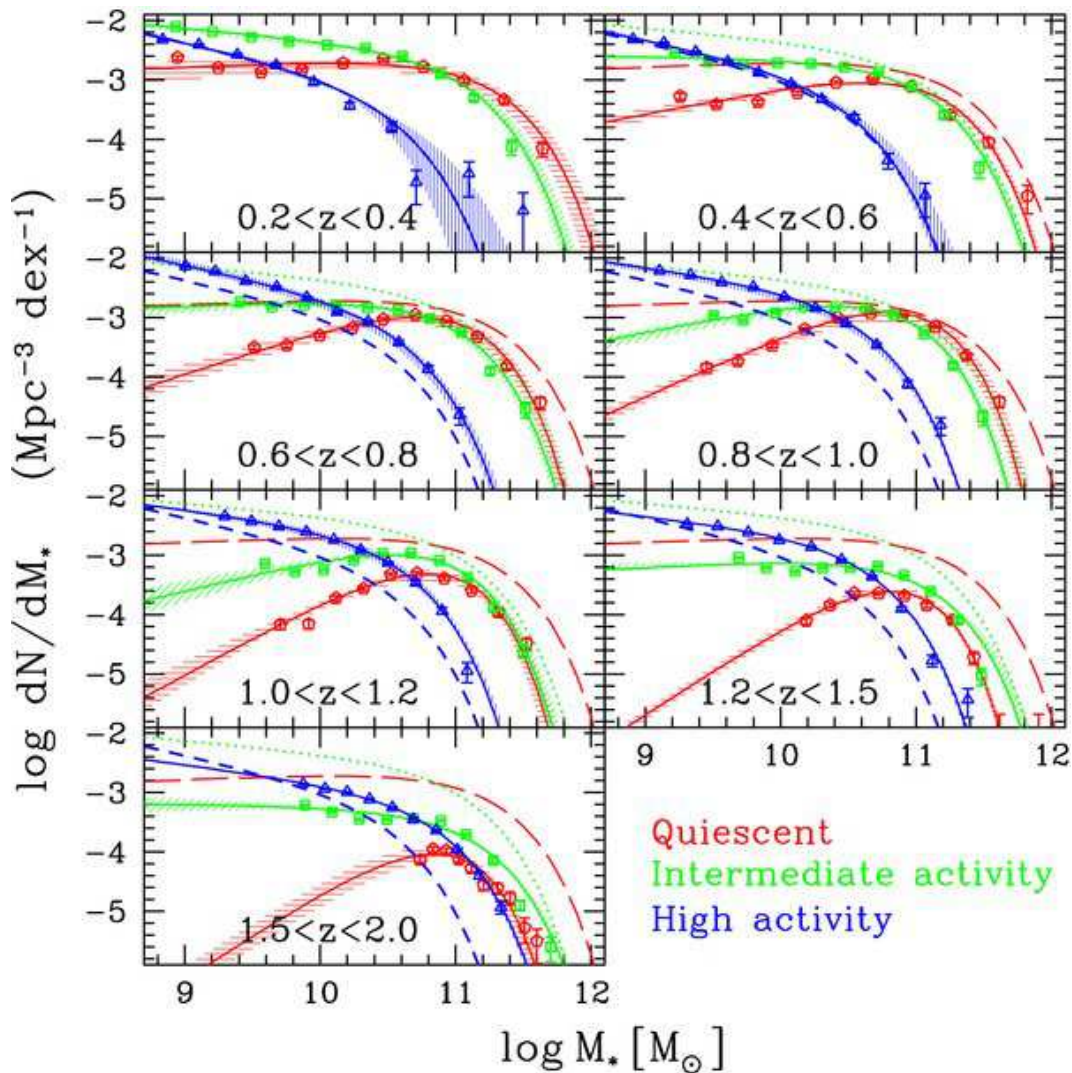


Figure 4.5: Reproduced from Ilbert et al. (2010). GSMF by spectral type. The sample is split into "high activity" (blue), "intermediate activity" (green), and "quiescent" (red) galaxies. The lower and upper limits of the shaded areas are the extreme values of the GSMFs estimated in four quadrants of  $0.5 \text{ deg}^2$ , which quantifies the impact of cosmic variance. The blue short-dashed lines, the green dotted lines, and the red long-dashed lines are the GSMFs measured at  $z = 0.2-0.4$  for the "high activity," "intermediate activity," and "quiescent" galaxies, respectively.

In particular, long wavelength data are necessary to cover the optical-near infrared part of the spectrum of high redshift galaxies, a spectral range fundamental when calculating the galaxy stellar masses. In addition, the collection of a large sample of high redshift galaxies requires a combination of large areas and deep near-IR (NIR) observations. Due to these difficulties, the study of the galaxy stellar mass function (GSMF hereafter) divided by galaxy types at  $z > 1.5$  has been explored only roughly and our knowledge of the evolution of galaxies at high  $z$  is still rather poor.

Motivated by the limited information available at  $z \geq 1.5$ , we present in this thesis the evolution of the GSMF with cosmic time at  $z \geq 1.4$  for the total galaxy population and for the sample divided on the basis of the galaxy star-forming activity in the COSMOS field. The present work extends to higher redshifts the study of Ilbert et al. (2010). The sample of Ilbert et al. (2010) was selected at  $3.6 \mu\text{m}$  as the present one, but their restriction to IRAC sources with an  $i^+$ -band counterpart ( $i^+ < 25.5$ ) limited their study to  $z \lesssim 2$  and to larger stellar masses. Our work was possible after a careful analysis of sources not detected in the optical. Studying the evolution of galaxies at such high redshifts is important to put constraints on the theoretical models of galaxy formation and evolution.

# Sample Selection and Analysis to Study the Evolution of the Galaxy Stellar Mass Function

**I**N this thesis we have analyzed a sample of  $\sim 20000$  high redshift ( $z > 1.4$ ) sources and studied the different evolution of galaxies with different inferred SSFRs. We built a multiwavelength IRAC selected catalog from the optical to the mid-IR bands and derived accurate photometric redshifts. Then we obtained their important physical parameters through the SED-fitting such as ages, masses and star formation rates. We divided our sample into star-forming, intermediate or quiescent galaxies on the basis of their specific star formation rates and studied the evolution of the Galaxy Stellar Mass function of the different class of galaxies.

In this chapter we explain the data analysis, from the construction of the catalog with the Likelihood Ratio technique to the derivation of the photometric redshifts. We also explain the SED-fitting procedure to derive the physical parameters of the high redshift sample, as well as our method to classify our galaxies into star-forming, intermediate or quiescent.

## 5.1 COSMOS field

The data analyzed in this thesis come from the *Cosmic Evolution Survey* (COSMOS, Scoville 2007), which is the largest contiguous HST survey ever undertaken,

imagining an equatorial  $\sim 2 \text{ deg}^2$  field with single-orbit I-band exposures to a depth  $I_{AB} \simeq 28 \text{ mag}$  and 50% completeness at  $I_{AB} = 26.0 \text{ mag}$  for sources 0.5 arcsec in diameter. The COSMOS field area is large enough to be able to study the coupled evolution of large scale structure, galaxies, active galactic nuclei (AGN) and star formation rate without problems related to cosmic variance that smaller surveys can suffer.

The survey includes multi-wavelength imaging and spectroscopy from X-Ray to radio wavelengths, including HST imaging of the entire field. The instruments used for the multi-wavelength coverage are GALEX for the UV (Zamojski et al. 2007), Subaru for the optical (Taniguchi et al. 2007, Capak et al. 2007), CTIO, KPNO and CFHT for the NIR (Capak et al. 2007, McCracken et al. 2010), Spitzer and Herschel for the MIR to FIR bands (Sanders et al. 2007, Lutz et al. 2011), the VLA for the radio data, (Schinnerer et al. 2007), MAMBO for the sub-millimeter (Bertoldi et al. 2007) and XMM and Chandra for the X-Rays (Hasinger et al. 2007, Elvis et al. 2009).

We have also made use of spectroscopic redshifts and data from the zCOSMOS project. The zCOSMOS project (Lilly et al. 2007, Lilly et al. 2009) is a very large VLT/VIMOS (Le Fèvre et al., 2003) spectroscopic program to obtain spectra and redshifts for 37500 galaxies using  $\sim 600\text{hr}$  observing time. The zCOSMOS survey consists of two parts. zCOSMOS-bright, a pure magnitude-limited selection in the I-band  $I_{AB} < 22.5$ , is a sample of about 20,000 galaxies. This selection yields redshifts in the range  $0.1 < z < 1.2$  covering the whole  $1.7 \text{ deg}^2$  COSMOS ACS field. A medium resolution grism ( $R \approx 600$ ) was used with a slit width of 1 arcsec, to achieve a velocity accuracy of  $\sim 100\text{km/s}$  and enable redshifts to be measured with a high success rate using one hour integrations. The spectral ranges of the observations are typically  $5550 - 9650 \text{ \AA}$  to follow the strong spectral features around  $4000 \text{ \AA}$  to as high redshifts as possible. The second part, zCOSMOS-deep, is a survey of approximately 10,000 spectra of  $B_{AB} < 25.25$  galaxies selected through color-selection criteria to have  $1.4 < z < 3.0$ , within the central  $1 \text{ deg}^2$  region of the COSMOS field. Measurement of secure redshifts in this redshift range down to  $B_{AB} \sim 25$  requires 4–5 hr of integration with the  $R \sim 200$  LR-Blue grism, which gives a spectral range from 3600 to 6800  $\text{ \AA}$ . Compared to zCOSMOS-bright, this setup extends the survey through the relatively unexplored ‘redshift desert’ to  $z \sim 3$ . The COSMOS survey is the perfect wide area sample to look for rare and clustered objects such as the old and massive ETGs with much improved statistics. Its

Table 5.1: Effective wavelength, width, systematic offset ( $s_f$ ) in magnitude of the adopted photometric bands.

Filter	Telescope	Effective $\lambda$	FWHM	$s_f$
$u^*$	CFHT	3911.0	538.0	-0.054
$B_J$	Subaru	4439.6	806.7	0.242
$g_+$	Subaru	4728.3	1162.9	-0.024
$V_J$	Subaru	5448.9	934.8	0.094
$r_+$	Subaru	6231.8	1348.8	-0.003
$i_+$	Subaru	7629.1	1489.4	-0.019
$i^*$	CFHT	7628.9	1460.0	0.007
$z_+$	Subaru	9021.6	955.3	0.037
J	UKIRT	12444.1	1558.0	-0.124
K	CFHT	21480.2	3250.0	0.051

multiwavelength and spectroscopic coverage are also fundamental to properly study the SFR properties of the galaxy sample.

### 5.1.1 Optical and Ultraviolet

The optical catalogue (Capak et al., 2007) is an  $i^+$ -band ( $i < 26.5$  mag) selected catalog in the area of the Subaru survey (2 deg<sup>2</sup>) and includes photometry in 9 bands ( $u^*$ ,  $B_J$ ,  $g_+$ ,  $V_J$ ,  $r_+$ ,  $i^+$ ,  $z_+$ ,  $J$ ,  $K_s$ ). All magnitudes are in the AB system and are aperture magnitudes. The total magnitudes are computed using the aperture corrections tabulated in the multi-wavelength catalog for each source. In addition, for each band a systematic offset,  $s_f$ , which is tabulated in Table 5.1, is added to the magnitude.

$$m_{\text{AB,tot}} = m_{\text{AB,aper}} + \text{apert. corr.} + s_f \quad (5.1)$$

This systematic offset is usually small, of the order of few percent in almost all bands, with the exception of the  $B_J$  band (which has known calibration problems) where the offset is about 0.2 with an error of about  $\pm 0.05$  mag. For a complete description of the observations, data reduction and photometry in the catalog refer to Capak et al. (2007).

### 5.1.2 Near Infrared

The  $K_s$ -selected catalog from McCracken et al. (2010) contains galaxies detected at  $K_s$  and additional data from  $B_J$ ,  $i^+$ ,  $z^+$  and  $J$  bands. The catalog is complete down to  $K_s \sim 23.0$  mag.

The following corrections were applied to the catalog, as explained in McCracken et al. (2010):

$$i_{\text{tot}}^+ = i^+ - 0.1375 \quad (5.2)$$

$$K_{\text{tot}} = K' - 0.1568 \quad (5.3)$$

$$B_{\text{tot}} = B_J - 0.1093 \quad (5.4)$$

For the  $z^+$ , as explained in McCracken et al. (2010), the corrections are more involved. As noted in Capak et al. (2007) the Subaru  $z$ -band images were taken over several nights with variable seeing. To mitigate the effects of seeing variation on the stacked image Point Spread Function (PSF), individual exposures were smoothed to the same (worst) full width at half maximum (FWHM) with a Gaussian before image combination. This works well at faint magnitudes, where many exposures were taken, so the non-Gaussian wings of the PSF average out. However, at bright magnitudes ( $z^+ \sim 20$ ) the majority of longer exposures are saturated, so the non-Gaussian wings of the PSF in the few remaining exposures can bias aperture photometry. To correct for this non-linear effect a magnitude dependent aperture correction must be applied in the transition magnitudes between  $19 < z < 20$ . After first applying the correction to total magnitude,

$$z_{\text{tot}}^+ = z^+ - 0.1093 \quad (5.5)$$

we need to apply a further correction:

$$\text{for } z^+ < 19.0: z_{\text{tot}}^+ = z_{\text{tot}}^+ - 0.023,$$

$$\text{for } z^+ > 20.0: z_{\text{tot}}^+ = z_{\text{tot}}^+ + 0.1$$

$$\text{and for } 19 < z^+ < 20: z_{\text{tot}}^+ = z_{\text{tot}}^+ + (z_{\text{tot}}^+ - 19.0) \times 0.077 + 0.023.$$

### 5.1.3 Mid-Infrared

The COSMOS IRAC catalogue (Sanders et al., 2007) includes Spitzer photometry in the 4 IRAC channels (3.6, 4.5, 5.8, 8.0  $\mu\text{m}$ ) for sources that have a measured flux in IRAC Channel 1 above 1  $\mu\text{Jy}$  (23.9 mag) in the COSMOS field. IRAC fluxes (computed using an aperture radius of 1.9 arcsec) are in  $\mu\text{Jy}$  and we converted them to total fluxes dividing it by the wavelength dependent correction factors: 0.765, 0.740, 0.625, 0.580 for 3.6  $\mu\text{m}$ , 4.5  $\mu\text{m}$ , 5.8  $\mu\text{m}$ , and 8.0  $\mu\text{m}$ , respectively. The detections at MIPS 24  $\mu\text{m}$  come from the catalog from Le Floch et al. (2009), composed by sources detected above 0.15 mJy (18.5 mag).

Fluxes are converted into magnitudes in the AB system as:

$$m_{\text{AB}} = -2.5\log(\text{Flux}[\mu\text{Jy}]) + 23.9 \quad (5.6)$$

## 5.2 The Multi-wavelength Catalog

To study the evolution of high redshift quiescent galaxies, we built a multi-wavelength IRAC 3.6  $\mu\text{m}$  selected catalog in the COSMOS field by associating the IRAC sources with the optical and 24  $\mu\text{m}$ /MIPS counterparts through the likelihood ratio method (see Sect. 5.2.1). For sources undetected at  $5\sigma$  in the optical  $i^+$ -band selected catalog we have performed a nearest match with the  $K_s$ -selected catalog.

We considered sources with  $\text{mag}_{3.6\mu\text{m}} < 22.0$ . The IRAC catalog is 95% complete at 22.0 mag (5.75  $\mu\text{Jy}$ ). The final catalog consists of 78649 sources with  $\text{mag}_{3.6\mu\text{m}} < 22.0$ : 95% (74742) of them with an optical counterpart, 4.5% (3554) with no optical counterpart but a  $K_s$ -band counterpart and 0.5% (353) with only IRAC detection. Besides, 14% (11352) of the IRAC sample is also detected in the MIPS band.

### 5.2.1 The Likelihood Ratio Technique

For the optical/MIPS identification of the IRAC sources, we used the likelihood ratio technique described by Sutherland & Saunders (1992). Here we recall the most important issues.

For a given optical/MIPS candidate with magnitude  $m$  and positional offset  $r$  from the IRAC source position, we calculate the probability  $p$  that the true source lies in an infinitesimal box  $r \pm dr/2$ , and in a magnitude interval  $m \pm dm/2$ , where  $p$  is

given by:

$$p = q(m) dm \times 2\pi r f(r) dr, \quad (5.7)$$

where  $f(r)$  is the probability distribution function of the positional errors of the IRAC sources, assumed to be equal in the two coordinates, with

$$2\pi \int_0^{+\infty} f(r) r dr = 1 \quad (5.8)$$

and  $q(m)$  is the expected probability distribution, as a function of magnitude, of the true optical/MIPS counterparts.

The likelihood ratio  $LR$  is defined as the ratio between the probability that the source is the correct identification and the corresponding probability for a background, unrelated object:

$$LR = \frac{q(m)f(r)}{n(m)}, \quad (5.9)$$

where  $n(m)$  is the surface density of background objects with magnitude  $m$ .

A self-consistent formula has been developed (Sutherland & Saunders, 1992) for the reliability of each individual object, which takes into account the presence or absence of other optical/MIPS candidates for the same IRAC source. The reliability  $Rel_j$  for the object  $j$  being the correct identification is:

$$Rel_j = \frac{(LR)_j}{\sum_i (LR)_i + (1 - Q)} \quad (5.10)$$

where the sum is over the set of all candidates for this particular source and  $Q$  is the probability that the optical/MIPS counterpart of the source is brighter than the magnitude limit of the optical/MIPS catalog ( $Q = \int^{m_{lim}} q(m) dm$ ).

We have first counted all objects in the optical/MIPS catalog within a fixed radius around each source ( $total(m)$ ). This distribution has then been background subtracted to construct the distribution function of *real* ( $m$ ) identifications:

$$real(m) = [total(m) - n(m) \times N_{IRAC} \times \pi \times radius^2] \quad (5.11)$$

We have then normalized it in order to obtain the expected probability distribution

$$q(m) = \frac{real(m)}{\sum_i real(m)_i} \times Q \quad (5.12)$$

where  $\sum_i$  is the sum over all the magnitude bins of the distribution, *i.e.* is the total number of objects in the  $real(m)$  distribution.

In order to maximize the statistical significance of the over density due to the presence of the optical/MIPS counterparts, the radius adopted is the minimum size which assures that most of the possible counterparts are included within such radius.

As probability distribution of positional errors we adopted a Gaussian distribution with standard deviation,  $\sigma$ :

$$f(r) = \frac{1}{2\pi\sigma^2} \exp\left(\frac{-r^2}{2\sigma^2}\right) \quad (5.13)$$

Having determined the values of  $q(m)$ ,  $f(r)$  and  $n(m)$ , we computed the  $LR$  value for all the optical/MIPS sources within a distance of 3 arcsec from the IRAC position for each IRAC source. Once that the  $LR$  values have been computed for all the optical/MIPS candidates, one has to choose the best threshold value for  $LR$  ( $L_{th}$ ) to discriminate between spurious and real identifications. The  $L_{th}$  value is chosen in order to maximize both the completeness (avoid missing real identifications) and the reliability (keep spurious identifications as low as possible) of the associations.

## 5.2.2 Results

- *IRAC + Optical*

We considered sources in the optical catalog with flux in the  $i^+$ -band  $> 5\sigma$ , and eliminated the masked areas and the stars from both catalogs. We performed a match through the likelihood ratio method (Sect. 5.2.1) considering a maximum radius of 3 arcsec (in order to maximize the over-density around the IRAC position) and a dispersion of  $\sigma = 1''$  for the distribution of the positional errors. The distribution of the *background*, the *total* and the *real* counts is shown in Fig. 5.1.

The majority (96%) of the associations have a separation  $\leq 1$  arcsec, with the median separation being  $\sim 0.34$  arcsec. For a non negligible fraction of the associations with a separation  $> 1$  arcsec, the photometric redshifts we obtained (see Sec. 5.3.3) was not in agreement with the spectroscopic one, meaning a probable spurious IRAC-optical association. Therefore, we have

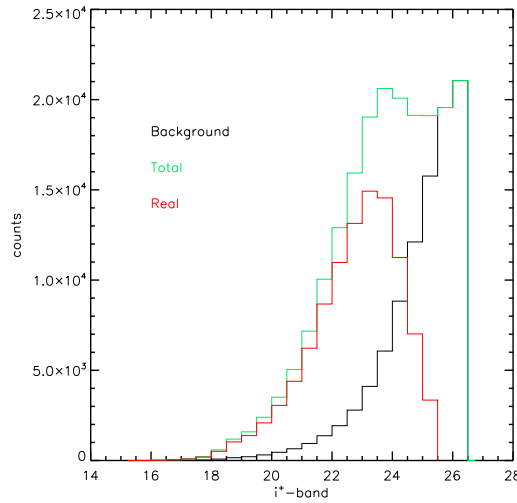


Figure 5.1: Magnitude distribution of the background (black line), total (green line) and real counts (red line) in the  $i^+$ -band.

considered as reliable only matches within a separation of 1 arcsec, which is a standard separation used in literature when cross-correlating optical and IRAC catalogs. To reduce contamination from AGNs we have also eliminated the IRAC sources with an optical counterpart associated to an X-ray detection with the XMM-Newton satellite (Cappelluti et al. 2009, 1.5% of the IRAC sample). Finally, we obtained a reliable optical counterpart for 74742 IRAC sources (95%). We will refer to these sources as the ‘optical sub-sample’ hereafter.

- *IRAC+24  $\mu$ m*

We found 11352 IRAC sources (14%) with a reliable MIPS counterpart detected at  $5\sigma$ . The likelihood ratio method, as described above, has been used.

- *IRAC+ $K_s$*

For the sources without an optical counterpart we have performed a match with the  $K_s$ -selected catalog. In this case, we used the nearest neighbor technique with a maximum separation of 1 arcsec. The similar wavelengths of the  $K_s$  and IRAC1 bands allows to perform this match with a low probability of misidentification. 90% (3554 sources over 3907) of the IRAC sources with no optical counterpart, have a  $K_s$  counterpart (‘ $K_s$  sub-sample’ hereafter). As already mentioned, the  $K_s$ -band catalog from McCracken et al. (2010)

Table 5.2: Number of IRAC sources detected in the optical,  $K_s$  and MIPS band in our final multi-wavelength catalog.

	N (% of the whole sample)	MIPS detection
IRAC sample (all)	78649	11352
Opt. sub-sample	74742 (95%)	10779
$K_s$ sub-sample	3554 (4.5%)	523
IRAC sub-sample	353 (0.5%)	50

contains also information in additional bands ( $B_J, i^+, z^+$  and  $J$ ). The median magnitude in the  $i^+$ -band of these sources is  $i^+ \sim 26$ , *i.e.* these sources are optically very faint, consistent with them being not in the ‘optical sub-sample’.

Finally, we have 353 sources for which we did not find neither an  $i^+$ -band counterpart, nor a  $K_s$  counterpart and for which we have only the IRAC bands information (‘IRAC sub-sample’ hereafter) and, eventually, the  $24 \mu\text{m}$  (50 sources). The results of the cross-correlation and the final numbers of our catalog are summarized in Table 5.2.

### 5.3 SED fitting: Photometric Redshifts

Due to the huge number of galaxies considered in our sample ( $\sim 80000$  galaxies) we are constrained to study their main properties, (*i.e.*, redshift, masses, star formation rates...) on the basis of the SED-fitting technique. We first derive accurate photometric redshifts and then we study in more detail the properties of a high redshift sub-sample ( $1.4 < z < 3.0$ ).

#### 5.3.1 The SED-fitting Technique

The SED-fitting procedure to obtain photometric redshifts is one of the most widely used technique. This technique is based on the fit of the overall shape of the photometric data points and on the detection of strong spectral properties. The observed photometric SEDs are compared to those obtained from a set of reference templates, using the same photometric system. The photometric redshift of a given object corresponds to the redshift that better reproduces the shape of the observed data, in general through a standard  $\chi^2$  minimization procedure:

$$\chi^2 = \sum_{i=1}^{n_{\text{filters}}} \left[ \frac{F_{\text{obs},i} - A \times F_{\text{temp},i}}{\sigma_i} \right]^2 \quad (5.14)$$

where  $F_{\text{obs},i}$  and  $\sigma_i$  are the monochromatic observed flux and its error in the band  $i$ ,  $F_{\text{temp},i}$  is the monochromatic template flux and  $A$  is the normalization constant. This method is widely used in large photometric surveys, where only a few sources have a spectroscopic redshift, and many SED-fitting tools have been recently developed (*e.g.*, *Hyperz*, Bolzonella et al. 2000; *Le Phare*, Arnouts et al. 2001, Ilbert et al. 2006). A crucial test is the comparison between the photometric and the spectroscopic redshifts for the subsample of sources with spectroscopic information (See Sect.5.3.3). The major advantages of the SED fitting technique are its simplicity and the fact that it does not require any spectroscopic sample. The major disadvantage is the dependence of the result with different sets of spectral templates, IMF or extinction curves. The degeneracy of the best-fitting parameters is another important problem, as the shape of an object can be well reproduced both with an extinguished template at low redshift or with a bluer template at higher redshift. Analyzing secondary solutions in the  $\chi^2$  minimization helps to better understand confictive galaxies, although this is very time-consuming for large statistical studies.

### Template SEDs

As stated before the choice of the set of templates to perform the SED fitting has an important effect in the redshift obtained. There are two main types of spectral templates: observed SEDs (*e.g.* Coleman et al. (1980) (CWW), Polletta et al. 2007) or spectral synthesis models (*e.g.* Bruzual & Charlot 2003, Maraston 2005).

In this work we used the COSMOS SED library provided by Ilbert et al. (2009), which contains a set of templates composed of ellipticals and spirals from the Polletta et al. (2007) library and also includes blue galaxies from the Bruzual & Charlot (2003) population synthesis models. We also added 6 simple stellar population (SSP) models of different ages (0.05, 0.5, 1.0, 1.5, 2.0 and 3.0 Gyr) from Maraston (2005) to account for passively evolving galaxies at high redshifts. The final library is composed of 7 ellipticals, 12 spirals, 12 starbursts and 6 SSP templates. Some representative templates of the library are shown in Fig. 5.2. We chose this set of templates as it is the one that maximizes the accuracy of the derived

photometric redshifts ( $z_p$ ) when compared with the available spectroscopic redshifts ( $z_s$ ) (see Section 5.3.3).

### 5.3.2 Method

As already mentioned, the only efficient way to estimate the redshifts of the galaxies for the whole sample, given the large number of sources considered, is to determine their photometric redshifts through a detailed SED-fitting procedure.

Photometric redshifts were derived using the *Le Phare* code (Arnouts et al. 2001, Ilbert et al. 2006), which computes photometric redshifts based on a simple  $\chi^2$  template-fitting procedure. We used the COSMOS SED library provided by Ilbert et al. (2009), explained in Sect. 5.3.1. We fitted our data from the  $U$  to the  $24\mu\text{m}$  band and we allowed 3 different extinction laws (Calzetti et al. 2000, Calzetti modified and Prevot et al. 1984) to be applied to each template. We used 9 different values of  $E(B - V)$ : 0, 0.05, 0.1, 0.15, 0.2, 0.25, 0.3, 0.4 and 0.5.

We set an upper limit ( $5\sigma$ ) to each band when there is no detection, as shown in Table 5.3. This  $5\sigma$  limit was chosen following a conservative approach, *i.e.* as the brightest magnitude value of the sources in the catalog detected with  $S/N = 5$  (magnitude error = 0.2) for each band. The choice of this limit is plotted in Fig. 5.3 for the B-band.

The  $\chi^2$  minimization procedure is highly affected by the adopted photometric errors (see Ilbert et al. 2009 for a detailed discussion of the issue). We increased the flux error by 6, 5 and 8% for the optical, IRAC and MIPS bands respectively.

### 5.3.3 Photometric Redshift Accuracy

We were able to test the quality of our derived photometric redshifts for the IRAC sources with  $i^+$ -band detection by comparing them with a large sample of spectroscopic redshifts. In particular, we made use of 8176 sources with derived spectroscopic redshift at a very high confidence level (99.8%, flags 3.1, 3.5, 4.1, 4.5) from the zCOSMOS faint and bright surveys (Lilly et al. 2007, 2009). We estimated the redshift accuracy as  $\sigma_{\Delta z/(1+z_s)}$  (where  $\Delta z = z_p - z_s$  is the difference between the photometric and the spectroscopic redshifts) using the normalized median absolute deviation defined as  $1.48\langle|z_p - z_s|/(1 + z_s)\rangle$ . We also defined the percentage of catastrophic errors,  $\eta$ , as the objects with  $|z_p - z_s|/(1 + z_s) > 0.15$ . We obtained an

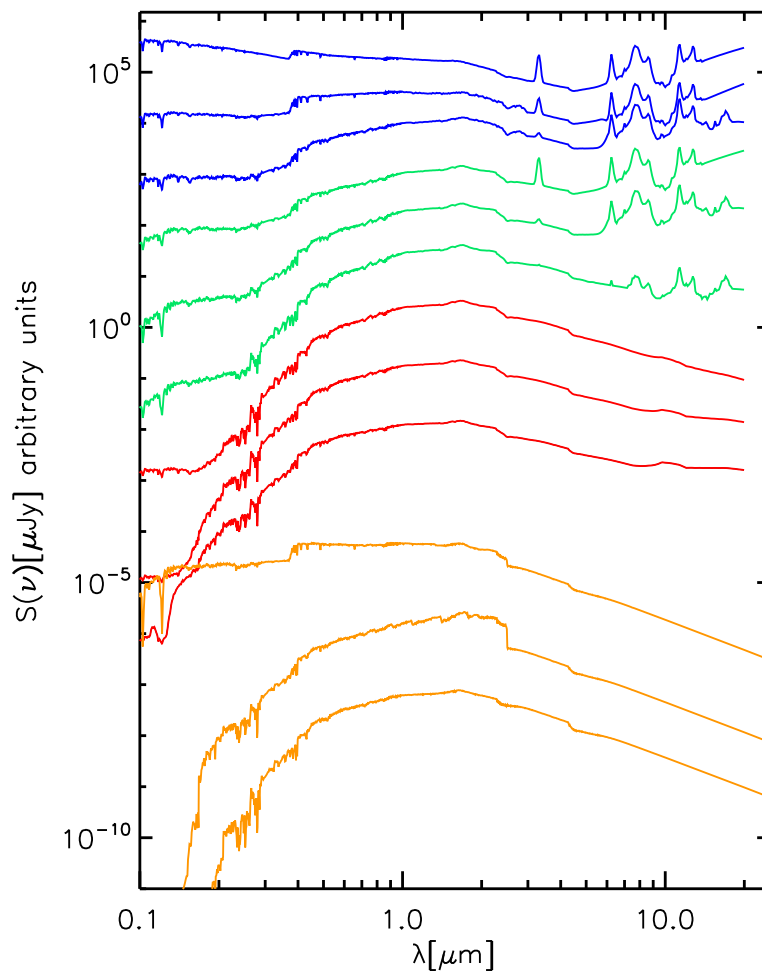


Figure 5.2: Representative templates of the SED library used. Orange: simple stellar population models of 0.05, 1.0 and 3.0 Gyr (Maraston, 2005). Red: elliptical (Polletta et al., 2007). Green: spirals (Polletta et al., 2007). Blue: blue galaxies (Bruzual & Charlot, 2003).

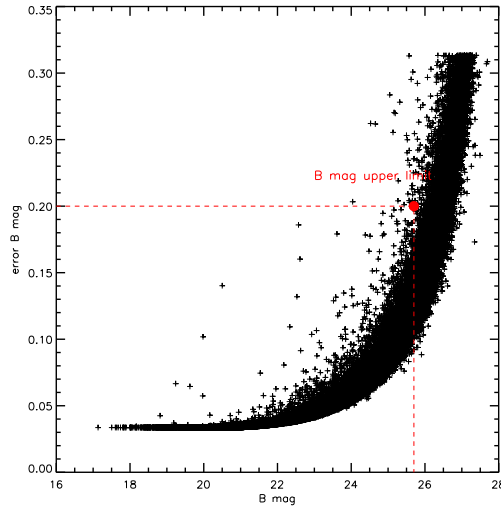


Figure 5.3: Magnitude error vs magnitude for the sources of our sample in the B-band. The red point is the  $5\sigma$  limit, chosen as the brightest magnitude value of the sources in the catalog detected with  $S/N=5$  (mag error = 0.2).

accuracy of  $\sigma_{\Delta z/(1+z_s)} = 0.06$  and a percentage of catastrophic failures of  $\eta = 3.3\%$  as can be seen in Fig. 5.4. As a comparison, Ilbert et al. (2009) using the bright ( $i^+ < 22.5$  mag) sample with 30 bands and narrow filters obtained  $\sigma = 0.007$  and  $< 1\%$  of catastrophic errors for their derived photometric redshifts, while the average value of  $\sigma$  obtained by Pérez-González et al. (2008) using an IRAC selected catalog with data from the UV to the MIR is 0.055. Given the fact that we use 14 broad bands, we find our result comparable to those in literature.

We also tested the reliability of our  $z_p$  for the faintest sources, with  $mag_{3.6\mu m} > 21.0$  and  $i^+ > 22.5$ , as shown in Figs. 5.5 and 5.6 respectively. The value of  $\sigma$  for the faint  $i^+$ -band sources ( $\sigma = 0.057$ ) is comparable to the value of  $\sigma$  for the whole sample, while the number of catastrophic errors increases ( $\eta = 12\%$ ). For the faint IRAC1 sample both  $\sigma$  and  $\eta$  are smaller than for the whole sample ( $\sigma = 0.037$ ,  $\eta = 1.9\%$ ). This may surprise the reader, but it must be noticed that the values for the whole spectroscopic sample are highly affected by a significant dispersion on low redshift sources ( $z_s \sim 0.3 - 0.5$ , see Fig. 5.4), which are present in a smaller fraction in the faint IRAC sample.

