

Multiproxy evidence for leaf-browsing and closed habitats in extinct proboscideans (Mammalia, Proboscidea) from Central Chile

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Proboscideans are so-called ecosystem engineers and are considered key players in hypotheses about Late Pleistocene megafaunal extinctions. However, knowledge about the autoecology and chronology of the proboscideans in South America is still open to debate and raises controversial views. Here, we used a range of multiproxy approaches and new radiocarbon datings to study the autoecology of Chilean gomphotheres, the only group of proboscideans to reach South America during the Great American Biotic Interchange (~3.1 to 2.7 million years before present). As part of this study, we analyzed stable isotopes, dental microwear, and dental calculus microfossils on gomphotheres molars from 30 Late Pleistocene sites (31° to 42°S). These proxies provided different scales of temporal resolution, which were then combined to assess the dietary and habitat patterns of these proboscideans. The multiproxy study suggests that most foraging took place in relatively closed environments. In Central Chile, there is a positive correlation between lower $\delta^{13}\text{C}$ values and an increasing consumption of arboreal/scrub elements. Analyses of dental microwear and calculus microfossils have verified these leaf-browsing feeding habits. From a comparative perspective, the dietary pattern of South American gomphotheres appears to be constrained more by resource availability than by the potential dietary range of the individual taxa. This multiproxy study is aimed at increasing knowledge of the life history of gomphotheres and thus follows an issue considered one of the greatest challenges for paleontology in South America, recently pointed out by the need to thoroughly understand the role of ecological engineers before making predictions about the consequences of ecosystem defaunation.

stable isotopes | dental calculus | dental microwear

Based on the dental morphology evolution of herbivorous mammals, different dietary categories have been recognized (i.e., browsers, grazers, and mixed feeders), which have allowed the interpretation of dietary patterns of mammalian lineages in evolutionary terms (1). However, dietary patterns can change significantly over ontogenetic and ecological timescales (2), and therefore there might be a decoupling between dental morphology and dietary preferences. This decoupling has been observed with the increasing application of other independent, nonmorphological proxies (3), which have evidenced “unexpected” dietary records either in extinct or modern taxa.

This demonstrated that variability in dietary patterns may correspond to some type of dietary plasticity, inherent to the taxon under study (e.g., the presence of putative cellulose-digesting microbes) (4), which could be more determining than dental morphology. A drastic environmental change may also trigger a shift in the resource and habitat use for a specific taxon,

which might remain undetected if only dental morphology is investigated.

To overcome these current limitations, multiple sources of evidence can provide a wider perspective to infer the dietary preferences of a specific taxon and the environment where it lived. The application of a multiproxy investigation to a single taxon and to a single anatomical element provided solid data on resource and habitat use, as well as on the lifestyle of extinct fauna, leading to the formulation of previously unasked questions.

We performed a multiproxy study approach to better characterize the diet of *Notiomastodon platensis* from Chile (probably the only species of gomphotheres that inhabited Chile), since they are known to have developed a flexible lifestyle, which lay behind their successful spread into South America (5). We focused on Central Chilean territory (31° to 42°S) (Fig. 1) due to two factors. First, from a paleoenvironmental point of view, this area represents one of the most thoroughly researched areas of South America (*SI Appendix*, Fig. S1). This, in turn, provides us with a greater and more precise number of proxies derived from different paleontological disciplines to deal with. Second, the

Significance

The multiproxy approach represents a novel methodology and a unique opportunity to obtain a more detailed view of ancient resource use. Our multiproxy study, carried out on gomphotheres from Chile, widens potential occupied habitats to closed-canopy forests. This habitat variability supports the hypothesis that the diet of gomphotheres appears to be more constrained by resource availability than by the potential dietary range. We strongly recommend the use of a multiproxy approach, where morphology analyses are complemented by other sources of information. This approach prevents misleading conclusions about the origin of the proxy's signal from arising, such as a leaf-browsing diet inferred from the dental calculus and microwear not necessarily being indicative of humidity.

