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Title: EARLY IN VITRO RESPONSE OF MACROPHAGES AND T LYMPHOCYTES TO NANOCRYSTALLINE HYDROXYAPATITES

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Abstract: Hypothesis

Synthetic hydroxyapatite (HA) and Si substituted hydroxyapatite (SiHA) are calcium phosphate ceramics currently used in the field of dentistry and orthopaedic surgery. The preparation of both biomaterials as polycrystalline solid pieces or grains formed by nanocrystallites has awakened a great interest to enhance the bioactive behavior due to the microstructural defects and the higher surface area. The study of the macrophage and lymphocyte behavior in contact with nanocrystalline HA and SiHA will allow to elucidate the immune response which conditions the success or rejection of these biomaterials.

Experiments

HA and SiHA granules (with sizes of tens of microns) have been prepared by controlled aqueous precipitation avoiding subsequent high temperature sintering. HA and SiHA granules were constituted by crystallites smaller than 50 nm. The effects of both nanocrystalline materials on immune system have been evaluated with macrophages (main components of innate immune system) and T lymphocytes (specific cells of adaptive response) after short-term culture as in vitro models of the early immune response.

Findings

Significant decreases of macrophage proliferation and phagocytic activity, increased production of inflammatory cytokines (IL-6, TNF-alpha) and T lymphocyte apoptosis, were induced by these nanocrystalline ceramics suggesting that, after in vivo implantation, they induce significant effects on immune responses, including an early activation of the innate immune system.

Madrid, October 23, 2013

Dear Editor:

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Reviewer #1:

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Concerning the possible effect of HA particles in human systems, previous experiments were carried out with human Saos-2 osteoblasts cultured for 4 days in the presence of 1 mg/ml of either nanocrystalline hydroxyapatite (nano-HA) or silicon-doped hydroxyapatite (nano-SiHA). Saos-2 osteoblasts proliferated in contact with both materials, but the cell number was significantly lower than in controls. However, the number of Saos-2 cells in contact with nano-SiHA was significantly higher than with nano-HA, indicating that this cell type grows better in the presence of nano-SiHA. This result was also observed by Scanning Electron Microscopy (SEM) when Saos-2 osteoblasts were cultured for 4 days on surface of both nano-HA and nano-SiHA disks. SEM images demonstrated that Saos-2 cells adhere to the nano-SiHA disks, proliferate and colonize their surface better than on HA disks. Although low levels of apoptosis were obtained in human Saos-2 osteoblasts after contact with these materials, this cell type showed a slight increase of cell apoptosis in the presence of nano-HA (2.1 %) in comparison to nano-SiHA (0.4%) and control cells (0.3%). Intracellular ROS content did not show alteration in the presence of these materials, thus indicating no oxidative stress (reference 11). Comments on these previous results with human Saos-2 osteoblasts have been included in the revised version of the manuscript (pages 11 and 12).

2- I also wonder about the specificity of the response. Could other biomaterials, polymers etc generate the same results? Thus, is the observed activation characteristic for HA? The authors should discuss this.

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Concerning the effects of other biomaterials as polymers etc, previous results demonstrate different cellular responses depending on each biomaterial and depending on each cell type. For example, biocompatibility studies with poly(ϵ -caprolactone) (PCL) and L929 fibroblasts have shown that, although cells proliferate significantly slower on PCL films than on control surface during the first 48 h in culture, this effect disappears after 3 days treatment, showing a significant stimulation of the cell proliferation on the PCL films at longer culture times (ref 37: Serrano MC, Pagani R, Vallet-Regí M, Peña J, Rámila A, Izquierdo I, Portolés MT. *In vitro* biocompatibility assessment of poly(ϵ -caprolactone) films using L929 mouse fibroblast. *Biomaterials* 2004;25:5603-5611). Previous biocompatibility studies with mesostructured bioactive glasses (MBGs) showed satisfactory results but a cytostatic effect was observed through the reduction of cell proliferation (ref 38: Alcaide M, Portolés P, López-Noriega A, Arcos D, Vallet-Regí M, Portolés MT. *Interaction of an ordered mesoporous bioactive glass with osteoblasts, fibroblasts and lymphocytes demonstrates its biocompatibility as a potential bone graft material. Acta Biomaterialia* 2010;6:892-899). However, high proliferation values were obtained with a mesostructured nanocomposite formed by nanocrystalline apatite particles uniformly embedded into MBG (ref 39: Cicuéndez M, Portolés MT, Izquierdo-Barba I, Vallet-Regí M. *New nanocomposite system with nanocrystalline apatite embedded into mesoporous bioactive glass. Chemistry of Materials* 2012;24:1100-1106). In conclusion, the effects observed in the present study with nano-HA and nano-SiHA on macrophages and lymphocytes are characteristic for these materials and for the cell types used in this study. All these references (37-39) and a comment concerning the cell type and material dependent response in biocompatibility studies have been included in the revised version of the manuscript (pages 14 and 15).

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Figures 3B and 5B show the effects of nano-HA and nano-SiHA on phagocytosis of RAW and murine fresh macrophages respectively. In these flow cytometry studies, 10,000 cells were analyzed in each sample and the mean of the fluorescence emitted by these 10,000 cells of each sample was used. Thus, the fluorescence indicates the phagocytic activity of these 10,000 cells and it is not dependent on the number of cells obtained in cell proliferation studies. On the other hand, the different effect of nano-HA on RAW and fresh macrophages can be due to the higher values of phagocytosis obtained with fresh macrophages which always showed higher fluorescence values (Figure 5B) than RAW macrophages (Figure 3B). This fact can be associated with a lower sensitivity to nano-HA of fresh macrophages although the phagocytic activity of these cells was affected by nano-SiHA. More details concerning the effects of these materials on phagocytosis are included in the revised manuscript (page 12).

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The authors thank the suggestion of the reviewer. Assays after 1 day of incubation and long-term studies are currently being carried out to know the time dependence and to identify macrophage activation markers for determine the effects of these materials on M1 and M2 phenotypes.

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I do hope you will consider the reviewed manuscript suitable for publication.

Thanking you very much for your attention, I remain

Sincerely yours

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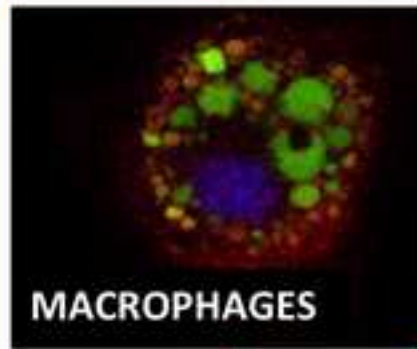
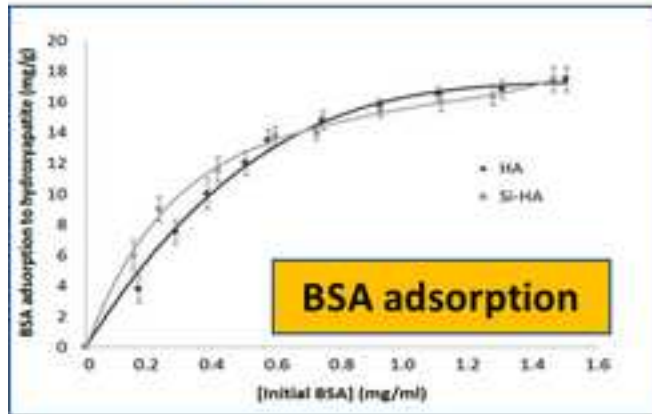
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Sincerely yours

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Nanocrystalline hydroxyapatites



MACROPHAGES

Proliferation
Viability
Apoptosis
Cytokines release
Oxidative stress
LDH release
Phagocytosis

T LYMPHOCYTES

Proliferation
Viability
Apoptosis

Highlights

- Macrophage/lymphocyte response to nanocrystalline hydroxyapatites was evaluated
- Nanocrystalline hydroxyapatites decreased macrophage proliferation and phagocytosis
- Increased production of inflammatory cytokines IL-6 and TNF- α was detected
- T lymphocyte apoptosis was increased without proliferation changes
- Nanocrystalline hydroxyapatites might induce early immune system activation

EARLY *IN VITRO* RESPONSE OF MACROPHAGES AND T LYMPHOCYTES TO NANOCRYSTALLINE HYDROXYAPATITES

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1 **Abstract**

2
3 *Hypothesis*

4
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6 phosphate ceramics currently used in the field of dentistry and orthopaedic surgery. The
7 preparation of both biomaterials as polycrystalline solid pieces or grains formed by
8 nanocrystallites has awakened a great interest to enhance the bioactive behavior due to the
9 microstructural defects and the higher surface area. The study of the macrophage and
10 lymphocyte behavior in contact with nanocrystalline HA and SiHA will allow to elucidate the
11 immune response which conditions the success or rejection of these biomaterials.
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22 *Experiments*

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25 aqueous precipitation avoiding subsequent high temperature sintering. HA and SiHA granules
26 were constituted by crystallites smaller than 50 nm. The effects of both nanocrystalline
27 materials on immune system have been evaluated with macrophages (main components of
28 innate immune system) and T lymphocytes (specific cells of adaptive response) after short-
29 term culture as *in vitro* models of the early immune response.
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40 *Findings*

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42 Significant decreases of macrophage proliferation and phagocytic activity, increased
43 production of inflammatory cytokines (IL-6, TNF- α) and T lymphocyte apoptosis, were
44 induced by these nanocrystalline ceramics suggesting that, after *in vivo* implantation, they
45 induce significant effects on immune responses, including an early activation of the innate
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58 **Keywords:** biocompatibility, cytokine, hydroxyapatite, lymphocyte, macrophage.
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1. Introduction

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2 Immediately after biomaterial-tissue contact, blood and interstitial fluid proteins adsorb to the
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4 biomaterial surface and activate the coagulation cascade, complement system, platelets and
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6 immune cells [1,2]. Thus, the evaluation of serum proteins adsorption and the biomaterial
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8 effects on immune system are essential aspects of biocompatibility assessment [3,4]. The
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10 immune response comprises both innate and adaptive defence mechanisms which co-
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12 ordinally activate different cell populations. Neutrophils, monocytes and macrophages are
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14 critical cellular components of the innate immune response, carrying out phagocytosis and
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16 producing reactive oxygen species, antimicrobial peptides, and inflammatory mediators [5].
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18 The adaptive response is mediated by antigen-specific lymphocytes (T and B cells) and by
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20 their products, which include inflammatory cytokines and antibodies. Although the interaction
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22 of immune cells with biomaterials has been considered negative for a long time, specific cell
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24 responses may be beneficial for biomaterial integration and improve implant performance [6].
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26 Recent studies demonstrate the potential of biomaterials to modulate immune cell function
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28 suggesting the possibility of designing biomaterials capable of eliciting appropriate immune
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30 responses at implantation sites [7].

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39 Because of its similarity to the mineral component of bone, synthetic hydroxyapatite (HA) is a
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41 calcium phosphate ceramic widely used in the field of dentistry and orthopaedic surgery with
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43 different purposes. Its main applications are grafting for small bone defects, coatings of
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45 metallic prostheses and periodontal implants, and as scaffolds for bone tissue engineering [8].

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48 Silicon substituted hydroxyapatites (SiHAs) have attracted the attention of many researchers
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50 [9-13] and have recently been incorporated to the biomaterials market as Actifuse ABXTM
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52 (Apatech Ltd, UK) for spinal, orthopedic, periodontal, oral and craniomaxillofacial
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54 applications. SiHAs are intended to improve the bone formation compared to non-substituted
55
56 HA, in terms of better bioactivity, higher osteoclastic resorption activity, enhanced bone
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ingrowth, and bone implant coverage. This performance improvement could be due to the higher solubility at the grain boundary [9,10] and the osteogenic action of silicon in the early stages of bone formation [14]. SiHA approved for clinical use and most of HA based implants, undergo a sintering process at high temperatures to increase the density and improve the mechanical properties. This thermal treatment yields polycrystalline pieces or granulates constituted by large SiHA crystals, having dimensions of several micrometers depending on the crystal direction considered. Moreover, the crystalline growth comprises a reduction of porosity and surface area. In the last years, the possibility of enhancing the bioceramics bioreactivity through their preparation with low temperature methods has been suggested [15]. Avoiding the high temperature sintering process (commonly about 1200°C), nanocrystalline pieces and grains can be prepared. The associated higher surface area and smaller crystal size could provide very interesting bioresponses, especially in SiHA as the osteogenic effect of silicon is mainly explained by its location at the crystals boundaries [9,10].

As essential processes after biomaterial-tissue contact, the albumin adsorption to both nanocrystalline HA and SiHA as well as the effects of these materials on different immune cells have been evaluated in the present study. With this objective, murine macrophages (main components of innate immune system) and T lymphocytes (specific cells involved in adaptive response) have been cultured for three days in direct contact with these nanocrystalline hydroxyapatites, as an *in vitro* model of the early immune response to both materials. Different cell parameters as morphology, proliferation, phagocytic activity, viability, lactate dehydrogenase release (LDH), apoptosis, intracellular reactive oxygen species (ROS), cell cycle phases, and cytokine (IL-6 and TNF- α) production were determined as markers of biocompatibility and specific response of both cell types.