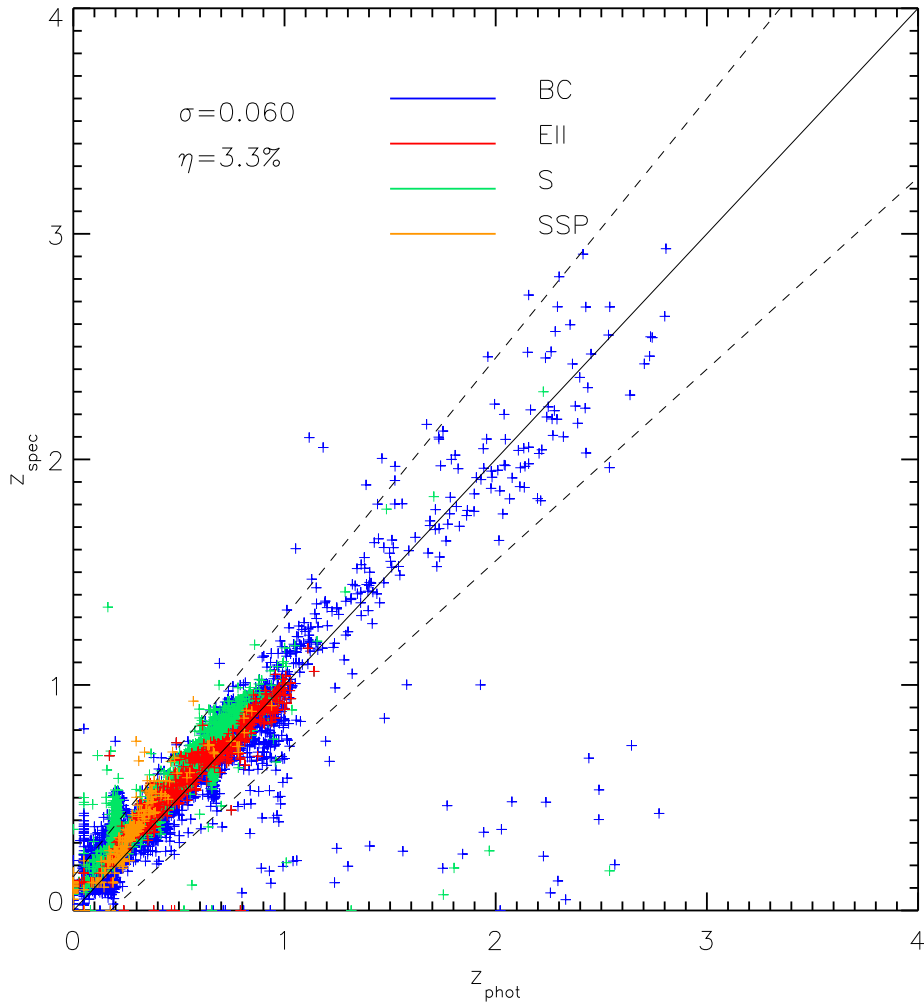


Figure 5.4: Comparison between  $z_p$  and  $z_s$  for the 8176 sources with optical and IRAC detection of the zCOSMOS sample. The value of  $\sigma$  and  $\eta$  are shown in the plot. The different colors represent sources fitted by different SED templates as explained in Fig. 5.2. Dashed lines represent  $|z_p - z_s| / (1 + z_s) = 0.15$ , *i.e.* sources out of that region are considered as catastrophic errors.

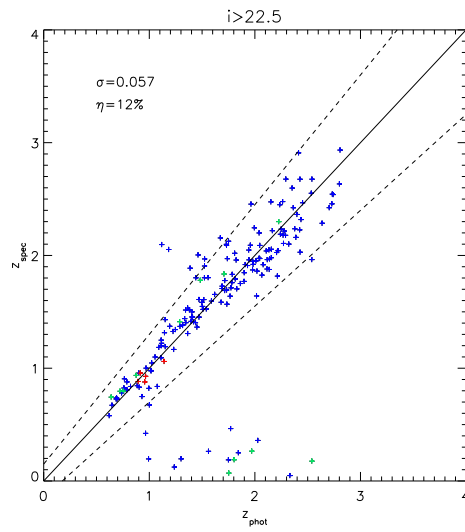


Figure 5.5: Comparison between  $z_p$  and  $z_s$  for 183 sources with  $i^+ > 22.5$ . Colors and dashed lines as in Fig. 5.4.

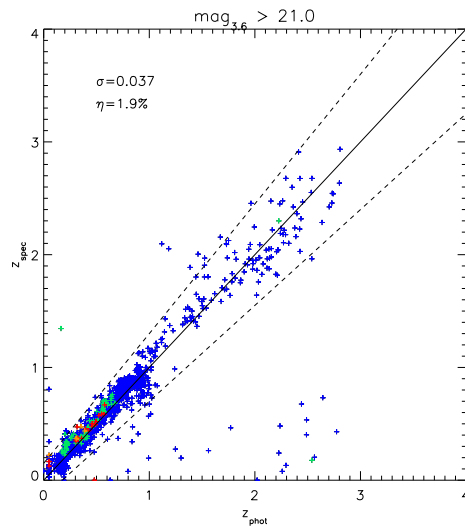


Figure 5.6: Comparison between  $z_p$  and  $z_s$  for 2381 sources with  $mag_{3.6\mu m} > 21.0$ . Colors and dashed lines as in Fig. 5.4.

Table 5.3:  $5\sigma$  limit adopted for each band.

band	optical sub-sample	$K_s$ sub-sample
$u^*$	25.3	-
$b_J$	25.7	27.0
$g^+$	25.5	-
$V_J$	25.5	-
$r^+$	25.6	-
$i^+$	25.1	26.2
$z^+$	24.5	25.0
$J$	22.0	22.0
$K$	22.0	-
<i>IRAC1</i>	-	-
<i>IRAC2</i>	23.3	-
<i>IRAC3</i>	21.2	-
<i>IRAC4</i>	21.0	-
<i>MIPS</i>	18.5	-

### Photometric Redshift Accuracy for the IRAC Sources with no I Band Counterparts

For the sources with no  $i^+$ -band counterpart it is not possible to directly compare the photometric redshifts with spectroscopic redshifts, since no  $z_s$  are available for these sources. To test the quality of the derived photometric redshifts we have made use of the  $1\sigma$  dispersion of the  $z_p$  distribution for each galaxy, given as an output of the *Le Phare* code. The  $1\sigma$  uncertainty of the  $z_p$  is estimated as half the difference between  $z_{p,sup}$  and  $z_{p,inf}$ , where  $z_{p,sup}$  and  $z_{p,inf}$  are the  $z_p$  value for  $\Delta\chi^2 = 1$  in the redshift probability distribution.

The mean error on the  $z_p$  for the whole sample is  $\sigma_z = 0.17$ , which corresponds to  $\sigma_{\Delta z/(1+z_s)} \sim 0.057$  at  $z \sim 2$ , meaning that the  $1\sigma$  dispersion of the  $z_p$  distribution is consistent with the  $\sigma_{\Delta z/(1+z_s)}$  derived from the  $z_p$  and  $z_s$  comparison. The redshift uncertainties derived from *Le Phare* have been used in the Montecarlo simulations (see Section 6.1.3) to derive the Galaxy Stellar Mass Function uncertainties.

In Figs. 5.7, 5.8 and 5.9 we show some examples of SED fitting for sources fitted by different templates (elliptical (EII), simple stellar populations (SSP), spirals (S) and blue galaxies or starbursts (SB)) for sources from the optical,  $K_s$  and IRAC sub-samples.

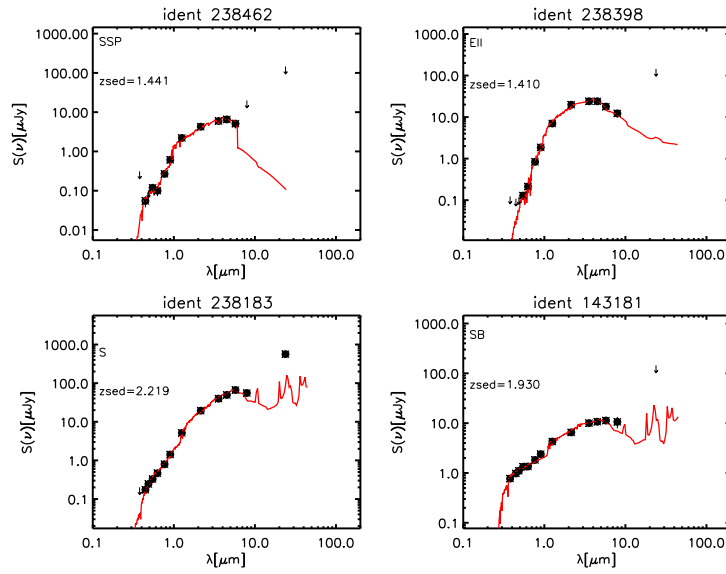


Figure 5.7: Example of SED-fitting for 4 sources from the optical sub-sample. The observed SED of each source (black filled circles) is shown with the corresponding best-fit solution (red solid line), as well as the derived  $z_p$  and best template model. Arrows represent upper limits ( $5\sigma$ ) when no detection is found in that band.

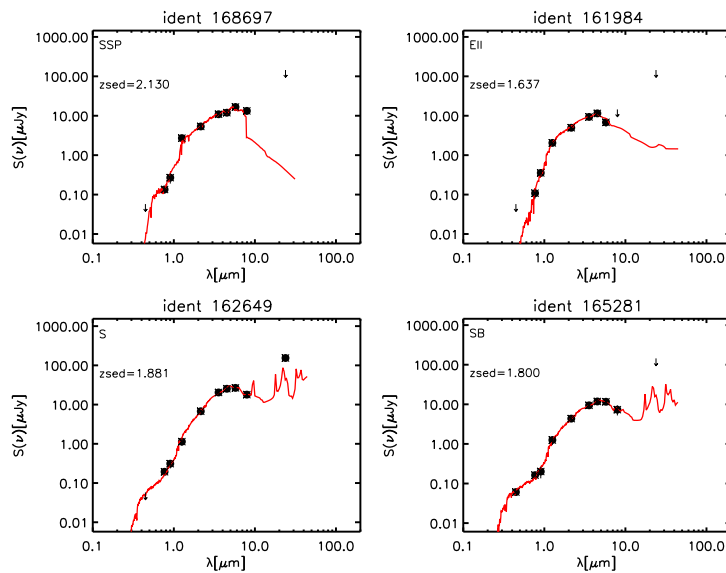


Figure 5.8: Example of SED-fitting for 4 sources from the  $K_s$  sub-sample, *i.e.* sources not present in the  $i^+$ -band catalogue (Ilbert et al. 2010) but with a  $K_s$  counterpart (McCracken et al. 2010).

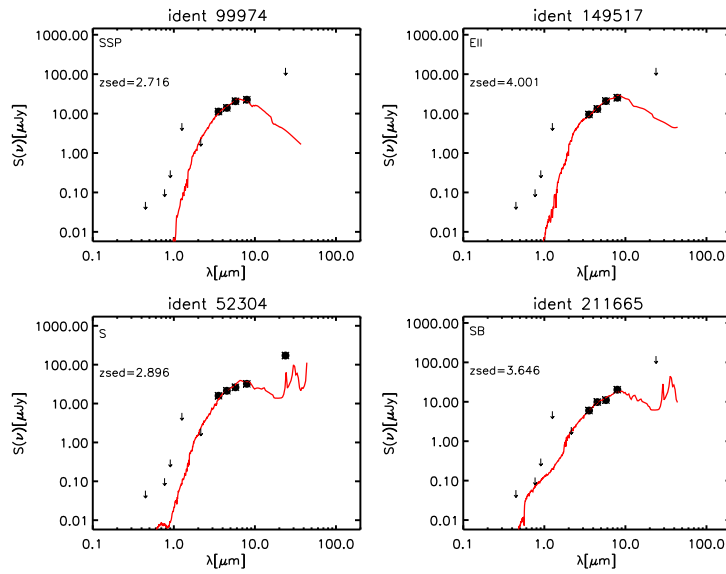


Figure 5.9: Example of SED-fitting for 4 sources from the IRAC sub-sample, *i.e.* sources only detected in the IRAC bands.

### 5.3.4 Redshift Distribution

Fig. 5.10 shows the redshift distribution for each sub-sample, highlighting the contribution from different classes of best-fitting templates. Templates have been divided in 3 classes: elliptical/old SSP (older than 1 Gyr), blue/young SSP (younger than 1 Gyr) and spirals. It can be observed that these redshift distributions are not smooth, but show some peaks at given redshifts, e.g., at  $z \sim 1.0, 2.0$  for the  $K_s$  sub-sample or a very prominent peak at  $z \sim 4.0$  for the IRAC sub-sample. However, the peak at  $z \sim 4$  in the IRAC sub-sample is not used since we limit our analysis to  $z < 3.0$ . It is also interesting to notice the small number of sources involved in this peak ( $< 0.2\%$  of the sample). This kind of features in the redshift distribution are presumably not real, but due to the determination of the redshifts through a SED fitting procedure. Spiky redshift distributions have also been reported in literature, by Pérez-González et al. (2008) and Franx et al. (2008) among others. The number of sources in each subsample with a reliable  $z_p$  is shown in Table 5.4, as well as the number of sources for each sub-sample fitted with each class of template. The number of high redshift ( $z \gtrsim 1.4$  and  $z \gtrsim 2.5$ ) sources for each sub-sample is also shown.

It is clear how differently the three sub-samples behave, with 88% and 91% of the  $K_s$  and the IRAC sub-samples respectively found at high redshift ( $z \geq 1.4$ ),

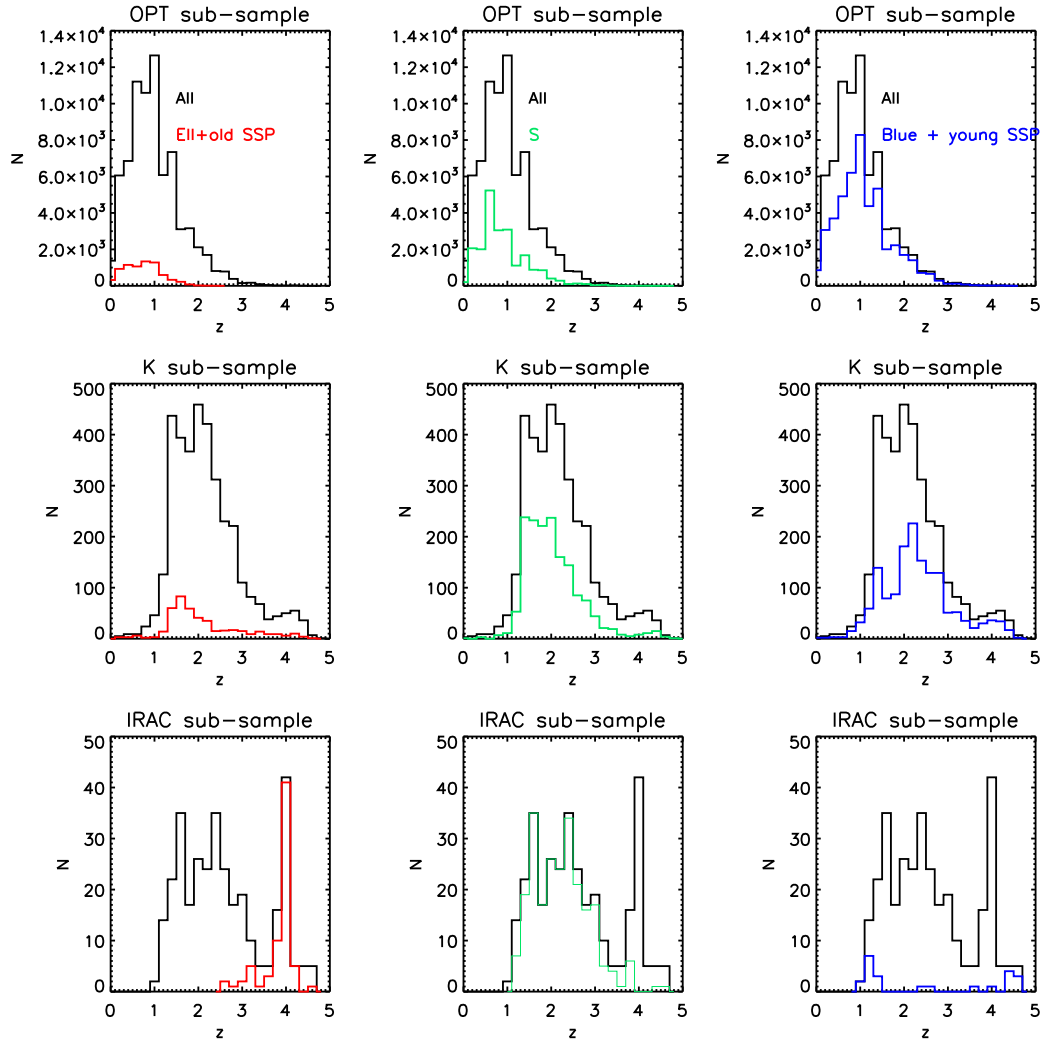


Figure 5.10: Redshift distribution for the different sub-samples. In the 3 upper panels we show the redshift distribution of the optical sub-sample (black line), as well as the redshift distribution of the sources fitted by an elliptical/old SSP template (red line), a spiral template (green line) or a blue/young SSP template (blue line). The same is plotted for the  $K_s$  and the IRAC sub-samples in the middle and bottom panels, respectively.

Table 5.4: Percentages of best fit templates and high redshift sources ( $z \geq 1.4$  and  $z \geq 2.5$ ) for the different sub-samples.

	Opt. sub-sample	$K_s$ sub-sample	IRAC sub-sample
All	74742	3554	353
Ell/old SSP	10%	12%	22%
S	28%	45%	71%
Blue/young SSP	62%	43%	7%
$z \geq 1.4$	21%	88%	91%
$z \geq 2.5$	2.3%	27%	47%

in comparison to 21% of the optical sub-sample. For very high redshift sources ( $z \geq 2.5$ ) the differences are even more significant, since only 2.3 % of the optical sub-sample is found at those redshifts, while this percentage is 27% and 47% for the  $K_s$  and IRAC sub-samples, respectively. Also the relative fractions of best-fitting templates are very different. On the one hand, 10% of the optical sub-sample is fitted by an elliptical/old SSP model, while for the IRAC sub-sample the fraction is double (22%). On the other hand, only 7% of the IRAC sub-sample is fitted by a blue/young SSP model, while these templates represent 62% of the solutions for the optical sub-sample. These percentages are indicative of the importance of an IRAC selected sample to study the properties of high redshift galaxies whose SED is reproduced by quiescent populations.

## 5.4 SED-fitting: Galaxy Stellar Masses and Star Formation Rates

### 5.4.1 Stellar Population Synthesis Models

As already explained in Chapter 2 stellar population synthesis models are tools for interpreting the integrated light (colors, line indices, and mass-to-light ratios) from the observed galaxies. Specifically, the purpose is to determine what mix of stars give rise to the observations using the knowledge of stellar evolution. The stellar population synthesis models are used to determine ages, element abundances, stellar masses, star formation rates, etc., of those stellar populations that are not resolvable in single stars, like galaxies and extra-galactic globular clusters. The

simplest models used are the Single Simple Stellar Populations SSP. In these, all the stars are formed at the same time, with distribution in mass given by the chosen IMF, and with identical chemical composition. SSPs can be compared directly with globular cluster (GC) data, since these are the simplest stellar populations in nature, allowing us to calibrate the SSPs with those GCs for which ages and element abundances are independently known (Renzini & Fusi Pecci, 1988). More complex stellar systems which are made up by various stellar generations are modeled by convolving SSPs with the adopted star formation history (SFH) (*e.g.* Tinsley 1972, Barbaro & Poggianti 1997, Bruzual & Charlot 2003). More advanced models take evolutionary processes into account, *i.e.* enrichment of the interstellar medium, differential loss of various element by galactic winds, time-dependent IMF, etc.

Using a similar procedure to that used for determining the photometric redshift, the main fundamental parameters of galaxies are obtained by fitting the observed data to a set of stellar populations synthesis models fixing the redshift  $z$  of the galaxy to the value derived through the photometric redshift fitting procedure (see Sect. 5.3). The adopted models are generated with well-known properties (ages, metallicities, etc) so the resulting best-fitting SED contains all the important information about the stellar population of that particular galaxy.

#### 5.4.2 Maraston 2005 Models

We derived the stellar masses of the galaxy sample making use of the stellar population synthesis models from Maraston (2005), which adopt the fuel consumption theorem.

There are two different techniques adopted to compute SSP models: ‘isochrone synthesis’ and ‘fuel consumption based’ algorithms, which differ according to the integration variable adopted in the post-Main Sequence phase.

For the ‘isochrone synthesis’ (*e.g.* Chiosi et al. 1988, Charlot & Bruzual 1991) the integrated variable is the contribution to the flux in the various pass-bands of all mass-bins along one isochrone, after assuming an IMF. However, the isochrones are usually computed up to the end of the Early Asymptotic Giant Branch phase (EAGB) while later stellar phases (like the Thermally-Pulsing Asymptotic Giant Branch, TP-AGB) are often neglected or added following individual recipes.

Instead, in the ‘fuel consumption’ approach (Renzini & Voli 1981, Buzzoni 1989,

Maraston 1998) the integration variable in Post Main Sequence phase is the so-called *fuel*, *i.e.* the amount of hydrogen and/or helium that is consumed via nuclear burning during a given post Main Sequence phase. Two main advantages are obtained when using this approach. First, the fuel is a very stable integration parameter and it is directly proportional to the contribution to the total luminosity of the various phases. This is fundamental in luminous but very brief evolutionary stages, such as the red giant branch (RGB). Second, it does not introduce the uncertainties related to the theoretical modeling of several relevant stellar phases, for which complete stellar tracks are not available due to mass losses (*e.g.* blue horizontal branch (BHB), TP-AGB, etc). The fuel consumption provides useful analytical relations that link the Main Sequence to the post Main Sequence evolution, by means of which one can include into the synthesis the energy contributions of these uncertain phases. The ‘isochrone synthesis’ technique is the most commonly used in the literature, while the models by Buzzoni (1989) and Maraston (1998, 2005) adopt the fuel consumption theorem.

### 5.4.3 Method

To study the evolution of the galaxy stellar mass function of sources at high redshift we have selected the sources with  $1.4 < z < 3.0$ . When available, we have made use of  $z_s$  (654 sources,  $\sim 3.4\%$  of the sample), while for the galaxies without spectral information we adopted the photometric redshift value  $z_p$  obtained through the SED-fitting procedure.

The galaxy stellar masses and other important physical parameters (such as SFRs, ages, extinctions, etc) have been derived by means of the *Lephare* code by fitting our data (up to  $5.8\mu\text{m}$ ) with a set of SED models from Maraston (2005) with star formation histories exponentially declining with time as  $SFR \propto e^{-t/\tau}$ , with  $\tau$  being the duration of the burst. We used 9 different values of  $\tau$  (0.1, 0.3, 1.0, 2.0, 3.0, 5.0, 10.0, 15.0 and 30.0 Gyr) and 221 steps in age. The assumed metallicity is solar and the IMF is from Chabrier (2003). Dust extinction was applied using the Calzetti et al. (2000) extinction law, with a maximum E(B-V) value of 0.5. We imposed to the derived age of the galaxies to be less than the age of the Universe at the galaxy redshift and greater than  $10^8$  years (the latter requirement avoids having galaxies with extremely high specific star formation rates).

We decided to use the Maraston (2005) models as they include a better treatment

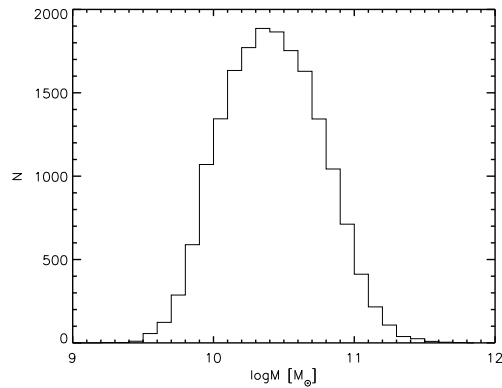


Figure 5.11: Distribution of the stellar masses obtained for our high redshift sample of galaxies using the Maraston (2005) models.

of the thermally pulsing asymptotic giant branch (TP-AGB) phase, which has a high impact on modeling the SED templates at ages in the range  $0.3 \lesssim t \lesssim 2$  Gyr, where the fuel consumption in this phase is maximum, specially for the near-IR part. Although some authors (Kriek et al., 2010) have recently claimed that the Maraston (2005) models do not properly reproduce the observed data in a sample of post-starburst galaxies, *i.e.* at the time when TP-AGB stars are thought to be most dominant, we have instead found good fits for our SEDs. Pozzetti et al. (2010) measured an average systematic shift of 0.14 dex between the stellar masses computed with the Bruzual & Charlot (2003) models and the Maraston (2005). We have taken this difference into account when comparing our results with those obtained with the Bruzual & Charlot (2003) models.

In Fig. 5.11 we show the distribution of the logarithm of the stellar masses that we obtain when performing a SED-fitting to our high redshift ( $1.4 \leq z \leq 3.0$ ) sample using the Maraston (2005) models.

#### 5.4.4 Stellar Masses Uncertainties

Stellar masses values depend on a number of different assumptions in the SED-fitting procedure, like, for example, the IMF, the extinction laws, the metallicity, the star formation histories or the choice of the SED template libraries. Tests on simulated catalogs considering the effect on stellar mass estimates of different choices of reddening law, SFHs, metallicities and SED libraries show a typical dispersion of the order of  $\sigma(\log M) \sim 0.20$  (see Bolzonella et al. 2009), showing

that the stellar mass is a rather stable parameter in the SED-fitting procedure.

As for the  $z_p$ , we estimated the  $1\sigma$  stellar mass uncertainty for each galaxy as half the difference between  $M_{sup}$  and  $M_{inf}$ , where  $M_{sup}$  and  $M_{inf}$  are the mass values obtained for  $\Delta\chi^2 = 1$  in the probability mass distribution. The mean error on the stellar mass, as estimated from the  $1\sigma$  dispersion of the mass distribution for each source (given as an output of the *Le Phare* code), is 0.16 dex, consistent with the uncertainties mentioned above.

## 5.5 Galaxy Classification

### 5.5.1 Method

Galaxies with different stellar populations have different properties and evolve in very different ways. In this work we aim to classify galaxies on the basis of their specific star formation activity, *i.e.* the number of stars formed at a given time per unit mass and to study the characteristic evolution of the quiescent and star-forming galaxies. We considered 3 different populations on the basis of the ratio between the SFR and the mass of each galaxy, derived through the SED-fitting procedure:

1. Actively star-forming galaxies: those for which  $\log(SSFR[\text{Gyr}^{-1}]) > -0.5$
2. Intermediate galaxies: those for which  $-2 < \log(SSFR[\text{Gyr}^{-1}]) < -0.5$ .
3. Quiescent galaxies: those for which  $\log(SSFR[\text{Gyr}^{-1}]) < -2$ .

We found 84 sources ( $\sim 0.5\%$  of the high- $z$  sample) with  $\log(SSFR[\text{Gyr}^{-1}]) < -2$  and detected in the  $24\ \mu\text{m}$  band. As the emission at  $24\ \mu\text{m}$  usually arises from dust heated by star-formation activity, we included these sources in the intermediate population.

The limits on the SSFR values have been defined from the distribution of the SSFR values (Fig. 5.12 for the optical sub-sample) and we have verified that they are consistent with the best-fitting template classification obtained when deriving the photometric redshifts, *i.e.* using the COSMOS SED templates. Most of the sources with very low SSFR are fitted by an elliptical or old SSP template, while those with the highest activity are generally fitted by a young SSP or a blue template, as should be expected on the basis of their colors. Considering the whole sample, we have

$z$	all	quiescent	intermediate	star-forming	MIPS
1.4-1.6	5142	515	1325	3302	261
1.6-2.0	6303	512	1445	4346	1286
2.0-2.5	4693	287	914	3492	1178
2.5-3.0	1794	77	415	1302	272

Table 5.5: Number of galaxies with  $1.4 \leq z \leq 3.0$  in different redshift bins and classified according to the SSFR value.

carefully studied the cases where star-forming sources are fitted by an elliptical or old SSP template, as well as quiescent sources fitted by a blue or a young SSP template. We found only 26 sources ( $\lesssim 0.1\%$  of the high redshift sample) fitted by an elliptical or old SSP template with high star-forming activity. On the other hand, more than 94% of the 639 sources (3.5% of the high redshift sample) fitted by a blue template with low SSFR are not detected in the  $U$ -band, which is a critical band to determine the star formation activity of a galaxy.

We have derived mean SSFR errors making use of the  $1\sigma$  dispersion of the SSFR distribution for the whole sample, obtaining a mean SSFR error of 0.38 dex for the whole sample. In Table 5.5 we report the number of galaxies classified as star-forming, intermediate and quiescent, as well as the MIPS detected sources, in each redshift bin for sources with  $1.4 \leq z \leq 3.0$ .

### 5.5.2 Comparison with BzK

The  $BzK$  criterion was first introduced by Daddi et al. (2004) and it was calibrated using the complete spectroscopic redshift database of the K20 survey (Cimatti et al., 2002). The  $BzK$  criterion efficiently separates the star-forming and the passively evolving galaxies at  $z \gtrsim 1.4$  based on a simple color-color criterion. Star-forming galaxies at  $1.4 \leq z \leq 2.5$  are supposed to occupy the region defined by the following colors:

$$BzK \equiv (z - K) - (B - z) \geq -0.2, \quad (5.15)$$

Instead, the passively evolving galaxies lie in the region defined by:

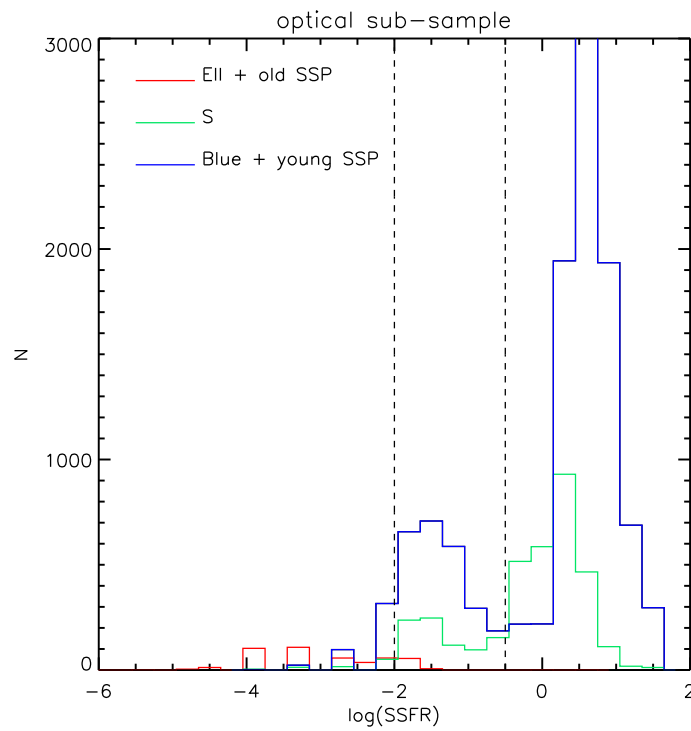


Figure 5.12: SSFR distribution for the optical sub-sample of sources with  $z \geq 1.4$ . The colors represent the different classes derived from the SED fitting templates. Dashed vertical lines represent the limits considered in SSFR to divide sources as actively star-forming, intermediate or quiescent.

$$BzK \equiv (z - K) - (B - z) < -0.2 \cap z - K > 2.5 \quad (5.16)$$

To further test our classification method, we plotted our galaxies in the  $BzK$  diagram (Fig. 5.13), where the star-forming galaxies are represented in blue, the intermediate in green and the quiescent in red. Fig. 5.13 shows that galaxies for which low activity is derived roughly occupy the passive region in the  $BzK$  diagram, while for the star-forming galaxies, their colors are consistent with the  $BzK$  star-forming selection. Only 3 sources defined as star-forming fall in the passive region of the  $BzK$  diagram.

### 5.5.3 High Redshift Quiescent Galaxies

In this Section we summarize the properties of the high redshift quiescent galaxies (also known as ETGs in literature) by analyzing the physical parameters obtained through the SED-fitting procedure.

We found 1391 quiescent galaxies at  $z \leq 1.4 < 3.0$ . They are old and massive galaxies ( $\langle M \rangle \sim 10^{10.65} M_{\odot}$ ): more than 56% of them have  $M \geq 10^{10.6} M_{\odot}$  and  $\sim 12\%$  have  $M \geq 10^{11.0} M_{\odot}$ . They have weakly star-forming stellar populations, with ages ranging from 1 to 4.25 Gyr. Some of them are so old to reach the limit allowed by the age of the Universe at their redshift, meaning very high formation redshifts. They have *e-folding* time scales  $\tau \sim 0.1 - 0.3$  Gyr, *i.e.* they should have formed in a very intense and brief starburst. They are characterized by very low dust extinction ( $E(B-V) \sim 0 - 0.15$ ), implying that their red optical colors are due to evolved stellar populations and not to dust extinction. These characteristics are in agreement with previous results (e.g., Cimatti 2009, Kriek et al. 2008).