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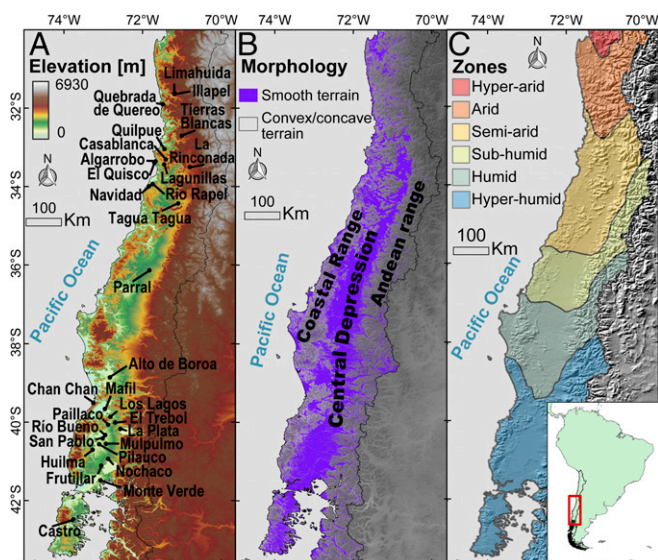
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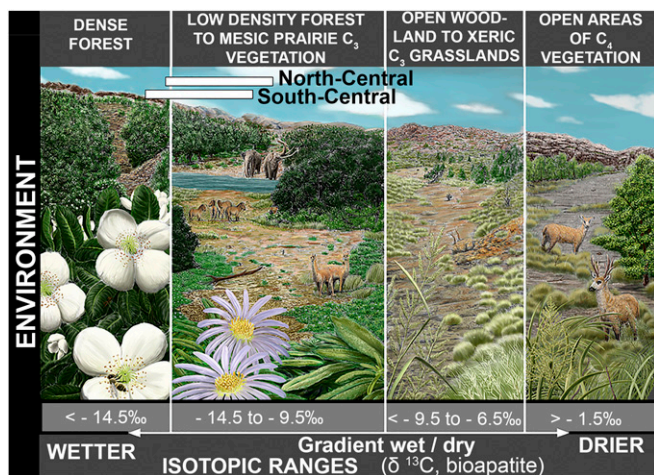


Fig. 2. Isotopic range of $\delta^{13}\text{C}$ (‰, Vienna Pee Dee Belemnite) values in bioapatite from our study area, corresponding to different vegetation types: (i) dense forest (e.g., Valdivian forest), *Eucryphia cardifolia*; (ii) low-density forest to mesic prairie C_3 vegetation (e.g., northwestern Chilean Patagonia) with *Nothofagus dombeyi* (arboreal) and *Aster vahlii* and *Adenocaulon chilense* (grasses); (iii) open woodland to xeric C_3 grasslands (e.g., Patagonian steppe) with *Stipa speciosa* and *Poa lanuginosa*; and (iv) open vegetation areas composed of C_4 (e.g., Corrientes, Argentina) with *Panicum grumosum* (grasses). The arrows indicate a gradient of humidity/aridity. Values between -6.5 and -1.5 ‰ correspond to C_3 - C_4 open areas. The white bars represent the isotopic range shown by North-Central and South-Central Chilean gomphotheres. Illustration is by Eloy Manzanero.

nonisotopic proxies still showed a leaf-browsing diet. From this logic, future multiproxy studies of other regions in South America—as in the Pampean Region, which supported an extraordinary fauna of large mammals during the Final Pleistocene (25)—could show evidence similar to that registered in the NC region of Chile. This demonstrates the high potential of combining different types of dietary proxies on vertebrate taxa to attain a deeper perspective of ancient resource use.

North-Central Chile. Although isotopic values showed a high degree of variability, some trends can, nonetheless, be observed. $\delta^{13}\text{C}_{\text{bio}}$ values tend to be lower, whereas $\delta^{18}\text{O}$ values are intermediate compared with those from other South American areas. $\delta^{15}\text{N}$ values show different trends in the NC and SC regions, with the former depicting higher values (closer to those shown by the Pampean taxa) and the latter depicting lower values (closer to those recorded by southern Patagonian taxa) (SI Appendix, Fig.