2. Material and Methods

2.1. Nanocrystalline hydroxyapatite and silicon substituted hydroxyapatite synthesis

Samples of pure and silicon substituted HA were prepared by aqueous precipitation reaction of $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$, $(\text{NH}_4)_2\text{HPO}_4$ and $\text{Si}(\text{CH}_3\text{CH}_2\text{O})_4$ solutions. The amounts of reactants were calculated on the assumption that silicon would be substituted by phosphorus. Two different compositions have been prepared with nominal formula $\text{Ca}_{10}(\text{PO}_4)_{6-x}(\text{SiO}_4)_x(\text{OH})_{2-x}$, with $x = 0$ and 0.25 for nano-HA and nano-SiHA samples, respectively. 1M $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ solution was added to $(\text{NH}_4)_2\text{HPO}_4$ and TEOS solutions of stoichiometric concentration to obtain the compositions described above. The mixture was stirred for 12 hours at 80°C . During the reaction the pH was continuously adjusted to 9.5 to ensure constant conditions during the synthesis. The samples were treated at 700°C under air atmosphere to remove the nitrates without introducing important changes in the crystallite size respect to the as precipitated powder. The HA and Si-HA grains thus obtained have a diameter ranging in size between 10 to 100 micrometers, as determined by means of a Sedigraph 5100 equipment (data not shown).

2.2. Chemical, structural and microstructural studies of nano-HA and nano-SiHA

Elemental chemical analysis was carried out by fluorescence X-ray spectrometry for P, Si and Ca. XRD patterns were collected with a Philips PW 1730 X-ray diffractometer using $\text{Cu K}\alpha$ radiation with a step size of $0.02\ 2\theta^\circ$ and 8 seconds of counting time. In order to determine the crystalline and microstructural characteristic of both samples, Rietveld refinements were carried out over the XRD patterns collected. The refinements were performed using the atomic position set and the space group of the HA structure $\text{P6}_3/\text{m}$, no 176 [16] by means of computer program FullProf 2000.

2.3. Adsorption of bovine serum albumin (BSA)

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2 Adsorption experiments were carried out with 10 mg of either nano-HA or nano-SiHA
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4 granulates incubated with different concentrations of BSA (Sigma) in PBS and moderately
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6 shaken for 5 h at 37°C. Incubation of 24 h showed no significant difference in the amount
7
8 of protein adsorbed. Controls with the same BSA concentration but without material were
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10 carried out. After incubation time, the supernatant obtained was analyzed by UV-Vis
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12 spectroscopy and the adsorbed protein amount was calculated as the difference in protein
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14 concentration before and after BSA adsorption, using a value of 0.667 as coefficient E
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16 (0.1%, 279 nm, 1 cm).
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2.4. Isolation and culture of murine peritoneal macrophages

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25 Normal macrophages were obtained from the peritoneum of untreated mice as described
26
27 elsewhere [17]. Briefly, BALB/c mice were killed, and the skin was removed from the
28
29 abdominal area. Mice were then injected intraperitoneally with 4-5 ml of phosphate buffered
30
31 saline (PBS) using an 18 gauge needle. Without extracting the needle, the abdomen was
32
33 gently massaged and then as much fluid from the peritoneum as possible was slowly
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35 withdrawn with the syringe. The peritoneal cells were washed in PBS before use. All
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37 procedures were approved by Institutional Animal Care and Use Committees.
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2.5. Cell culture

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45 RAW-264.7 cells or mouse peritoneal macrophages at a density of 10^5 cells/ml were
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47 seeded in 6 well culture plates in 2ml of Dulbecco's Modified Eagle Medium (DMEM)
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49 supplemented with 10% heat-inactivated fetal bovine serum (FBS) and 1mM L-glutamine.
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51 SR.D10 cells [18] derived from the murine CD4⁺ Th2 cell line D10.G4.1 [19] were
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53 cultured in suspension in Click's medium supplemented with 10% FBS containing 5 U/ml
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55 mrIL-2, 10 U/ml mrIL-4, and 25 pg/ml mrIL-1 (IL medium) as previously described
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[18,19]. After 3 days culture in the presence or the absence of 1mg/ml of either nano-HA or nano- SiHA, cells were collected in order to determine the number of cells. The attached RAW-264.7 cells were washed with PBS and harvested using cell scrapers. Murine peritoneal macrophages were washed with PBS and detached with PBS / 1mM EDTA at 4°C. After these procedures, 10 µl of the suspensions of these cell types and 10 µl of SR.D10 lymphocytes were counted with a Neubauer hemocytometer in the presence of 0.2% Trypan Blue, which stains the dead cells, for the analysis of cell proliferation. Then, cell suspensions were centrifuged at 310xg for 10 min and resuspended in fresh medium for the analysis of different parameters by Flow Cytometry as described below (2.8 section).

2.6. Morphological studies by Confocal Microscopy

After fixation with 3.7% paraformaldehyde in PBS, samples were permeabilized with 0.1% Triton X-100, preincubated with PBS containing 1% BSA and incubated with rhodamine phalloidin to stain F-actin filaments. After cell nuclei staining with 3 µM DAPI, cells were examined by a LEICA SP2 Confocal Laser Scanning Microscope. The fluorescence of rhodamine was excited at 540 nm and measured at 565 nm. DAPI fluorescence was excited at 405 nm and measured at 420–480 nm. To observe the phagocytosis of Latex Beads-Rabbit IgG-FITC by macrophages, cells were incubated as described below (2.8.3 section), fixed with paraformaldehyde and the FITC fluorescence was detected with filters for excitation and emission at 485 and 535 nm, respectively.

2.7. Lactate dehydrogenase (LDH) measurement

LDH was measured in the culture medium by an enzymatic method at 340 nm (Bio-Analítica) using a Beckman DU 640 UV-Visible spectrophotometer.

2.8. Flow Cytometry studies

1 After cell detachment (2.5 section) and incubation with the different probes (as it is described
2 below) the conditions for the data acquisition and analysis were established using negative
3 and positive controls with the CellQuest Program of Becton Dickinson and these conditions
4 were maintained during all the experiments. Each experiment was carried out three times and
5 single representative experiments are displayed. For statistical significance, at least 10,000
6 cells were analyzed in each sample and the mean of the fluorescence emitted by these single
7 cells was used.

16 *2.8.1. Apoptosis detection*

18 Cell suspensions were incubated with 5µg/ml Hoechst 33258 for 30 min in darkness. Hoechst
19 fluorescence was excited at 350 nm and measured at 450 nm in a LSR Becton Dickinson
20 Flow Cytometer. The percentage of cells in each cycle phase was calculated with the
21 CellQuest Program of Becton Dickinson and the SubG₁ fraction was used as indicative of
22 apoptosis.

32 *2.8.2. Intracellular reactive oxygen species (ROS) content and cell viability*

34 Cells were incubated for 30 min with 100 µM DCFH/DA. DCF fluorescence was excited by a
35 15 mW laser tuning to 488 nm and was measured with a 530/30 band pass filter in a
36 FACScalibur Becton Dickinson Flow Cytometer. Cell viability was determined by propidium
37 iodide (PI, 0.005%) exclusion test to stain the DNA of dead cells.

47 *2.8.3. Phagocytosis assays*

49 The phagocytosis of Latex Beads-Rabbit IgG-FITC by macrophages was evaluated by the
50 Phagocytosis Assay Kit (IgG FITC) of Cayman Chemical Company. Cells were incubated
51 overnight with 1µg/ml LPS (*E.coli* 055:B5) and Latex Beads-Rabbit IgG-FITC after treatment
52 with the materials. FITC fluorescence was excited by a 15 mW laser tuning to 488 nm and
53 measured with a 530/30 band pass filter in a FACScalibur Becton Dickinson Flow Cytometer.

2.9. Inflammatory cytokine detection

The amounts of TNF- α , and IL-6 in the culture medium were quantified by ELISA (Gen-Probe, Diaclone), carried out according to the manufacturer's instructions.

2.10. Measurement of Ca²⁺ levels in the culture medium

To know the effect of hydroxyapatite (HA) and silicon-doped hydroxyapatite (SiHA) on Ca²⁺ levels in the culture medium, Ca²⁺ was analyzed with an Ilyte Electrolyte Analyzer.

2.11. Statistics

Data are expressed as means \pm standard deviations of one representative experiment out of three experiments carried out in triplicate. Statistical analysis was performed using the Statistical Package for the Social Sciences (SPSS) version 19 software. Statistical comparisons were made by analysis of variance (ANOVA). Scheffé test was used for *post hoc* evaluations of differences among groups. $P < 0.05$ was considered as statistically significant.

3. Results and Discussion

3.1. Chemical, structural and microstructural properties of nano-HA and nano-SiHA

The Ca, P and Si contents of the HA and SiHA granules, determined by elemental chemical analysis (**Table I**), show that the experimental cationic composition is very similar to the theoretical one, indicating that the synthesis method applied allows the incorporation of the silicon into the precipitated powder of nano-SiHA. XRD patterns were collected for nano-HA and nano-SiHA samples, exhibiting almost identical profiles which are presented in **Figure 1**. All the diffraction maxima correspond to the reflections of an apatite phase. **Table II** shows the lattice parameters and the sizes of the crystallites calculated using the apparent sizes along the different directions, obtained from the microstructural parameters calculated from the Rietveld refinements. **Table II** shows the data along [100] and [001] directions as well as the

1 mean crystallite size. The crystallite sizes indicate an anisotropic growth along the c axis, [0 0
2 1] direction, resulting in crystallites with needle-like shapes similar to those found in the
3 mineral component of bone [20]. Nano-HA crystallites are 48.2 nm and 27.6 nm in size for
4 the longest and shortest dimensions, respectively. Besides, nano-SiHA crystallites are 32.9 nm
5 and 19.1 nm for the same dimensions, thus indicating that the silicon incorporation seems to
6 play a role on the crystalline growth decreasing the crystal size. Independently of the
7 dimension considered, the crystallites of both samples are smaller than 50 nm, confirming that
8 the grains of nano-HA and nano-SiHA are polycrystalline particles formed by numerous
9 nanocrystallites.

21 *3.2. Adsorption of bovine serum albumin (BSA) to nano-HA and nano-SiHA*

24 Blood proteins are important factors in determining the *in vivo* acceptance of biomaterials [1].
25 The protein adsorption depends on the physico-chemical properties of the material [21] and is
26 a key point in the initial cellular response to a biomaterial after implantation, affecting the
27 cellular adhesion, differentiation and extracellular matrix production [1]. Since albumin is the
28 most abundant serum protein, a comparative evaluation of the adsorption of different BSA
29 concentrations on nano-HA and nano-SiHA surface was carried out. Both nanocrystalline
30 hydroxyapatites showed similar BSA adsorption profiles (**Figure 2**), thus indicating that the
31 incorporation of the silicon does not change the binding affinity and the maximum amount of
32 protein adsorbed.

47 *3.3. In vitro effects of nano-HA and nano-SiHA on immune cells*

50 To know the nano-HA and nano-SiHA effects on immune system, macrophages and T
51 lymphocytes were used as *in vitro* models of innate and adaptive immune response,
52 respectively.

59 *3.3.1. Macrophage response to nano-HA and nano-SiHA*

1 The macrophage capability of playing both positive and negative roles in disease processes
2 and tissue remodelling after injury, has been recently related to the diverse and context
3 dependent macrophage phenotypes [6]. Thus, macrophages can be classified in two types:
4 proinflammatory and microbicidal ones (M1) and immunomodulatory and reparative ones
5 (M2) [22]. The host response to biomaterials depends on the balance between the M1 and M2
6 phenotypes, with participation of diverse specific cytokines. RAW-264.7 is a mouse
7 macrophage cell line retaining many of the characteristics of macrophages *in vivo* [23].
8 **Figure 3** shows the results concerning proliferation and phagocytic activity of murine RAW-
9 264.7 macrophages after 3 days of treatment with either nano-HA or nano-SiHA (1mg/ml).
10 RAW-264.7 growth was significantly delayed by both hydroxyapatites in comparison with
11 cells cultured in the absence of material (**Figure 3A**, $p < 0.005$). Both materials also produced a
12 significant decrease in phagocytic activity of RAW-264.7 cells ($p < 0.005$), although these cells
13 continued phagocytosing when in contact with either nano-HA or nano-SiHA (**Figure 3B**). No
14 significant alterations in cell viability and SubG₁ fraction reveal that neither nano-HA nor
15 nano-SiHA induced apoptosis of RAW-264.7 cells (**Table III**). No significant changes of
16 reactive oxygen species, widely used as macrophage signaling mediators [24], were observed
17 after treatment with these materials, thus indicating the absence of oxidative stress in RAW-
18 264.7 macrophages (**Table III**). The integrity of plasma membrane was demonstrated because
19 no significant increase of LDH levels in the culture medium was detected (**Table III**). No
20 morphological alterations induced by these nanocrystalline hydroxyapatites on these cells
21 were observed (**Figure 4**). Studies with other cell types showed that silicon-doped
22 hydroxyapatite has biocompatibility and mechanical properties comparable to HA but
23 improved bioactivity which enhances bone tissue growth rate [11,25-27]. **Previous**
24 **experiments carried out with human Saos-2 osteoblasts cultured for 4 days in the**
25 **presence of 1 mg/ml of either nano-HA or nano-SiHA demonstrated that this cell type**
26 **proliferated in contact with both materials, but the cell number was significantly lower**