In Fig. 5.14 we show the SED and report the main physical parameters of 6 different high redshift quiescent galaxies. We show examples from the optical,  $K_s$  and IRAC sub-samples. We underline the importance of the upper limits in the optical and  $K_s$  bands for the IRAC sub-sample to constrain the SED model.

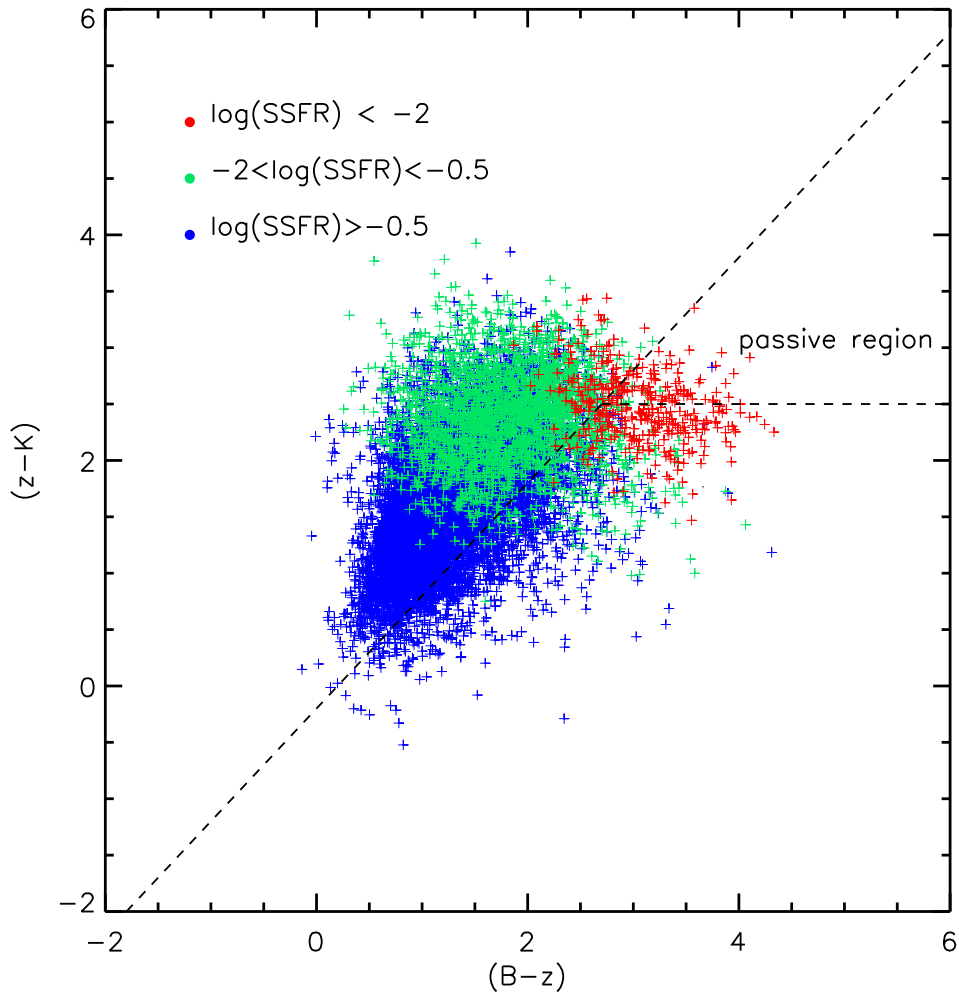


Figure 5.13:  $BzK$  diagram (Daddi et al., 2004) for optically detected sources with  $1.4 \leq z \leq 2.5$  (the dashed lines show the different regions of the  $BzK$  diagram). The colors represent the different galaxy classes derived from the SSFR criteria used in this work (red: quiescent, green: intermediate, blue: star-forming).

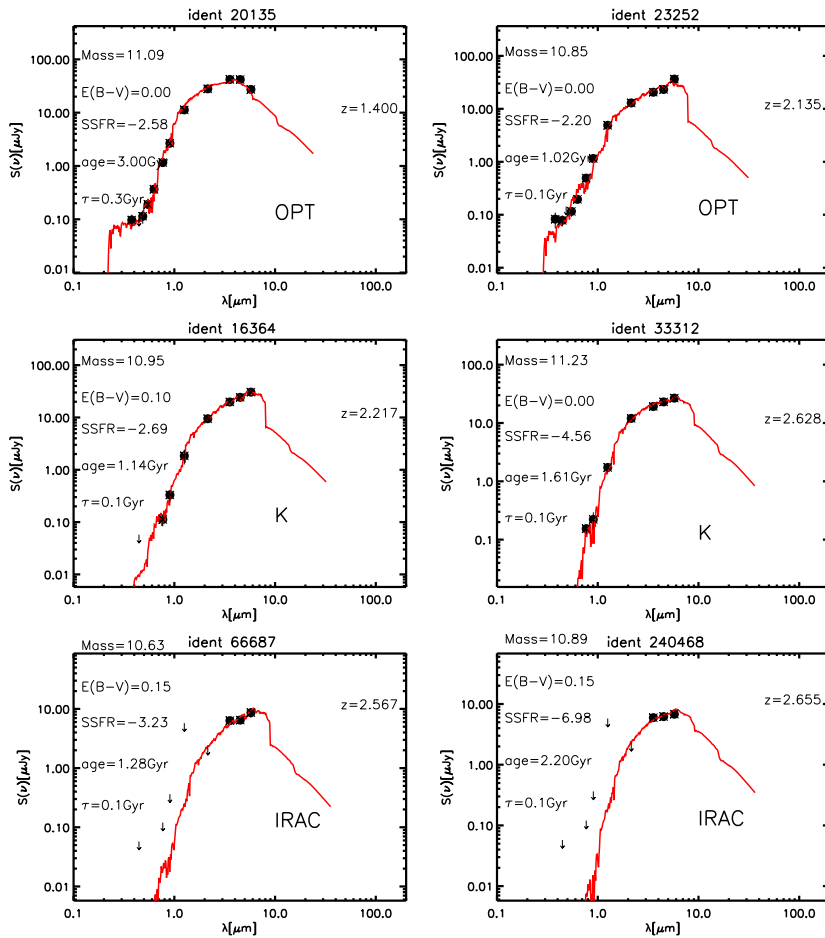


Figure 5.14: SED-fitting of some high redshift quiescent galaxies. The observed SED of each source (black filled circles) is shown with the corresponding best-fitting model (red solid line) from the Maraston (2005) library. The main physical parameters derived through the SED-fitting process (mass, extinction, SSFR, age,  $\tau$ ,  $z$ ) are also reported. The OPT,  $K_s$  and IRAC labels indicate the sub-sample at which each source belongs.



# The Evolution of the Galaxy Stellar Mass Function

**I**N the previous chapter we have determined the main galaxy properties needed to study the evolution of galaxies with different star-formation activity. In this chapter we explain how we derived the Galaxy Stellar Mass Function (GSMF) of our high redshift sample to study the different evolution of the galaxies depending on their SSFR, as well as how we derived the stellar mass density of the different galaxy classes. Finally, we compared our results with theoretical models to put some constraints on the existence of high redshift quiescent galaxies.

## 6.1 The Galaxy Stellar Mass Function

The Galaxy Stellar Mass Function represents the number of galaxies observed with masses in the range  $[M, M + dm]$  per unit volume. As the Luminosity Function (LF) it is a fundamental tool when studying galaxy evolution, as it accounts for the change in the properties of the observed galaxies at different epochs of the history of the universe. The GSMF is easier to interpret than the LF as it directly contains information about how does the number of galaxies of a given mass change with time. However, it is less straight forward to derivate than the LF, as the observed luminosities have to be converted into stellar masses, with the corresponding uncertainties.

The GSMF is usually expressed in the form of a Schechter (1976) function:

$$\phi(M)dM = \phi^* \left(\frac{M}{M^*}\right)^\alpha \exp\left(\frac{-M}{M^*}\right) d\left(\frac{M}{M^*}\right) \quad (6.1)$$

There are three unknown parameters in the expression: the normalization  $\phi^*$ , the faint end slope  $\alpha$  and the characteristic mass  $M^*$ , which is representative of the knee of the function, *i.e.* separates the exponential part of the function, dominant at high masses, and the power law part, more important in the low mass range. These parameters are supposed to change with cosmic time and their evolution gives some hints on how does the mass of the galaxies changes during the history of the universe. There is also a dependence of these parameters on the galaxies properties, such as morphology or star-formation activity, which is the parameter analyzed in this work.

We studied the evolution of the high redshift sample by deriving their mass function in four different redshift bins: 1.4-1.6, 1.6-2.0, 2.0-2.5 and 2.5-3.0. At  $z > 3.0$  the uncertainties become dominant so we decided to limit our analysis to  $z \leq 3.0$ . The mass function of the quiescent, the star forming and the intermediate galaxies are also estimated to compare the evolution of the different populations. We used the classical non-parametric  $1/V_{max}$  formalism (Schmidt, 1968) and we fitted the obtained data with a Schechter function (Schechter, 1976) in the four redshift bins. The galaxy stellar mass function GSMF is computed only in the non-masked regions with a total covered area of 1.73 deg<sup>2</sup>.

### 6.1.1 The $1/V_{max}$ Method

There are several methods to calculate the GSMF. The most commonly used are the  $1/V_{max}$ , the  $C^-$  and the STY, all of them presenting some advantages and inconveniences. In this work we used the so-called *classical method*, first published by Schmidt (1968). Its main advantage is its simplicity and the fact that it is a non parametric estimator, *i.e.* it does not assume the shape of the GSMF. The main disadvantages are its sensitivity to density fluctuations and the loss of information on where in the mass bin a galaxy is located. However one can overcome these problems by reducing the mass bin  $dM$  and by using large surveys like the COSMOS survey, which substantially reduces density fluctuations due to the cosmic variance because of its large size.

The GSMF can be computed through the  $1/V_{max}$  formalism. After dividing the

sample into redshift bins, the GSMF for each mass bin centered in  $M_j$  is:

$$\phi(M_j)dM_j = \sum_{|M_i - M_j| < dm/2} \frac{1}{V_{max,i}} = \sum_{|M_i - M_j| < dm/2} \frac{1}{V_{zmax,i} - V_{zmin,i}} \quad (6.2)$$

where the  $i$  index represents all the sources with a mass value entering the mass bin  $j$  considered. In each redshift bin, the co-moving volume available to each source  $i$  is defined as  $V_{max,i} = V_{zmax,i} - V_{zmin,i}$ . The  $z_{max,i}$  value corresponds to the maximum redshift at which a source would still be included in the sample, given the limiting  $3.6 \mu\text{m}$  flux, while  $z_{min,i}$  is the lower limit of the considered redshift bin. In any case,  $z_{max,i}$  should be smaller than the maximum redshift of the bin considered.  $V_{zmax,i}$  and  $V_{zmin,i}$  can be computed as

$$V_{zmax,i} = \int_0^{\min(z_2, z_{max})} \text{area}(z, M) \times \frac{dV(z)}{dz} dz \quad (6.3)$$

$$V_{zmin,i} = \int_0^{z_1} \text{area}(z, M) \times \frac{dV(z)}{dz} dz \quad (6.4)$$

$$(6.5)$$

$[z_1, z_2]$  are the limits in each redshift bin and the  $\text{area}(z, M)$  is the area where a source is visible, which depends on the absolute magnitude of the source and the redshift considered.

The absolute magnitude  $\mathcal{M}$  as a function of the observed magnitude  $m$ , the redshift  $z$ , the luminosity distance  $D_L$  and the  $k$ -correction  $k(z)$  is:

$$\mathcal{M} = m - 5 \log D_L(z) - 25 - k(z) \quad (6.6)$$

If we impose the observed magnitude  $m$  to be the magnitude limit of the survey ( $m_{lim} = 22.0 \text{ mag}$  for the IRAC1 band in this work) and we solve the equation we obtain the  $z_{max}$  value for each source needed to compute the GSMF.

$$\mathcal{M} = m_{lim} - 5 \log D_L(z_{max}) - 25 - k(z_{max}) \quad (6.7)$$

#### **$D_L$ and K-correction**

In eq. 6.6 we have introduced two important functions: the luminosity distance  $D_L$  and the  $k$ -correction  $k(z)$ .

As explained in Hogg (1999) the luminosity distance  $D_L$  is defined by the relationship between bolometric (*i.e.*, integrated over all frequencies) flux  $S$  and bolometric luminosity  $L$ :

$$D_L \equiv \sqrt{\frac{L}{4\pi S}} \quad (6.8)$$

$D_L$  can also be written as:

$$D_L = (1 + z)D_M \quad (6.9)$$

For a flat universe ( $\Omega_k = 0$ )  $D_M = D_C$ . The co-moving distance  $D_C$  can be expressed as

$$D_C = D_H \int_0^z \frac{dz'}{E(z')} \quad (6.10)$$

where  $D_H$  is the Hubble distance

$$D_H \equiv \frac{c}{H_0} = 3000 h^{-1} \text{ Mpc} = 9.26 \times 10^{25} h^{-1} \text{ m} \quad (6.11)$$

and  $E(z)$  is a function defined as

$$E(z) \equiv \sqrt{\Omega_M (1 + z)^3 + \Omega_k (1 + z)^2 + \Omega_\Lambda} \quad (6.12)$$

The  $k$ -correction is a correction to convert the observed magnitude of one object at a given redshift to its rest-frame emission. Because of the expansion of the Universe, the observed SED of a galaxy is redshifted with respect to the rest-frame SED. It consists of two terms, the first one accounting for the difference between the observed flux and the flux of the galaxy at rest, while the second accounts for the different energy associated to the photons moving from the observed to the rest-frame system. It can be expressed as:

$$k(z) = 2.5 \log \frac{\int f(\lambda, t_0) R(\lambda) d\lambda}{\int f(\frac{\lambda}{1+z}, t_0) R(\lambda) d\lambda} + 2.5 \log(1 + z) \quad (6.13)$$

Here  $f(\lambda, t_0)$  is the best-fitting model SED at a given observed wavelength, while  $R(\lambda)$  is the transmission function of the considered filter.

### 6.1.2 Mass Limit

An important factor to take into account when deriving a GSMF is the mass limit  $M_{lim}$ , *i.e.* the minimum mass for which, given the characteristics of the survey, all galaxies are observed. At masses higher than  $M_{lim}$  the GSMF is complete, while for lower values galaxies can be missed and the GSMF can only be considered as a lower limit. However, estimating the stellar mass limit for a magnitude limited sample is not straightforward due to the high range of possible mass to light M/L ratios for different galaxy populations and colors. To account for this effect we defined, at each redshift, a minimum mass,  $M_{min}$ , above which the derived GSMF is essentially complete because all types of galaxies are potentially observable above this mass.

Following Pozzetti et al. (2010), we calculated for each galaxy its limiting stellar mass, *i.e.* the mass it would have at its redshift if its observed magnitude were equal to the limiting magnitude of the sample ( $mag_{3.6lim} = 22.0$ ):

$$\log(M_{lim}) = \log(M) + 0.4(mag_{3.6} - mag_{3.6,lim}) \quad (6.14)$$

The result is a distribution of the limiting stellar masses, which reflects the distribution of M/L ratio at each redshift for our sample. To avoid using a too stringent limit due to the brightest and reddest galaxies we used the  $M_{lim}$  of the 20% faintest galaxies at each redshift. We then defined the  $M_{min}(z)$  as the upper envelope of the  $M_{lim}$  distribution below which the 95% of the  $M_{lim}$  values at each redshift lies. This  $M_{min}$  corresponds to a 95% completeness limit at each redshift.

In Fig.6.1 we plotted the mass distribution of our sample (gray crosses) as well as the  $M_{lim}$  of the 20% faintest galaxies at each redshift up to  $z = 3$  (red crosses). The  $M_{min}(z)$  for each redshift bin calculated as explained above are shown as yellow circles.

### 6.1.3 Galaxy Stellar Mass Function Errors

The errors associated to the GSMF are usually derived using Poissonian statistics (see, *e.g.* Zucca et al. 1997):

$$\sigma \simeq \frac{\phi_M}{\sqrt{N}} = \left[ \sum_i \frac{1}{V_{max}^2(M_i)} \right]^{1/2} \quad (6.15)$$

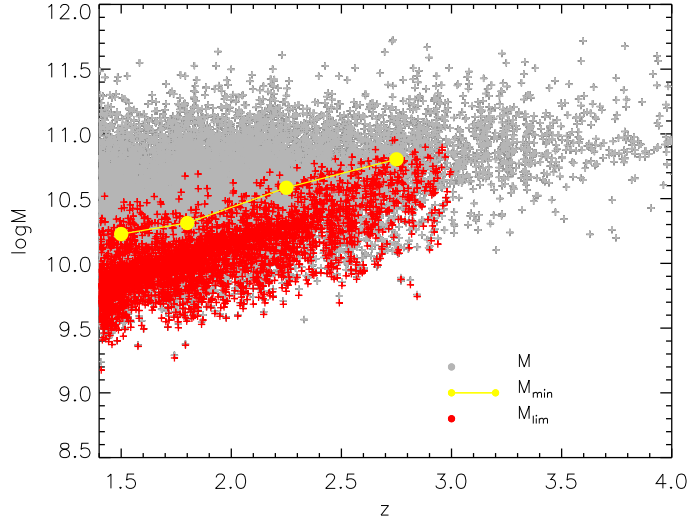


Figure 6.1: Stellar mass as a function of redshift (gray crosses). Red crosses are the  $M_{lim}$  of the 20% faintest galaxies at each redshift (up to  $z = 3$ ), while  $M_{min}(z)$  are plotted as yellow circles.

However, the Poisson errors in our GSMF are an under-estimate of the real uncertainties, since they do not take into account how the photometric redshifts  $z_p$  uncertainties propagate on the GSMF, neither the cosmic variance. We added in quadrature these errors to the Poissonian errors to obtain more realistic uncertainty estimates.

### Montecarlo Simulations

We used the Montecarlo simulations to estimate how the uncertainties on  $z_p$  influence the GSMF computation. Montecarlo simulations consist on randomly sampling the data and repeating the GSMF computation a given number of times. The uncertainty in  $z_p$  is especially important for the optically undetected sources, therefore we have to be very careful in the  $z$ -bins where these sources dominate (higher redshift).

For the galaxies without spectroscopic redshifts, the  $z_p$  represents the best-fitting solution to the observed SED, as explained in Sect. 5.3.1. However, for each solution, together with the best-fitting value, the *LePhare* code supplies also a redshift probability distribution function (PDF $_z$ ). In order to perform the Montecarlo simulations we created 20 mock catalogs by randomly picking a

Table 6.1: Volume, number density, bias, variance of dark matter and cosmic variance for our sample in each redshift bin as derived using the Somerville formalism and the values obtained from Figs. 6.2 and 6.3.

$z$ bin	$V(\text{Mpc}^3)$	Number density ( $\text{Mpc}^{-3}$ )	$b$	$\sigma_{DM}$	$\sigma_v$
1.4-1.6	$3.7 \times 10^5$	$1.4 \times 10^{-2}$	1.2	0.15	0.18
1.6-2.0	$7.9 \times 10^5$	$7.9 \times 10^{-3}$	1.4	0.10	0.14
2.0-2.5	$1.0 \times 10^6$	$4.5 \times 10^{-3}$	1.8	0.08	0.14
2.5-3.0	$1.0 \times 10^6$	$1.7 \times 10^{-3}$	2.4	0.07	0.16

redshift within the redshift probability distribution function of each object. With this new redshift values we recalculated the mass and other important physical parameters through the SED-fitting as explained in Sect. 5.4. Then we computed the GSMF for each of the 20 mock catalogs. The  $1\sigma$  dispersion of the GSMF values at each mass and redshift bin represents the  $1\sigma$  dispersion to account for the  $z_p$  uncertainties in the GSMF computation. We added these values in quadrature to the Poissonian errors.

### Cosmic Variance

The cosmic variance is another important source of uncertainty, specially in deep surveys, which usually cover a small area. It accounts for the inhomogeneity of the universe and the large scale structure, *i.e.* the different properties observed when studying different regions of the sky. Obviously, the larger the area studied, the less significant becomes the cosmic variance.

We derived the error associated to cosmic variance for our sample making use of the formalism described in Somerville et al. (2004). Basically, the cosmic variance  $\sigma_v$  for a population is given by

$$\sigma_v = b\sigma_{DM} \quad (6.16)$$

where  $b$  is the bias and  $\sigma_{DM}$  is the variance of dark matter. From Fig. 3 of Somerville et al. (2004) (Fig. 6.2 and 6.3 in this work) one can obtain  $b$  and  $\sigma_{DM}$  at a given redshift for the characteristic volume and number density of the sample.

Using this method we are able to calculate the cosmic variance  $\sigma_v$ , as shown in Table 6.1.

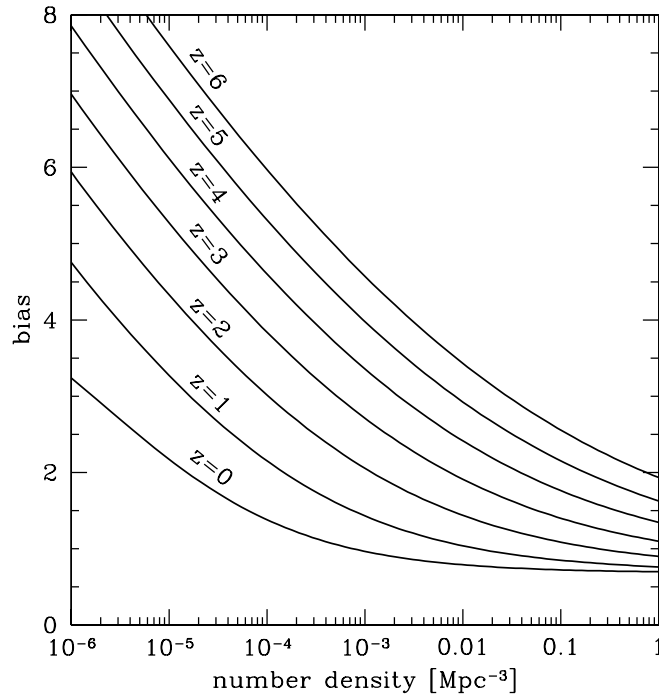


Figure 6.2: Reproduced from Somerville et al. (2004). Bias as a function of comoving number density for dark matter halos at different redshifts.

#### 6.1.4 Comparison of the Total Galaxy Stellar Mass Function with the Literature

In Fig. 6.4 the total (yellow) and the quiescent (red) GSMF (QSMF hereafter) of our IRAC selected sample in 4 different redshift bins (1.4-1.6, 1.6-2.0, 2.0-2.5 and 2.5-3.0) are reported.

We also show for comparison the GSMF derivations from the literature (green asterisks from Fontana et al. 2006, blue triangles from Pérez-González et al. 2008, red diamonds from Marchesini et al. 2009, orange squares from Ilbert et al. 2010). All GSMF's were converted to Chabrier IMF and shifted by  $-0.14$  dex in mass (to account for the difference in the derived mass when using Maraston 2005 or Bruzual & Charlot 2003 models, see Pozzetti et al. 2010). We are in reasonably good agreement with many of the other results. However, a significant difference is observed in all redshift bins at high masses with respect to the results of Pérez-González et al. (2008), as they found a number density significantly higher than ours. With respect to Marchesini et al. (2009), we find less massive galaxies at  $z < 2$  and more intermediate mass ( $\log M \sim 10.5$ ) galaxies at  $2 < z < 2.5$ . The

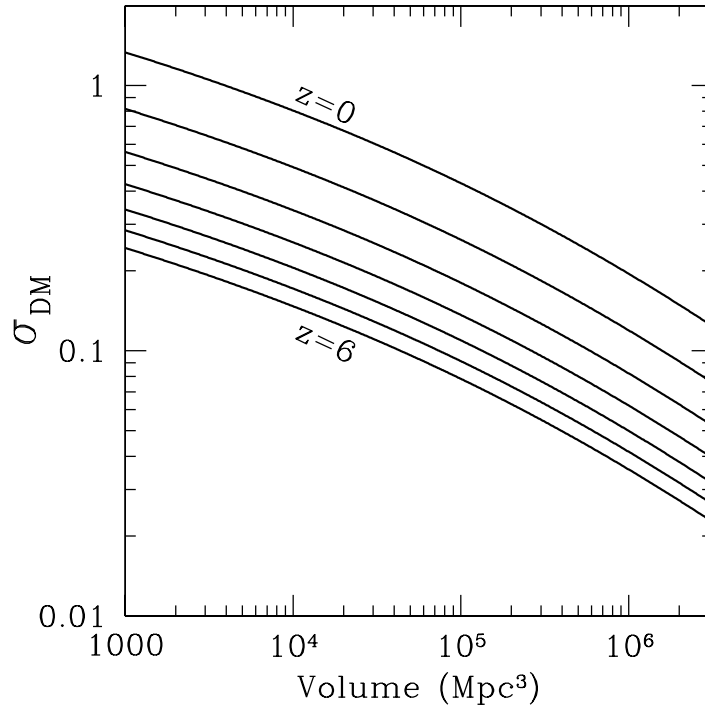


Figure 6.3: Reproduced from Somerville et al. (2004). Variance of dark matter as a function of volume from linear theory at different redshifts.

agreement with Ilbert et al. (2010), whose sample is also IRAC selected in the COSMOS field, is very good, with small differences probably arising from different redshift binning, as it may happen also with Fontana et al. (2006). However, we have a slight over-density of intermediate mass galaxies ( $M \sim 10^{10.7} M_{\odot}$ ) at  $2.0 < z < 2.5$  when compared with all other results from literature, which may be too big to be explained only by differences in redshift binning.

In comparison with other authors, we are using data from the COSMOS survey, which is the largest studied area ( $\sim 1.7 \text{ deg}^2$ ) with full multi-wavelength coverage.

## 6.2 The Evolution of Galaxies with Different Star Formation Rates

In Fig. 6.5 the GSMF of quiescent galaxies obtained in the four studied redshift bins is reported. The shaded areas represent the upper and lower limits, derived as explained in Sect. 6.1.3, while the thick lines are the Schechter fitting to the data.

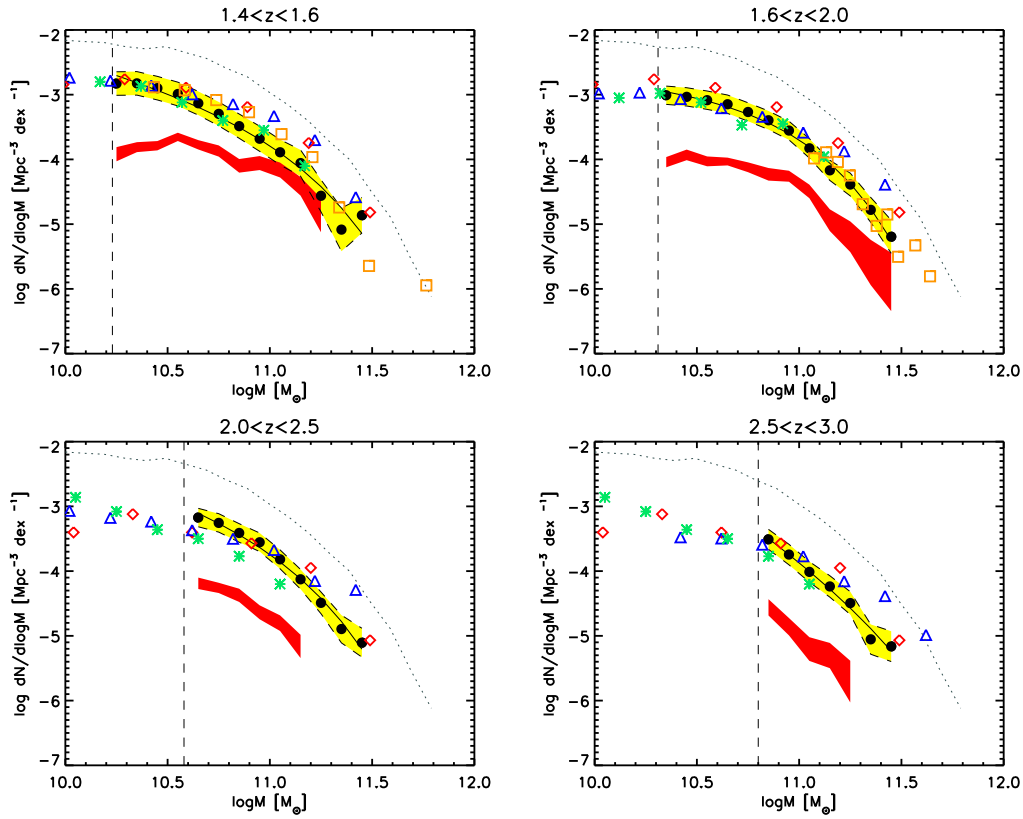


Figure 6.4: Stellar mass function in 4 redshift intervals for the quiescent (red area) and the total (yellow area) sample. Our estimates, at each redshift, for the whole sample are plotted as filled black circles. Data are fitted with a Schechter function (solid lines). The colored areas represent the upper and lower limits of the total and quiescent mass functions as derived from the combination of the Montecarlo simulations, cosmic variance and Poissonian errors. Black dotted line: local MF (Cole et al., 2001). Dashed vertical lines represent the completeness in mass for each redshift bin. GSMFs from other authors are also plotted: green asterisks from Fontana et al. (2006), blue triangles from Pérez-González et al. (2008), red diamonds from Marchesini et al. (2009), orange squares from Ilbert et al. (2010).

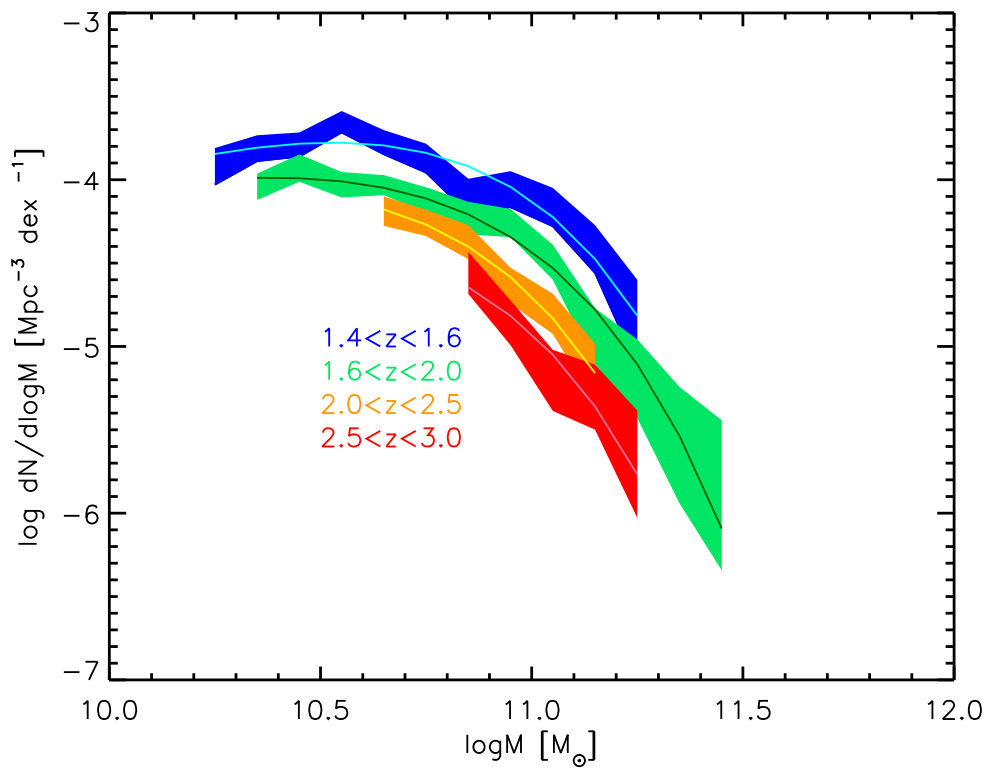


Figure 6.5: Evolution of the QSMF with redshift for different redshift bins. Blue: 1.4 – 1.6; green: 1.6 – 2.0 ; orange: 2.0 – 2.5; red: 2.5 – 3.0. Shaded regions represent the upper and lower limits of the GSMF for each redshift bin (see Sect. 6.1.3), while the thick lines are the Schechter fitting to the data.

A significant evolution of the QSMF from  $2.5 < z < 3.0$  to  $1.4 < z < 1.6$  is observed, increasing  $\sim 1$  dex for galaxies with  $\log M \sim 11.0$  in the redshift interval studied. The evolution is  $\sim 0.3$  dex in each redshift bin, meaning that the number of quiescent galaxies continuously increases with cosmic time.

In Fig. 6.6 we compare the GSMF derived for quiescent galaxies, with those of the other populations. The red, green and blue areas represent the quiescent, intermediate and actively star-forming populations, respectively. We also show for comparison the results obtained by Ilbert et al. (2010), with purple, dark green and light blue circles representing their quiescent, intermediate and high activity galaxies respectively. The agreement is good for the quiescent population in both redshift bins in common ( $1.4 - 1.6$ ,  $1.6 - 2.0$ ), while the differences for the intermediate and star-forming population can be explained by the different classification methods used. While for the quiescent population we used a similar cut in SSFR, for the cut between the intermediate and high activity populations they used  $\log(SSFR[\text{Gyr}^{-1}]) \sim -0.3$ , while we are using a value of  $-0.5$ .