S5B). A first interpretation of this landscape suggests the predominance of a woodland-mesic environment C_3 grassland. However, the DMA and AMDC point to a significant presence of trees as shown by most samples (SI Appendix, Table S6).

Although the general pattern of climate and environmental changes tended toward aridization, the Pleistocene–Early Holocene transition was neither continuous nor gradual but rather complex and with reversals and unique/particular in different regions (26). This is reflected by the environmental variability evidenced in the studied specimens from the NC region.

The sample from the Quereo site (31°S) is one of the most informative (12,980 to 12,700 cal y B.P.; 2σ) (Dataset S1). Although this sample is consistent with a woodland-mesic C_3 grassland environment, its $\delta^{13}\text{C}_{\text{bio}}$ value is near the upper-threshold -9.5 ‰ value, which would indicate a more open and drier environment. Its collagen isotopic values point to an arid environment (Dataset S1). The estimated mean annual temperature is close to that obtained from sea-surface temperature estimations for the Late Pleistocene (27), whereas $\delta^{18}\text{O}_{\text{mw}}$ and mean annual precipitation values were similar to current values (arid environment; Fig. 1). Pollen analyses allowed Villagrán and Varela (28) to carry out a paleoenvironmental reconstruction of the Quereo area. This study detected more humid conditions than at present until 11,200 cal y B.P. The DMA and AMDC showed a leaf-browser diet for the Quereo specimen, which may initially indicate some degree of humidity. However, the multiproxy study indicated that the Quereo individual may have lived in a habitat with climatic and environmental conditions similar to those observed today in the area (more arid than during the Last Glacial Termination), with a predominance of a woodland and shrub stratum adapted to semiarid conditions. Following this logic, similar reasoning could be applied to the gomphothere sample from the Illapel site (31°S), as it showed similar isotopic values ($\delta^{13}\text{C}_{\text{bio}}$). In addition, both presented 100% of a tree phytolith morphotype.

The stable isotope values from Casablanca (33°S) (Pleistocene/Holocene) pointed to a xeric and warm environment (Dataset S1). Moreover, the AMDC output showed a dominant consumption of herbs. This individual indicates that it is possible to find a grazing diet among the megafauna toward the end of the Pleistocene due to the increase in grassland. However, the low number of scratches and the presence of hypercoarse scratches suggest a browsing behavior during the last days or weeks before death. The latter interpretation agrees well with other gomphotheres in the same area: The individual from the Lagunillas site (33°S) indicated mixed-feeding (trees and herbs) (from AMDC) and browsing behavior (from DMA). Gomphotheres from the Rapel and Tierras Blancas sites (33°S) (Pleistocene/Holocene) fed in closed woodland according to

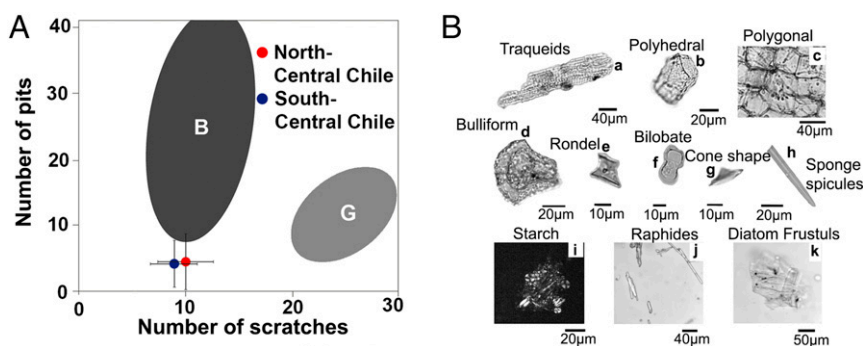


Fig. 3. (A) Bivariate plot of the average numbers of pits and scratches in *Notiomastodon* samples from Chile. Bars correspond to SD (± 1 SD). Gray areas correspond to the Gaussian confidence ellipses ($P = 0.95$) on the centroid for extant leaf browsers (B) and grazers (G) from Solounias and Semprebon (21). (B) Recovered plant microfossils from the dental calculus of gomphotheres. Arboreal morphotypes: a–c. Poaceae morphotype: d–f. Cyperaceae morphotype: g. Other microfossils: h–k.