1 than in controls. However, the number of Saos-2 cells in contact with nano-SiHA was
2 significantly higher than with nano-HA, indicating that these cells grow better in the
3 presence of nano-SiHA. This result was also observed by Scanning Electron Microscopy
4 (SEM) when Saos-2 osteoblasts were cultured for 4 days on surface of both nano-HA
5 and nano-SiHA disks. SEM images demonstrated that Saos-2 cells adhere to the nano-
6 SiHA disks, proliferate and colonize their surface better than on HA disks. Although low
7 levels of apoptosis were obtained in human Saos-2 osteoblasts after contact with these
8 materials, this cell type showed a slight increase of cell apoptosis in the presence of nano-
9 HA (2.1 %) in comparison to nano-SiHA (0.4%) and control cells (0.3%). Intracellular
10 ROS content did not show alteration in the presence of these materials, thus indicating
11 no oxidative stress [11].
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25 To evaluate the effects of these nanocrystalline hydroxyapatites on a cellular model more
26 similar to the *in vivo* situation, fresh murine macrophages were obtained from the peritoneum
27 of untreated mice and were cultured for 3 days in the presence of either nano-HA or nano-
28 SiHA (1mg/ml) to measure cell proliferation, phagocytic activity and release of inflammatory
29 cytokines (IL-6, TNF- α). **Figure 5A** shows a significant proliferation decrease of fresh
30 murine macrophages induced by both hydroxyapatites ($p < 0.005$). Nano-SiHA material also
31 produce a significant decrease in phagocytosis of these cells ($p < 0.005$) whose activity is not
32 affected by nano-HA (**Figure 5B**). In spite of this decrease induced by SiHA, fresh
33 macrophages continue phagocytosing when they are in contact with this material (**Figures 6A**
34 and **6B**). **The different effect of nano-HA on RAW and fresh macrophages can be due to**
35 **the higher values of phagocytosis obtained with fresh macrophages which always**
36 **showed higher fluorescence values (Figure 5 B) than RAW macrophages (Figure 3B).**
37 **This fact can be associated with a lower sensitivity to nano-HA of fresh macrophages**
38 **although the phagocytic activity of these cells was affected by nano-SiHA.**
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1 Since the hydroxyapatite bioactivity could produce the sequestration of calcium in the
2 extracellular medium, and taking into account that the Ca^{2+} ion plays a crucial role in cell
3 processes [28], Ca^{2+} levels were measured in the culture medium. **Figure 7** shows a
4 significant Ca^{2+} decrease in the culture medium produced by both nano-HA and nano-SiHA,
5 more pronounced with nano-SiHA, in agreement with the higher bioactivity of this material
6 [27]. The observed sequestration of extracellular calcium can be partially responsible of the
7 lower proliferation produced by nano-HA and nano-SiHA, as it has been described in other
8 cell types [29]. Concerning the possible effect of extracellular calcium depletion on
9 phagocytosis, some studies have shown that macrophages can still phagocyte in the absence
10 of calcium through Fc receptor mediated pathways [30]. On the other hand, the changes due
11 to depletion of extracellular calcium could be decreased *in vivo* by the dynamic flow
12 conditions of the body fluids [31].

13 It is well known that interleukin 6 (IL-6) and tumor necrosis factor alpha (TNF- α) are major
14 inflammatory cytokines and play a crucial role in infection, inflammation and stress
15 responses. For this reason, the release of IL-6 and TNF- α by fresh mouse macrophages was
16 measured after 3 days of treatment with these materials. **Figure 8A** shows significant
17 increases of IL-6 induced by nano-HA ($p < 0.05$) and nano-SiHA ($p < 0.005$). Both materials
18 also triggered a significant TNF- α increase (**Figure 8B**), which was more pronounced with
19 nano-HA ($p < 0.005$) than with nano-SiHA ($p < 0.05$). These results evidence the early *in vitro*
20 response of macrophages to both nanocrystalline hydroxyapatites, suggesting that, after *in*
21 *vivo* implantation, these biomaterials might induce activation of the innate immune system
22 and production of pro-inflammatory cytokines. Although further studies are needed to
23 elucidate the long-term response of macrophages to these materials, an *in vivo* study
24 evidenced the moderate IL-6 and IL-8 expression in bone cells and rich IL10-containing cells
25 distribution after 8 months of hydroxyapatite implantation [32]. This fact reveals the switch of

1 pro-inflammatory macrophage phenotype (M1) to reparative phenotype (M2) expressing high
2 levels of IL-10 [6] and indicates the resolution of inflammatory phase at longer times.

3 3.3.2. *Lymphocyte response to nano-HA and nano-SiHA*

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5 T lymphocytes also play an important regulatory role in the resolution of the inflammatory
6 process through local secretion of cytokines and chemokines, many of which are known to
7 affect macrophage polarization [6]. In order to know the nano-HA and nano-SiHA effects on
8 T lymphocytes as specific cells involved in adaptive immune response, SR.D10 cells were
9 cultured in the presence of these materials (1mg/ml). SR.D10 [18] is a clone obtained from
10 the murine CD4⁺ Th2 cell line D10.G4.1 [19] specific for Conalbumin fragment 134–146,
11 bound to I-A^k class II major histocompatibility complex molecules. SR.D10 cells provide a
12 convenient system to test in vitro lymphocyte activation which has been largely used as a
13 model of T cell proliferation and signalling [33,34]. These T cells have been previously used
14 for biocompatibility testing of different bioceramics [4]. Although non-significant differences
15 were observed on proliferation and viability of SR.D10 lymphocytes after 3 days treatment
16 (**Figures 9A and 9B**), a significant increase of Sub G₁ fraction, indicative of cell apoptosis, is
17 produced by both hydroxyapatites. This effect is accompanied by significant decreases of both
18 S and G₂M fractions (**Figure 10**).

19
20 Programmed cell death (apoptosis) is a key mechanism for regulating lymphocyte number. In
21 T lymphocytes, two major mechanisms have been described involving: a) the activation of T
22 cell through Fas, which leads to the caspase-8 and caspase-3 induction, and b) the loss of
23 mitochondrial integrity controlled by members of the Bcl-2 family proteins [35]. Other
24 molecules that regulate this death process include the leukocyte common antigen CD45,
25 which has been shown to be essential for normal signaling through the T cell receptor (TCR)
26 and for modulating signaling through cytokine receptors [50]. SR.D10 "syngeneic-reactive
27 D10", is a variant of the murine CD4⁺ T helper cell clone which is hyperreactive to TCR
28 dependent and-independent stimuli. This hyperreactivity is related to the expression of several
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1 cell surface molecules involved in T cell activation as CD45 RB receptor, abundantly
2 expressed in SR.D10 [18]. The high levels of T cell apoptosis observed in the present study
3 could be explained by the abundant expression of this receptor that favors this death process
4 through Fas and TCR-induced apoptosis [36]. The presence of either nano-HA or nano-SiHA
5 produces a significant increase of SR.D10 apoptosis probably through these mechanisms.
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11 **All these studies and previous results obtained with many different biomaterials**
12 **demonstrate different cellular responses which are dependent on each biomaterial and**
13 **depending on each cell type [37-39].**
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22 **4. Conclusions**

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25 The *in vitro* evaluation of the early immune response to nanocrystalline hydroxyapatites
26 evidences significant decreases of macrophage proliferation and phagocytic activity. These
27 biomaterials also induce the production of the pro-inflammatory cytokines IL-6 and TNF- α
28 by murine fresh macrophages and trigger apoptosis mechanisms in SR.D10 T lymphocytes.
29
30 The study of the early immune response is the first step to know whether a phenotypic switch
31 of pro-inflammatory (M1) to reparative macrophages (M2) occurs in the presence of these
32 materials. The results obtained will be used in further studies to elucidate the long-term
33 response of immune cells to these nanocrystalline hydroxyapatites which conditions the
34 biomaterial success or rejection. The detection of specific macrophage markers and cytokines
35 will allow a better understanding of the effects at longer times of these biomaterials on the
36 equilibrium of these two distinct macrophage subsets which represents a key factor for
37 establishing their applicability for bone Tissue Engineering.
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Figure Legends

1 **Figure 1.** Experimental (circles) and calculated (solid line) powder X-ray diffraction pattern
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3 for (up) nano-HA and (down) nano-SiHA.
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5 **Figure 2.** BSA adsorption profiles on nano-HA and nano-SiHA after 5 hours treatment.
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7 **Figure 3.** Effects of nano-HA and nano-SiHA on proliferation (A) and phagocytosis (B) of
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9 murine RAW-264.7 macrophages after 3 days treatment. ***p<0.005.
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11 **Figure 4.** Morphology evaluation by confocal microscopy of murine RAW-264.7
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13 macrophages after 3 days treatment with nano-HA (B) and nano-SiHA (C). Controls without
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15 material are also included (A). Detail of RAW-264.7 cells in the presence of nano-SiHA (D).
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18 **Figure 5.** Effects of nano-HA and nano-SiHA on proliferation (A) and phagocytosis (B) of
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20 murine fresh macrophages after 3 days treatment. ***p<0.005.
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23 **Figure 6.** Morphology evaluation by confocal microscopy of phagocytosis of rabbit IgG-
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25 FITC-coated latex beads (in green) by murine fresh macrophages after 3 days treatment with
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27 nano-SiHA. A) Panoramic image. B) Detail of the macrophage phagocytosing activity.
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30 **Figure 7.** Effects of nano-HA and nano-SiHA on Ca^{2+} levels in the culture medium after 3
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32 days treatment. *p<0.05.
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35 **Figure 8.** Effects of nano-HA and nano-SiHA on IL-6 (A) and TNF- α (B) release by murine
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37 fresh macrophages after 3 days treatment. *p<0.05, ***p<0.005.
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40 **Figure 9.** Effects of nano-HA and nano-SiHA on proliferation (A) and viability (B) of
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42 SR.D10 lymphocytes after 3 days treatment.
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45 **Figure 10.** Effects of nano-HA and nano-SiHA on cell cycle phases of SR.D10 lymphocytes
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47 after 3 days treatment. *p<0.05, ***p<0.005.
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50 **Table I.** Experimental Ca, P and Si content (% in weight) obtained by X-ray fluorescence
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52 spectroscopy. Theoretical values are indicated below in brackets.
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Table II. Lattice parameters and crystallite sizes obtained from Rietveld refinements. ^(a) The values in brackets for mean crystallite size do not make reference to the measurement errors, but are an estimation of the crystal size anisotropy.

Table III. Effects of nano-HA and nano-SiHA on cell viability, apoptosis, ROS production and LDH release into the culture medium of murine RAW-264.7 macrophages after 3 days treatment.

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EARLY *IN VITRO* RESPONSE OF MACROPHAGES AND T LYMPHOCYTES TO
NANOCRYSTALLINE HYDROXYAPATITES

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1 **Abstract**

2
3 *Hypothesis*

4
5 Synthetic hydroxyapatite (HA) and Si substituted hydroxyapatite (SiHA) are calcium
6 phosphate ceramics currently used in the field of dentistry and orthopaedic surgery. The
7 preparation of both biomaterials as polycrystalline solid pieces or grains formed by
8 nanocrystallites has awakened a great interest to enhance the bioactive behavior due to the
9 microstructural defects and the higher surface area. The study of the macrophage and
10 lymphocyte behavior in contact with nanocrystalline HA and SiHA will allow to elucidate the
11 immune response which conditions the success or rejection of these biomaterials.
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22 *Experiments*

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24 HA and SiHA granules (with sizes of tens of microns) have been prepared by controlled
25 aqueous precipitation avoiding subsequent high temperature sintering. HA and SiHA granules
26 were constituted by crystallites smaller than 50 nm. The effects of both nanocrystalline
27 materials on immune system have been evaluated with macrophages (main components of
28 innate immune system) and T lymphocytes (specific cells of adaptive response) after short-
29 term culture as *in vitro* models of the early immune response.
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40 *Findings*

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42 Significant decreases of macrophage proliferation and phagocytic activity, increased
43 production of inflammatory cytokines (IL-6, TNF- α) and T lymphocyte apoptosis, were
44 induced by these nanocrystalline ceramics suggesting that, after *in vivo* implantation, they
45 induce significant effects on immune responses, including an early activation of the innate
46 immune system.
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58 **Keywords:** biocompatibility, cytokine, hydroxyapatite, lymphocyte, macrophage.
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1. Introduction

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2 Immediately after biomaterial-tissue contact, blood and interstitial fluid proteins adsorb to the
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4 biomaterial surface and activate the coagulation cascade, complement system, platelets and
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6 immune cells [1,2]. Thus, the evaluation of serum proteins adsorption and the biomaterial
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8 effects on immune system are essential aspects of biocompatibility assessment [3,4]. The
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10 immune response comprises both innate and adaptive defence mechanisms which co-
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12 ordinally activate different cell populations. Neutrophils, monocytes and macrophages are
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14 critical cellular components of the innate immune response, carrying out phagocytosis and
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16 producing reactive oxygen species, antimicrobial peptides, and inflammatory mediators [5].
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18 The adaptive response is mediated by antigen-specific lymphocytes (T and B cells) and by
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20 their products, which include inflammatory cytokines and antibodies. Although the interaction
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22 of immune cells with biomaterials has been considered negative for a long time, specific cell
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24 responses may be beneficial for biomaterial integration and improve implant performance [6].
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26 Recent studies demonstrate the potential of biomaterials to modulate immune cell function
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28 suggesting the possibility of designing biomaterials capable of eliciting appropriate immune
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30 responses at implantation sites [7].
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39 Because of its similarity to the mineral component of bone, synthetic hydroxyapatite (HA) is a
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41 calcium phosphate ceramic widely used in the field of dentistry and orthopaedic surgery with
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43 different purposes. Its main applications are grafting for small bone defects, coatings of
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45 metallic prostheses and periodontal implants, and as scaffolds for bone tissue engineering [8].
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49 Silicon substituted hydroxyapatites (SiHAs) have attracted the attention of many researchers
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51 [9-13] and have recently been incorporated to the biomaterials market as Actifuse ABXTM
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53 (Apatech Ltd, UK) for spinal, orthopedic, periodontal, oral and craniomaxillofacial
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55 applications. SiHAs are intended to improve the bone formation compared to non-substituted
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57 HA, in terms of better bioactivity, higher osteoclastic resorption activity, enhanced bone
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ingrowth, and bone implant coverage. This performance improvement could be due to the higher solubility at the grain boundary [9,10] and the osteogenic action of silicon in the early stages of bone formation [14]. SiHA approved for clinical use and most of HA based implants, undergo a sintering process at high temperatures to increase the density and improve the mechanical properties. This thermal treatment yields polycrystalline pieces or granulates constituted by large SiHA crystals, having dimensions of several micrometers depending on the crystal direction considered. Moreover, the crystalline growth comprises a reduction of porosity and surface area. In the last years, the possibility of enhancing the bioceramics bioreactivity through their preparation with low temperature methods has been suggested [15]. Avoiding the high temperature sintering process (commonly about 1200°C), nanocrystalline pieces and grains can be prepared. The associated higher surface area and smaller crystal size could provide very interesting bioresponses, especially in SiHA as the osteogenic effect of silicon is mainly explained by its location at the crystals boundaries [9,10].