The evolution of the star-forming galaxies is quite complex (see Fig. 6.6). They remain almost constant from  $2.5 < z < 3.0$  to  $2.0 < z < 2.5$ , being the dominant population at all masses in those redshift bins. However, their number density decreases when moving to the lowest redshift bins and this decrease depends on the galaxy mass: while for high masses we observe a significant decline ( $\sim 0.8$  dex for  $\log M \sim 11.0$ ), for low masses ( $\log M \sim 10.5$ ) the GSMF is almost constant. A decrease of the star-forming population from  $1.6 < z < 2.0$  to  $1.4 < z < 1.6$  is in agreement with the results of Ilbert et al. (2010) and, as reported in studies at lower redshift (see for example Ilbert et al. 2010, Pozzetti et al. 2010), the number of star-forming galaxies continues to decrease to almost local redshift ( $z \sim 0.1$ ). The intermediate population constantly increases its number at all masses, first at high masses ( $\sim 0.2$  dex from  $2.5 < z < 3.0$  to  $1.6 < z < 2.0$  for  $\log M \sim 11.0$ ), then at low masses ( $\sim 0.2$  dex from  $1.6 < z < 2.0$  to  $1.4 < z < 1.6$  for  $\log M \sim 10.5$ ). This means that they become more abundant than the star-forming population at  $\log M \gtrsim 10.6$  at  $1.4 < z < 1.6$ . Finally, as mentioned before, for the quiescent population we find an increase of  $\sim 1$  dex in the number density from  $2.5 < z < 3.0$  to  $1.4 < z < 1.6$  for  $\log M \sim 11.0$ . At the lowest redshift bin ( $1.4 < z < 1.6$ ) the quiescent population becomes more important than the active one for masses  $\log M \gtrsim 10.75$ .

Considering all the populations, we find that  $z \sim 1.5$  is a clear epoch of transition

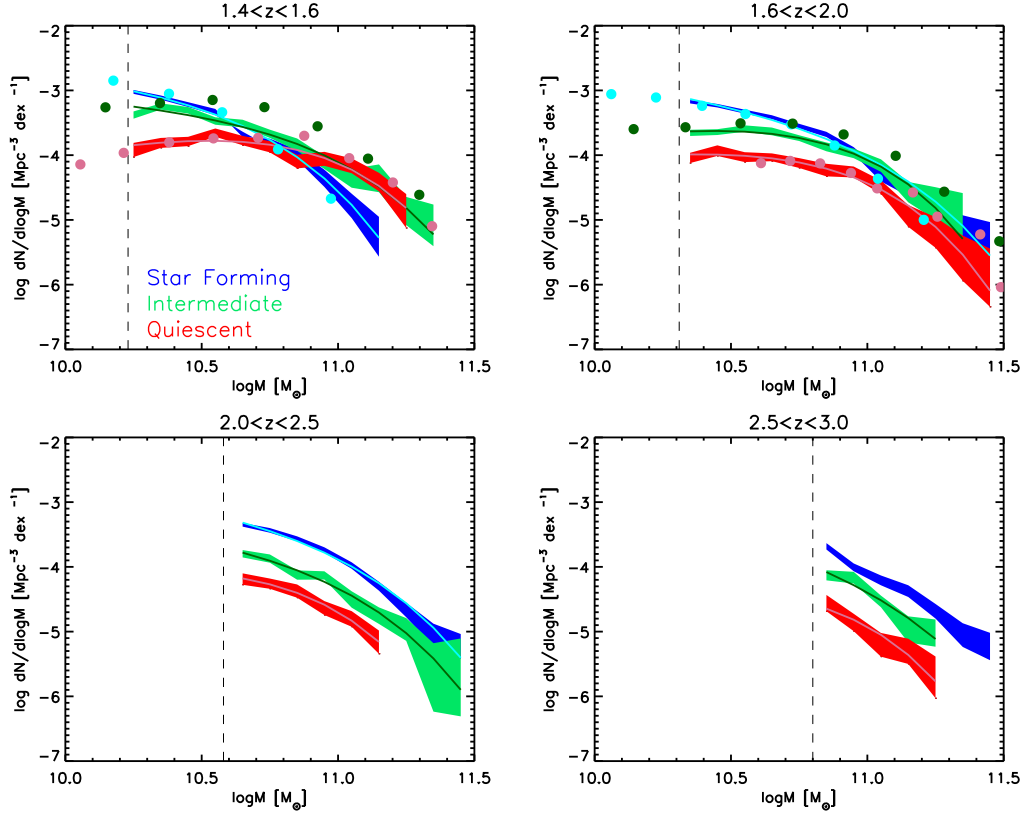


Figure 6.6: Evolution of the GSMF for different activity types galaxies. The colored areas represent the upper and lower limits (see Sect. 6.1.3) while the thick lines are the Schechter fitting to the data. Red: quiescent. Green: intermediate. Blue: actively star-forming. The circles represent the GSMF for quiescent (purple), intermediate (dark green) and high activity galaxies (light blue), as obtained by Ilbert et al. (2010). Also shown are the limits in mass (vertical dashed lines).

of the GSMF: while the GSMF at  $z \gtrsim 1.5$  is dominated by the actively star-forming galaxies at all stellar masses, at  $z \lesssim 1.5$  the contribution to the total GSMF of the quiescent galaxies is significant and both the intermediate and the quiescent population become more important than the star-forming one for  $M \gtrsim 10^{10.75} M_{\odot}$ .

In Table 6.2 we report the best-fit Schechter parameters for the quiescent sample. In the last redshift bin ( $2.5 < z < 3.0$ ) the Schechter fit failed to converge when leaving all the parameters free. We fixed the faint end slope  $\alpha$  to its value at  $2.0 < z < 2.5$  to be able to obtain the other Schechter parameters. While, given the large uncertainties, we cannot derive firm conclusions on the faint end slope  $\alpha$  nor on  $M^*$ , we detect, as already mentioned, a significant increase of  $\phi$  from  $2.5 < z < 3.0$  to  $1.4 < z < 1.6$ .

Table 6.2: Schechter parameters of the QSMF at  $1.4 \leq z \leq 3.0$ .

Type	z-bin	$\alpha$	$\log(M^*)[M_\odot]$	$\phi[10^{-4}\text{Mpc}^{-3}\text{dex}^{-1}]$
Quiescent	1.4-1.6	$-0.08 \pm 0.98$	$10.57 \pm 0.25$	$1.9 \pm 0.5$
	1.6-2.0	$-0.41 \pm 0.92$	$10.61 \pm 0.19$	$1.0 \pm 0.4$
	2.0-2.5	$-0.34 \pm 4.83$	$10.50 \pm 0.84$	$0.9 \pm 0.8$
	2.5-3.0	-0.34 (Fixed)	$10.52 \pm 0.18$	$0.5 \pm 0.6$

To better understand the behaviour of the different populations we show the fraction of quiescent/star-forming galaxies over the total versus mass for different redshift bins (Fig. 6.7). The fraction of the actively star-forming galaxies decreases from  $\sim 60\%$  to  $\sim 20\%$  from  $2.5 < z < 3.0$  to  $1.4 < z < 1.6$  for  $M \gtrsim 10^{11.0}M_\odot$ , while that of quiescent galaxies increases from  $\sim 10\%$  to  $\sim 50\%$  in the same redshift and mass intervals. The evolution is also mass-dependent, with the most massive galaxies becoming quiescent first, while at  $M \lesssim 10^{10.5}M_\odot$  the star-forming population still dominates the sample at all redshifts.

This trend suggests that actively star-forming galaxies, which dominate the sample at high redshifts (mainly at  $z \gtrsim 2.0$ ), may quench their star formation and become less abundant at later time. This quenching of the star formation seems to be mass dependent, with the most massive galaxies quenching their star-formation first, while the less massive galaxies continue to form stars until later times. If the evolution of the star-forming galaxies should be that of becoming intermediate galaxies to finally end their lives as quiescent galaxies, the increase of this latter population at low redshifts could be explained, with the quiescent population being the dominant population at low redshift, as all galaxies may evolve to that type once their star formation has stopped. Therefore, we could be unveiling the formation epoch of the very active galaxies and their following evolution from the blue cloud to the red sequence (See Sect. 4.3, Fig. 4.4).

### 6.2.1 Stellar Mass Density

Once the GSMF is known it is straight forward to derive the stellar mass density by simply integrating over all the masses range:

$$\rho_{SM} = \int M\phi(M)dM \quad (6.17)$$

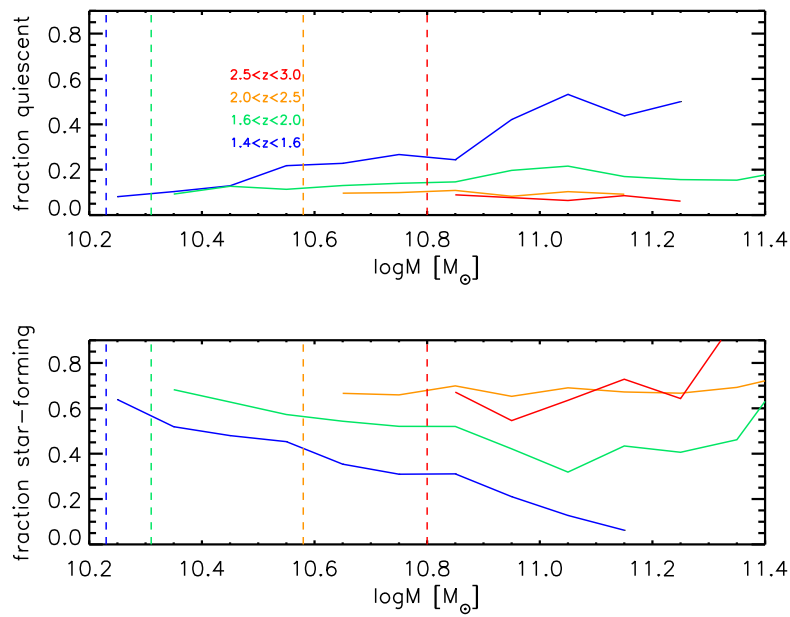


Figure 6.7: Upper panel: Fraction of quiescent galaxies over total for different mass values. Colors represent the different redshift bins as in Fig. 6.5. Lower panel: Fraction of the star-forming population over total versus mass. Colored vertical dashed lines are the mass limits for each redshift bin.

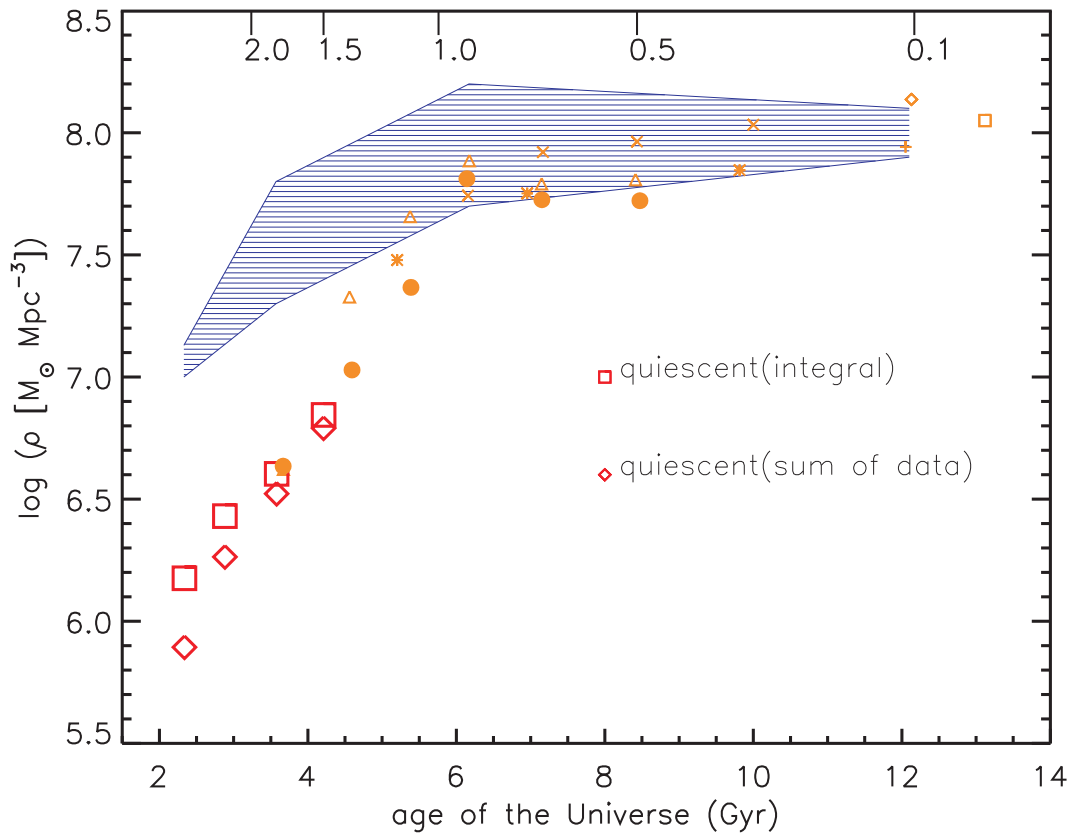


Figure 6.8: Evolution of the stellar mass density of quiescent galaxies. The result from this work are the red symbols. The values represented by the squares have been calculated as the integral of the Schechter function fitting the data, while the diamonds are a mere sum of the data for all masses. Orange symbols are results from literature as explained in the article. We also show for comparison the evolution of the stellar mass density of the star-forming plus the intermediate galaxies (blue shaded area).

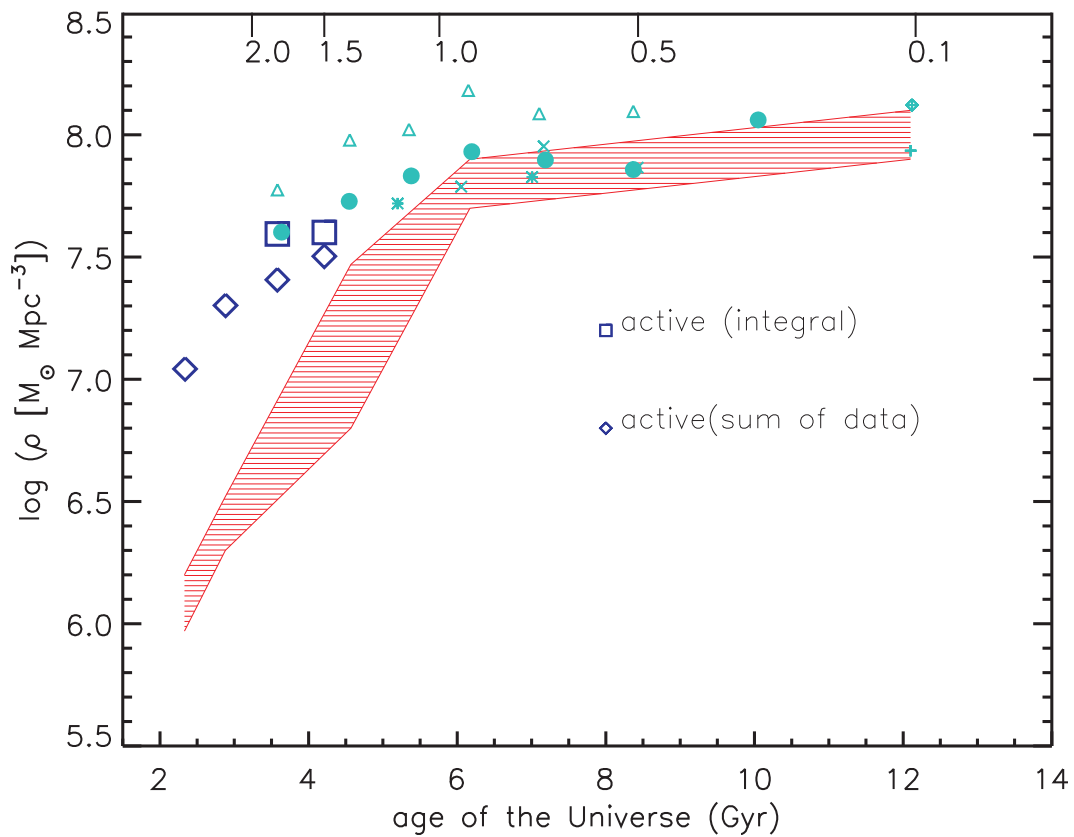


Figure 6.9: Evolution of the stellar mass density of star-forming plus quiescent galaxies. The result from this work are the dark blue symbols. The values represented by the squares have been calculated as the integral of the Schechter function fitting the data, while the diamonds are a mere sum of the data for all masses. Light blue symbols are results from literature as explained in the article. We also show for comparison the evolution of the stellar mass density of the quiescent galaxies (red shaded area).

In Fig. 6.8 and 6.9 we calculated the evolution of the stellar mass density with cosmic time for the quiescent sample (red symbols) and the intermediate plus the star-forming samples (dark blue). The values were obtained in two different ways. The data plotted as squares represent the integral of the Schechter function fitting our data, including the extrapolations to the fainter masses. The diamonds are the sum of the data at all masses. As expected, the latter values are lower (since data are incomplete at low masses) but the two estimates are consistent within  $\sim 0.18$  dex. We do not show the value of the stellar mass density for the intermediate and star-forming galaxies in the redshift bins  $2.0 - 2.5$  and  $2.5 - 3.0$  derived through the integration of the Schechter parameters, neither the same value at  $2.5 < z < 3.0$  for the quiescent galaxies, due to the high uncertainties in the derived parameters given the incompleteness at low masses. In the plots we also show a colored area representing the upper and lower limits of the quiescent (red) and star-forming (blue) populations, as well as various results from literature. Orange symbols represent the stellar mass density of the quiescent galaxies as derived by other authors, while light blue symbols stand for the star-forming ones (squares from Kochanek et al. (2001), plus symbols from Bell et al. (2003), diamonds from Driver et al. (2006), asterisks from Franceschini et al. (2006), crosses from Borch et al. (2006), triangles from Arnouts et al. (2007), dots from Ilbert et al. (2010)). We are in very good agreement with Ilbert et al. (2010) in the common redshift bins. We observe that the increase in stellar mass density in the redshift range of interest ( $t \sim 2 - 4.5$  Gyr) is very rapid for both populations ( $\sim 0.5$  dex for the star-forming plus intermediate populations and  $\sim 0.9$  dex for the quiescent one), then slowing down at lower redshifts. However, this slow down happens earlier in time for the star-forming population ( $z \sim 1.2$ ,  $t \sim 5.0$  Gyr) than for the quiescent galaxies, which continue to rapidly assemble mass until later times ( $z \sim 1.0$ ,  $t \sim 6.0$  Gyr), reaching the star-forming galaxies mass density at  $z < 1.0$ .

The existence of a non negligible population ( $\log \rho [M_{\odot} \text{ Mpc}^{-3}] \sim 6.0$  at  $z \sim 2.7$ ) of quiescent galaxies with high masses which have already undergone major star formation even at the highest redshifts ( $z > 2.5$ ) is fundamental for our understanding of the galaxy formation processes and crucial for testing theoretical scenarios.

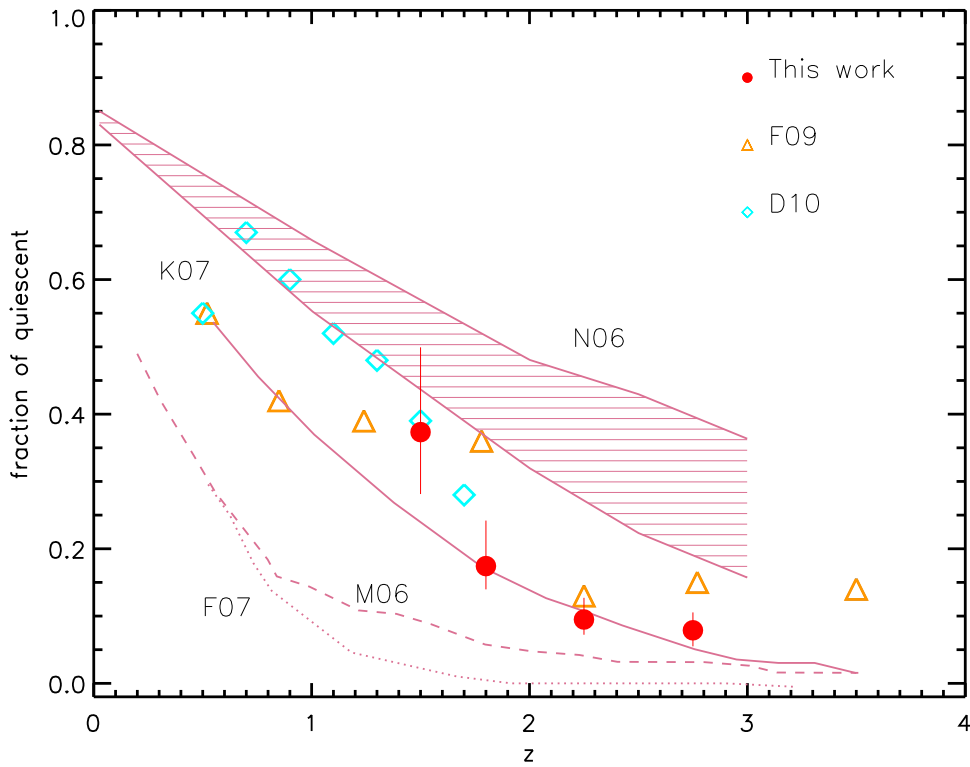


Figure 6.10: Fraction of quiescent galaxies ( $\log(SSFR[\text{Gyr}^{-1}]) < -2$ ) with  $M \geq 10^{10.85}M_{\odot}$  as a function of redshift. Red circles are the results obtained in this work (errors are the combination of the Poisson errors and the Montecarlo simulations), orange triangles are the values from Fontana et al. (2009) and light blue diamonds from Damen et al. (2010). Lines refer to the predictions of theoretical models as described in the legend: Menci et al. (2006) (M06), Nagamine et al. (2006) (N06), Kitzbichler & White (2007) (K07) and Fontanot et al. (2007) (F07).

## 6.2.2 Comparison with Theoretical Models

Fig. 6.10 shows the evolution of the fraction of quiescent galaxies with redshift for sources with  $M \geq 10^{10.85}M_{\odot}$  (red circles). The errors are a combination of the Montecarlo simulations and Poissonian errors. For comparison, we also plotted the values obtained by Fontana et al. (2009, orange triangles) and from Damen et al. (2010, light blue diamonds), as well as the predictions of theoretical models (Menci et al. 2006, M06 hereafter; Nagamine et al. 2006, N06; Kitzbichler & White 2007, K07; Fontanot et al. 2007, F07). We observe a good agreement between the fraction of quiescent galaxies derived in this work and those from Fontana et al. (2009). The values of Damen et al. (2010) are also consistent with our results, although Damen et al. (2010) sources are selected with  $M > 10^{11.0}M_{\odot}$  and are defined as quiescent when  $SSFR < 1/(3t_H)$ . The fraction of massive quiescent galaxies increases from  $\sim 8\%$  to  $\sim 35\%$  from  $2.5 < z < 3.0$  to  $1.4 < z < 1.6$ , with the main evolution occurring between  $1.6 < z < 2.0$  and  $1.4 < z < 1.6$  (where the fraction increases from  $\sim 18\%$  to  $\sim 35\%$ ). This confirms the expected cosmological increase in number density of massive quiescent galaxies with cosmic time. All the theoretical models agree in predicting a gradual increase with time in the fraction of galaxies with low SFR, although large discrepancies between data and theoretical models are observed. It is beyond the scope of this thesis to give a detailed explanation of the differences between models, but we will discuss some generalities. Some models underpredict the fraction of quiescent galaxies at all redshifts, such as the purely semi-analytical models (M06, F07), and in particular predict virtually no such objects at  $z > 2$ , in contrast to what is observed. This lack of massive galaxies at high redshift for the model of F07 has already been noticed by Fontanot et al. (2007), who admitted that the downsizing trend of the galaxies is not fully reproduced yet in these models, and suggested that some kind of feedback mechanism could help to reproduce the data. A slightly higher fraction of quiescent massive galaxies is predicted by M06, as they include AGN feedback mechanism, due to the growth of supermassive black holes and the AGN triggered by interactions in the host galaxies. This AGN feedback enhances the fraction of galaxies populating the red branch of the color distribution and is particularly effective at high  $z$ . However, it is not effective enough to reproduce the observed data at  $z < 2.0$ . The purely hydro-dynamical model by Nagamine et al. (2006), represented with a shaded area for three different timescales  $\tau$  of the star-formation rate (ranging from  $2 \times 10^7$  yrs to  $2 \times 10^8$  yrs), appears to overpredict the fraction

of high mass quiescent galaxies. The disagreement in the stellar mass density at  $z > 1$  between these models and the observations has already been commented by Nagamine et al. (2006). The semi-analytical rendition of the Millennium N-body dark matter simulation by Kitzbichler & White (2007) is the only one which agrees with our observed data, being able to reproduce both the normalization and the shape of the increase in the fraction of quiescent massive galaxies with decreasing redshift. This model introduces radio mode feedback from the central galaxies of groups and clusters, which seems to be fundamental for the predictions to be consistent with the observations.

Finally, the non negligible fraction of quiescent galaxies at  $z > 1.8$  implies that these galaxies assembled most of their stellar mass either during an active star-burst phase or through important merging processes at higher redshifts. These star formation episodes must be quenched either by efficient feedback mechanism and/or by the stochastic nature of the hierarchical merging process.



# Comparison of Star Formation Rate Estimates

**W**E have already discussed the importance of the SFR and we have seen how galaxies with different SSFR behave and evolve in very different manners. It is then of extreme importance to have an accurate way to measure the SFR. One of the most commonly used quantities to derive the SFR is the Far-IR emitted radiation (see Sect. 3.3.4). However, as already mentioned, the calibration of the far-IR light as star formation rate proxy is based on a theoretical relation obtained for a very particular conditions and, strictly speaking, could only be applied to young starbursts. However, it is often used in literature to derive the SFR of any kind of galaxies. We thought that the advent of the Herschel Space Telescope, which samples the critical far-IR part of the galaxy spectra, could be an excellent opportunity to empirically test Eq. 7.3 without uncertainties associated to the extrapolation of the IR peak. The most problematic issue, however, is to have a statistically significant sample of galaxies with accurate measures of SFR. As we show in Sect. 3.3, all methods to derive the SFR have associated disadvantages and uncertainties. We therefore, had to limit our test to the comparison between the SFR derived from the IR emitted light and the SFR derived from the  $H\alpha$  emission line corrected for extinction (Eq. 3.2). We compared these two SFR indicators for different intrinsic properties of galaxies (redshift, mass, SSFR, metallicity and morphological type).

## 7.1 Data Analysis

### 7.1.1 The Herschel Space Observatory

The advent of the ESA Herschel Space Observatory, a far-infrared telescope observing at wavelengths that had never previously been explored to such depths and resolutions, allow us to better resolve the IR peak of the SED of star-forming galaxies and therefore to have a more accurate measure of the SFR from the Infrared Luminosity ( $L_{IR}$ ) emission.

The Herschel Space Observatory is the largest infrared space observatory launched up to date. Equipped with a 3.5 meter diameter Cassegrain reflecting telescope, the largest single mirror ever built for a space telescope, and instruments cooled to close to absolute zero, it collects long-wavelength radiation from some of the coldest and most distant objects in the Universe. It observes across the far infrared and sub-millimeter wavelengths (55 - 672  $\mu\text{m}$ ), providing data that had been unobtainable before. Launched on 14 May 2009 and after a roughly 50-day journey from Earth, Herschel entered its operational orbit around the second Lagrange point of the Sun-Earth system (L2), for a nominal mission lifetime of three years.

The Herschel main objectives are to study the formation of galaxies in the early Universe and their subsequent evolution, to investigate the creation of stars and their interaction with the interstellar medium, to observe the chemical composition of the atmospheres and surfaces of comets, planets and satellites or to examine the molecular chemistry of the Universe.

It has three scientific instruments on board:

- HIFI (Heterodyne Instrument for the Far Infrared), a very high resolution heterodyne spectrometer.
- PACS (Photodetector Array Camera and Spectrometer) - an imaging photometer and medium resolution grating spectrometer.
- SPIRE (Spectral and Photometric Imaging Receiver) - an imaging photometer and an imaging Fourier transform spectrometer.

The interest in observing at far IR wavelengths comes from the fact that large parts of the Universe are too cold to radiate in the visible wavelength range or at shorter wavelengths. To study these cool objects, observations must be performed in the

infrared spectrum or at even longer (sub-millimeter) wavelengths. Besides, many objects of great astronomical interest are concealed within or behind clouds of gas and dust. For example, in the early stages of their formation, stars and planets are surrounded by the gas and dust clouds from which they are being created. Galactic cores and most of the remnants of the early Universe are also hidden from view by dust clouds. The dust particles in these clouds are efficient at scattering or absorbing radiation emitted by these objects. Infrared radiation, instead, is less affected by these clouds - the longer the wavelength, the thicker the dust cloud that it can penetrate- and an important part of the absorbed light is re-emitted at the IR wavelengths.

### **Pacs Evolutionary Probe**

In this thesis we made use of the data in the far-IR from the Herschel PACS Evolutionary Probe (PEP, Lutz et al. 2011) survey. PEP is a Herschel guaranteed time key program survey of the extragalactic sky, aimed to study the rest-frame far-infrared emission of galaxies up to redshift  $\sim 4$ , as a function of environment. Making use of the PACS instrument, the PEP survey includes six among the most widely studied blank fields across the sky, two high-redshift galaxy clusters and ten lensing clusters. It includes observation in the COSMOS field, which is the field studied in this work.

The goals of the PEP program are to resolve the Cosmic Infrared Background and determine the nature of its constituents, to determine the cosmic evolution of dusty star formation and of the infrared luminosity function, to try to elucidate the relation of far-infrared emission and environment, and to determine clustering properties. It is also crucial to investigate the contribution of AGN and to calculate the infrared emission and energetics of the different galaxy populations.

In this context, the new PEP Herschel data at long IR wavelengths are fundamental to accurately study the relation between the IR luminosity emitted by star forming galaxies and their star formation rate.

#### **7.1.2 Herschel Selected Sample**

We studied the SFR derived from the  $L_{IR}$  emission making use of the recent Herschel data in the COSMOS field. The Herschel data includes PACS data from

the PEP survey. We used the PACS blind catalog v2.1 (containing 7313 sources) down to  $3\sigma \sim 5$  and  $10.2$  mJy at  $100$  and  $160 \mu\text{m}$ , respectively. This catalog was associated using the likelihood ratio technique (see Sect 5.2.1) to the deep  $24 \mu\text{m}$  catalog from Le Floch et al. (private communication) reaching  $S_{lim}(24 \mu\text{m})=80 \mu\text{Jy}$  and to the multi-band  $3.6 \mu\text{m}$  selected catalog from Ilbert et al. (2010) to  $S_{lim}(3.6 \mu\text{m})= 1 \mu\text{Jy}$ . The  $3.6 \mu\text{m}$  catalog includes  $NUV$ ,  $u^*$ ,  $B_J$ ,  $g^+$ ,  $V_J$ ,  $r^+$ ,  $i^+$ ,  $z^+$ ,  $J$ ,  $K_s$ ,  $3.6$ ,  $4.5$ ,  $5.8$ ,  $8.0$  and  $24 \mu\text{m}$  data from GALEX, Subaru, IRAC and Spitzer legacy surveys, respectively. We also needed the spectroscopic information to accurately measure the SFR from the  $H\alpha$  emission line. We have 1654 sources ( $\sim 23$  % of PEP catalog) with spectroscopic information from the zCOSMOS 20k spectroscopic survey (see Sect. 5.1), 717 of them with  $z < 0.46$ . This cut in redshift is necessary to properly observe the  $H\alpha$  emission line ( $6562.8 \text{ \AA}$ ) in the optical spectra. We did not consider sources with no accurate redshifts (flag  $z \leq 2.1$ , Lilly et al. 2009,  $\sim 5\%$ ), neither sources with  $S/N < 3$  in the  $H\alpha$  flux ( $\sim 25\%$ ).

### 7.1.3 Active Galactic Nuclei Detection

To avoid AGN contamination we have cleaned our sample from possible AGN candidates. We used three methods of AGN detection (X-ray emission, Diagnostic Diagrams, and SED-fitting) to eliminate the sources which were classified as AGN by at least one of these methods. We identified 47 AGN candidates ( $\sim 6\%$  of the sample) and excluded them from our final sample. The final sample consists of 474 PEP detected  $H\alpha$ -emitting galaxies with  $0.06 < z < 0.46$ . We explain in detail the three methods used to identify AGNs.

#### X-ray Emitting Sources

X-ray luminosity can be produced in starbursts due to X-ray binaries, but it is more frequently due to AGN activity. To ensure a sample with no AGNs we eliminated sources with X-ray emission detected with XMM-Newton (Hasinger et al., 2007) and Chandra (Elvis et al., 2009) Telescopes.