their $\delta^{13}\text{C}_{\text{bio}}$ signal and lived under warm conditions according to mean annual temperature values (Dataset S1). The gomphotheres from the Rapel, Algarrobo, and Navidad sites display a leaf-browser diet (from DMA and AMDC). Probably, under warmer and drier environmental conditions, as is the case today along the coast of the NC region, the strong oceanic influence gave rise to a permanently high level of humidity, leading to patches of deciduous and evergreen forests in the NC region; remnants of rainforest dated to the Late Pleistocene have been found along the coast (29). Moreover, the hilly orography from coastal areas to the Andes Range between 31° and 33°S (Fig. 1) are today dominated by a Mediterranean xeric-oceanic bioclimate, exhibiting from desert scrub all the way to sclerophyllous forest. This orographic feature may have allowed swamp forests and coastal wetlands to persist into the Early Holocene. Therefore, despite the significant increase in grassland, at least up to 8,700 cal y B.P. (26), orographic and oceanographic features enabled woodland-type microhabitats (such as interglacial refugia) to persist.

The samples from the Tagua Tagua site (34°S) and the Parral site (36°S) showed an environmental and dietary pattern in agreement with the persistence of a forested landscape until $\sim 13,800$ cal y B.P. (SI Appendix, Fig. S1). Additionally, the multiproxy analyses of the selected specimens point to a leaf-browser diet. Moreover, most of the samples presented a mean annual precipitation $>1,000$ mm/y. One very informative sample from the Tagua Tagua site may be reflecting what can be considered the closest approximation to the environment of the Last Glacial Termination: sample SGO.PV.47k (13,810 to 14,520 cal y B.P.; 2σ). Sample SGO.PV.256 (13,420 to 13,720 cal y B.P.; 2σ), probably coming from the Tagua Tagua site (to be confirmed), also exhibits the same environmental signal as the SGO.PV.47k sample: Both are linked to a woodland-mesic C_3 grassland environment, while also coinciding with a leaf-browser diet. In addition, in both samples, the mean annual temperature is similar to the sea-surface temperature of the Last Glacial Termination (SI Appendix, Fig. S1). However, as observed in all of the samples from the NC region, their relatively high $\delta^{15}\text{N}$ values could reflect some degree of aridity. Therefore, this multiproxy study helps us to more precisely infer the habitat of *N. platensis* as being a humid and wooded environment. Despite this observed pattern, there are some samples from the Tagua Tagua site that showed some degree of variability. For example, the SGO.PV.47c1 sample pointed to dry areas with open C_3 - C_4 vegetation. Estimated mean annual temperature and mean annual precipitation (Dataset S1) values support dry conditions. As deduced from the AMDC, during the final stage of its life, this particular individual seems to have lived in a wetland environment comprising trees, shrubs, and herbs. This sample also showed the highest number of fine scratches from the microwear database (SI Appendix, Table S4), although the presence of coarse and hypercoarse scratches could indicate a mixed-feeding diet, which is in agreement with a roughly even percentage of tree, shrub, and herb elements (SI Appendix, Table S6). Just like some of the NC gomphotheres, which consumed the Cyperacea taxon, the SGO.PV.47c1 sample did not show evidence that wetlands may have given rise to low $\delta^{13}\text{C}$ values (30). Therefore, this gomphothere shows variability in its diet throughout its life, obtaining food items from open areas to wetlands. This individual is likely a reflection of the Pleistocene–Holocene transition.