As essential processes after biomaterial-tissue contact, the albumin adsorption to both nanocrystalline HA and SiHA as well as the effects of these materials on different immune cells have been evaluated in the present study. With this objective, murine macrophages (main components of innate immune system) and T lymphocytes (specific cells involved in adaptive response) have been cultured for three days in direct contact with these nanocrystalline hydroxyapatites, as an *in vitro* model of the early immune response to both materials. Different cell parameters as morphology, proliferation, phagocytic activity, viability, lactate dehydrogenase release (LDH), apoptosis, intracellular reactive oxygen species (ROS), cell cycle phases, and cytokine (IL-6 and TNF- α) production were determined as markers of biocompatibility and specific response of both cell types.

2. Material and Methods

2.1. Nanocrystalline hydroxyapatite and silicon substituted hydroxyapatite synthesis

Samples of pure and silicon substituted HA were prepared by aqueous precipitation reaction of $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$, $(\text{NH}_4)_2\text{HPO}_4$ and $\text{Si}(\text{CH}_3\text{CH}_2\text{O})_4$ solutions. The amounts of reactants were calculated on the assumption that silicon would be substituted by phosphorus. Two different compositions have been prepared with nominal formula $\text{Ca}_{10}(\text{PO}_4)_{6-x}(\text{SiO}_4)_x(\text{OH})_{2-x}$, with $x = 0$ and 0.25 for nano-HA and nano-SiHA samples, respectively. 1M $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ solution was added to $(\text{NH}_4)_2\text{HPO}_4$ and TEOS solutions of stoichiometric concentration to obtain the compositions described above. The mixture was stirred for 12 hours at 80°C . During the reaction the pH was continuously adjusted to 9.5 to ensure constant conditions during the synthesis. The samples were treated at 700°C under air atmosphere to remove the nitrates without introducing important changes in the crystallite size respect to the as precipitated powder. The HA and Si-HA grains thus obtained have a diameter ranging in size between 10 to 100 micrometers, as determined by means of a Sedigraph 5100 equipment (data not shown).

2.2. Chemical, structural and microstructural studies of nano-HA and nano-SiHA

Elemental chemical analysis was carried out by fluorescence X-ray spectrometry for P, Si and Ca. XRD patterns were collected with a Philips PW 1730 X-ray diffractometer using $\text{Cu K}\alpha$ radiation with a step size of $0.02\ 2\theta^\circ$ and 8 seconds of counting time. In order to determine the crystalline and microstructural characteristic of both samples, Rietveld refinements were carried out over the XRD patterns collected. The refinements were performed using the atomic position set and the space group of the HA structure $\text{P6}_3/\text{m}$, no 176 [16] by means of computer program FullProf 2000.

2.3. Adsorption of bovine serum albumin (BSA)

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2 Adsorption experiments were carried out with 10 mg of either nano-HA or nano-SiHA
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4 granulates incubated with different concentrations of BSA (Sigma) in PBS and moderately
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6 shaken for 5 h at 37°C. Incubation of 24 h showed no significant difference in the amount
7
8 of protein adsorbed. Controls with the same BSA concentration but without material were
9
10 carried out. After incubation time, the supernatant obtained was analyzed by UV-Vis
11
12 spectroscopy and the adsorbed protein amount was calculated as the difference in protein
13
14 concentration before and after BSA adsorption, using a value of 0.667 as coefficient E
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16 (0.1%, 279 nm, 1 cm).
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2.4. Isolation and culture of murine peritoneal macrophages

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25 Normal macrophages were obtained from the peritoneum of untreated mice as described
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27 elsewhere [17]. Briefly, BALB/c mice were killed, and the skin was removed from the
28
29 abdominal area. Mice were then injected intraperitoneally with 4-5 ml of phosphate buffered
30
31 saline (PBS) using an 18 gauge needle. Without extracting the needle, the abdomen was
32
33 gently massaged and then as much fluid from the peritoneum as possible was slowly
34
35 withdrawn with the syringe. The peritoneal cells were washed in PBS before use. All
36
37 procedures were approved by Institutional Animal Care and Use Committees.
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2.5. Cell culture

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45 RAW-264.7 cells or mouse peritoneal macrophages at a density of 10^5 cells/ml were
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47 seeded in 6 well culture plates in 2ml of Dulbecco's Modified Eagle Medium (DMEM)
48
49 supplemented with 10% heat-inactivated fetal bovine serum (FBS) and 1mM L-glutamine.
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51 SR.D10 cells [18] derived from the murine CD4⁺ Th2 cell line D10.G4.1 [19] were
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53 cultured in suspension in Click's medium supplemented with 10% FBS containing 5 U/ml
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55 mrIL-2, 10 U/ml mrIL-4, and 25 pg/ml mrIL-1 (IL medium) as previously described
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[18,19]. After 3 days culture in the presence or the absence of 1mg/ml of either nano-HA or nano- SiHA, cells were collected in order to determine the number of cells. The attached RAW-264.7 cells were washed with PBS and harvested using cell scrapers. Murine peritoneal macrophages were washed with PBS and detached with PBS / 1mM EDTA at 4°C. After these procedures, 10 µl of the suspensions of these cell types and 10 µl of SR.D10 lymphocytes were counted with a Neubauer hemocytometer in the presence of 0.2% Trypan Blue, which stains the dead cells, for the analysis of cell proliferation. Then, cell suspensions were centrifuged at 310xg for 10 min and resuspended in fresh medium for the analysis of different parameters by Flow Cytometry as described below (2.8 section).

2.6. Morphological studies by Confocal Microscopy

After fixation with 3.7% paraformaldehyde in PBS, samples were permeabilized with 0.1% Triton X-100, preincubated with PBS containing 1% BSA and incubated with rhodamine phalloidin to stain F-actin filaments. After cell nuclei staining with 3 µM DAPI, cells were examined by a LEICA SP2 Confocal Laser Scanning Microscope. The fluorescence of rhodamine was excited at 540 nm and measured at 565 nm. DAPI fluorescence was excited at 405 nm and measured at 420–480 nm. To observe the phagocytosis of Latex Beads-Rabbit IgG-FITC by macrophages, cells were incubated as described below (2.8.3 section), fixed with paraformaldehyde and the FITC fluorescence was detected with filters for excitation and emission at 485 and 535 nm, respectively.

2.7. Lactate dehydrogenase (LDH) measurement

LDH was measured in the culture medium by an enzymatic method at 340 nm (Bio-Analítica) using a Beckman DU 640 UV-Visible spectrophotometer.

2.8. Flow Cytometry studies

1 After cell detachment (2.5 section) and incubation with the different probes (as it is described
2 below) the conditions for the data acquisition and analysis were established using negative
3 and positive controls with the CellQuest Program of Becton Dickinson and these conditions
4 were maintained during all the experiments. Each experiment was carried out three times and
5 single representative experiments are displayed. For statistical significance, at least 10,000
6 cells were analyzed in each sample and the mean of the fluorescence emitted by these single
7 cells was used.

16 *2.8.1. Apoptosis detection*

18 Cell suspensions were incubated with 5µg/ml Hoechst 33258 for 30 min in darkness. Hoechst
19 fluorescence was excited at 350 nm and measured at 450 nm in a LSR Becton Dickinson
20 Flow Cytometer. The percentage of cells in each cycle phase was calculated with the
21 CellQuest Program of Becton Dickinson and the SubG₁ fraction was used as indicative of
22 apoptosis.

32 *2.8.2. Intracellular reactive oxygen species (ROS) content and cell viability*

34 Cells were incubated for 30 min with 100 µM DCFH/DA. DCF fluorescence was excited by a
35 15 mW laser tuning to 488 nm and was measured with a 530/30 band pass filter in a
36 FACScalibur Becton Dickinson Flow Cytometer. Cell viability was determined by propidium
37 iodide (PI, 0.005%) exclusion test to stain the DNA of dead cells.

47 *2.8.3. Phagocytosis assays*

49 The phagocytosis of Latex Beads-Rabbit IgG-FITC by macrophages was evaluated by the
50 Phagocytosis Assay Kit (IgG FITC) of Cayman Chemical Company. Cells were incubated
51 overnight with 1µg/ml LPS (*E.coli* 055:B5) and Latex Beads-Rabbit IgG-FITC after treatment
52 with the materials. FITC fluorescence was excited by a 15 mW laser tuning to 488 nm and
53 measured with a 530/30 band pass filter in a FACScalibur Becton Dickinson Flow Cytometer.

2.9. Inflammatory cytokine detection

The amounts of TNF- α , and IL-6 in the culture medium were quantified by ELISA (Gen-Probe, Diaclone), carried out according to the manufacturer's instructions.

2.10. Measurement of Ca²⁺ levels in the culture medium

To know the effect of hydroxyapatite (HA) and silicon-doped hydroxyapatite (SiHA) on Ca²⁺ levels in the culture medium, Ca²⁺ was analyzed with an Ilyte Electrolyte Analyzer.

2.11. Statistics

Data are expressed as means \pm standard deviations of one representative experiment out of three experiments carried out in triplicate. Statistical analysis was performed using the Statistical Package for the Social Sciences (SPSS) version 19 software. Statistical comparisons were made by analysis of variance (ANOVA). Scheffé test was used for *post hoc* evaluations of differences among groups. $P < 0.05$ was considered as statistically significant.

3. Results and Discussion

3.1. Chemical, structural and microstructural properties of nano-HA and nano-SiHA

The Ca, P and Si contents of the HA and SiHA granules, determined by elemental chemical analysis (**Table I**), show that the experimental cationic composition is very similar to the theoretical one, indicating that the synthesis method applied allows the incorporation of the silicon into the precipitated powder of nano-SiHA. XRD patterns were collected for nano-HA and nano-SiHA samples, exhibiting almost identical profiles which are presented in **Figure 1**. All the diffraction maxima correspond to the reflections of an apatite phase. **Table II** shows the lattice parameters and the sizes of the crystallites calculated using the apparent sizes along the different directions, obtained from the microstructural parameters calculated from the Rietveld refinements. **Table II** shows the data along $[100]$ and $[001]$ directions as well as the

1 mean crystallite size. The crystallite sizes indicate an anisotropic growth along the c axis, [0 0
2 1] direction, resulting in crystallites with needle-like shapes similar to those found in the
3 mineral component of bone [20]. Nano-HA crystallites are 48.2 nm and 27.6 nm in size for
4 the longest and shortest dimensions, respectively. Besides, nano-SiHA crystallites are 32.9 nm
5 and 19.1 nm for the same dimensions, thus indicating that the silicon incorporation seems to
6 play a role on the crystalline growth decreasing the crystal size. Independently of the
7 dimension considered, the crystallites of both samples are smaller than 50 nm, confirming that
8 the grains of nano-HA and nano-SiHA are polycrystalline particles formed by numerous
9 nanocrystallites.

21 *3.2. Adsorption of bovine serum albumin (BSA) to nano-HA and nano-SiHA*

24 Blood proteins are important factors in determining the *in vivo* acceptance of biomaterials [1].
25 The protein adsorption depends on the physico-chemical properties of the material [21] and is
26 a key point in the initial cellular response to a biomaterial after implantation, affecting the
27 cellular adhesion, differentiation and extracellular matrix production [1]. Since albumin is the
28 most abundant serum protein, a comparative evaluation of the adsorption of different BSA
29 concentrations on nano-HA and nano-SiHA surface was carried out. Both nanocrystalline
30 hydroxyapatites showed similar BSA adsorption profiles (**Figure 2**), thus indicating that the
31 incorporation of the silicon does not change the binding affinity and the maximum amount of
32 protein adsorbed.

47 *3.3. In vitro effects of nano-HA and nano-SiHA on immune cells*

50 To know the nano-HA and nano-SiHA effects on immune system, macrophages and T
51 lymphocytes were used as *in vitro* models of innate and adaptive immune response,
52 respectively.