#### Diagnostic Diagrams

Optical AGNs can be broadly classified into two categories, type-1 or type-2 AGN, depending on their emitted optical spectra. According to the standard unified model,

when the central black hole and its associated continuum and broad emission-line regions are directly observed, they are classified as type-1 AGNs. These objects are easily recognizable from their spectra, characterized by a power-law continuum emission and broad permitted emission lines ( $\gtrsim 1000 \text{ km s}^{-1}$ ) and they have been recognized and excluded originally from the zCOSMOS galaxy sample. Type-2 AGNs, instead, are supposed to be obscured by a dusty circumnuclear medium. They have narrow permitted and forbidden lines ( $\lesssim 1000 \text{ km s}^{-1}$ ), arising from the gas clouds in the narrow line region, and their continuum is very similar to that of normal star forming galaxies. To distinguish type-2 AGNs from normal star-forming galaxies, the ionizing source must be determined. This is usually done by using diagnostic diagrams based on the intensity ratios of pairs of strong emission lines. BPT (Baldwin, Phillips & Terlevich) diagrams, first proposed by Baldwin et al. (1981) and then refined by Veilleux & Osterbrock (1987) are based on [OIII]  $\lambda 5007\text{\AA}$ , [OI]  $\lambda 6300\text{\AA}$ , [NII]  $\lambda 6583\text{\AA}$ , [SII]  $\lambda 6717\text{\AA}$ ,  $H\alpha$   $\lambda 6563\text{\AA}$  and  $H\beta$   $\lambda 4861\text{\AA}$  emission lines. For sources with  $z < 0.46$  it is possible to use the standard BPT diagram based in the plane [OIII]/ $H\beta$  vs [NII]/ $H\alpha$  and [OIII]/ $H\beta$  vs [SII]/ $H\alpha$ . The exact limit between the star-forming galaxies and AGNs is subject to considerable uncertainty. However, an analytic expression is adopted for the limiting curves from Bongiorno et al. (2010) between AGNs and starburst region:

$$\log\left(\frac{[\text{OIII}]}{H\beta}\right) = \frac{0.61}{\log([\text{NII}]/H\alpha) - 0.47} + 1.19 \quad (7.1)$$

$$\log\left(\frac{[\text{OIII}]}{H\beta}\right) = \frac{0.72}{\log([\text{SII}]/H\alpha) - 0.47} + 1.45 \quad (7.2)$$

Starburst are located below these lines, while type-2 AGNs are above. There is an intermediate region, separated by a demarcation line defined by Kauffmann et al. (2003), where composite objects are located. A further distinction in the AGN region can be made by separating the sample into Seyfert-2 galaxies and low ionization nuclear emission regions (LINERs, [OIII]/ $H\beta < 3.0$ ; Heckman 1980).

In Fig. 7.1 and 7.2 we report the BPT diagrams from Bongiorno et al. (2010). Using a S/N criteria ( $S/N(\text{OIII}) > 5$  and  $S/N(\text{other}) > 2.5$  for the other lines involved in the diagrams) they classified 128 sources as type-2 AGNs, of which 31 are Sey-2 and 97 LINERs. It is still unclear whether all LINERs are AGNs. However we eliminated from our sample both the Sey-2 and the sources classified as LINERs to ensure a clean sample of normal star-forming galaxies.

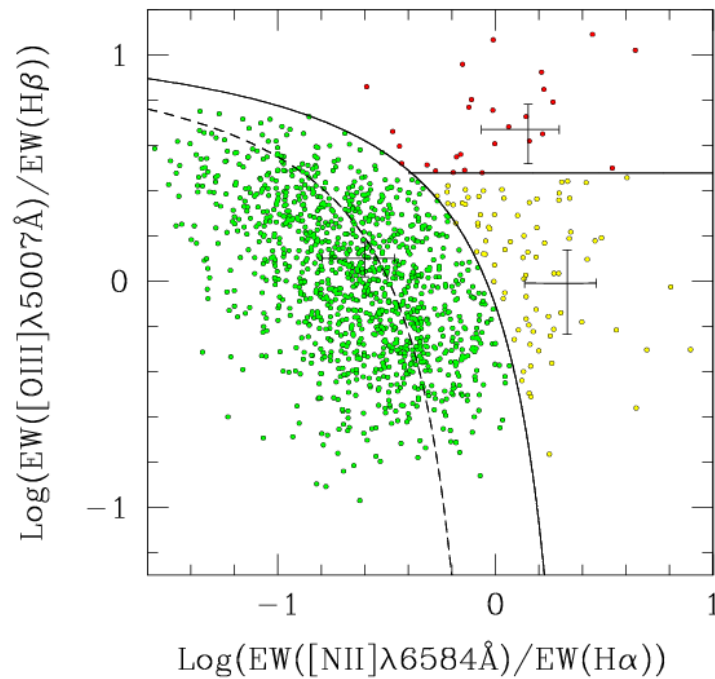


Figure 7.1: Reproduced from Bongiorno et al. (2010).  $\log([\text{OIII}]/\text{H}\beta)$  vs  $\log([\text{NII}]/\text{H}\alpha)$ . The solid lines are the separation between SFGs and AGNs and between Sey-2 and LINERs. The dashed line shows the separation between composite objects. Red circles correspond to objects classified as Sey-2, yellow squares are objects classified as LINERs and green triangles are normal Star-forming galaxies.

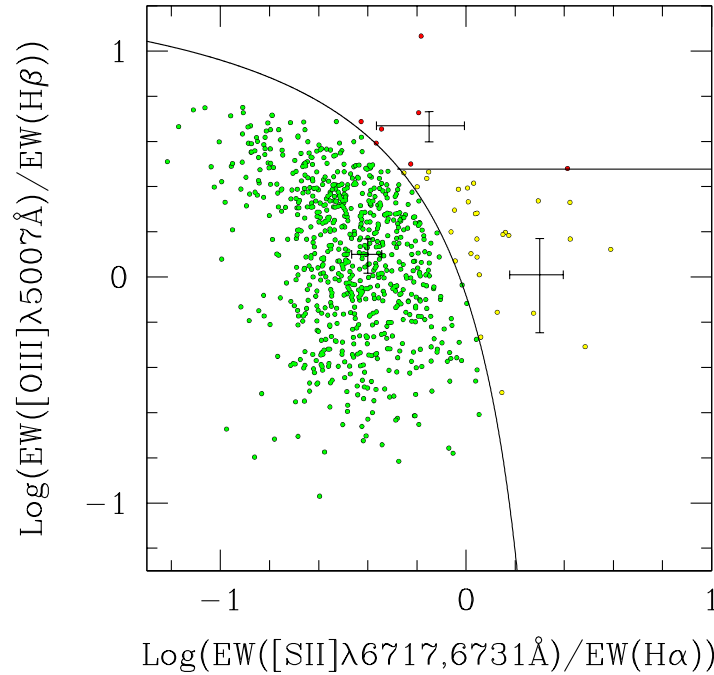


Figure 7.2: Reproduced from Bongiorno et al. (2010).  $\log([\text{OIII}]/\text{H}\beta)$  vs  $\log([\text{SII}]/\text{H}\alpha)$ . Lines and colors as in Fig. 7.1.

### SED-f tting

The methods explained before to detect AGNs are limited by the depth of the surveys. For example, there are many sources which do not satisfy the S/N criteria applied to the BPT diagrams. On the other hand, the XMM data are not as deep as the Chandra ones, which in turn do not cover the whole COSMOS area. To avoid missing AGNs that could not be detected by the previous methods we performed a SED-f tting to our multi-wavelength data from NUV to FIR using Polletta et al. (2007) templates as reference spectra. These empirical templates contain observed SEDs from galaxies with very different properties, from elliptical and normal spiral galaxies, to starburst, composite, Seyfert1, Seyfert2 and quasars (QSO) (See Fig. 7.3). We included also the modified templates by Gruppioni et al. (2010), which include a higher IR bump to better reproduced the observed PEP fluxes in many FIR selected galaxies. These modified templates were created with the arrival of new far-IR data from Herschel. Gruppioni et al. (2010) noted that in  $\sim 10\text{--}12\%$  of cases the observed SEDs were very well reproduced by the templates over the entire UV/optical/NIR/IRAC range, while they were systematically underestimated

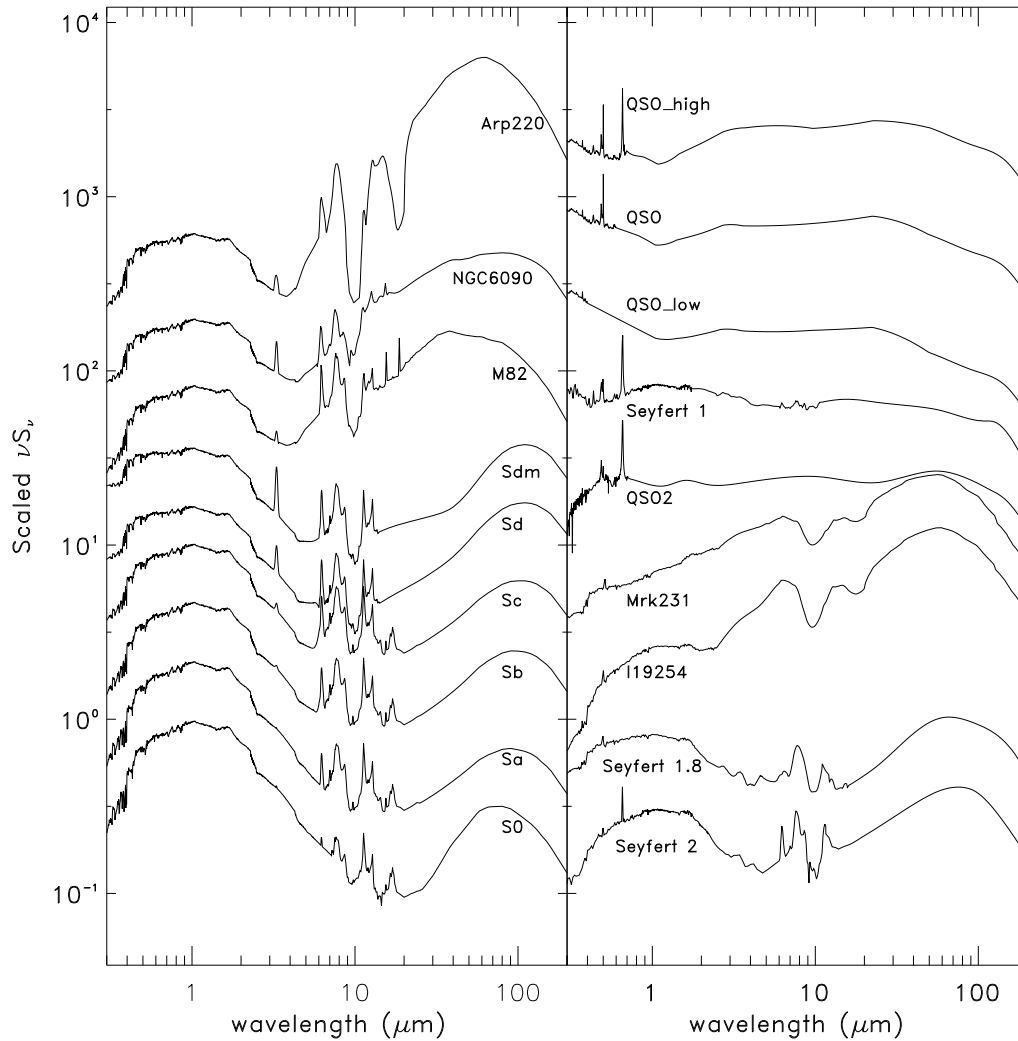


Figure 7.3: Example of template SEDs from Polletta et al. (2007) reproduced from Gruppioni et al. (2008).

in the MIPS/PACS range. In these cases, the PEP 100 and 160- $\mu\text{m}$  flux densities were always higher by up to a factor of  $\sim 4$  than the template at the same  $\lambda$ 's. The authors constructed new templates with a rest-frame 0.1–15  $\mu\text{m}$  spectrum similar to that of Polletta et al. (2007), but with a higher FIR bump, by averaging together (in  $\lambda$ -bins) the observed rest-frame SEDs (normalized to the  $K_s$  band) exhibiting an excess in the FIR and fitted by the same template. They also included to the library three new SB templates obtained by interpolating between the Sdm and the SB SED NGC6090 to fill the large gap existing in the library between spirals and SBs.

With this new complete template library we performed a SED-fitting to our sources. We carefully inspected the resulting SED fittings and we eliminated those

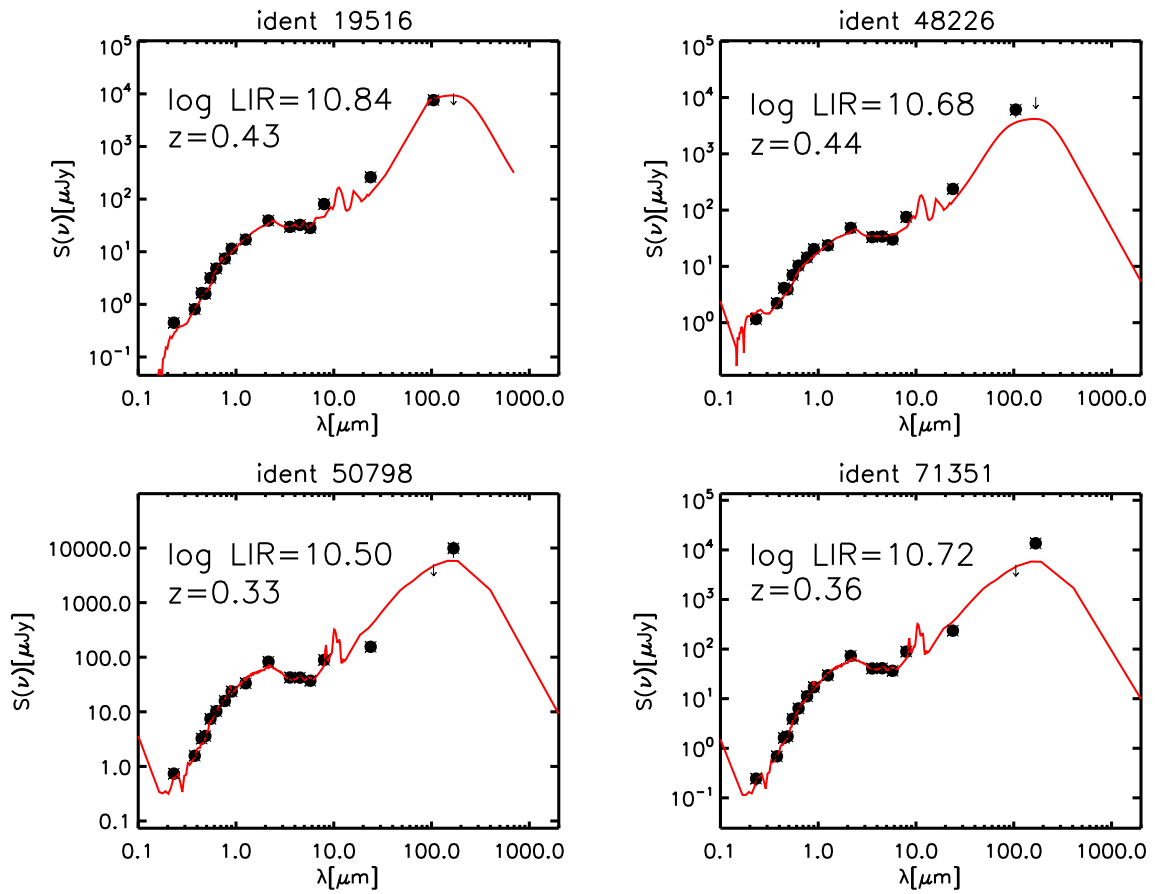


Figure 7.4: Example of sources with SED typical of a Seyfert galaxies. Black circles are the observed data while the red line is the best-fitting SED. Arrows represent the upper limits when the source is not detected in a particular band. Also shown in the plot are the redshift and the  $\log L_{IR}$  of the sources.

sources with a typical Seyfert or QSO SED. In Fig. 7.4 we show 4 galaxies of our sample with best-fitting SED of Seyfert-2/Seyfert-1.8 galaxies. Although the Seyfert templates are dominated by star formation activity in the FIR, we choose to eliminate them from the sample to avoid any AGN contamination in our  $L_{IR}$  calculation.

#### 7.1.4 Stellar Masses

The galaxy stellar masses were derived as explained in Sect. 5.4 (see also Ilbert et al. 2010). We used the *Lephare* code to fit our multi-wavelength data (from NUV to  $5.8 \mu\text{m}$ ) with a set of SED templates from Maraston (2005) with star formation

histories exponentially declining with time as  $SFR \propto e^{-t/\tau}$ . We used 9 different values of  $\tau$  (0.1, 0.3, 1.0, 2.0, 3.0, 5.0, 10.0, 15.0 and 30.0 Gyr) with 221 steps in age. The metallicity assumed is solar. Dust extinction was applied using the Calzetti et al. (2000) extinction law. For this sample we allow a maximum E(B-V) value of 1.4. This value was chosen as the highest E(B-V) that the code was able to handle, given the large grid of parameters used in the SED-fitting. We imposed to the derived age of the galaxies to be less than the age of the Universe at that redshift and greater than  $10^8$  years. The latter requirement avoids having best fit SEDs with unrealistically high specific star formation rates. As already stated (see Sect. 5.4.2), the Maraston (2005) models include an accurate treatment of the thermally pulsing asymptotic giant branch (TP-AGB) phase, which has a high impact on modeling the templates at ages in the range  $0.3 \lesssim t \lesssim 2$  Gyr, where the fuel consumption in this phase is maximum, especially for the near-IR part of the spectrum.

## 7.2 Star Formation Rate Derivation

Our aim is to compare the SFR derived from the  $L_{IR}$  emission using Eq. 7.3 from Kennicutt (1998b) (K98 relation hereafter) with the SFR derived from the  $H\alpha$  emission line. In the next sections we explain how we calculated the SFR using both methods.

### 7.2.1 Star Formation Rate from the Infrared Luminosity

The SFR is a measure of the number of stars formed per unit time in a galaxy. A great part of the light emitted by young stars, which mostly reside within or behind clouds of gas and dust, is absorbed by dust and then re-emitted at longer wavelengths. Therefore, the SFR can be derived from the IR emission of the galaxies. One of the most commonly used method to derive SFR from the IR emission is to apply K98 relation, which links the SFR to the IR luminosity ( $L_{IR}$ ) in the following way (already introduced in Sect. 3.3.4):

$$SFR(M_{\odot}yr^{-1}) = 4.5 \times 10^{-44} L_{IR}(ergs^{-1}) \quad (7.3)$$

However, this is a theoretical relation based on the starburst synthesis models of Leitherer & Heckman (1995b) obtained for a continuous burst, solar abundances

and a Salpeter (1955) IMF, assuming that young stars dominate the radiation field throughout the UV-visible and that the FIR luminosity measures the bolometric luminosity of the starburst. This physical situation holds in dense circumnuclear starbursts that power many IR-luminous galaxies. In the disk of normal galaxies or early type galaxies, the situation is much more complex as dust heating from the radiation field of older stars may be very important. Strictly speaking, as remarked by K98, the relation above applies only to starburst with ages less than  $10^8$  years while, for other cases, it would be probably better to rely on an empirical calibration of  $\text{SFR}/L_{\text{IR}}$ . We tested how well this simple SFR indicator worked for our sample by comparing it with the SFR derived from  $\text{H}\alpha$ .

To derive the  $L_{\text{IR}}$  value of each source we performed a SED-fitting using the *Le Phare* code (Arnouts et al. 2001 Ilbert et al. 2006), which separately fits the stellar part of the spectrum with a stellar library and the IR part (at  $\lambda > 7\mu\text{m}$ ) with IR libraries. To perform the SED-fitting we used 4 different infrared libraries (Dale et al. 2001, Chary & Elbaz 2001 and Lagache cold and Lagache SB from Lagache et al. 2004). In Fig. 7.5 and Fig. 7.6 we show the templates of two of the IR libraries used, the Chary & Elbaz (2001) and the Dale et al. (2001). We set the choice of the IR library as a free parameter, *i.e.* for each source, the code is allowed to try with all the possible templates from the 4 libraries and then chooses the best fitting template from all of them. This helps producing more accurate fitting to the data than choosing only one IR library.

For the far-IR data, the catalog errors are usually underestimated, thus producing very large  $\chi^2$  values. In the case of good fits, the  $\chi$  distributions should be consistent with a  $\sigma \simeq 1$  Gaussian centered around 0. To enlarge the photometric errors of a reasonable quantity we run *Le Phare* on all the sources, obtaining a first "estimate" of the best-fitting SED. We then computed the distributions of the  $(S_{\text{object}} - S_{\text{template}})_{\text{band}} / (\sigma_{\text{object}})_{\text{band}}$  values in each of the considered photometric band (where  $S_{\text{object}}$  and  $\sigma_{\text{object}}$  are the flux density and the relative error of the source, and  $S_{\text{template}}$  the flux of the template in the considered band), iteratively increasing the photometric errors until we obtained a Gaussian distribution with  $\sigma \simeq 1$ . To clarify the process we show in Fig. 7.7 the  $\chi$  distribution from 8 to  $160\mu\text{m}$  before enlarging the errors (black line) and after performing the iterative process (red line). The final distributions are well fitted by a Gaussian distribution with median and  $\sigma$  values also shown in the plot (blue line).

To perform the SED-fitting we fixed the redshift to the spectroscopic redshift ( $z_s$ ) of

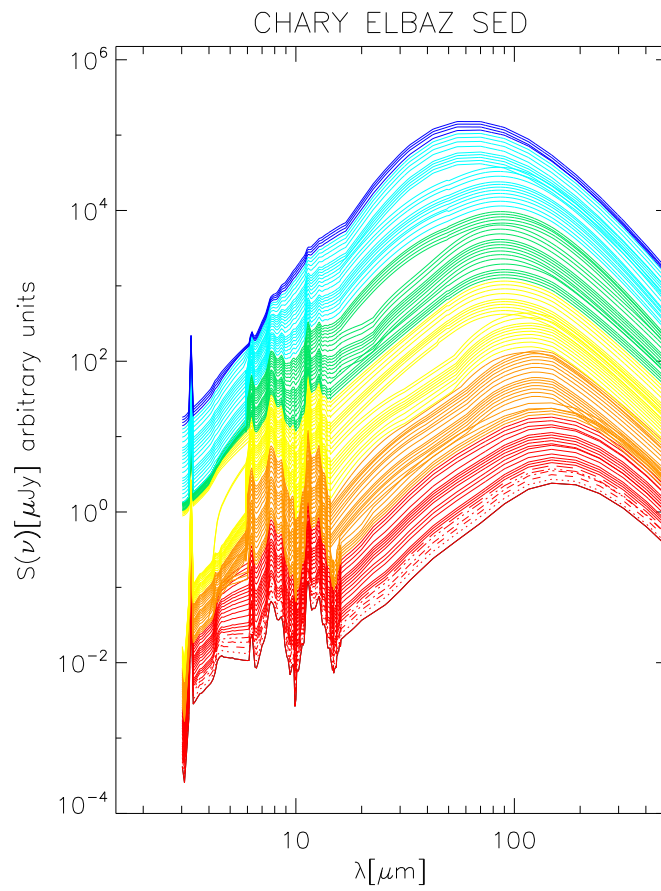


Figure 7.5: Chary & Elbaz (2001) IR template library.

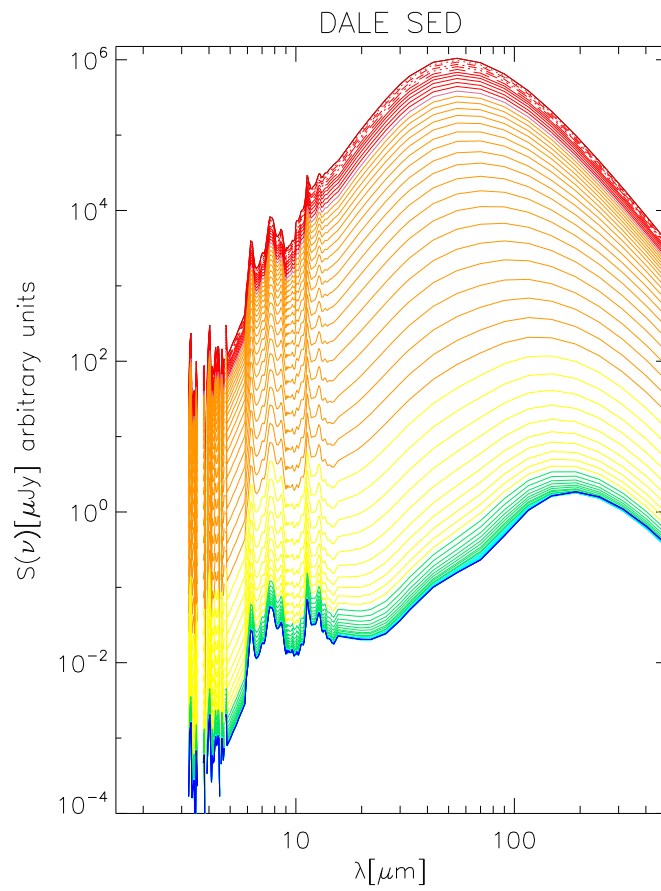


Figure 7.6: Dale et al. (2001) IR template library.

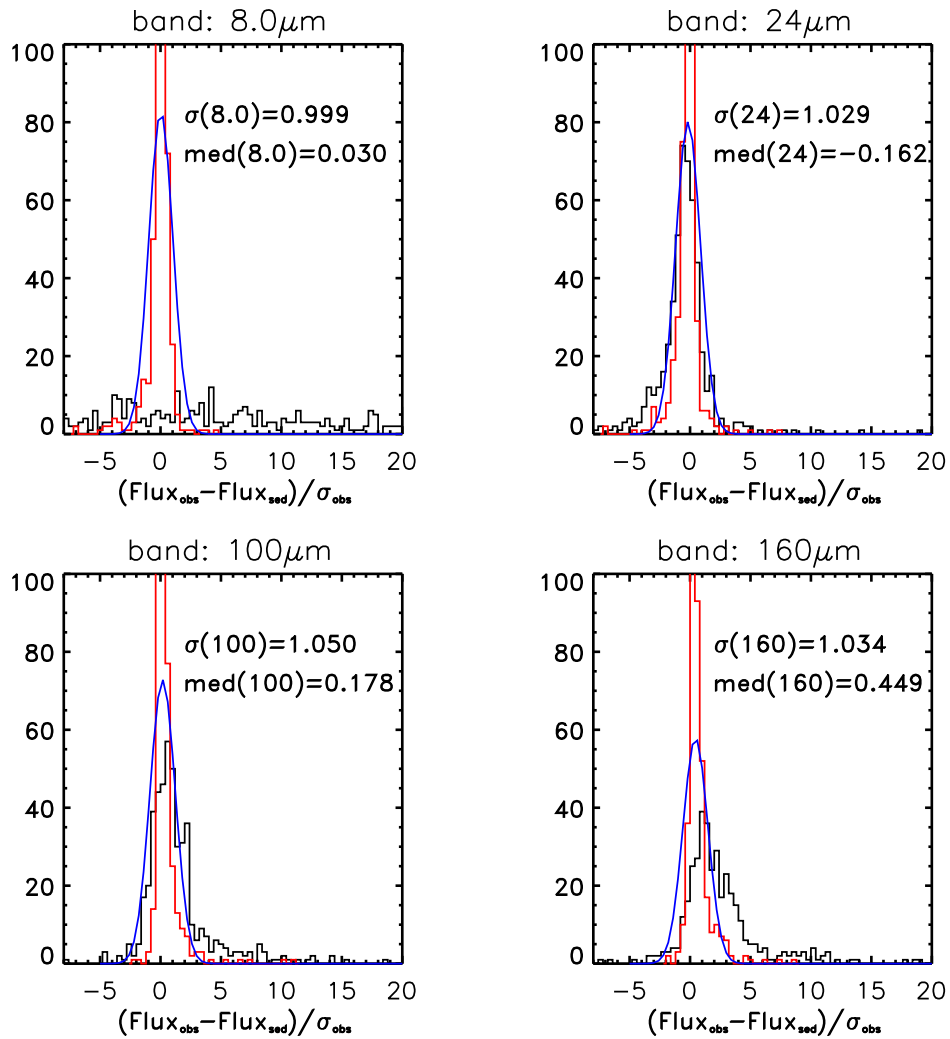


Figure 7.7:  $\chi$  distribution in the 8, 24, 100 and 160  $\mu\text{m}$  bands. The black line is the  $\chi$  distribution obtained using original photometric errors. The red line is the distribution obtained after increasing the errors, while the blue line is the Gaussian fit to the new distribution.

each source and made use of the multi-wavelength data with the new photometric errors, including IRAC, Spitzer 24  $\mu\text{m}$  and recent PEP Herschel data at 100 and 160  $\mu\text{m}$ . The inclusion of these new data at large wavelengths, which sample the critical far-infrared peak of nearby galaxies, allows us to derive the  $L_{IR}$  without associated uncertainties due to extrapolation of the IR peak (see Elbaz et al. 2010, Nordon et al. 2011). We used the *Le Phare* code, which first performs a template-fitting procedure based on a simple  $\chi^2$  minimization; then it integrates the resulting best-fit spectra from 8 up to 1000  $\mu\text{m}$  and directly gives the  $L_{IR}$  value as output. We estimate the  $1\sigma$  uncertainty of the  $L_{IR}$  value as half the difference between  $L_{IR,sup}$  and  $L_{IR,inf}$ , where  $L_{IR,sup}$  and  $L_{IR,inf}$  are the  $L_{IR}$  value for  $\Delta\chi^2 = 1$ . In Fig. 7.8 we show some examples of our SED-fittings. Black circles are the observed data, while the best SED fitting are the blue and the red lines for the stellar and starburst component, respectively.

### 7.2.2 Star Formation Rate from the $H\alpha$ Emission Line

In this work we derived the SFR from the  $H\alpha$  emission line luminosity corrected from extinction [SFR( $H\alpha$ ) hereafter]. We used the conversion factor derived by Kennicutt et al. (1994) and Madau et al. (1998) to obtain our SFR from the  $H\alpha$  emission line:

$$SFR(M_{\odot}yr^{-1}) = 7.9 \times 10^{-42} L(H\alpha)(ergs^{-1}) \quad (7.4)$$

$H\alpha$  emission line fluxes were measured using the automatic routine Platefit developed by Lamareille et al. (2009) to analyze the VLT-VIMOS (Very Large Telescope, Visible Multi-Object Spectrograph) spectra. After removing a stellar component using Bruzual & Charlot (2003) models, Platefit VIMOS performs a simultaneous Gaussian fit of all emission lines using a Gaussian profile. The slits in the VIMOS masks have a width of 1 arcsec, therefore an aperture correction for slit losses is applied to each source. Each zCOSMOS spectrum is convolved with the ACS(814) filter and then this derived magnitude is compared with the  $I$ -band magnitude of the GIM2D (Galaxy Image 2D, an IRAF package) fits of Sargent et al. (2007). The difference between the two magnitudes gives the aperture correction for each spectrum. This correction assumes that the emission line fluxes and the  $I$ -band continuum suffer equal slit losses. In Fig. 7.9 we show ACS ( $10 \times 10$  arcsec<sup>2</sup>) images of some galaxies from our sample. The spectrograph slits, 1 arcsec width, are also shown in the figure with the same orientation with which the spectra were

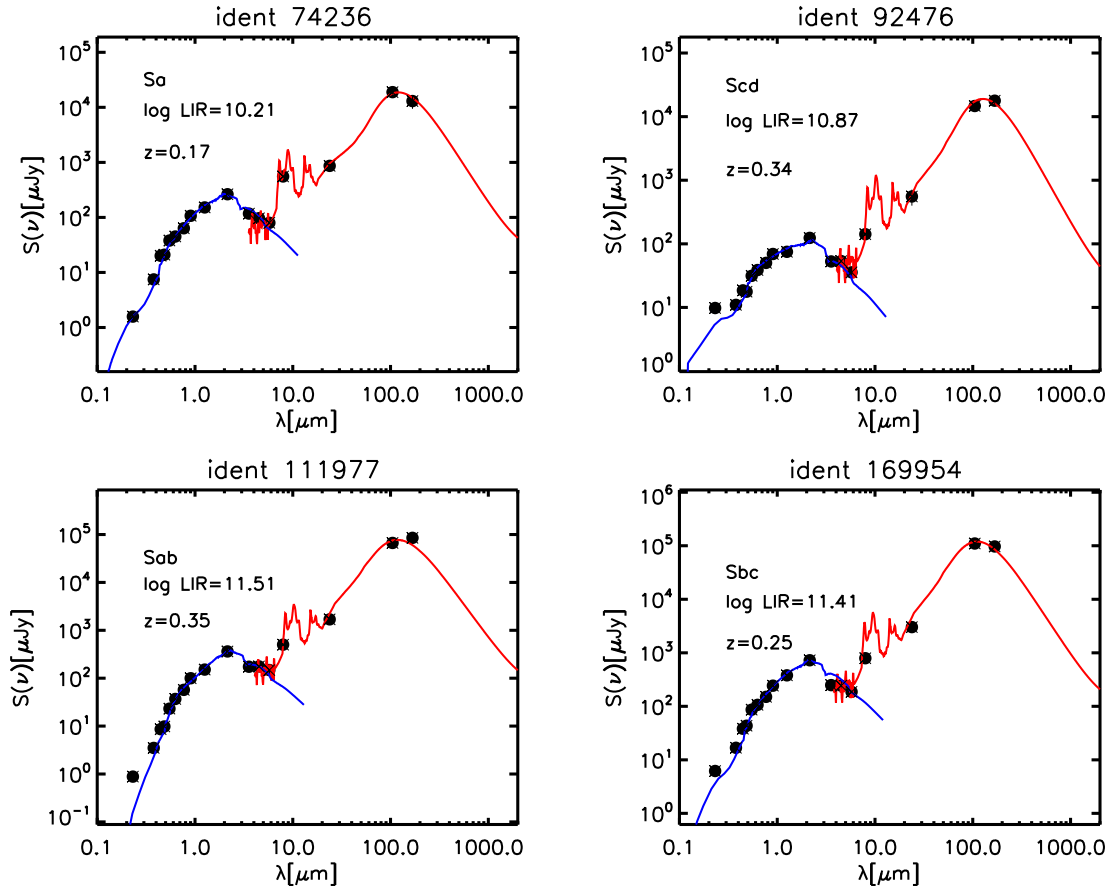


Figure 7.8: Example of SED-fitting for 4 sources. The observed SED of each source (black filled circles) is shown with the corresponding best-fit solution (blue solid line for the stellar part, red solid line for the IR), as well as the morphological type (see Sect. 7.4.5), the  $z_s$  and the derived  $L_{IR}$ .

taken. This reflects the fact that, for the more extended galaxies, part of the emitted light from the galaxy does not enter the spectrograph, so we lose information from the most external parts of these galaxies.