South-Central Chile. *Notiomastodon* samples from the SC region showed intermediate $\delta^{18}\text{O}$, low $\delta^{13}\text{C}_{\text{bio-coll}}$, and low $\delta^{15}\text{N}$ values, in agreement with a temperate environment dominated by C_3 plants and with a significant woodland cover (31). When the collagen $\delta^{13}\text{C}$ diet-to-tissue trophic discrimination of $\sim 5\text{‰}$ (32) is applied, the estimated mean vegetation $\delta^{13}\text{C}$ value retrieved from SC gomphotheres was $-27.7 \pm 0.7\text{‰}$. This mean $\delta^{13}\text{C}$ value roughly coincides with the threshold value that delimits forest and woodland conditions ($\sim -27.5\text{‰}$) (33), and it is placed close to the high-end

member of the $\delta^{13}\text{C}$ range obtained for modern forest and shrub vegetation analyzed in the same area (-40.2 to -27.9‰) (34). When the collagen $\delta^{15}\text{N}$ diet-to-tissue trophic discrimination of $\sim 3\text{‰}$ (32) is applied, the estimated mean vegetation $\delta^{15}\text{N}$ value of SC gomphotheres was $1.0 \pm 2.1\text{‰}$. This value agrees well with the modern vegetation $\delta^{15}\text{N}$ values of shrub and forest areas, which range between -9.9 and 3.5‰ (34). This mean vegetation $\delta^{15}\text{N}$ value calculated from SC gomphotheres corresponds to either plants that do not fix nitrogen, nitrogen-fixing plants, or plants that grow in association with mycorrhizae in areas where precipitation is greater than 1,000 mm/y (35). However, the DMA and AMDC of SC individuals indicate that they preferably consumed non-nitrogen-fixing plants such as shrubs and trees. This seems consistent with the observed low $\delta^{13}\text{C}$ values depicted by the SC gomphotheres and, in turn, with the presence of a closed canopy in the north Patagonian rainforest (SI Appendix, Fig. S1).

When calculating the mean annual precipitation, a wide variability is gleaned from the SC gomphotheres (Dataset S1), probably due to the chronological differences among the selected samples and sites. The mean annual temperature of the dated samples (Dataset S1) fits well with those recorded from marine proxies (SI Appendix, Fig. S1) except for the mean annual temperature (23°C) estimated from the Pilauco MHMOPI/628 sample (13,240 to 15,640 cal y B.P.; 2σ), which is unusually high. This sample raises special interest, as it comes from a site characterized by an increased abundance of nonarborescent elements (24). In addition (and contrary to what is observed in the general SC trend), $\delta^{15}\text{N}$ values are high in the Pilauco samples (24). However, the multiproxy analysis carried out on the MHMOPI/628 specimen points to a leaf-browser diet, and its $\delta^{13}\text{C}$ value indicates a forested environment.

In the SC region, during the Last Glacial Maximum, the climate was cold and humid, characterized by the increase in cold-resistant hygrophilous herbs and Moorland Magellanic communities (SI Appendix, Fig. S1). However, intercalated climate was colder and drier, characterized by the increase in Graminae-Compositae. Therefore, we should expect the gomphotheres to have had grazer behavior. Conversely, short-time episodes characterized by a warmer climate have also been detected. This increase in temperature triggered the appearance of forested areas. The multiproxy study of the samples from the Nochaco and Mulpulmo sites showed a $\delta^{13}\text{C}$ signal for wooded areas for that time period. The opposite of what would be expected of a leaf-browsing diet appears to have taken place. Take, for example, the gomphothere from the El Trébol site (UACHPVTR1) (16°C): Although it originates from a pre-Last Glacial Maximum interstadial period, when forests with conifers would have had a wider and continuous distribution than at present, populating the lowlands of the Central Depression (36), it shows mixed-feeding data from AMDC. The same is true for the gomphothere from the Choroico site (mixed-feeding data from AMDC); however, the $\delta^{13}\text{C}$ values showed a closed-canopy environment from the Last Glacial Termination. On the one hand, perhaps, as has been observed in some modern elephants, gomphotheres did not consume food in proportion to its local abundance (e.g., due to variations in vegetation palatability throughout the year) (37). Alternatively, the microhabitats characterized by a mosaic habitat could have been common within a forested regional context of vegetation, which had already been established from $\sim 16,800$ cal y B.P.