59 *3.3.1. Macrophage response to nano-HA and nano-SiHA*

1 The macrophage capability of playing both positive and negative roles in disease processes
2 and tissue remodelling after injury, has been recently related to the diverse and context
3 dependent macrophage phenotypes [6]. Thus, macrophages can be classified in two types:
4 proinflammatory and microbicidal ones (M1) and immunomodulatory and reparative ones
5 (M2) [22]. The host response to biomaterials depends on the balance between the M1 and M2
6 phenotypes, with participation of diverse specific cytokines. RAW-264.7 is a mouse
7 macrophage cell line retaining many of the characteristics of macrophages *in vivo* [23].
8 **Figure 3** shows the results concerning proliferation and phagocytic activity of murine RAW-
9 264.7 macrophages after 3 days of treatment with either nano-HA or nano-SiHA (1mg/ml).
10 RAW-264.7 growth was significantly delayed by both hydroxyapatites in comparison with
11 cells cultured in the absence of material (**Figure 3A**, $p<0.005$). Both materials also produced a
12 significant decrease in phagocytic activity of RAW-264.7 cells ($p<0.005$), although these cells
13 continued phagocytosing when in contact with either nano-HA or nano-SiHA (**Figure 3B**). No
14 significant alterations in cell viability and SubG₁ fraction reveal that neither nano-HA nor
15 nano-SiHA induced apoptosis of RAW-264.7 cells (**Table III**). No significant changes of
16 reactive oxygen species, widely used as macrophage signaling mediators [24], were observed
17 after treatment with these materials, thus indicating the absence of oxidative stress in RAW-
18 264.7 macrophages (**Table III**). The integrity of plasma membrane was demonstrated because
19 no significant increase of LDH levels in the culture medium was detected (**Table III**). No
20 morphological alterations induced by these nanocrystalline hydroxyapatites on these cells
21 were observed (**Figure 4**). Studies with other cell types showed that silicon-doped
22 hydroxyapatite has biocompatibility and mechanical properties comparable to HA but
23 improved bioactivity which enhances bone tissue growth rate [11,25-27]. Previous
24 experiments carried out with human Saos-2 osteoblasts cultured for 4 days in the presence of
25 1 mg/ml of either nano-HA or nano-SiHA demonstrated that this cell type proliferated in
26 contact with both materials, but the cell number was significantly lower than in controls.
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1 However, the number of Saos-2 cells in contact with nano-SiHA was significantly higher than
2 with nano-HA, indicating that these cells grow better in the presence of nano-SiHA. This
3 result was also observed by Scanning Electron Microscopy (SEM) when Saos-2 osteoblasts
4 were cultured for 4 days on surface of both nano-HA and nano-SiHA disks. SEM images
5 demonstrated that Saos-2 cells adhere to the nano-SiHA disks, proliferate and colonize their
6 surface better than on HA disks. Although low levels of apoptosis were obtained in human
7 Saos-2 osteoblasts after contact with these materials, this cell type showed a slight increase of
8 cell apoptosis in the presence of nano-HA (2.1 %) in comparison to nano-SiHA (0.4%) and
9 control cells (0.3%). Intracellular ROS content did not show alteration in the presence of these
10 materials, thus indicating no oxidative stress [11].

11 To evaluate the effects of these nanocrystalline hydroxyapatites on a cellular model more
12 similar to the *in vivo* situation, fresh murine macrophages were obtained from the peritoneum
13 of untreated mice and were cultured for 3 days in the presence of either nano-HA or nano-
14 SiHA (1mg/ml) to measure cell proliferation, phagocytic activity and release of inflammatory
15 cytokines (IL-6, TNF- α). **Figure 5A** shows a significant proliferation decrease of fresh
16 murine macrophages induced by both hydroxyapatites ($p < 0.005$). Nano-SiHA material also
17 produce a significant decrease in phagocytosis of these cells ($p < 0.005$) whose activity is not
18 affected by nano-HA (**Figure 5B**). In spite of this decrease induced by SiHA, fresh
19 macrophages continue phagocytosing when they are in contact with this material (**Figures 6A**
20 and **6B**). The different effect of nano-HA on RAW and fresh macrophages can be due to the
21 higher values of phagocytosis obtained with fresh macrophages which always showed higher
22 fluorescence values (Figure 5 B) than RAW macrophages (Figure 3B). This fact can be
23 associated with a lower sensitivity to nano-HA of fresh macrophages although the phagocytic
24 activity of these cells was affected by nano-SiHA.

25 Since the hydroxyapatite bioactivity could produce the sequestration of calcium in the
26 extracellular medium, and taking into account that the Ca^{2+} ion plays a crucial role in cell

1 processes [28], Ca^{2+} levels were measured in the culture medium. **Figure 7** shows a
2 significant Ca^{2+} decrease in the culture medium produced by both nano-HA and nano-SiHA,
3 more pronounced with nano-SiHA, in agreement with the higher bioactivity of this material
4 [27]. The observed sequestration of extracellular calcium can be partially responsible of the
5 lower proliferation produced by nano-HA and nano-SiHA, as it has been described in other
6 cell types [29]. Concerning the possible effect of extracellular calcium depletion on
7 phagocytosis, some studies have shown that macrophages can still phagocyte in the absence
8 of calcium through Fc receptor mediated pathways [30]. On the other hand, the changes due
9 to depletion of extracellular calcium could be decreased *in vivo* by the dynamic flow
10 conditions of the body fluids [31].

11 It is well known that interleukin 6 (IL-6) and tumor necrosis factor alpha (TNF- α) are major
12 inflammatory cytokines and play a crucial role in infection, inflammation and stress
13 responses. For this reason, the release of IL-6 and TNF- α by fresh mouse macrophages was
14 measured after 3 days of treatment with these materials. **Figure 8A** shows significant
15 increases of IL-6 induced by nano-HA ($p < 0.05$) and nano-SiHA ($p < 0.005$). Both materials
16 also triggered a significant TNF- α increase (**Figure 8B**), which was more pronounced with
17 nano-HA ($p < 0.005$) than with nano-SiHA ($p < 0.05$). These results evidence the early *in vitro*
18 response of macrophages to both nanocrystalline hydroxyapatites, suggesting that, after *in*
19 *vivo* implantation, these biomaterials might induce activation of the innate immune system
20 and production of pro-inflammatory cytokines. Although further studies are needed to
21 elucidate the long-term response of macrophages to these materials, an *in vivo* study
22 evidenced the moderate IL-6 and IL-8 expression in bone cells and rich IL10-containing cells
23 distribution after 8 months of hydroxyapatite implantation [32]. This fact reveals the switch of
24 pro-inflammatory macrophage phenotype (M1) to reparative phenotype (M2) expressing high
25 levels of IL-10 [6] and indicates the resolution of inflammatory phase at longer times.

26 3.3.2. Lymphocyte response to nano-HA and nano-SiHA

1 T lymphocytes also play an important regulatory role in the resolution of the inflammatory
2 process through local secretion of cytokines and chemokines, many of which are known to
3 affect macrophage polarization [6]. In order to know the nano-HA and nano-SiHA effects on
4 T lymphocytes as specific cells involved in adaptive immune response, SR.D10 cells were
5 cultured in the presence of these materials (1mg/ml). SR.D10 [18] is a clone obtained from
6 the murine CD4⁺ Th2 cell line D10.G4.1 [19] specific for Conalbumin fragment 134–146,
7 bound to I-A^k class II major histocompatibility complex molecules. SR.D10 cells provide a
8 convenient system to test in vitro lymphocyte activation which has been largely used as a
9 model of T cell proliferation and signalling [33,34]. These T cells have been previously used
10 for biocompatibility testing of different bioceramics [4]. Although non-significant differences
11 were observed on proliferation and viability of SR.D10 lymphocytes after 3 days treatment
12 (**Figures 9A and 9B**), a significant increase of Sub G₁ fraction, indicative of cell apoptosis, is
13 produced by both hydroxyapatites. This effect is accompanied by significant decreases of both
14 S and G₂M fractions (**Figure 10**).

15 Programmed cell death (apoptosis) is a key mechanism for regulating lymphocyte number. In
16 T lymphocytes, two major mechanisms have been described involving: a) the activation of T
17 cell through Fas, which leads to the caspase-8 and caspase-3 induction, and b) the loss of
18 mitochondrial integrity controlled by members of the Bcl-2 family proteins [35]. Other
19 molecules that regulate this death process include the leukocyte common antigen CD45,
20 which has been shown to be essential for normal signaling through the T cell receptor (TCR)
21 and for modulating signaling through cytokine receptors [50]. SR.D10 "syngeneic-reactive
22 D10", is a variant of the murine CD4⁺ T helper cell clone which is hyperreactive to TCR
23 dependent and-independent stimuli. This hyperreactivity is related to the expression of several
24 cell surface molecules involved in T cell activation as CD45 RB receptor, abundantly
25 expressed in SR.D10 [18]. The high levels of T cell apoptosis observed in the present study
26 could be explained by the abundant expression of this receptor that favors this death process

through Fas and TCR-induced apoptosis [36]. The presence of either nano-HA or nano-SiHA produces a significant increase of SR.D10 apoptosis probably through these mechanisms.

All these studies and previous results obtained with many different biomaterials demonstrate different cellular responses which are dependent on each biomaterial and depending on each cell type [37-39].

4. Conclusions

The *in vitro* evaluation of the early immune response to nanocrystalline hydroxyapatites evidences significant decreases of macrophage proliferation and phagocytic activity. These biomaterials also induce the production of the pro-inflammatory cytokines IL-6 and TNF- α by murine fresh macrophages and trigger apoptosis mechanisms in SR.D10 T lymphocytes. The study of the early immune response is the first step to know whether a phenotypic switch of pro-inflammatory (M1) to reparative macrophages (M2) occurs in the presence of these materials. The results obtained will be used in further studies to elucidate the long-term response of immune cells to these nanocrystalline hydroxyapatites which conditions the biomaterial success or rejection. The detection of specific macrophage markers and cytokines will allow a better understanding of the effects at longer times of these biomaterials on the equilibrium of these two distinct macrophage subsets which represents a key factor for establishing their applicability for bone Tissue Engineering.

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Figure Legends

1 **Figure 1.** Experimental (circles) and calculated (solid line) powder X-ray diffraction pattern
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3 for (up) nano-HA and (down) nano-SiHA.
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5 **Figure 2.** BSA adsorption profiles on nano-HA and nano-SiHA after 5 hours treatment.
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7 **Figure 3.** Effects of nano-HA and nano-SiHA on proliferation (A) and phagocytosis (B) of
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9 murine RAW-264.7 macrophages after 3 days treatment. ***p<0.005.
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11 **Figure 4.** Morphology evaluation by confocal microscopy of murine RAW-264.7
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13 macrophages after 3 days treatment with nano-HA (B) and nano-SiHA (C). Controls without
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15 material are also included (A). Detail of RAW-264.7 cells in the presence of nano-SiHA (D).
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18 **Figure 5.** Effects of nano-HA and nano-SiHA on proliferation (A) and phagocytosis (B) of
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20 murine fresh macrophages after 3 days treatment. ***p<0.005.
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23 **Figure 6.** Morphology evaluation by confocal microscopy of phagocytosis of rabbit IgG-
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25 FITC-coated latex beads (in green) by murine fresh macrophages after 3 days treatment with
26
27 nano-SiHA. A) Panoramic image. B) Detail of the macrophage phagocytosing activity.
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30 **Figure 7.** Effects of nano-HA and nano-SiHA on Ca²⁺ levels in the culture medium after 3
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32 days treatment. *p<0.05.
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35 **Figure 8.** Effects of nano-HA and nano-SiHA on IL-6 (A) and TNF- α (B) release by murine
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37 fresh macrophages after 3 days treatment. *p<0.05, ***p<0.005.
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40 **Figure 9.** Effects of nano-HA and nano-SiHA on proliferation (A) and viability (B) of
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42 SR.D10 lymphocytes after 3 days treatment.
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45 **Figure 10.** Effects of nano-HA and nano-SiHA on cell cycle phases of SR.D10 lymphocytes
46
47 after 3 days treatment. *p<0.05, ***p<0.005.
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50 **Table I.** Experimental Ca, P and Si content (% in weight) obtained by X-ray fluorescence
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52 spectroscopy. Theoretical values are indicated below in brackets.
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Table II. Lattice parameters and crystallite sizes obtained from Rietveld refinements. ^(a) The values in brackets for mean crystallite size do not make reference to the measurement errors, but are an estimation of the crystal size anisotropy.

Table III. Effects of nano-HA and nano-SiHA on cell viability, apoptosis, ROS production and LDH release into the culture medium of murine RAW-264.7 macrophages after 3 days treatment.