### Dust Extinction Correction

A major problem when deriving  $SFR(H\alpha)$  is the effect of dust extinction. Star formation normally takes place into dense regions in circumnuclear starburst, so a significant fraction of the emitted light from young stars is absorbed by the dust and re-emitted in the IR wavelengths. A method to measure the extinction is to compare the observed ratio of the  $H\alpha$  and  $H\beta$  emission lines with the theoretical value ( $R_{th} = H\alpha/H\beta = 2.86$ ).

$$F_{corr}(H\alpha) = F_{obs}(H\alpha) \times 10^{0.4 \times A_\lambda} \quad (7.5)$$

$$A_\lambda = k(\lambda)E(B - V) \quad (7.6)$$

$$E(B - V) = A_V/R_V \quad (7.7)$$

$$(7.8)$$

Following the Calzetti et al. (2000) extinction law, one can derive the  $A_V$  value by comparing the observed and the theoretical ratio of the  $H\alpha$  and  $H\beta$  emission lines as

$$A_V = 2.5 \times \frac{R_V \times \log_{10}(R_{obs}/R_{th})}{(k1 - k2)}, \text{ where}$$

$$k1 = 2.659 \times [-2.156 + (1.509/\lambda_1) - (0.198/\lambda_2^2) + (0.011/\lambda_1^3)] + R_V \quad (7.9)$$

$$k2 = 2.659 \times [-1.857 + (1.040/\lambda_2)] + R_V$$

$$\lambda_1 = 4861.3\text{\AA}$$

$$\lambda_2 = 6562.8\text{\AA}$$

$$R_V = 4.05$$

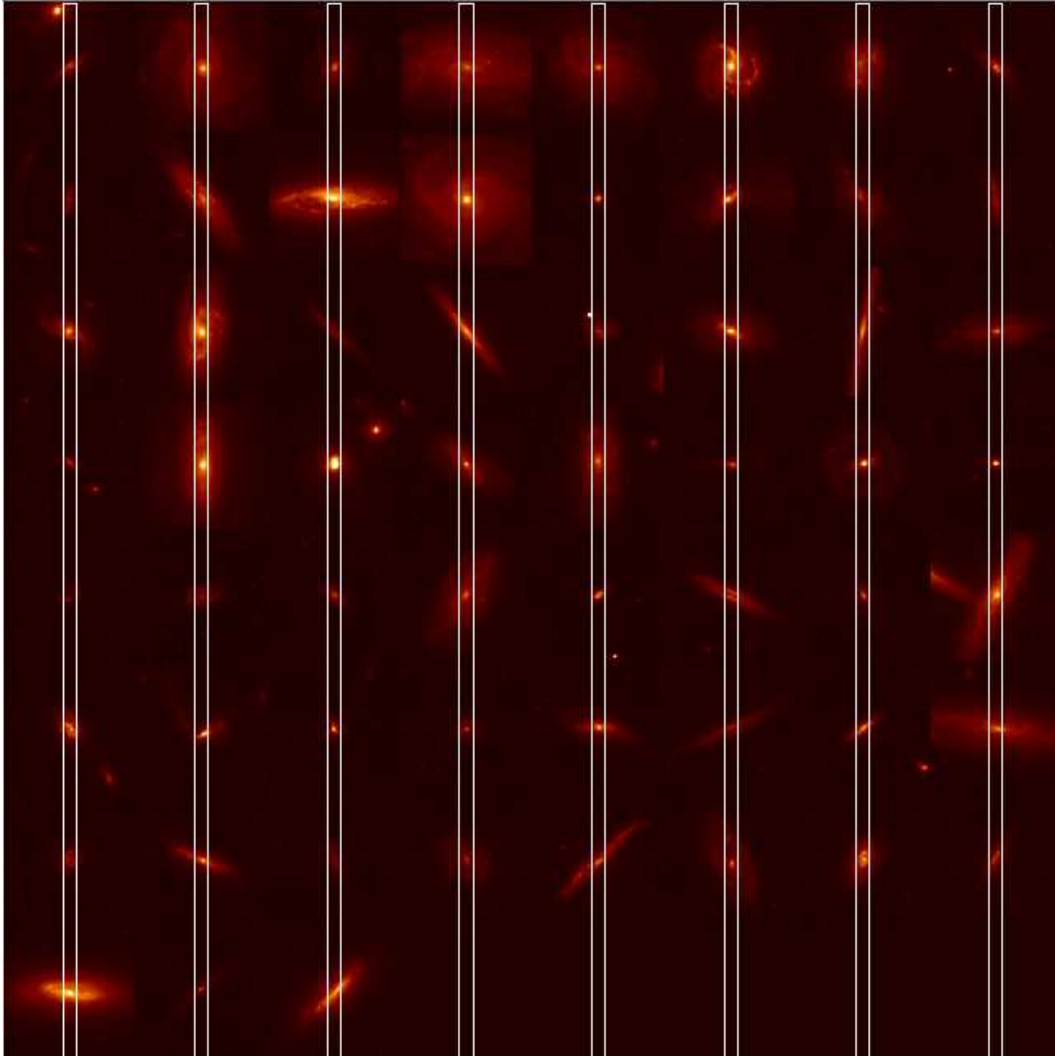


Figure 7.9: ACS ( $10 \times 10 \text{ arcsec}^2$ ) images of some galaxies from our sample. The spectrograph slits, 1 arcsec width, are also shown in the figure with the same orientation with which the spectra were taken.

Table 7.1: Number of sources in each  $L_{IR}$  bin.

$\log L_{IR} (L_{\odot}) < 10.5$	$10.5 < \log L_{IR} (L_{\odot}) < 11.0$	$\log L_{IR} (L_{\odot}) > 11.0$
220	196	58

Making use of the spectra of our sources from the 20k survey we can measure the observed ratio between the  $H\alpha$  and  $H\beta$  emission lines and obtain the extinction values. However, the quality of the spectra is not high enough to individually measure the  $H\beta$  line for the whole sample. As the extinction usually correlates with the  $L_{IR}$ , we constructed median spectra for our sources, dividing them in 3  $L_{IR}$  bins ( see Table 7.1).

For each median spectrum we measured the  $H\alpha$  and  $H\beta$  fluxes using the IRAF software, after subtracting the stellar component making use of extinguished Bruzual & Charlot (2003) models. Fig. 7.10 shows the median spectra obtained for the lowest  $L_{IR}$  bin (black line) before subtracting the stellar continuum (red line) and once the continuum has been subtracted (blue line). Once the stellar component is subtracted from the observed spectra, the emission lines can be measured without the contamination of the stellar absorption. Fig. 7.11 shows the median spectra of our sources after performing the continuum subtraction, as well as the values of the observed ratio between  $H\alpha$  and  $H\beta$  emission lines,  $A_V$  and  $E(B - V)$ . As expected, the extinction increases with  $L_{IR}$ , from  $E(B - V) = 0.28$  for  $\log L_{IR} < 10.5$  to  $E(B - V) = 0.62$  from  $\log L_{IR} > 11.0$ . We derive the  $H\alpha$  luminosities using the measured spectroscopic redshift  $z_s$  of each source, and then we obtain the  $SFR(H\alpha)$  following Eq. 7.4.

As a comparison with respect to the quality of the median spectra we show in Figs. 7.12, 7.13 and 7.14 three typical spectra of three individual galaxies from the different  $L_{IR}$  bins (from lower to highest  $L_{IR}$ ). Note the noise associated to the spectra, which makes it very complicated to accurately measure the emission lines, specially the weak ones, such as  $H\beta$ . Therefore we need to construct median spectra to have a more accurate measure of the extinction, even though if it is not measured for every single object.

Once we have derived median dust extinction values for the 3  $L_{IR}$  bins considered, we correct each single galaxy from extinction using the median extinction value which corresponds to the  $L_{IR}$  bin to which that particular galaxy belongs. Therefore, in the estimation of the  $SFR(H\alpha)$ , now our main source of error is probably the use

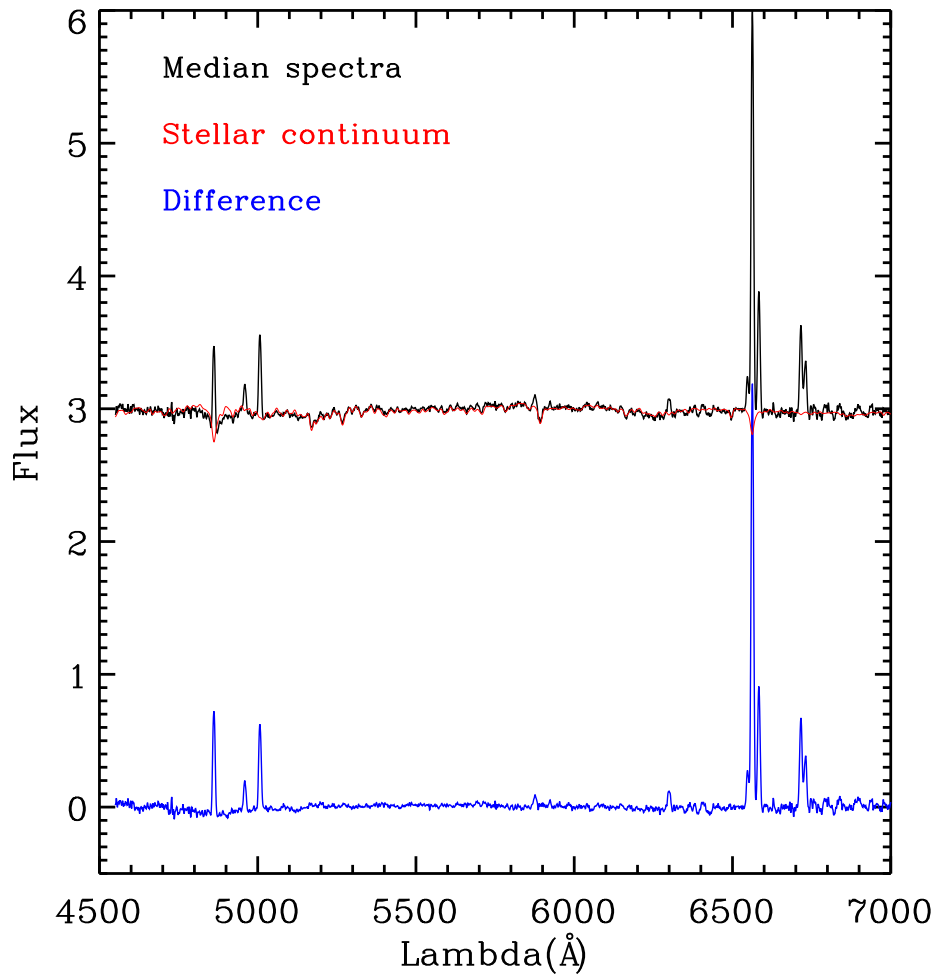


Figure 7.10: Median spectra for the lowest  $L_{IR}$  bin ( $\log L_{IR} < 10.5$ ). Black line is the median spectra before subtracting the stellar component (red), while the spectra obtained when removing the stellar continuum is plotted in blue.

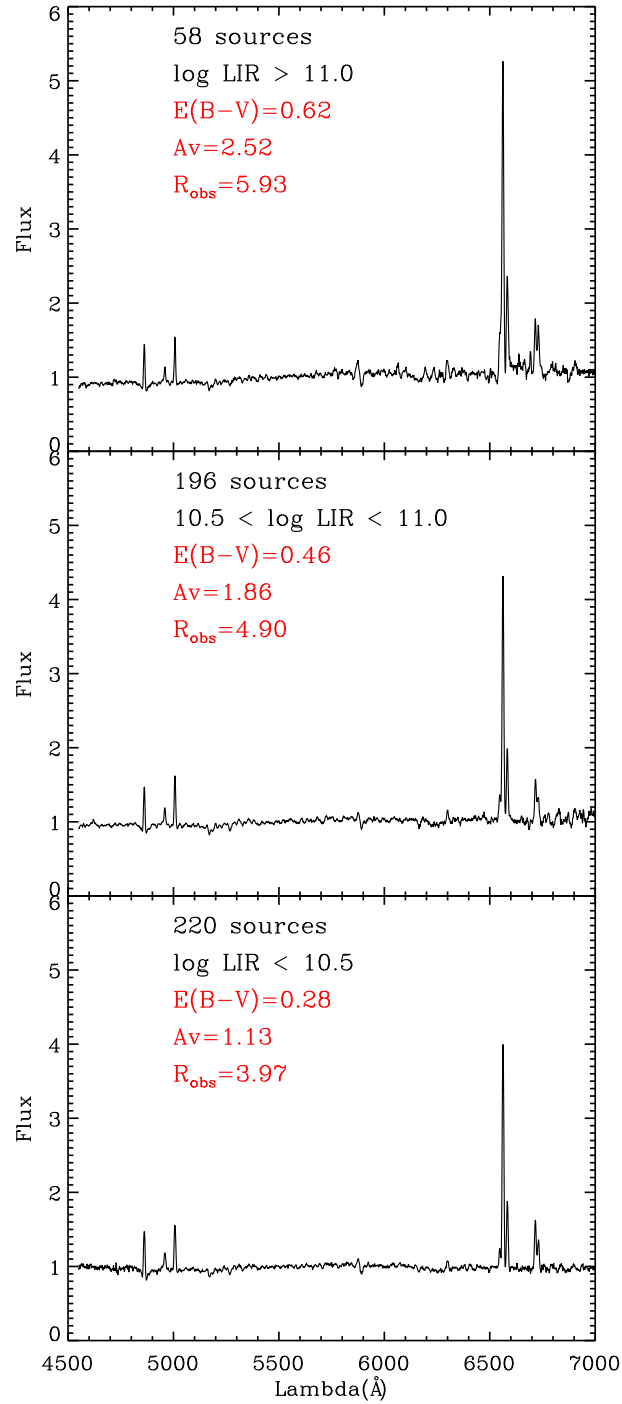


Figure 7.11: Median spectra for 3  $L_{IR}$  bins, with increasing  $L_{IR}$  from bottom to top. Also shown the values of  $R_{obs}$ ,  $A_V$  and  $E(B-V)$ , as well as the number of sources in each  $L_{IR}$  bin.

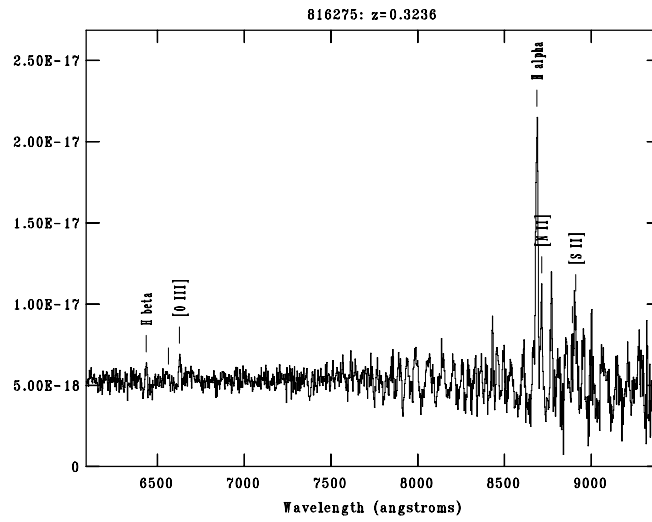


Figure 7.12: Typical spectra of a galaxy with  $\log L_{IR} < 10.5 L_{\odot}$ . The main emission lines are indicated in the plot ( $H\alpha$ ,  $H\beta$  and the forbidden emission lines, [NII], [OIII] and [SII]). The quality of the spectra does not allow an accurate measure of the  $H\beta$  line.

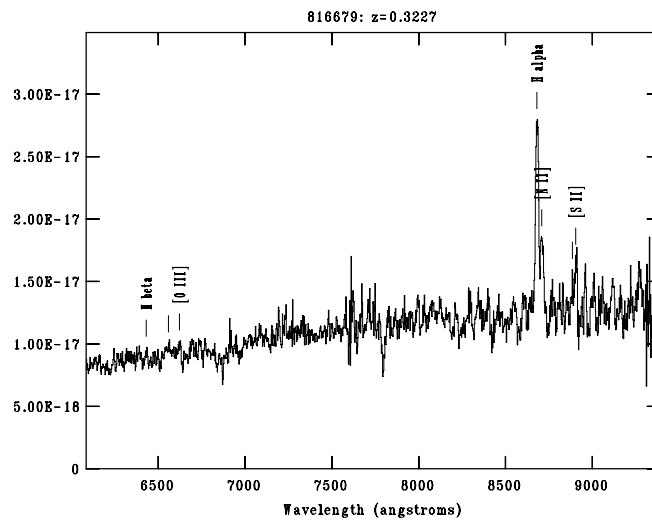


Figure 7.13: Same as in Fig. 7.12 for a galaxy with  $10.5 < \log L_{IR} (L_{\odot}) < 11.0$

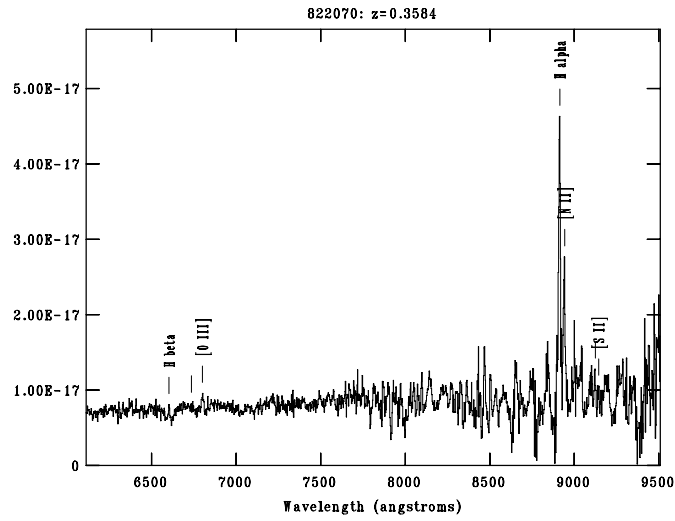


Figure 7.14: Same as in Fig. 7.12 for a galaxy with  $\log L_{IR} > 11.0 L_{\odot}$

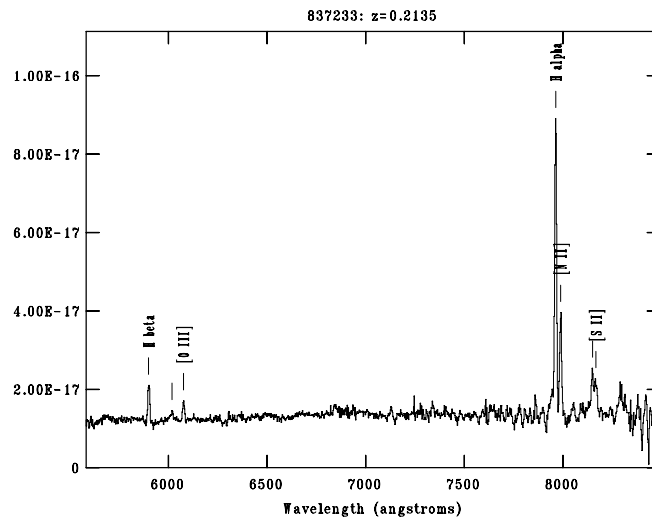


Figure 7.15: Spectra of one of the 31 sources with good quality that allowed to accurately measure the emission spectral lines. The extinction value obtained is  $A_V = 3.28$ .

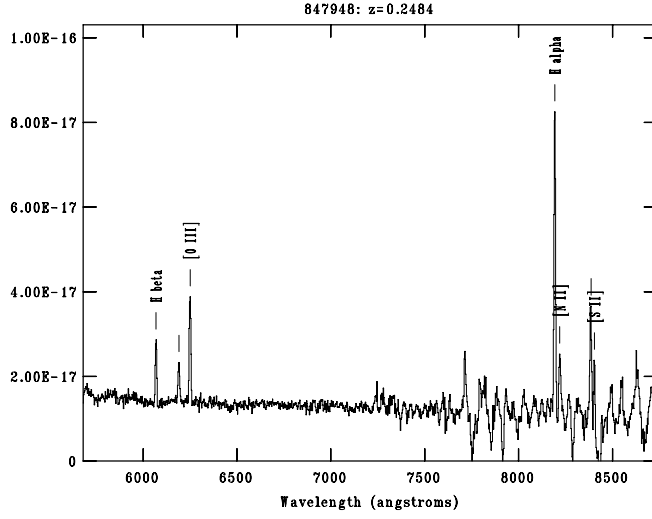


Figure 7.16: Same as in Fig. 7.15 for a galaxy with low extinction ( $A_V = 0.94$ ).

of an average dust extinction correction. To test the effect of adopting an average  $E(B - V)$  value in  $L_{IR}$  bins, we visually inspected the spectra of our sources and we selected a small sub-sample of 31 sources with high S/N continuum in both the  $H\alpha$  and  $H\beta$  spectral ranges, so that we can rely on the measured value of the  $H\alpha/H\beta$  ratio for each source ( $R_{obs,ind}$ ). We then constructed a median spectrum for these 31 sources and derived the median observed ratio ( $R_{obs,med}$ ) as explained before. We show two examples of these 31 galaxies with high S/N continuum in the emission lines spectral ranges (Figs. 7.15 and 7.16 for a galaxy with high and low extinction values, respectively.). It can be observed the difference between the quality of the emission lines in these spectra selected to test the effect of using median extinction values instead of single values with respect to the noise associated to the emission lines of the typical spectra ( Figs. 7.12, 7.13, 7.14).

In Fig. 7.17 we show the difference between the  $E(B-V)$  value measured for each of the 31 sources of this control sample and the  $E(B-V)$  value of the median spectra for these 31 sources. The dispersion is  $\sigma = 0.20$  and there is no significant offset ( $\langle \Delta(E(B - V)) \rangle = 0.04$ ). This small offset confirms that, when using median extinctions instead of individually measured extinctions, we are not introducing any systematic bias but only increasing the dispersion of the data. We interpret the value  $\sigma = 0.20$  as the  $E(B-V)$  uncertainty that we introduce in the  $SFR(H\alpha)$  when using a median extinction instead of an individual extinction for each source. We take into account this dispersion when deriving the  $SFR(H\alpha)$  errors.

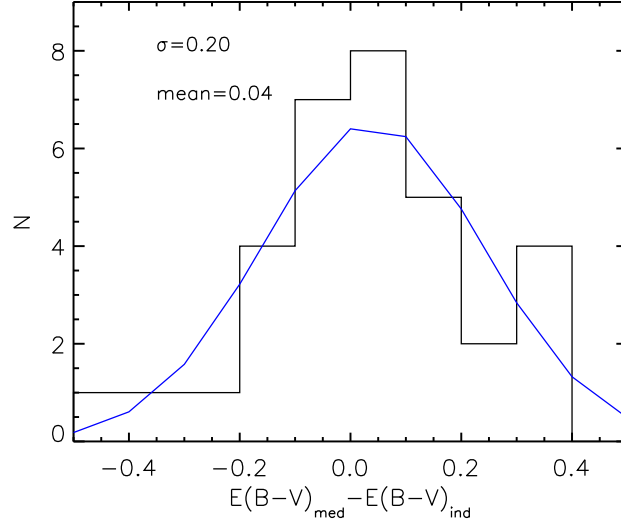


Figure 7.17: Difference between the  $E(B-V)$  value derived from the median spectrum and the  $E(B-V)$  value measured for each source for a control sample of high S/N sources both in  $H\alpha$  and  $H\beta$  emission lines. The  $\Delta(E(B-V))$  values are consistent with a Gaussian distribution with  $\sigma = 0.20$ . We assume this dispersion to be the error in  $E(B-V)$  that we introduce when using a median extinction instead of an individual extinction.

### Brinchmann 2004 Recipes

In the previous section we have derived our  $SFR(H\alpha)$  by simply multiplying the  $L_{H\alpha}$  value by a constant (Eq. 7.4). However, recent works have demonstrated that this constant, commonly known as efficiency and expressed as  $\eta_{H\alpha} = L_{H\alpha}/SFR$  ( $\eta_{H\alpha}^0 = L_{H\alpha,corr}/SFR$  is the efficiency between SFR and  $L_{H\alpha}$  corrected from dust extinction), does change with some galaxy properties and, in particular, with stellar mass. Following Brinchmann et al. (2004) [B04, hereafter] we also derived our  $SFR(H\alpha)$  by using efficiency values which depend on the galaxy stellar mass. These efficiency values were taken from the values derived in B04 (Fig. 7.18 in this work, Fig. 7 in B04). For each source of a given mass, we interpolated the  $\eta_{H\alpha}^0$  values from B04 and used the resulting  $\eta_{H\alpha}^0$  values to derive the SFR from the  $H\alpha$  luminosity. We will call the SFR derived in this way as  $SFR(H\alpha, B04)$ . In any case, when using  $\eta_{H\alpha}^0$  values, an extinction correction must be applied to the observed  $H\alpha$  luminosity line. We applied the median extinction values derived in  $L_{IR}$  bins as explained above.

To avoid the extinction problem we made use of another result from B04. In Fig. 6 from B04 (Fig. 7.19 in this work) the likelihood distribution of the dust

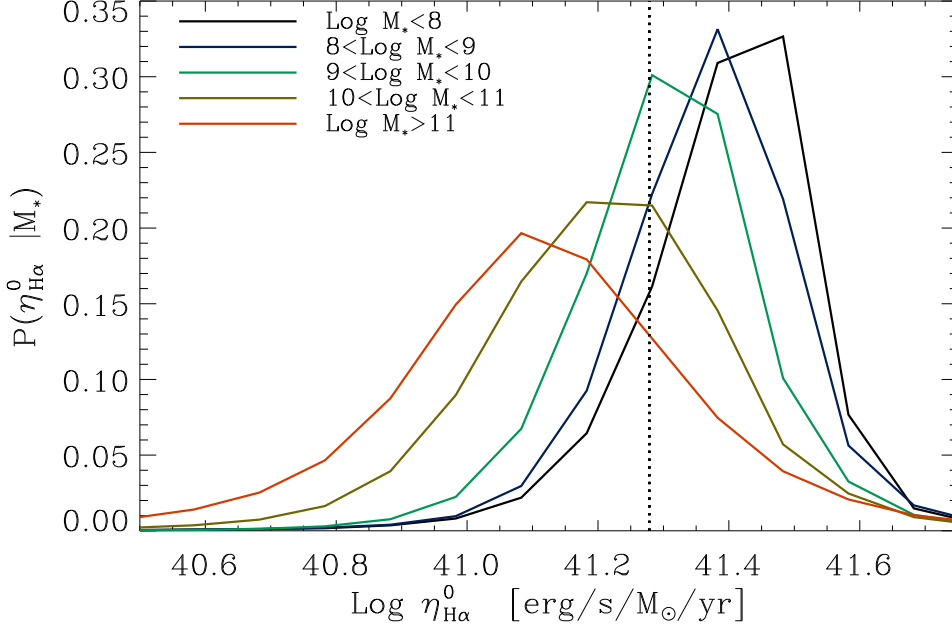


Figure 7.18: The likelihood distribution of the conversion factor between un-extinguished  $H\alpha$  luminosity and the SFR for different mass values. Reproduced from B04.

attenuation as a function of the stellar mass is shown. As for the  $\eta_{H\alpha}^0$  values, we used the  $A_{H\alpha}$  value corresponding to the mass of each galaxy. Then, we corrected our  $H\alpha$  luminosities from extinction using the  $A_{H\alpha}$  calculated values and then transformed the luminosity into SFR by using the  $\eta_{H\alpha}^0$  derived above for each galaxy. We will call the SFR derived in this way as SFR( $H\alpha$ , B04, ext).

### 7.3 Star Formation Rate Comparison

In this section we aim to compare the different values of the SFR from IR or  $H\alpha$  luminosities using the recipes explained in Sects. 7.2.1 and 7.2.2. In Fig. 7.20 we compare SFR( $L_{IR}$ ) with SFR( $H\alpha$ ), where SFR( $H\alpha$ ) is obtained from the  $H\alpha$  emission line using the simple linear conversion from Eq. 7.4. The blue line is the one to one relation, while the red line is the best fit to our data. For clarity of the figure we did not include the errors for each source, but show the median error on SFR( $L_{IR}$ ) and SFR( $H\alpha$ ) for each  $L_{IR}$  bin. Notice that, while the errors on SFR( $H\alpha$ ) are almost constant in the three  $L_{IR}$  bins, the errors on SFR( $L_{IR}$ ) are larger for lower  $L_{IR}$  values. We have performed a linear fit taking into account both

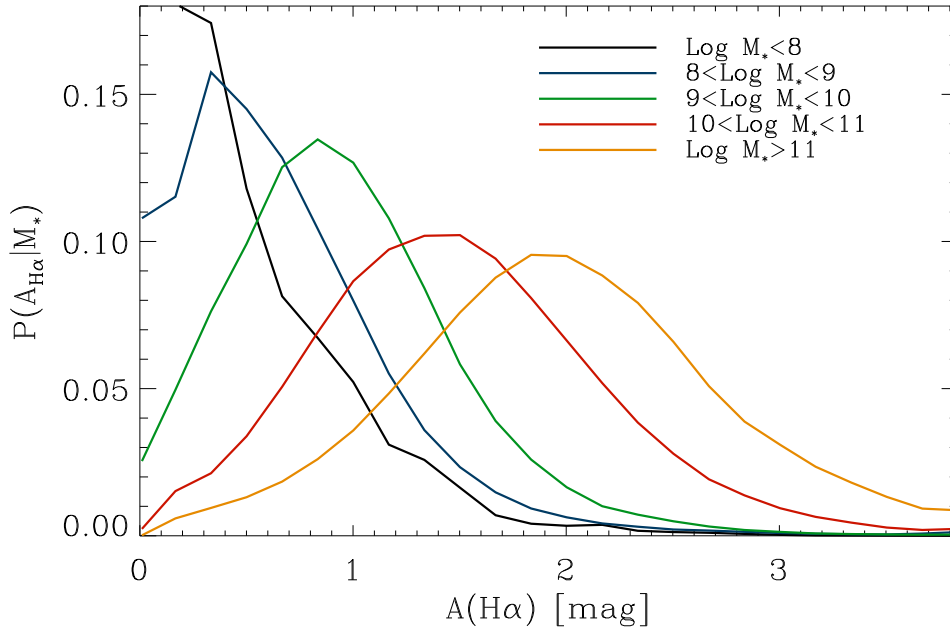


Figure 7.19: The likelihood distribution of the dust attenuation as a function of stellar mass. Reproduced from B04.

the errors in  $\text{SFR}(L_{IR})$  and in  $\text{SFR}(H\alpha)$ , which include the uncertainty introduced when using median extinctions (as explained in Sect. 7.2.2). The slope of our relation is  $m = 1.11 \pm 0.04$ , consistent at  $3\sigma$  with the one to one relation ( $m = 1.0$ ). Also the normalizations of the two relations are well consistent with each other. It is interesting to notice the relatively small dispersion of the data ( $\sigma = 0.31$ ), comparable with the uncertainties derived for the  $\text{SFR}(H\alpha)$  (with a mean error  $\sim 0.30$ ). Considering the wide range of SFRs studied (about three orders of magnitude) and the systematic errors that could affect our sample, we confirm that both SFRs estimates are in a very good agreement, even if they are based on very simple recipes that do not take into account the galaxy intrinsic properties, but are a mere transformation from luminosity to SFR.

In Figs. 7.21 and 7.22 we show an analog to the Fig. 7.20 now showing in the  $x$  axis the SFR values obtained following the B04 recipes. In Fig. 7.21 the SFR values are  $\text{SFR}(H\alpha, \text{B04})$ , *i.e.* they are derived using the mass dependent efficiency, with  $L_{H\alpha}$  values corrected from extinction using the median  $E(B-V)$  values in each  $L_{IR}$  bin. In Fig. 7.21, instead, the SFR values derived from the  $H\alpha$  luminosities are the  $\text{SFR}(H\alpha, \text{B04, ext})$ , *i.e.* they are derived using both the mass dependent efficiency

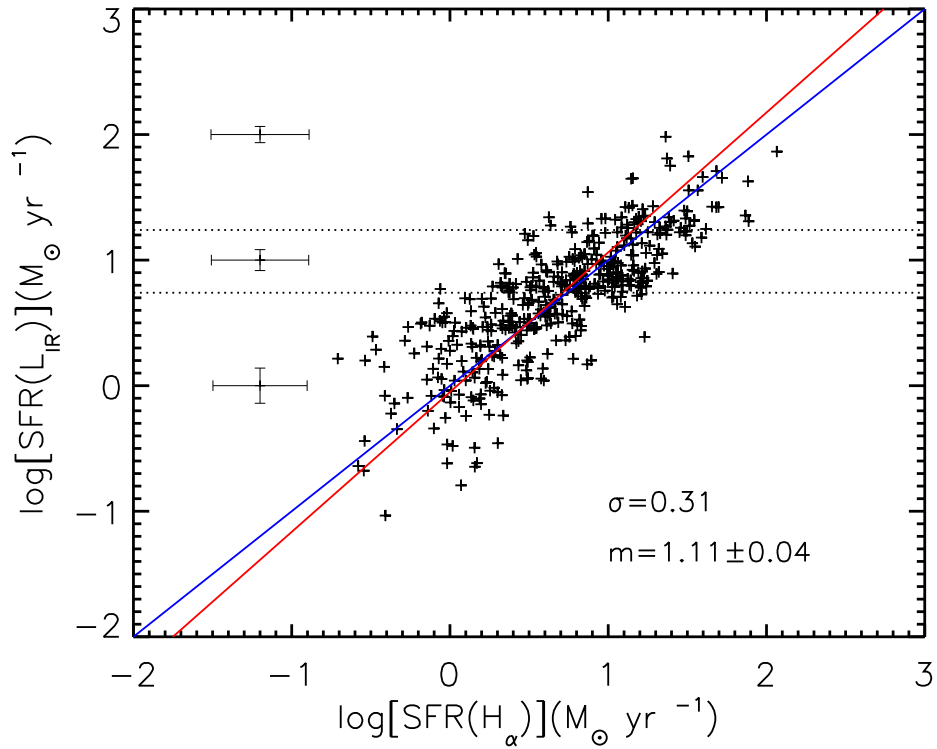


Figure 7.20:  $\text{SFR}(L_{\text{IR}})$  vs  $\text{SFR}(\text{H}\alpha)$ . The blue line represents the one to one relation, while the red line is the best-fit to our data. Horizontal dotted lines represent the limits of the bins in  $L_{\text{IR}}$  converted in  $\text{SFR}(L_{\text{IR}})$ . Also shown in the plot the slope of the best fit ( $m = 1.11 \pm 0.04$ ) and the dispersion of the relation ( $\sigma = 0.31$ ). Median errors on  $\text{SFR}(L_{\text{IR}})$  and  $\text{SFR}(\text{H}\alpha)$  for each  $L_{\text{IR}}$  bin are shown in each  $L_{\text{IR}}$  bin.

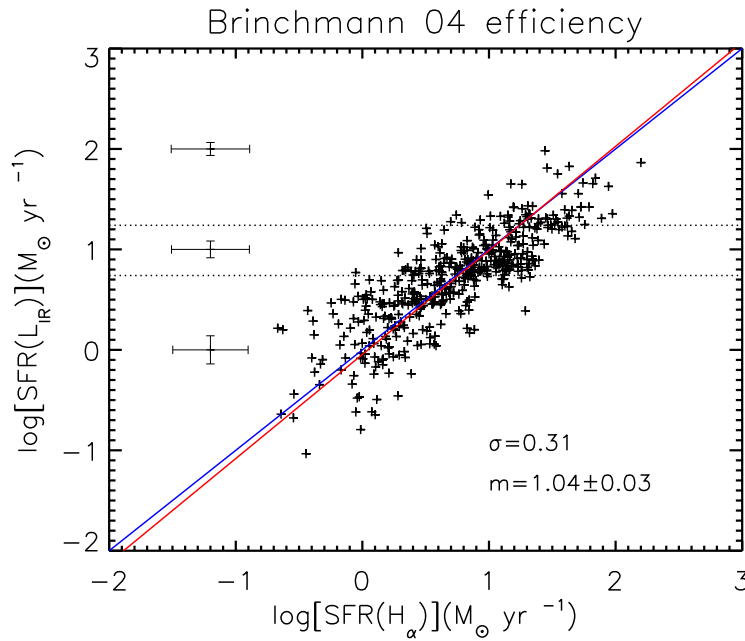


Figure 7.21: SFR( $L_{IR}$ ) vs SFR( $H\alpha$ , B04). Colors as in Fig. 7.20.

and dust attenuation values. In this case, we assume a  $\sigma = 0.20$  uncertainty in the dust  $E(B-V)$  values, as derived from the shape of the Gaussians of the likelihood distribution of the dust extinction (from Fig. 7.19).

The agreement between the SFR( $L_{IR}$ ) and the SFR( $H\alpha$ , B04) is excellent, with a slope  $1.04 \pm 0.03$ , *i.e.* consistent within  $\sim 1\sigma$  with the one to one relation. The dispersion value  $\sigma = 0.31$  is almost identical to the one we obtain when using the more simple linear conversion (Eq. 7.4), confirming the good agreement between SFR indicators. When including the mass dependent extinction, however, the slope fitting the data increases up to  $1.26 \pm 0.05$ , while the dispersion remains very similar to the one obtained for the previous methods ( $\sigma = 0.32$ ). The increment of the slope must be due to the introduction of this mass dependent dust attenuation, meaning that, at high  $L_{IR}$ , the mass dependent dust extinction correction is smaller than that derived from the median spectra, and the other way round at low  $L_{IR}$ .

We have considered the possible effect of a selection of the sample in the far-IR bands when studying the SFR estimates comparison. For the sources with a detected  $H\alpha$  flux, but not detected in the far-IR, we have derived the SFR from the  $H\alpha$  luminosity and then transformed this into  $L_{IR}$  assuming that the K98 relation is correct (inverse of Eq. 7.3). There is a quite robust dependence between  $L_{IR}$  and 100 and 160  $\mu\text{m}$  fluxes for a given redshift. Therefore, knowing the redshift and

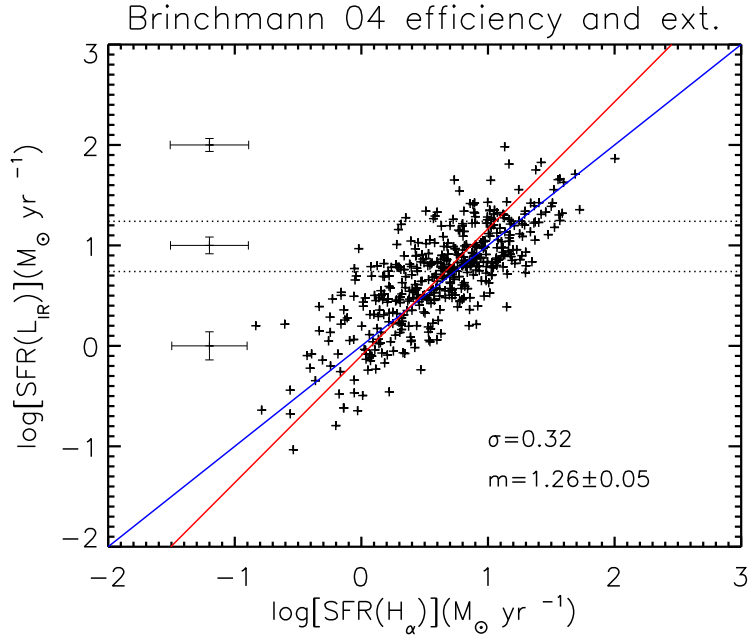


Figure 7.22:  $\text{SFR}(L_{IR})$  vs  $\text{SFR}(H_{\alpha}, \text{B04, ext})$ . Colors as in Fig. 7.20.

the  $L_{IR}$ , we have approximately calculated the PEP fluxes that these sources should have if they would follow the K98 relation. 99% of the sources detected in  $H_{\alpha}$  but not detected in the far-IR have estimated PEP fluxes lower than the PEP detection limit, *i.e.* they are consistent with following the K98 relation and being undetected in the far-IR.

We have also studied a possible aperture correction effect. The IR fluxes at 100 and 160  $\mu\text{m}$  from Herschel are integrated fluxes over the whole galaxy due to the large PSF. Instead, as explained in Sect. 7.2.2, the  $H_{\alpha}$  is measured with a slit of 1 arcsec width and then aperture corrected. This correction assumes that the  $H_{\alpha}$  flux is homogeneous in the whole galaxy. However we know that galaxies have internal structures, specially affecting the star forming regions where the  $H_{\alpha}$  emission is observed. Larger galaxies should be more affected by aperture correction as the observed  $H_{\alpha}$  flux is measured in a smaller part of the galaxy, while for small galaxies almost all the light enters into the slit. We have tested the aperture correction effect by comparing the difference between  $\text{SFR}(L_{IR})$  and  $\text{SFR}(H_{\alpha})$  with the sizes of the galaxies. We did not see any systematic effect, *i.e.* the  $\Delta(\text{SFR})$  did not depend on the galaxy sizes. We therefore conclude that the aperture correction does not systematically affect the slope of the  $\text{SFR}(L_{IR})$ - $\text{SFR}(H_{\alpha})$  relation.

## 7.4 Star Formation Rate Dependences

### 7.4.1 Redshift

We have seen that the SFR estimates from  $H\alpha$  luminosity and  $L_{IR}$  agree very well with each other when considering the whole sample of galaxies. In this section we aim to detect if there are intrinsic properties of the galaxies that could affect the  $SFR(H\alpha)$ - $SFR(L_{IR})$  relation. In Fig. 7.23 (upper panel) we show the  $SFR(L_{IR})$  vs  $SFR(H\alpha)$  for different redshifts, where the color code is explained in the plot. To better appreciate the effect of  $z$ , we plot in the lower panel the ratio between  $SFR(L_{IR})$  and  $SFR(H\alpha)$  versus  $z$  [ $\Delta(SFR)$ ]. The blue line represents the best fit to the data with a fourth order polynomial, while the red dots are the running mean of the  $\Delta(SFR)$  each 20 values of  $z$ . There seems to be no strong dependence on the relation with the redshift of each source, but a random scatter around the redshift zero point. We studied the effect of redshift when using B04 recipes. In Fig. 7.24 we plot the same data as in the lower panel of Fig. 7.23 but now the SFRs have been derived using the B04 recipes. In the upper panel we show the  $SFR(H\alpha, B04)$ , *i.e.* the SFR derived from the  $H\alpha$  emission line when using different  $\eta_{H\alpha}^0$  values and correcting  $L_{H\alpha}$  from extinction using the median  $E(B - V)$  values obtained from the median spectrum in each  $L_{IR}$  bin. In the lower panel we show  $SFR(H\alpha, B04, ext.)$  where, in addition to different efficiency values, we also use the  $A_{H\alpha}$  extinctions calculated for different masses. No much difference is observed when deriving the SFR with these three different methods. We conclude that  $SFR(L_{IR})$ - $SFR(H\alpha)$  relation is not significantly affected by the redshift of the galaxies, at least up to  $z = 0.46$ , which is the maximum considered  $z$  of our sample.

Note, however, the slight increase on the  $SFR(L_{IR})/SFR(H\alpha, B04, ext.)$  ratio at high  $z$  (lower panel Fig. 7.24) with respect to the ratio obtained for the two other methods [ $SFR(H\alpha)$  and  $SFR(H\alpha, B04)$ ]. In a flux limited sample, as it is the case, high  $L_{IR}$  values are related to high redshifts. Therefore, the over-estimation of the  $SFR(L_{IR})$  with respect to the  $SFR(H\alpha, B04, ext.)$  at high  $z$  is in agreement with the increase of the slope in Fig. 7.22.

### 7.4.2 Mass

In Fig. 7.25 we show the same as in Fig. 7.23, but now the color code represents different mass bins, where the mass has been derived by means of a SED-fitting

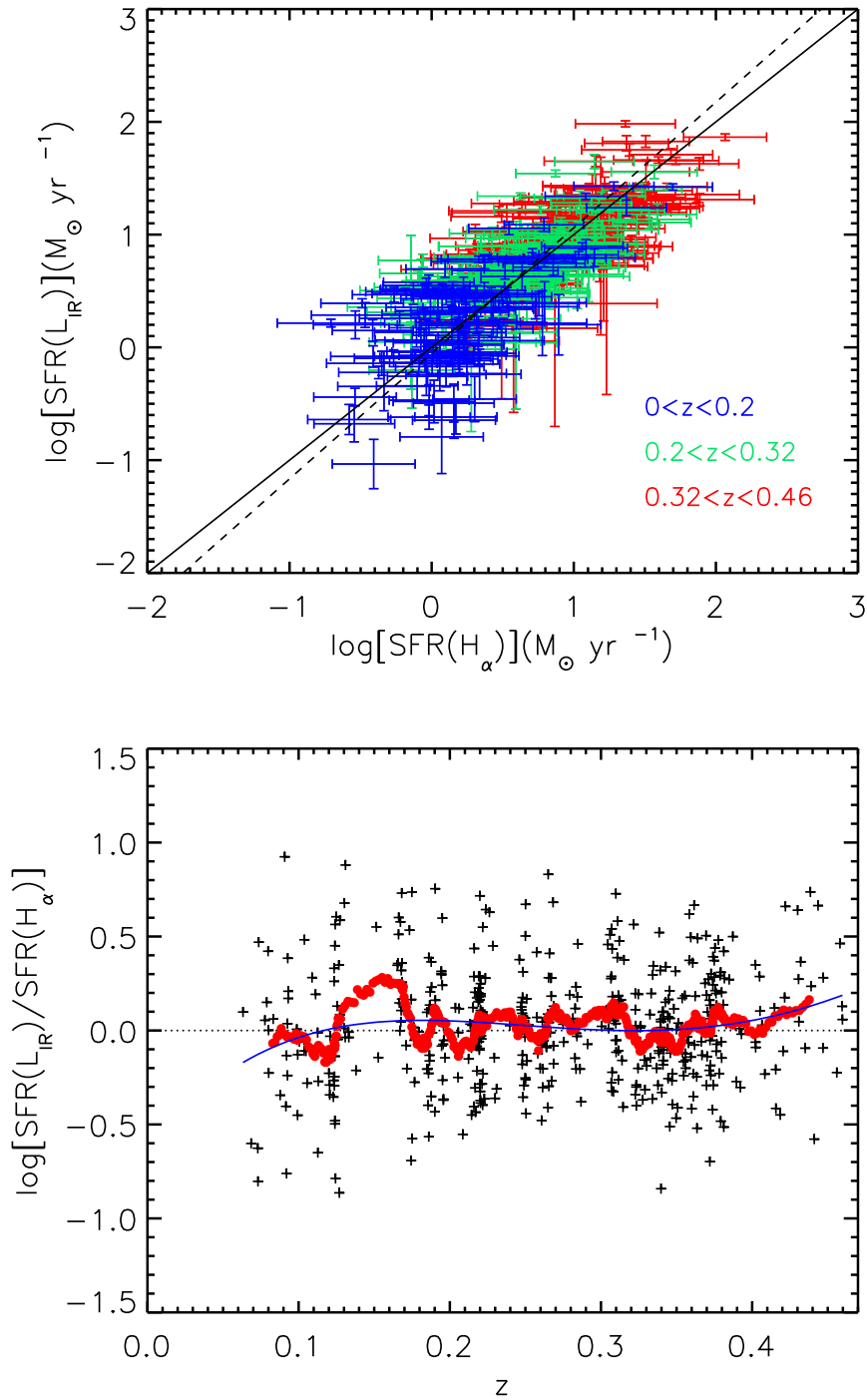


Figure 7.23: Upper panel:  $\text{SFR}(L_{IR})$  vs  $\text{SFR}(H\alpha)$  for different redshift bins. Dashed and continuous lines represent the best fit to our data and the one to one relation, respectively. Lower panel:  $\log[\text{SFR}(L_{IR})/\text{SFR}(H\alpha)] (\equiv \Delta(SFR))$  versus  $z$ . The blue line is the best fit to our data. Red dots are the running mean of  $\Delta(SFR)$ .

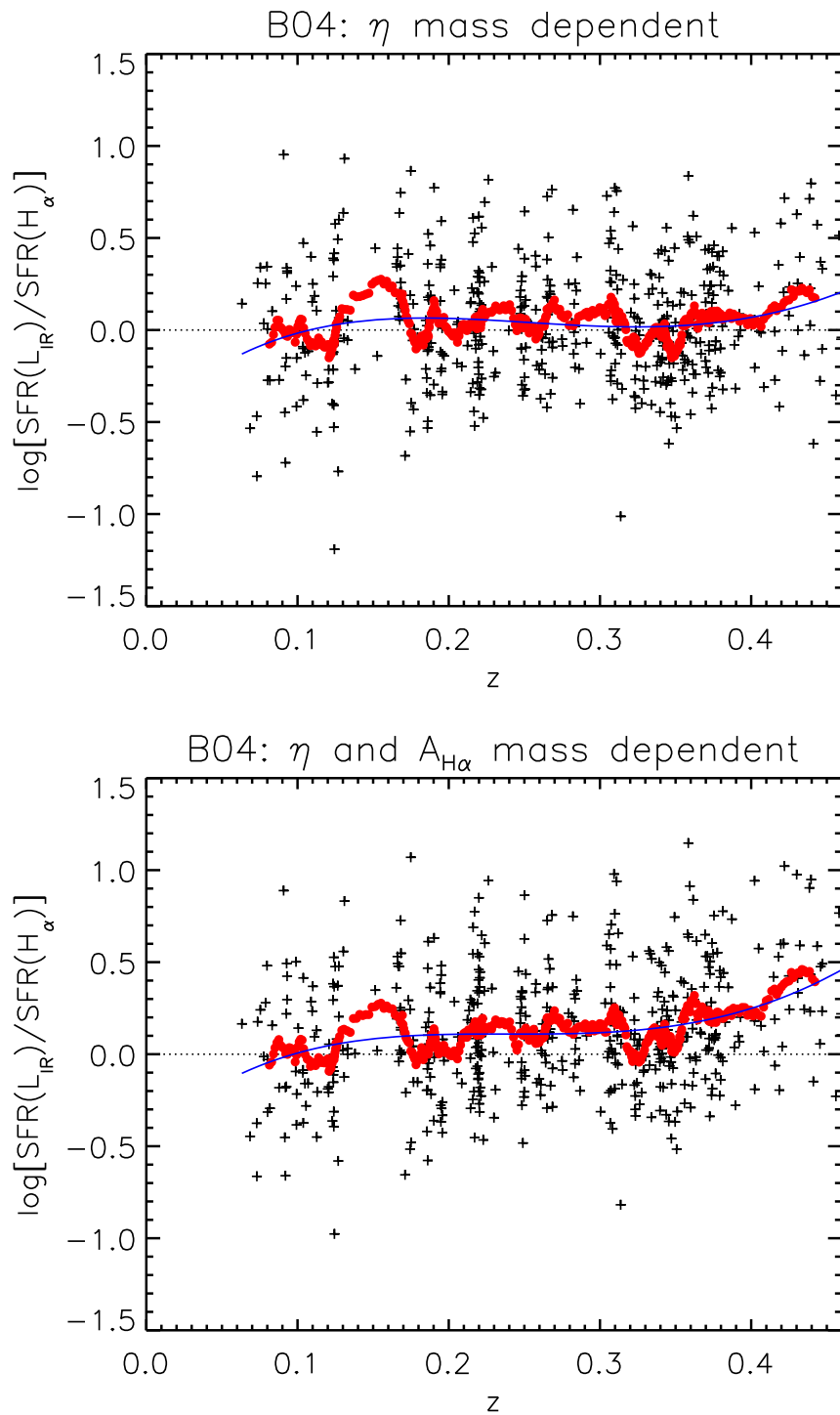


Figure 7.24: Same as in lower panel of Fig. 7.23 for values of  $\text{SFR}(H\alpha, \text{B04})$  (upper panel) and  $\text{SFR}(H\alpha, \text{B04, ext.})$  (lower panel).

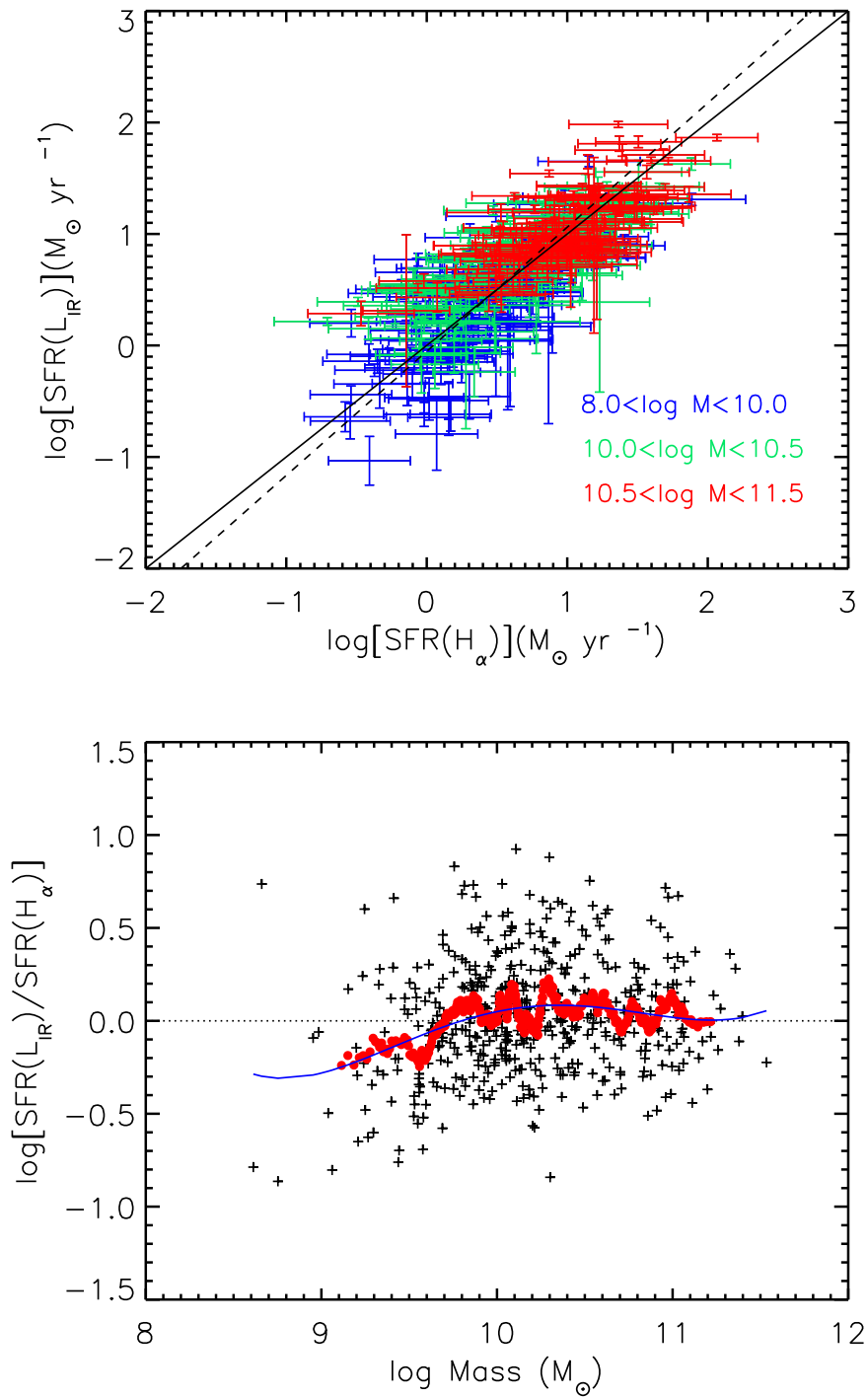


Figure 7.25: Upper panel: Same as in Fig. 7.23 but here colors represent different mass bins. Lower panel:  $\log [\text{SFR}(\text{H}\alpha) / \log \text{SFR}(\text{L}_{\text{IR}})]$  versus  $\log (\text{Mass})$ . Blue line and red dots as in Fig. 7.23.

as explained in Sect. 7.1.4. Also shown in the lower panel of this figure the ratio between  $\text{SFR}(L_{IR})$  and  $\text{SFR}(H\alpha)$ , where the  $\text{SFR}(H\alpha)$  is derived using the simple conversion factor from Eq. 7.4, versus the logarithm of the mass. There is a possible trend with mass, in the sense that for low mass sources the  $\text{SFR}(L_{IR})$  seems to be under-estimated with respect to the  $\text{SFR}(H\alpha)$ . We performed the fit to the data including only sources with  $\log M > 9.3M_{\odot}$ , to make sure that the trend that we observe is not due to a few low mass sources, finding a very similar fit. It is beyond the scope of this work to give a clear explanation of the observed disagreement at low masses. However, we would like to cite as a possible explanation a recent study by Weisz et al. (2011) who, making use Star Formation Histories (SFH) models and observations, state that more massive galaxies are best characterized by nearly constant SFHs than low mass galaxies. Considering that the K98 relation is calibrated using continuous star formation models, we could easily understand why the relation works better for high mass systems.

In Fig. 7.26 we show the difference between both SFR estimates with respect to the stellar mass when using B04 methods to derive SFR. In the upper panel the SFR has been derived using the mass dependent efficiency value, while in the lower panel we used the mass dependent efficiency and dust extinction value. It can be seen that, even when using mass-dependent efficiencies, the disagreement between both SFR indicators at lower masses is still present. When including the extinction values derived from B04, the disagreement at lower masses is slightly reduced, although the  $\text{SFR}(L_{IR})$  is generally over-estimated with respect to the  $\text{SFR}(H\alpha)$  at higher masses.

### 7.4.3 Metallicity

It is also interesting to consider the effect of metallicity. Metallicity and mass are correlated by the well known mass-metallicity relation (Tremonti et al., 2004), with more massive galaxies having higher metallicities, at least up to  $\log M \sim 10$ , where the relation flattens. Therefore, the difference between  $\text{SFR}(H\alpha)$  and  $\text{SFR}(L_{IR})$  could also be due to the different metal content of the galaxies.

To test this effect we made use of the COSMOS metallicity catalog by Nair et al. (in preparation), using the Denicoló et al. (2002) method to estimate metallicity from [NII] and  $H\alpha$  emission lines. In Fig. 7.27 we show the same as in Fig. 7.23, but with respect to metallicity. It can be observed that, for increasing metallicities, the

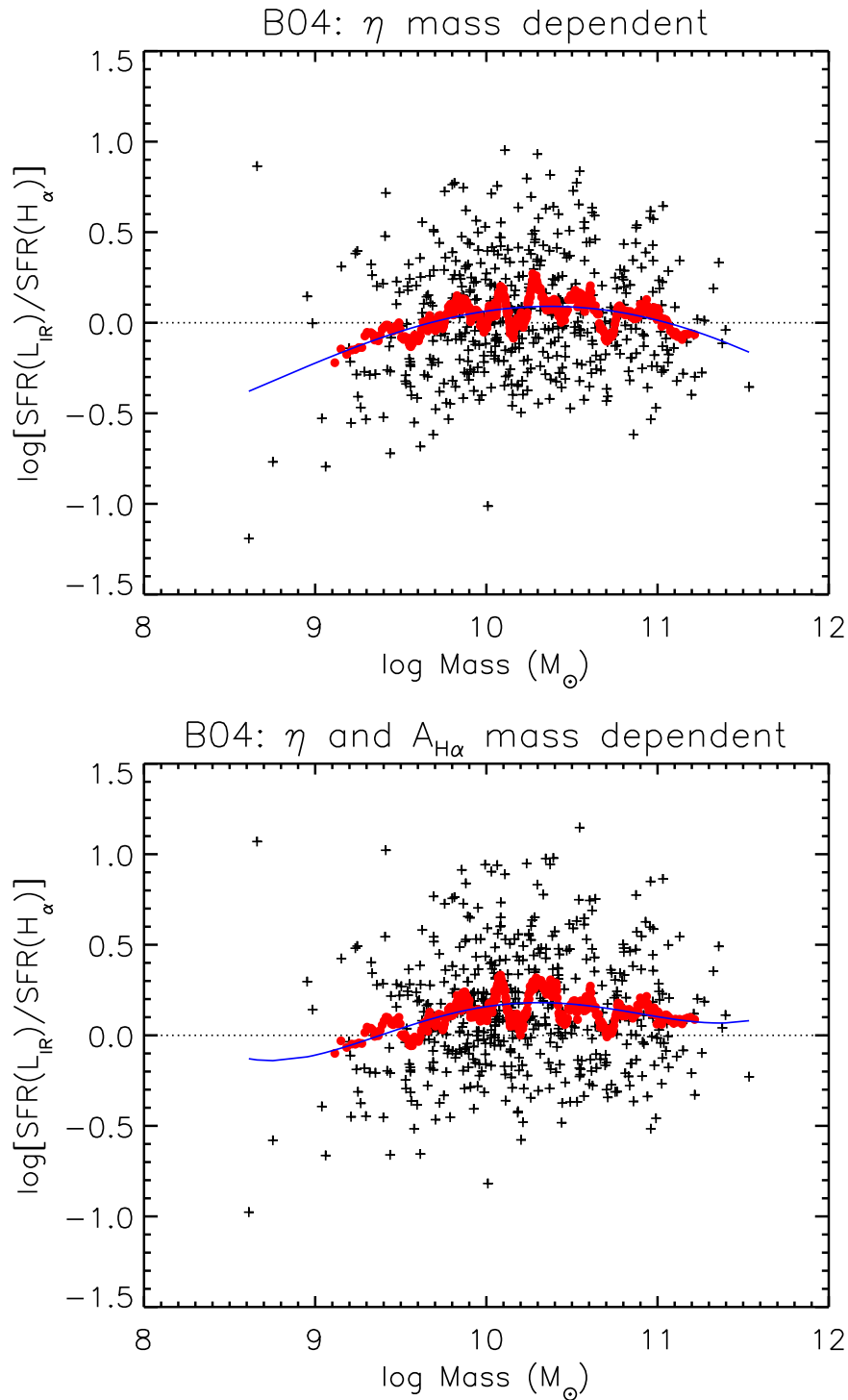


Figure 7.26: Same as in the lower panel of Fig. 7.25 for the  $\text{SFR}(H\alpha)$  values derived following B04 recipes (mass dependent  $\eta_{H\alpha}^0$  in the upper panel; mass dependent  $\eta_{H\alpha}^0$  and  $A_{H\alpha}$  in the lower panel).

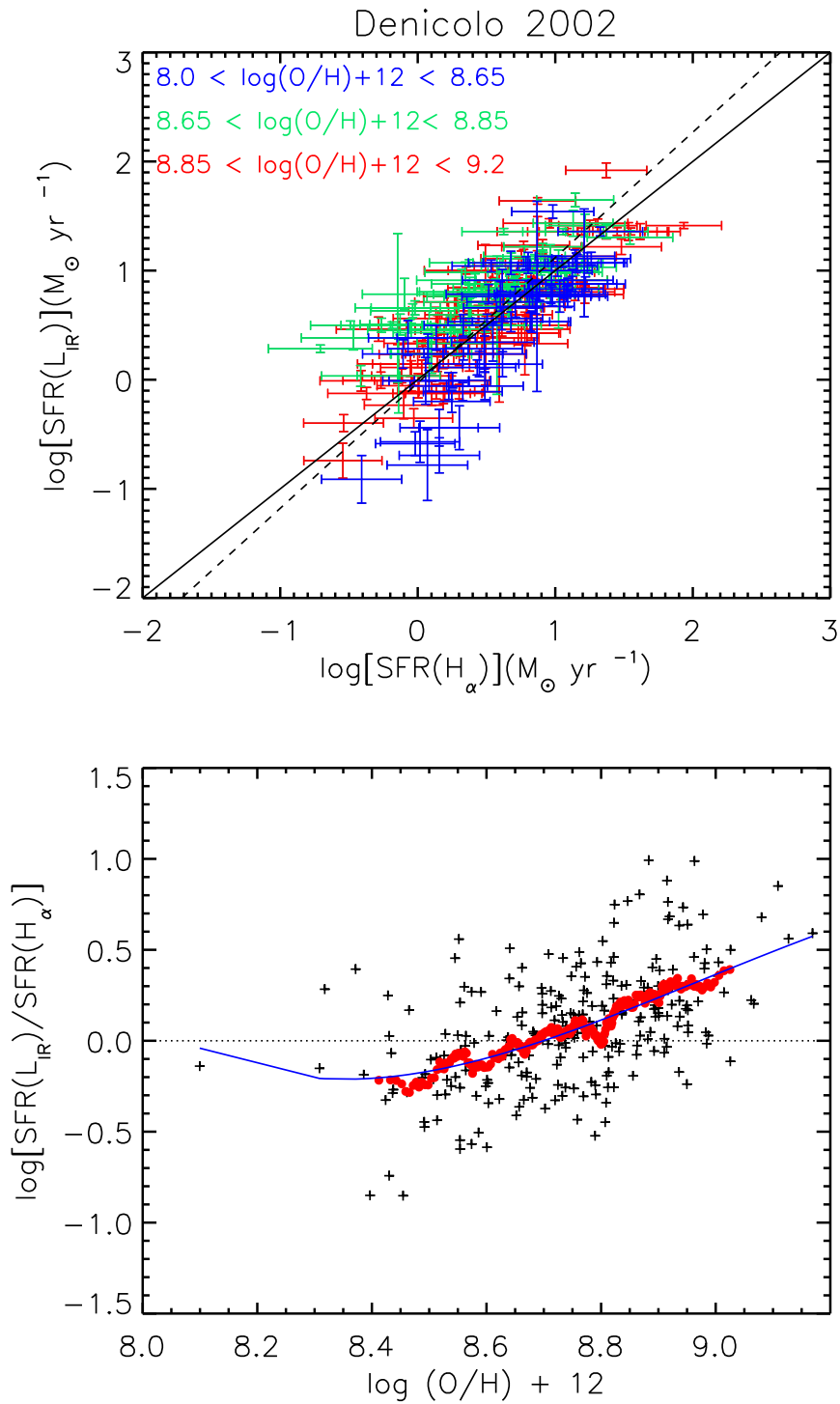


Figure 7.27: Same as in Fig. 7.23 but here colors represent different metallicity bins.

$SFR(L_{IR})$  is over-estimated with respect to the  $SFR(H\alpha)$ . The difference between both SFR indicators varies of  $\sim 0.6$  dex when moving from the lowest to the highest metallicity values. This is consistent with the fact that, in galaxies with higher metallicities, the dust is more efficient in absorbing and re-emitting the light emitted by young stars at IR wavelengths. Therefore, for the same SFR values, a galaxy with high metallicity emits more in the IR; thus the SFR derived from the  $L_{IR}$  is over-estimated with respect to the SFR from the  $H\alpha$  luminosity. We tested this effect making use of the B04 recipes to derive  $SFR(H\alpha, B04)$  and  $SFR(H\alpha, B04, ext.)$  to see if mass dependent efficiencies or dust attenuations had any influence on the SFR estimates comparison, but no improvement was achieved (see Fig. 7.28). We conclude that metallicity is a key parameter when deriving the SFR and suggest to apply the K98 relation carefully when considering very low/ high metallicity galaxies.