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Figure 1
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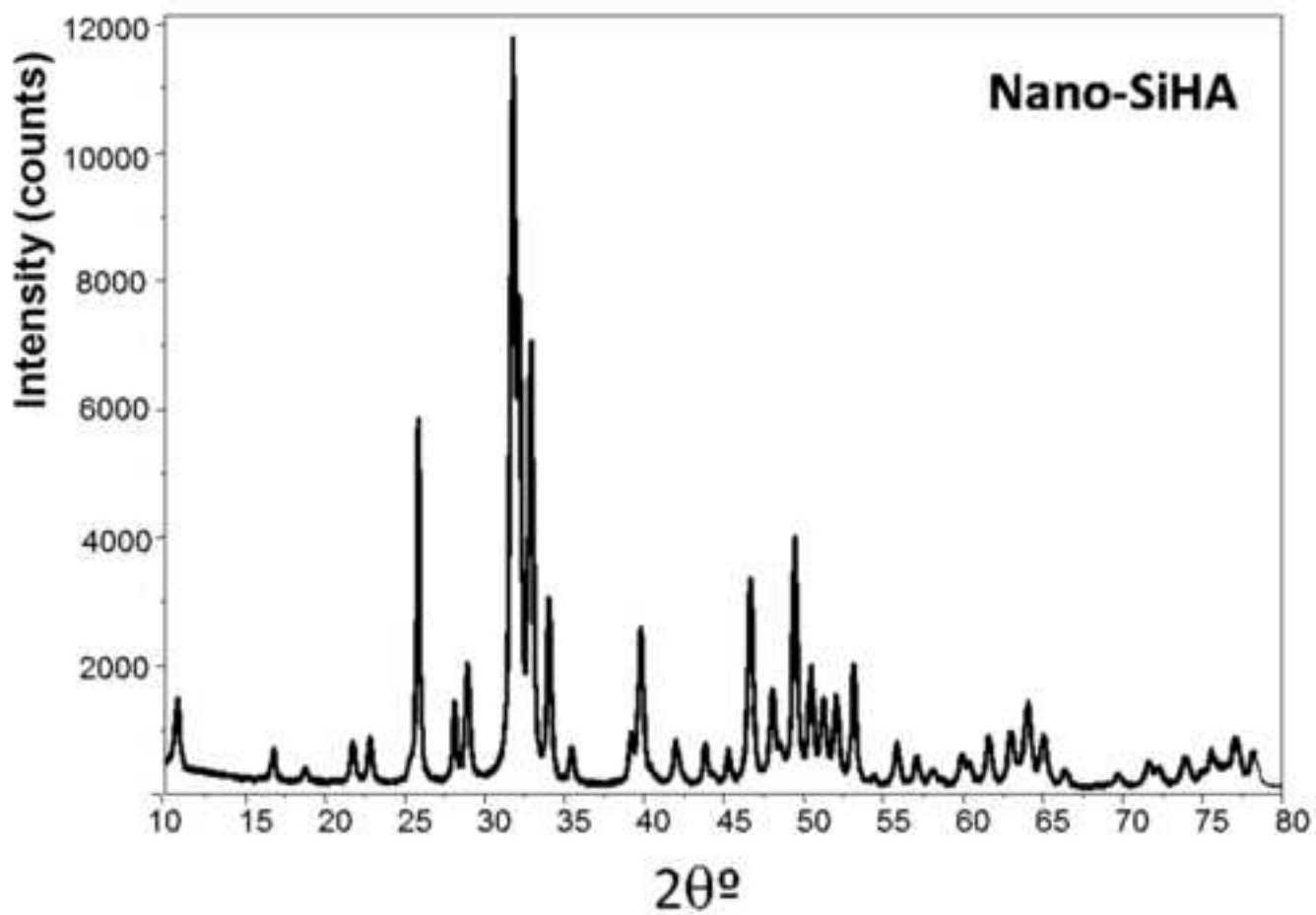
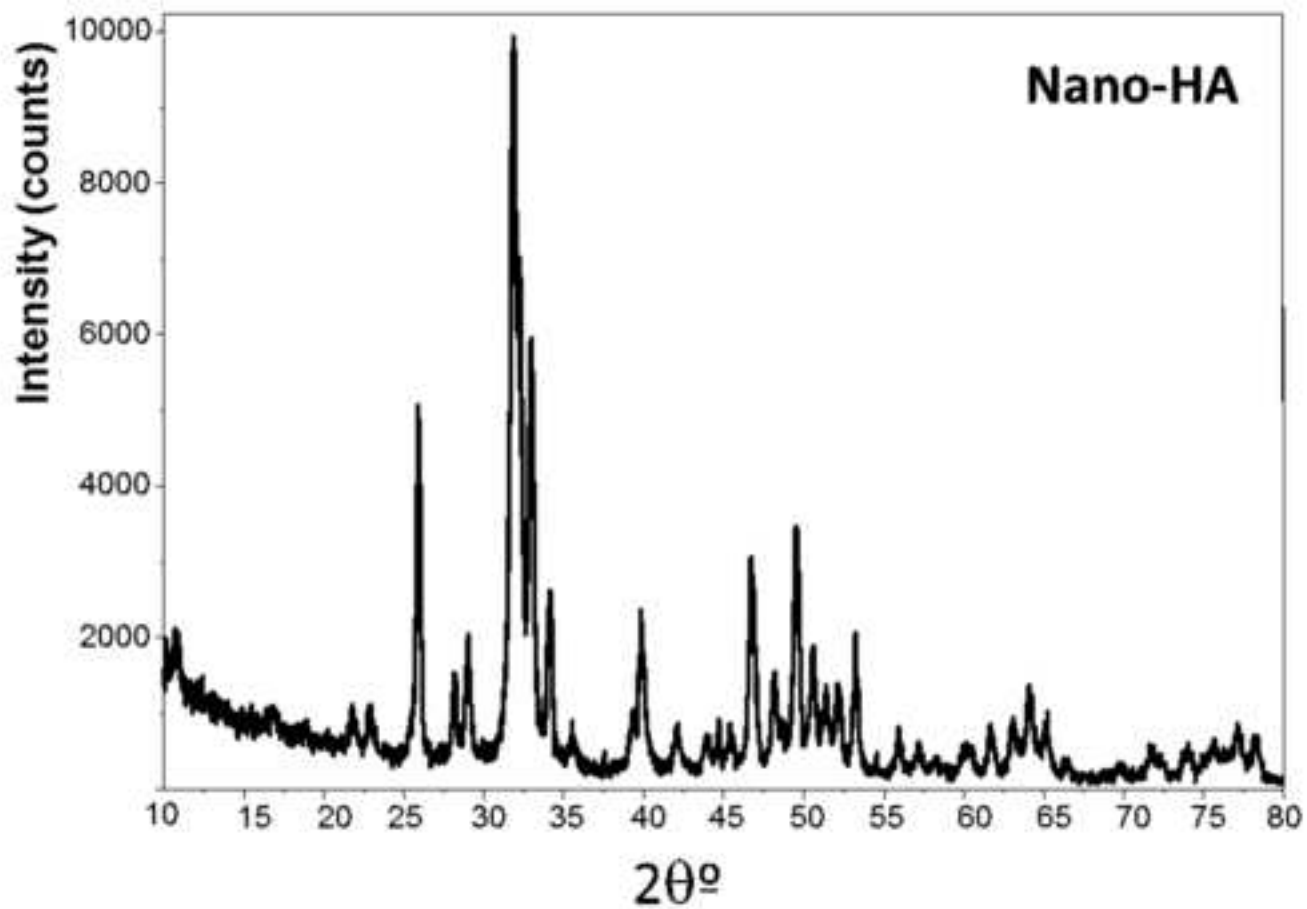
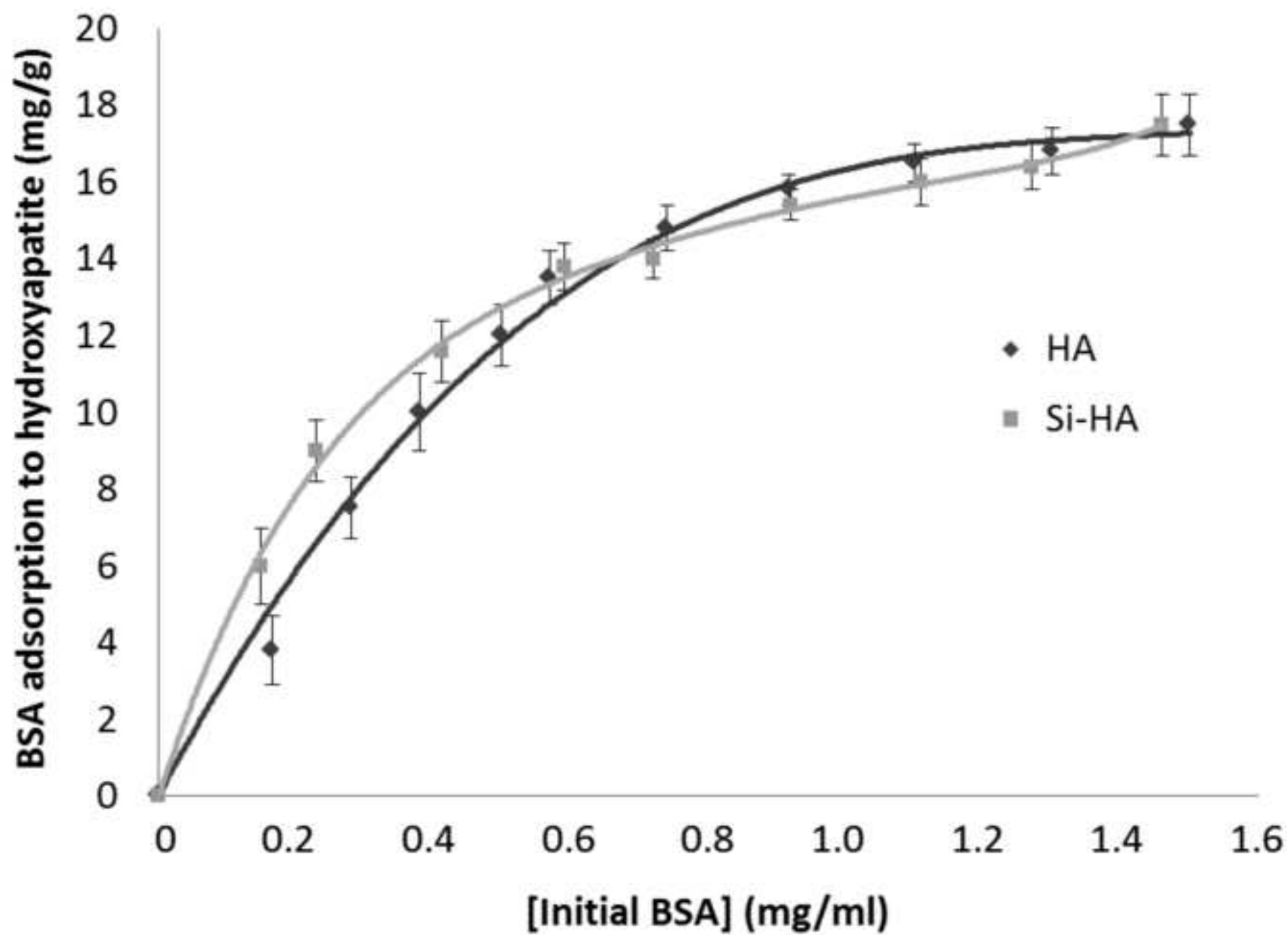


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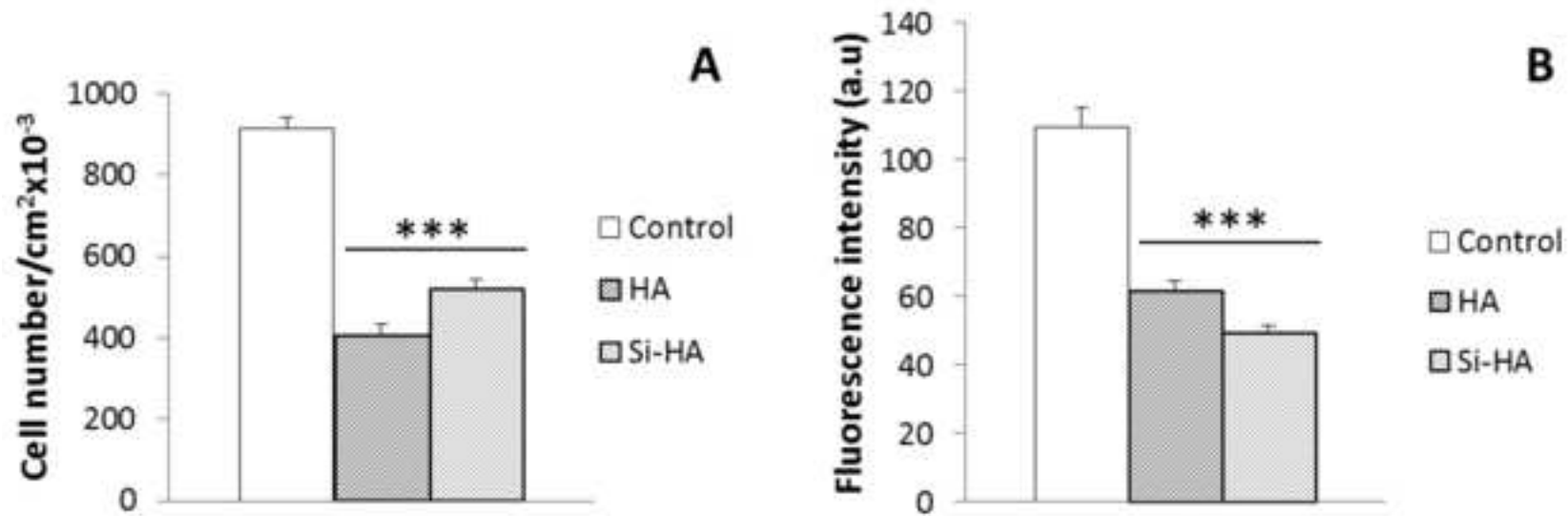
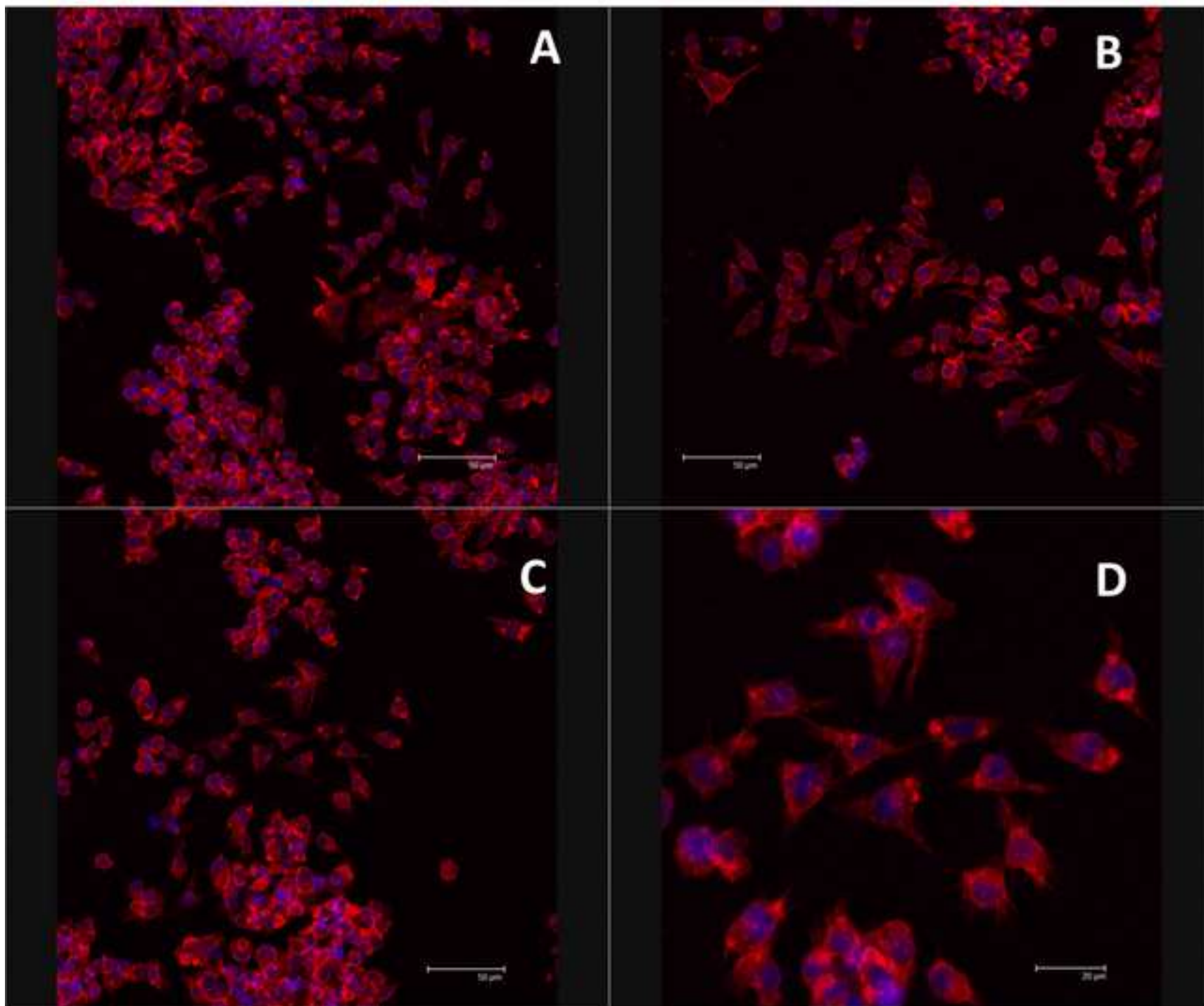


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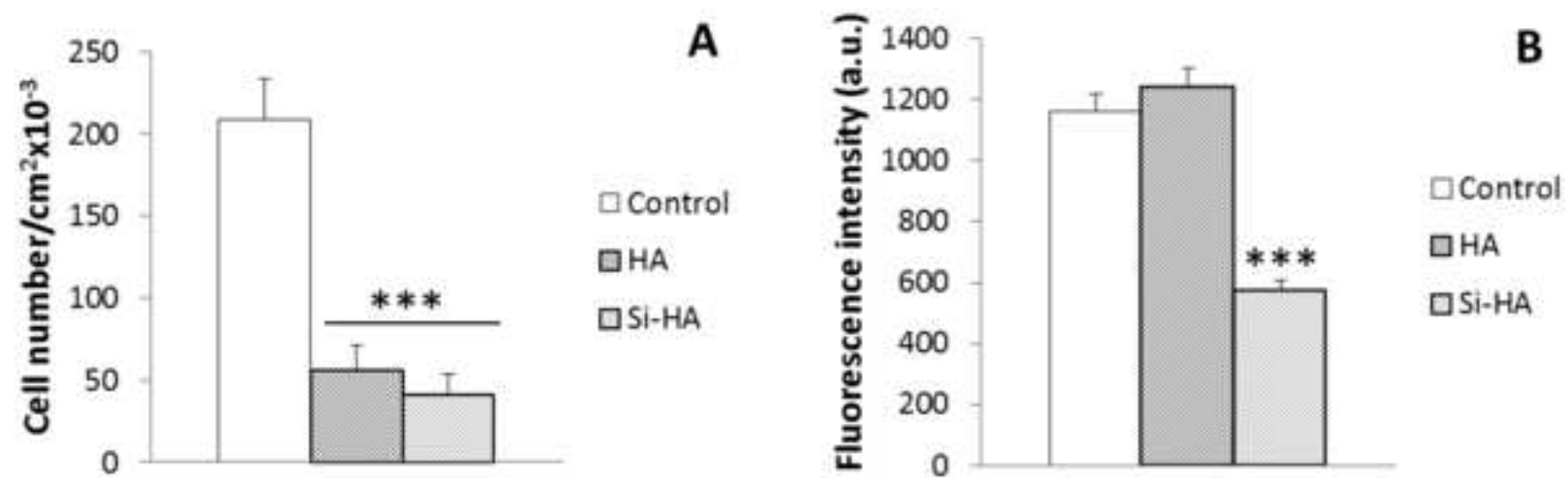
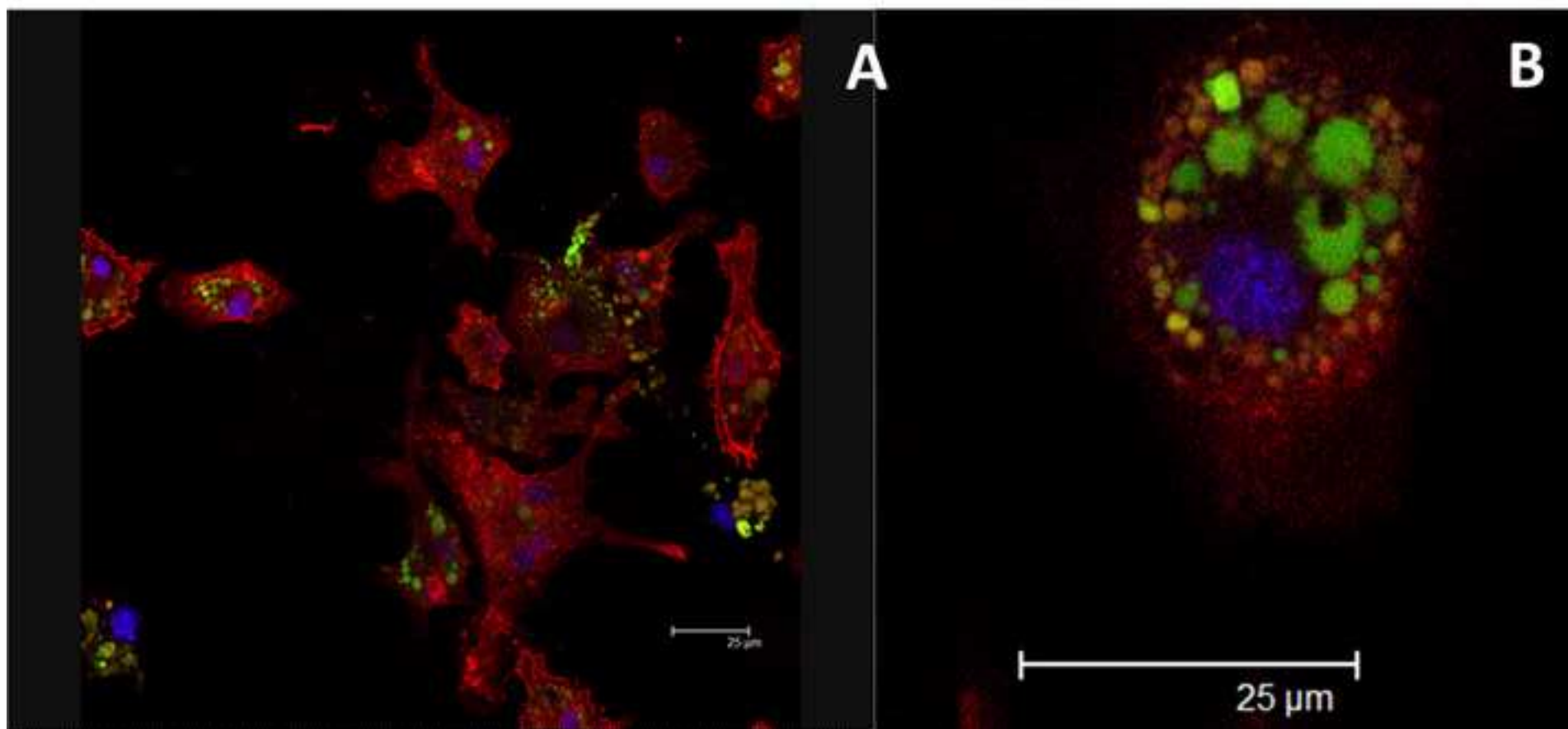
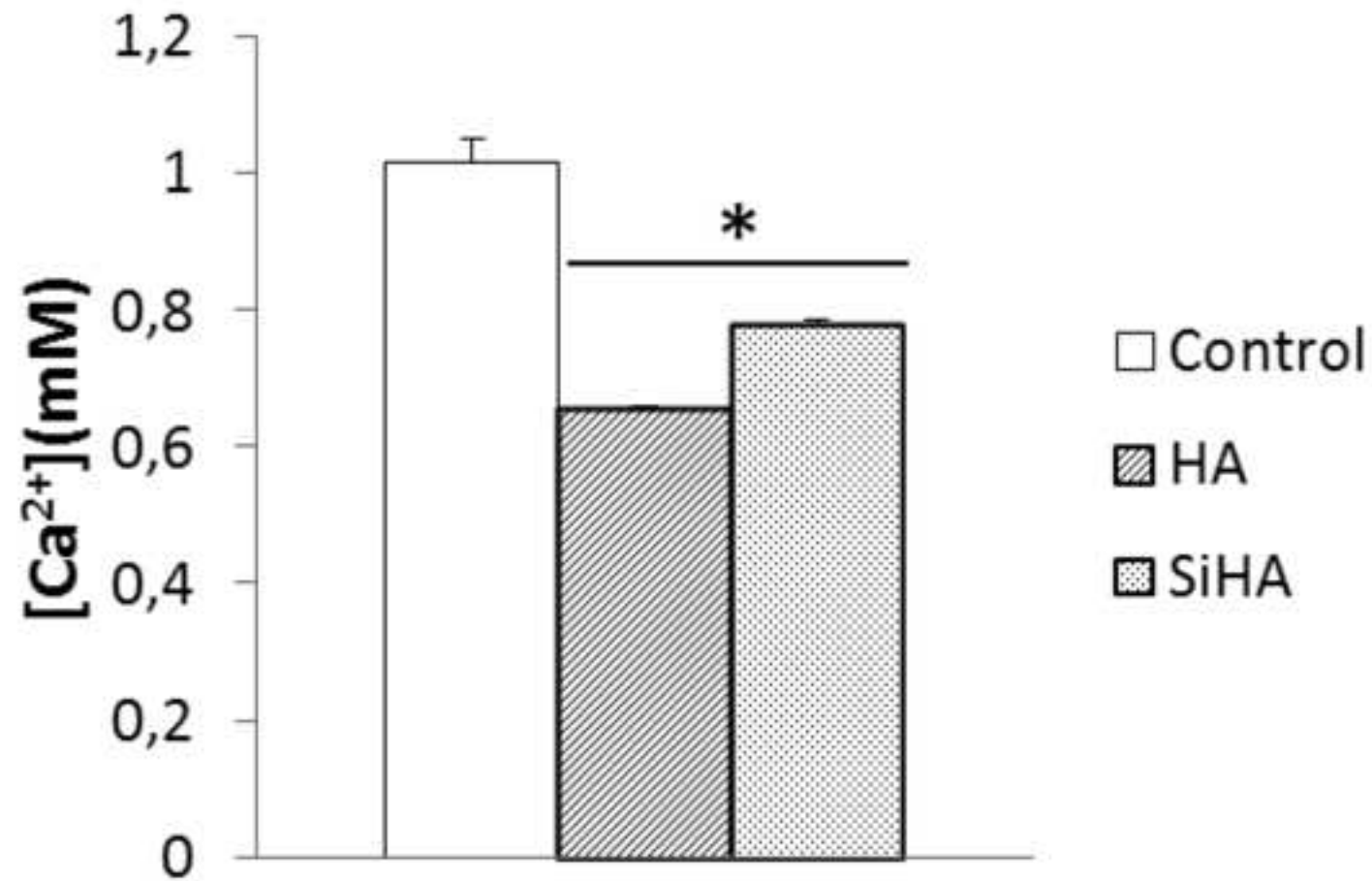