#### 7.4.4 Specific Star Formation Rate

The SSFR is a very interesting parameter as it does not only account for the number of stars being formed, but also takes into account the efficiency of a galaxy of a given mass to transform a certain amount of gas mass into stars with respect to its total mass context. It gives us information about the star formation activity of a galaxy, allowing us to divide them into star-forming, active or intermediate galaxies. It is reasonable to consider that galaxies with different star-formation modes may show different luminosity-SFR relations. In Fig. 7.29 we show an analog plot to Fig. 7.23 where now the considered parameter is the SSFR ( $SSFR = SFR/Mass$ ). The SFR value that we use in this plot is the median value of  $SFR(H\alpha)$  and  $SFR(L_{IR})$ . This value has been chosen to avoid a systematic trend with one of the two SFR indicators, which are present in the y axis. As expected, all of our galaxies have high values of SSFR and would be classified as star-forming galaxies following the criteria explained in Sect. 5.5.

We observe a slight overestimation of the  $SFR(L_{IR})$  with respect to the  $SFR(H\alpha)$  for galaxies with small values of SSFR of  $\sim 0.3$  dex at  $SSFR < 10.0(\text{yr}^{-1})$ , while for the systems with higher SSFR the two SFR indicators seem to be in good agreement. One possible explanation for this discrepancy is the fact that the old stellar population may significantly contribute to the  $L_{IR}$  of a galaxy (see for example da Cunha et al. 2011). For the galaxies with low SSFR, the heating by

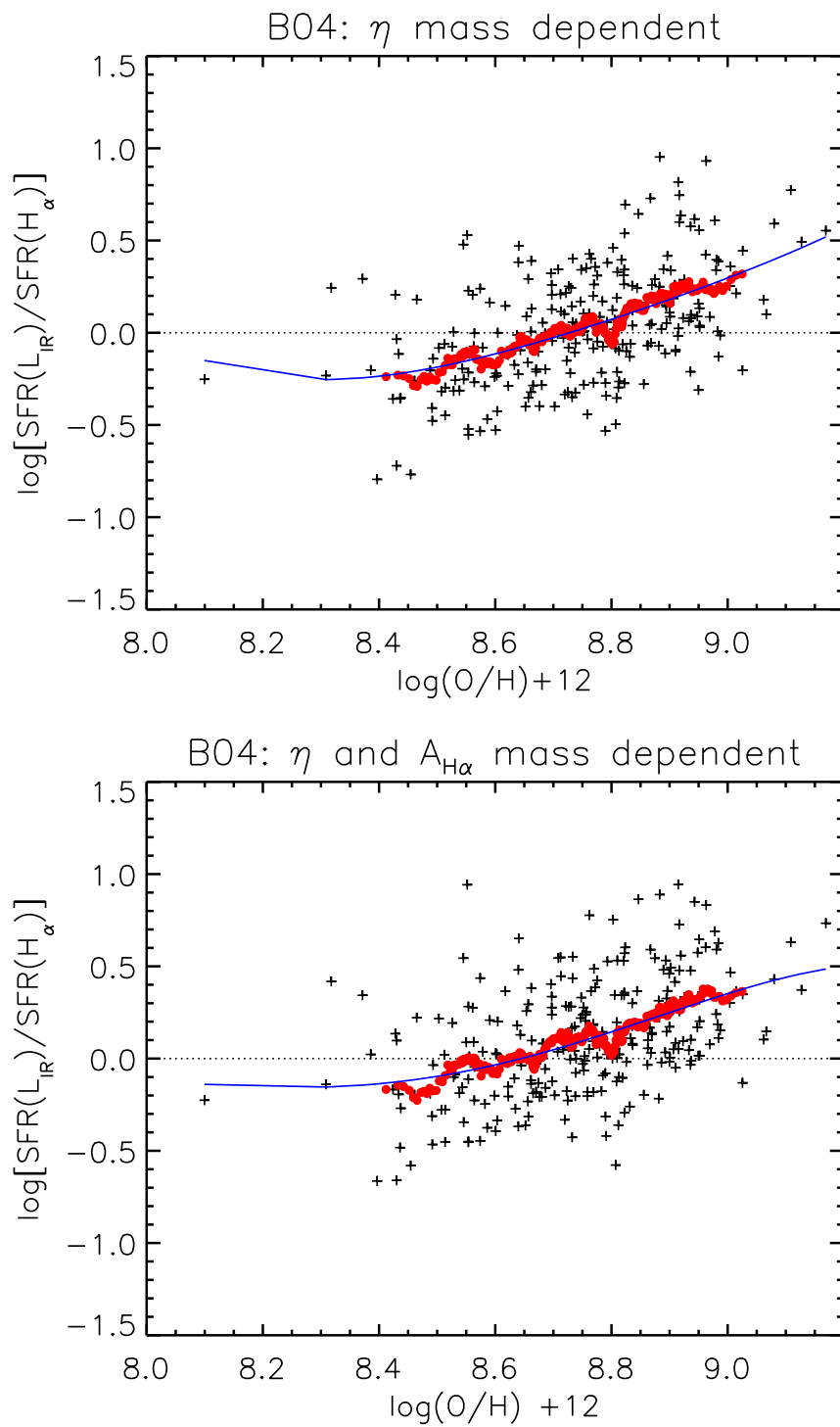


Figure 7.28: Same as in Fig. 7.24 but with respect to the metallicity.

stars older than  $10^7$  yr in the diffuse inter stellar medium can be comparable to the dust luminosity from the absorption of light from young stars; thus the value of the  $\text{SFR}(L_{IR})$  is overestimated as it includes emission from the old stellar population and not only from the recently formed stars.

This effect is also observed even when comparing the  $\text{SFR}(L_{IR})$  with the  $\text{SFR}(H\alpha, B04)$ , as can be seen from the upper panel of Fig. 7.30. For the  $\text{SFR}(H\alpha, B04, \text{ext.})$ , the effect is slightly reduced but still observed for low SSFR values (lower panel of Fig. 7.30). We conclude that caution must be taken when deriving the SFR from the  $L_{IR}$  for galaxies with low values of SSFR, as the emission from the older stellar population may overestimate the derived SFR.

#### 7.4.5 Morphological Type

Another important characteristic that could affect the SFR indicators is the morphological type, as different morphologies imply different star formation histories and geometries and therefore different SEDs and IR emission. To assess the effect of morphology on the SFR derivation we made use of the morphological catalog by Nair et al. (in prep.), who, following a similar scheme as in Nair & Abraham (2010), visually classified the galaxies belonging to the COSMOS field, dividing them into different types (Ell, S0, Sa-Sd, Irr). In Fig. 7.31 we show the usual  $\text{SFR}(L_{IR})$  vs  $\text{SFR}(H\alpha)$  plot dividing our sample into different morphological types.

The  $\text{SFR}(L_{IR})$ - $\text{SFR}(H\alpha)$  seems to be in very good agreement with the bulk of spiral galaxies. For the Sa and Sb samples, the agreement between the two SFR estimates is extremely good ( $m = 0.99 \pm 0.08$  and  $m = 1.01 \pm 0.06$ , respectively) and it is consistent within  $3\sigma$  also for the Sc sample ( $m = 1.16 \pm 0.07$ ). For the Sd, irregular sample (Sd/Irr), the relation becomes much steeper ( $m = 2.45 \pm 0.99$ ) but is still consistent within  $3\sigma$  when we take into account that the statistics of this sub-sample are reduced (we have only 17 sources classified as Sd or irregular galaxies). The same effect is seen when using the B04 methods to derive the SFR from the  $H\alpha$  luminosity. One possible explanation for the discrepancy of both SFR indicators for the Irr/Sd galaxies is their complex morphology. Irregular galaxies are clumpier and less homogeneous than earlier spiral galaxies, thus making it more difficult to measure the total  $H\alpha$  flux of the galaxy by applying aperture corrections. Despite the fact that the number of Sd/Irr objects is quite small, we observe a continuous

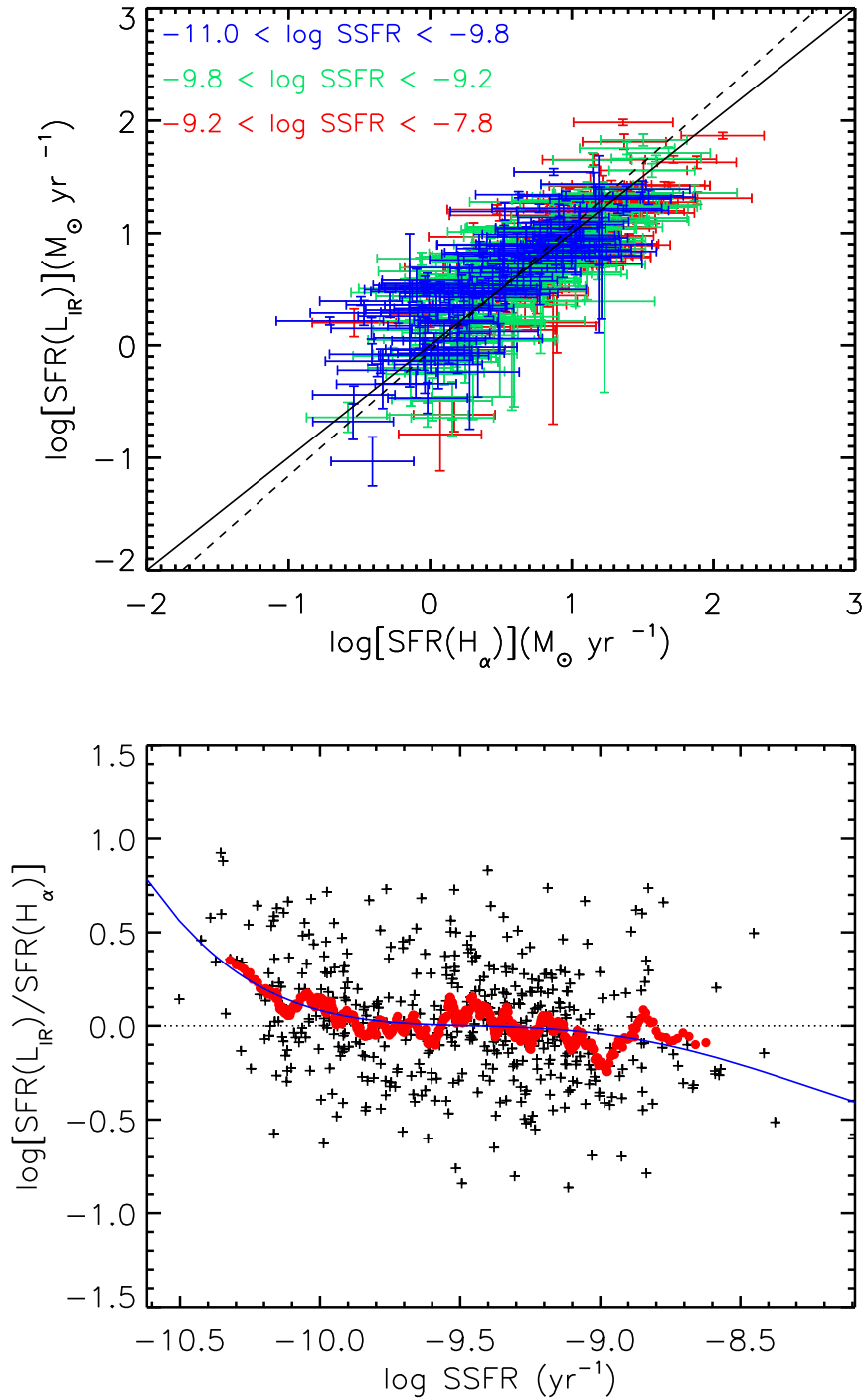


Figure 7.29: Same as in Fig. 7.23 but here colors represent different SSFR bins.

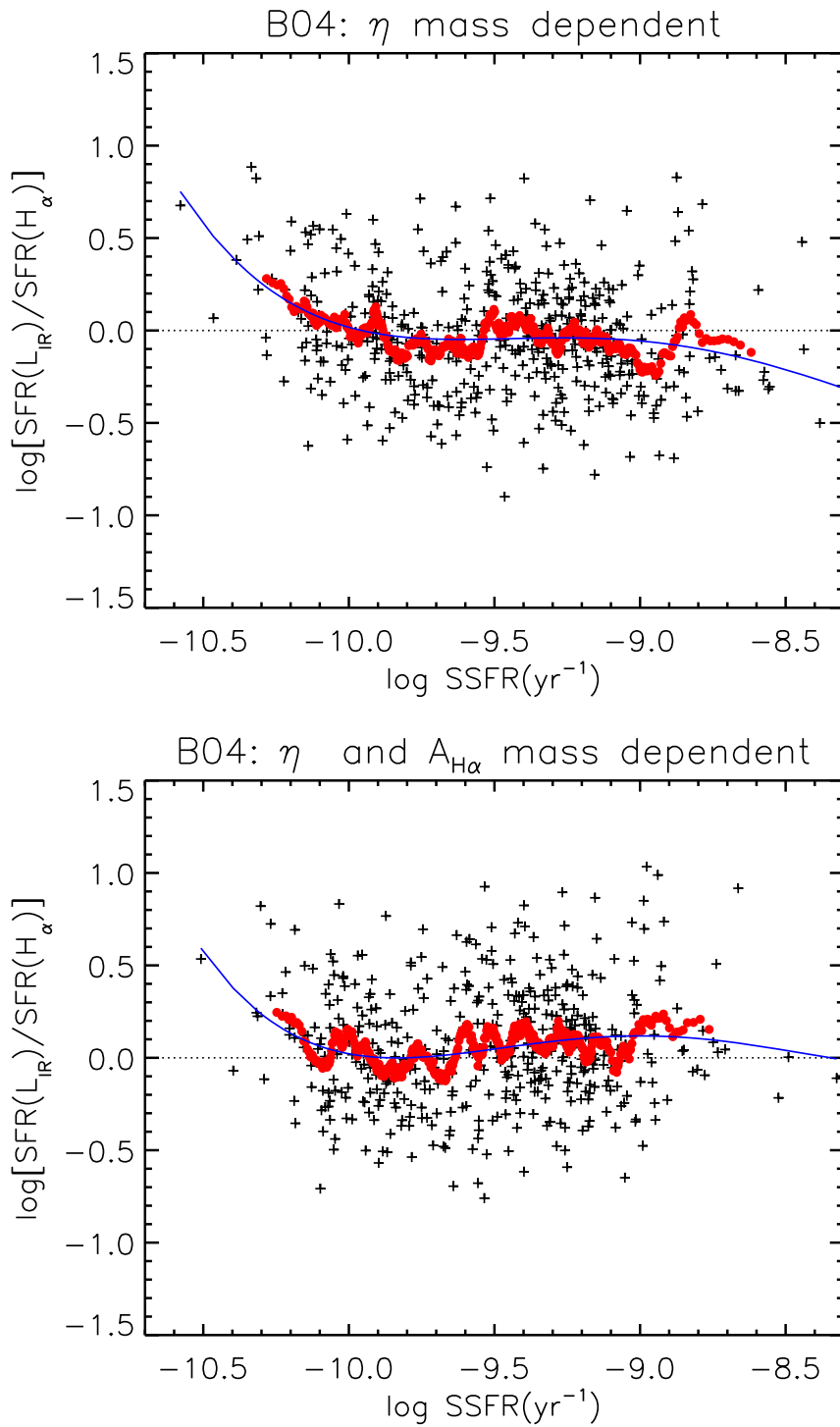


Figure 7.30: Same as in Fig. 7.24 but with respect to SSFR.

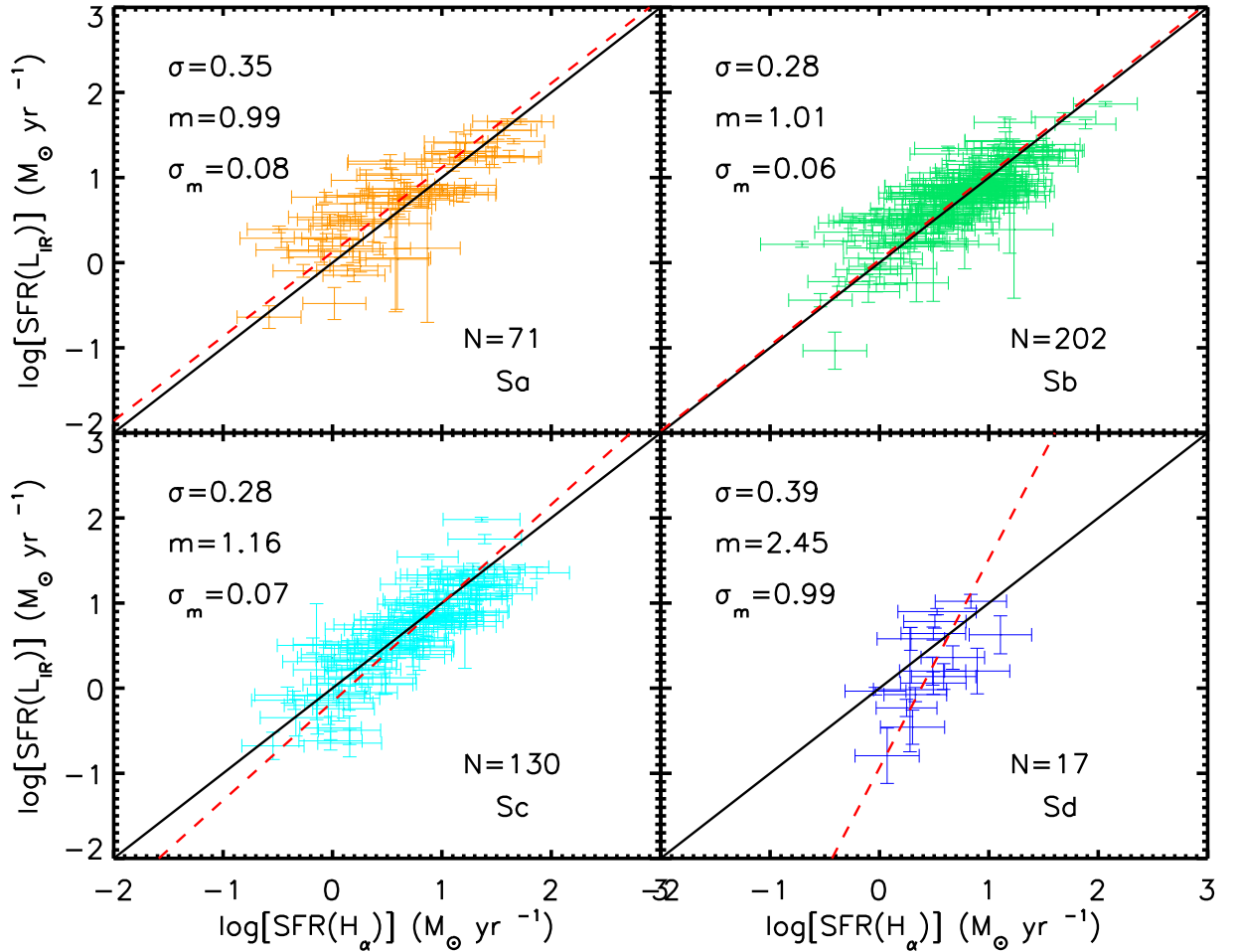


Figure 7.31: SFR( $L_{IR}$ ) vs SFR( $H\alpha$ ) for sources classified as Sa, Sb, Sc or Sd/Irr. Red dashed and continuous lines are the best fit to our data and the one to one relation, respectively.

trend of a steepening of the slope of the SFR( $L_{IR}$ )-SFR( $H\alpha$ ) relation when moving from early to late spiral galaxies. For example, if we consider Sa and Sb galaxies together we obtain a slope of  $m = 1.00$ , *i.e.* a  $3\sigma$  difference with respect to the slope for the Sc sample. This difference may be indicative of a weak dependence of the SFR indicators on morphology. However, a more significant statistical sample could be extremely useful to assess if the observed steepening of the slope with morphological type is a real effect or if it is just due to the few sources considered for each morphological type, specially for the Sd/Irr sample.



# Conclusions

**I**N this thesis we have studied the evolution of the stellar mass function of different galaxy types (classified on the basis of their SSFR) as a function of the redshift (at  $1.4 \leq z \leq 3.0$ ) for an infrared selected sample of galaxies in the COSMOS field. We have also studied the comparison between the SFR values derived from the  $L_{IR}$  by means of the Kennicutt (1998b) relation (Eq. 3.4) and the SFR derived from the extinction corrected  $H\alpha$  luminosity for an infrared selected sample of galaxies at  $0 < z < 0.46$ .

To study the evolution of the stellar mass of different galaxy types, we derived the GSMF and the stellar mass density in the COSMOS field ( $\sim 1.73 \text{ deg}^2$ ) from  $z = 1.4$  to  $z = 3.0$  for the whole sample and for the sample divided into populations according to their SSFR (star-forming, intermediate, quiescent), paying special attention to the quiescent one. The GSMF estimate is based on  $\sim 18000$  galaxies with  $mag_{3.6\mu m} < 22.0$  and  $z \geq 1.4$ . We summarize our results below:

- We found that an IRAC selected sample is fundamental for studying quiescent galaxies at high redshift. Calling ‘optical’, ‘ $K_s$ ’ and ‘IRAC’ sub-samples to the sources detected in the optical bands, not detected in the optical bands but with detection in the  $K_s$  selected catalog or detected only in the IRAC bands, respectively; we found that about 88% and 91% of the ‘ $K_s$ ’ and ‘IRAC’ sub-samples are found at  $z \gtrsim 1.4$  respectively, with this fraction dropping to 21% for an  $i^+$ -band selected sample. Considering the galaxy classification, 62% of the optically selected sub-sample is fitted by a blue template, while the same fraction is much lower ( $\sim 7\%$ ) for the IRAC sub-sample.
- We studied the main properties of the high redshift quiescent sample ( $z \geq 1.4$ ), finding that they are old and massive galaxies, with  $\langle M \rangle \sim 10^{10.65} M_\odot$  and ages ranging from  $\sim 1$  to  $\sim 4$  Gyr. They have small *e-folding* time scales  $\tau \sim$

0.1 – 0.3 Gyr and very low dust extinction ( $E(B-V) \sim 0 - 0.15$ ), meaning passively evolving populations.

- We observed a significant evolution of the quiescent galaxy mass function (QSMF) from  $2.5 < z < 3.0$  to  $1.4 < z < 1.6$ , amounting to  $\sim 1$  dex for galaxies with  $\log M \sim 11.0$ . The evolution is  $\sim 0.3$  dex in each redshift bin, meaning that the number of quiescent galaxies continuously increases with cosmic time.
- We found that  $z \sim 1.5$  is a clear epoch of transition of the GSMF: while the GSMF at  $z \gtrsim 1.5$  is dominated by the star-forming galaxies at all stellar masses, at  $z \lesssim 1.5$  the contribution to the total GSMF of the quiescent galaxies is significant and the quiescent galaxies become more important than the star-forming population for  $M \gtrsim 10^{10.75} M_{\odot}$ .
- The fraction of star-forming galaxies decreases from 60% to 20% from  $2.5 < z < 3.0$  to  $1.4 < z < 1.6$  for  $M \sim 10^{11.0} M_{\odot}$ , while the quiescent population increases from 10% to 50% at the same  $z$  and mass intervals.
- We found a significant number of quiescent galaxies already in place at  $z > 2.5$  ( $\rho \sim 6.0 M_{\odot} \text{Mpc}^{-3}$ ), meaning that these galaxies assembled most of their stellar mass in previous epochs in an active starburst phase or through important merging processes at higher redshifts.
- All of the theoretical models agree in predicting a gradual increase with cosmic time in the fraction of galaxies with a low SFR. However, only the K07 Millennium-based model is able to properly reproduce the shape of the data. The inclusion of radio mode feedback from the central galaxies of groups and clusters in theoretical models seems to be fundamental to recover the observed properties of the high redshift quiescent population.

In this thesis we also compare the SFR values derived from two indicators: the  $L_{IR}$  and the  $H\alpha$  emission line luminosity. We studied a sample of 474 galaxies at  $z=0.06-0.46$  with both spectroscopic and IR data from the 20k zCOSMOS survey and the PEP Herschel survey at 100 and 160  $\mu\text{m}$ . The  $L_{IR}$  was derived by integrating from 8 up to 1000  $\mu\text{m}$  the best-fitting SED to the data. We calculated the SFR from the  $H\alpha$  luminosity by taking into account the dust extinction. We divided our sources in three  $L_{IR}$  bins and constructed median spectra to derive the

extinction corrections from the  $H\alpha/H\beta$  observed ratio of the three median spectra. We conclude that:

- Comparing the two SFRs estimates, we found a very good agreement between them for the bulk of the studied galaxies, meaning that the simple recipes used to convert luminosity into SFR are consistent with each other.
- We do not observe any significant dependence of the calibration as a function of the redshift, at least up to  $z \sim 0.46$ , which is the limit of our sample.
- The stellar mass seems to partially influence the  $SFR(L_{IR})$ - $SFR(H\alpha)$  relation, in the sense that for low mass galaxies ( $\log M < 9.3M_{\odot}$ ) the  $SFR(L_{IR})$  seems to be under-estimated with respect to  $SFR(H\alpha)$ . This could be due to the fact that high mass galaxies usually undergo constant SFHs, which is one of the assumptions taken in the K98 relation, while for low mass systems the SFHs seem to be more complicated.
- We found that the metallicity has a significant effect in the SFR calibration, with values of  $\log [SFR(L_{IR})/SFR(H\alpha)] \sim 0.6$  dex different from low to high metallicity galaxies. The metallicity effect cannot be avoided even when using B04 recipes. This effect can be explained by the fact that more metallic galaxies more effectively re-emit the light from the young stars at IR wavelengths; thus, for the same SFR values a more metallic galaxy has a higher  $L_{IR}$  than a less metallic galaxy.
- The  $SFR(L_{IR})$  seems to be overestimated with respect to the  $SFR(H\alpha)$  for galaxies with low SSFR values ( $< 10 \text{ [yr}^{-1}\text{]}$ ) due to the IR emission from the old stellar population. Stars older than  $10^7$  yr may significantly contribute to the  $L_{IR}$  in systems with little star formation activity; therefore, the SFR derived from the  $L_{IR}$  is overestimated as it does not only account for the recently formed stellar population.
- When separately studying the SFRs indicators as a function of morphological types, we find that they are in excellent agreement for the Sa and Sb galaxies, while the relation is steeper for later types ( $m = 1.16 \pm 0.07$  and  $m = 2.45 \pm 0.99$  for the Sc and Sd/Irr samples, respectively). Although the values of the slope are consistent with the one to one relation at the  $3\sigma$  level, we detect a continuous trend of steepening of the slope of the relation when moving

from early to late spiral galaxies. A more statistically significant sample may be useful to elucidate if this trend is actually observed or if it is due to the small number of sources considered in the Sd/Irr sample.

# Publications

## 1. The evolution of quiescent galaxies at high redshifts ( $z \geq 1.4$ )

*H. Domínguez Sánchez, F. Pozzi, C. Gruppioni, A. Cimatti, O. Ilbert, L. Pozzetti, H. McCracken, P. Capak, E. Le Floch, M. Salvato, G. Zamorani, C. M. Carollo, T. Contini, J.-P. Kneib, O. Le Fèvre, S. J. Lilly, V. Mainieri, A. Renzini, M. Scodeggio, S. Bardelli, M. Bolzonella, A. Bongiorno, K. Caputi, G. Coppia, O. Cucciati, S. de la Torre, L. de Ravel, P. Franzetti, B. Garilli, A. Iovino, P. Kampczyk, C. Knobel, K. Kovač, F. Lamareille, J.-F. Le Borgne, V. Le Brun, C. Maier, M. Mignoli, R. Pelló, Y. Peng, E. Perez-Montero, E. Ricciardelli, J. D. Silverman, M. Tanaka, L. A. M. Tasca, L. Tresse, D. Vergani, E. Zucca*

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### Abstract

The goal of this work is to study the evolution of high redshift ( $z \geq 1.4$ ) quiescent galaxies over an effective area of  $\sim 1.7 \text{ deg}^2$  in the COSMOS field. Galaxies have been divided according to their star-formation activity and the evolution of the different populations, in particular of the quiescent galaxies, has been investigated in detail. We have studied an IRAC ( $mag_{3.6\mu m} < 22.0$ ) selected sample of  $\sim 18000$  galaxies at  $z \geq 1.4$  in the COSMOS field with multi-wavelength coverage extending from the *U* band to the *Spitzer* 24  $\mu m$  one. We have derived accurate photometric redshifts ( $\sigma_{\Delta z/(1+z_s)} = 0.06$ ) through a SED-fitting procedure. Other important physical parameters (masses, ages and star formation rates) of the galaxies have been obtained using Maraston (2005) models. We have divided our sample into actively

star-forming, intermediate and quiescent galaxies depending on their specific star formation rate. We have computed the galaxy stellar mass function of the total sample and the different populations at  $z = 1.4 - 3.0$ . We have studied the properties of high redshift quiescent galaxies finding that they are old (1 – 4 Gyr), massive ( $\langle M \rangle \sim 10^{10.65} M_{\odot}$ ), weakly star forming stellar populations with low dust extinction ( $E(B-V) \leq 0.15$ ) and small e-folding time scales ( $\tau \sim 0.1 - 0.3$  Gyr). We observe a significant evolution of the quiescent stellar mass function from  $2.5 < z < 3.0$  to  $1.4 < z < 1.6$ , increasing by  $\sim 1$  dex in this redshift interval. We find that  $z \sim 1.5$  is an epoch of transition of the GSMF: while the GSMF at  $z \gtrsim 1.5$  is dominated by the star-forming galaxies at all stellar masses, at  $z \lesssim 1.5$  the contribution to the total GSMF of the quiescent galaxies is significant and becomes higher than that of the star-forming population for  $M \gtrsim 10^{10.75} M_{\odot}$ . The fraction of star-forming galaxies decreases from 60% to 20% from  $z \sim 2.5 - 3.0$  to  $z \sim 1.4 - 1.6$  for  $M \sim 10^{11.0} M_{\odot}$ , while the quiescent population increases from 10% to 50% at the same redshift and mass intervals. We compare the fraction of quiescent galaxies derived with that predicted by theoretical models and find that the Kitzbichler & White (2007) model, implemented on the Millennium Simulation, is the one that better reproduces the shape of the data. Finally, we calculate the stellar mass density of the star-forming and quiescent populations as a function of redshift and find that there is already a significant number of quiescent galaxies at  $z > 2.5$  ( $\rho \sim 6.0 M_{\odot} \text{Mpc}^{-3}$ ), meaning that efficient star formation had to take place before that time.

## 2. Comparison of the SFR estimates from $H\alpha$ luminosity and $L_{IR}$ with new Herschel data

*H. Domínguez Sánchez, M. Mignoli, F. Pozzi, C. Gruppioni, A. Cimatti, J. Cepa, M. Sánchez Portal, G. Zamorani, PEP and zCOSMOS teams*

In preparation.

### Abstract

We empirically test the relation between the SFR derived from the  $L_{IR}$  and SFR derived from the  $H\alpha$  emission line luminosity using simple conversion relations. We use a sample of 474 galaxies at  $z = 0.06 - 0.46$  with both spectroscopic information (20k zCOSMOS) and new far-IR Herschel data (100 and 160  $\mu\text{m}$ ). We derive SFR from the  $H\alpha$  extinction corrected emission line luminosity,  $\text{SFR}(H\alpha)$ . The extinction values are calculated in  $L_{IR}$  bins from median spectrum. We calculate the  $L_{IR}$  by integrating from 8 up to 1000  $\mu\text{m}$  the best-fitting SED to our IR data. We compare the  $\text{SFR}(H\alpha)$  with the SFR derived from the  $L_{IR}$ ,  $\text{SFR}(L_{IR})$ . We find a very good agreement between the two SFRs estimates, with a slope of  $m = 1.11 \pm 0.04$  in the  $\text{SFR}(L_{IR})$  vs  $\text{SFR}(H\alpha)$  diagram and a dispersion of  $\sigma = 0.31$ . We study the effect of some intrinsic properties of the galaxies in the  $\text{SFR}(L_{IR})$ - $\text{SFR}(H\alpha)$  relation. We find almost no dependence with redshift (up to 0.46) and little influence with stellar mass, in the sense that for low mass galaxies ( $\log M < 9.3 M_{\odot}$ ) the  $\text{SFR}(L_{IR})$  seems to be under-estimated with respect to  $\text{SFR}(H\alpha)$ . The metallicity seems to have a more important effect in the SFR comparison, with values of  $\log[\text{SFR}(L_{IR})/\text{SFR}(H\alpha)] \sim 0.6$  dex different from low to high metallicity galaxies. The  $\text{SFR}(L_{IR})$  seems to be overestimated with respect to the  $\text{SFR}(H\alpha)$  for low SSFR systems, probably due to the IR emission from the older stellar population. We also study the  $\text{SFR}(L_{IR})$ - $\text{SFR}(H\alpha)$  relation by dividing our sample in morphological types. Both SFRs estimates are in very good agreement for the Sa, Sb and Sc morphologically classified galaxies, with a slight increase on the slope of the  $\text{SFR}(L_{IR})$  vs  $\text{SFR}(H\alpha)$  diagram (from  $m = 0.99 \pm 0.08$  to  $m = 2.45 \pm 0.99$  from the Sa to the Sd/Irr types). Although still consistent at  $3\sigma$  for all the morphological types, there is a continuous trend of steepening of the  $\text{SFR}(L_{IR})$ - $\text{SFR}(H\alpha)$  relation when moving from

early to late galaxy types.

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