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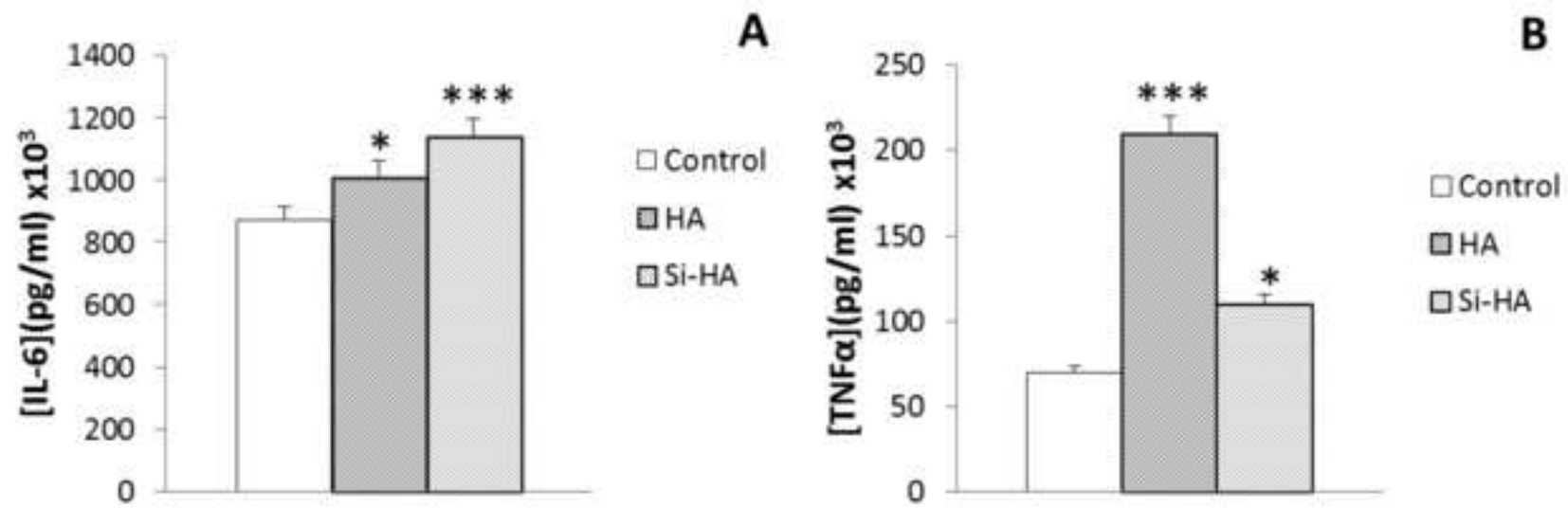
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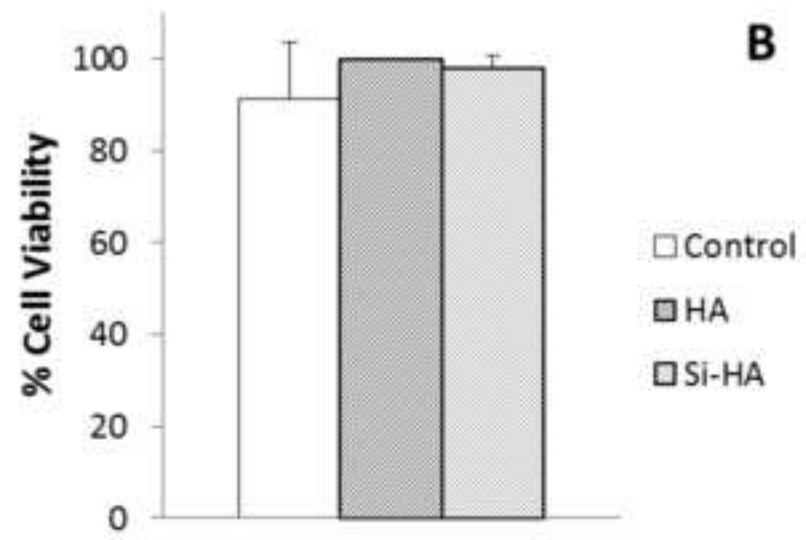
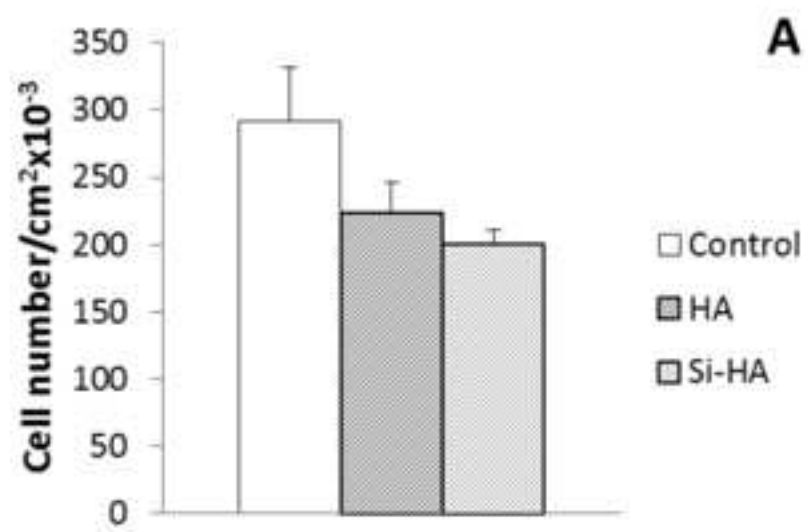
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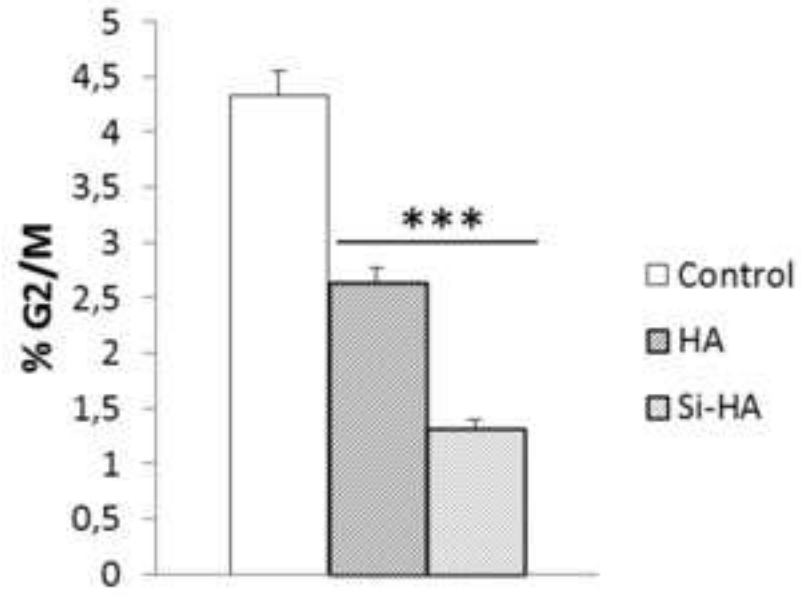
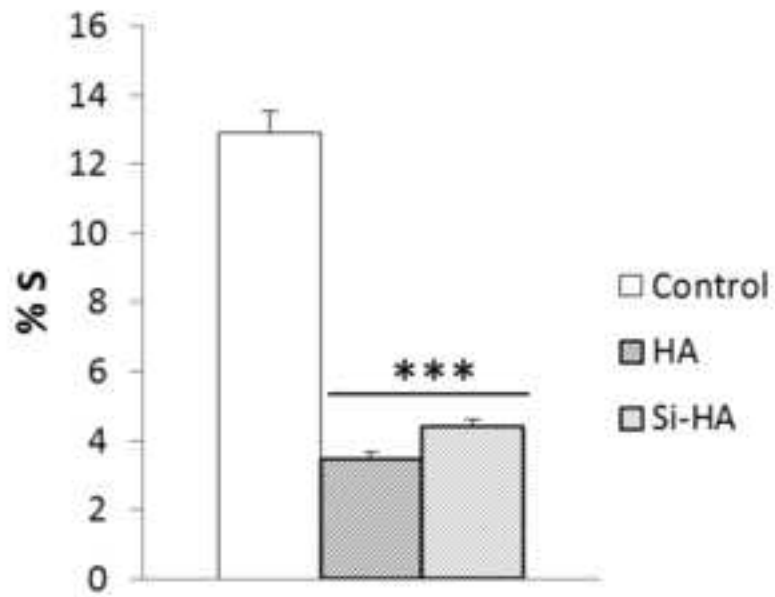
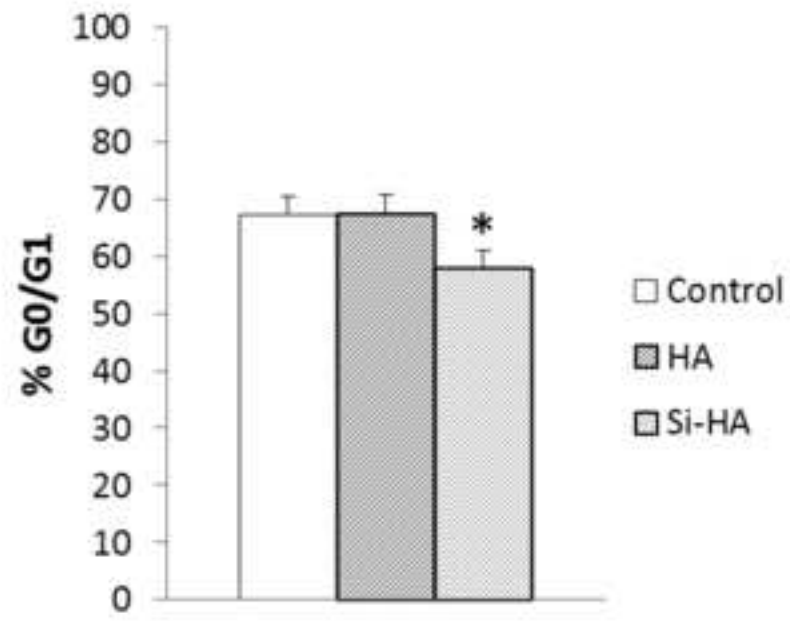
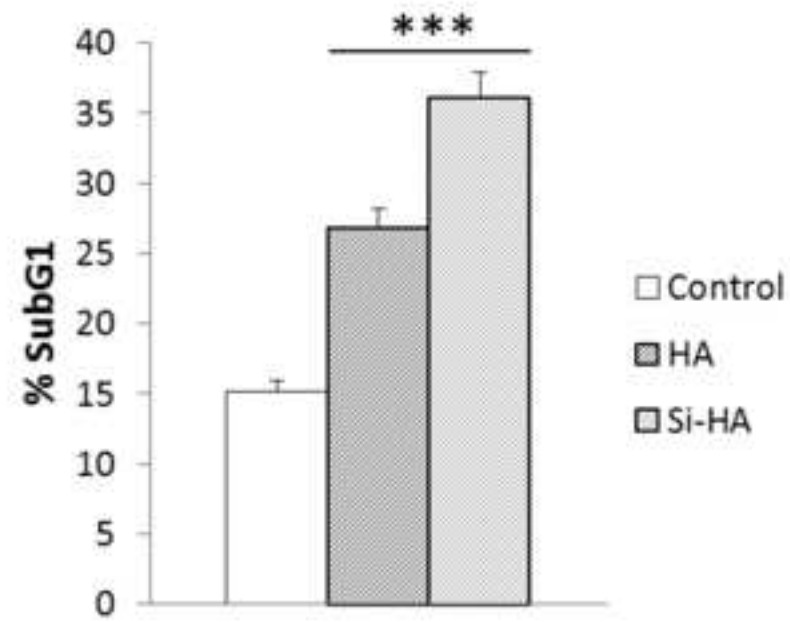


Table 1. Experimental Ca, P and Si content (% in weight) obtained by x-ray fluorescence spectroscopy. Theoretical values are indicated below in brackets

Sample	Ca	P	Si
Nano-HA	39.5 (39.9)	17.8 (18.5)	0.0 (0.0)
Nano-SiHA	39.2 (40.1)	17.2 (17.8)	0.6 (0.7)

Table II. Lattice parameters and crystallite sizes obtained from Rietveld refinements.

Sample	Lattice parameters (Å)	Crystallite size along [1 0 0] (nm)	Crystallite size along [0 0 1] (nm)	Mean crystallite size^(a) (nm)
Nano-HA	a = 9.4209 (1) c = 6.8841 (1)	27.6	48.2	30 (8)
Nano-SiHA	a = 9.4236 (1) c = 6.8875 (1)	19.1	32.9	22 (6)

^(a) The values in brackets for mean crystallite size do not make reference to the measurement errors, but are an estimation of the crystal size anisotropy.

Table III. Effects of nano-HA and nano-SiHA on cell viability, apoptosis, ROS production and LDH release into the culture medium of murine RAW-264.7 macrophages after 3 days treatment.

	Control	Nano-HA	Nano-SiHA
VIABILITY (%)	83.3 ± 1.8	77.1 ± 3.1	84.3 ± 0.1
APOPTOSIS (%)	2.6 ± 0.3	1.4 ± 0.1	1.9 ± 1.3
ROS (a.u.)	403.1 ± 104.0	310.8 ± 75.6	308.9 ± 105.5
LDH (U/L)	16.1 ± 2.9	25.8 ± 11.8	22.2 ± 8.2