Comparison Between North-Central and South-Central Chile. Significant differences emerge when comparing NC and SC gomphothere isotopic values. $\delta^{13}\text{C}$ values indicated a more wooded-to-forested environment in the SC region, although in both areas the $\delta^{13}\text{C}$ values revealed mostly a browsing dietary behavior. Estimated modern equivalent dietary ($\delta^{13}\text{C}_{\text{diet,meq}}$) values and mean annual precipitation, along with $\delta^{15}\text{N}$ values, point to a strong latitudinal gradient between the NC (lower precipitation) and the SC (higher precipitation) areas. Today, the climatic system in

place could explain the differences noted in the $\delta^{15}\text{N}$ values (38). However, for the Late Pleistocene, the climate was homogeneous in both areas (*SI Appendix, Fig. S1*). Unfortunately, the NC region lacks radiocarbon datings, which hinders a more robust interpretation. The complexity of the problem increases when we take into account that within a context of high humidity there are high $\delta^{15}\text{N}$ values. Thus, in terms of the collagen $\delta^{15}\text{N}$ diet-to-tissue trophic discrimination, the values of the gomphotheres from the Pilauco site ($\delta^{15}\text{N} = 4 \pm 0.9\text{‰}$) (34) do not overlap with those of modern vegetation ($\delta^{15}\text{N} = -1.9 \pm 3.2\text{‰}$) (34). The reason behind the difference between the gomphotheres from the Pilauco site and the other sites from the SC region (38° to 42°S) ($\delta^{15}\text{N} = 0.6 \pm 1.8\text{‰}$; this study) should be sought for other factors such as, for example, by fires, grazing intensity, and coprophagy or the fertilization of the vegetation located in regular migratory routes (31), among others.

Although in the NC region there are samples that reflect both cold and warm conditions, overall NC and SC gomphotheres $\delta^{18}\text{O}_{\text{mw}}$ and mean annual temperature values agree well with interglacial environments. This includes the modern interglacial situation where annual mean $\delta^{18}\text{O}_{\text{mw}}$ values for some nearby meteorological stations are in line with those obtained by gomphotheres. By taking into account the average pattern shown by our multiproxy approach on NC and SC gomphotheres, it can be inferred that atmospheric circulation patterns, $\delta^{18}\text{O}_{\text{mw}}$ values, and temperatures—at least during the Last Glacial Termination—were similar to those today.

Overall, the interpretation of the AMDC and DMA results is similar in both areas: A diet dominated by the consumption of forested vegetation is compatible with the trend toward the decrease in $\delta^{13}\text{C}$ values. The presence of herbs in smaller percentages agrees with the isotopic range detected in the majority of the samples. The high proportion of samples with hypercoarse scratches suggests gomphotheres fed on tree bark, but it is also plausible that these scratches, when coupled with the presence of puncture pits, were caused by phytoliths present in hard fruit and seed coats. However, neither NC nor SC gomphotheres record puncture pits.

To date, there is an increasing number of studies evidencing a eurybiomic and generalist pattern in proboscidean behavior (39). In this context, as suggested for mastodons and mammoths from North America (31), a change in vegetation may have not been a determining and fatal factor in the extinction of South American gomphotheres. However, there are several limiting factors for biotic expansion. One of these factors may be the increasing need for larger species to obtain food of sufficient quality (40). Thus, because it has been found that nitrogen limitation is a key factor that influences the fitness of mammalian herbivores, a central question arises: What adverse effects on the biology of the gomphotheres might have been generated by a shift toward a more grazing behavior (low protein consumption and increase of abrasive feed)? Studies of African savannah elephants show that they feed on grass during the wet season but rely on browsing during the dry season (14). This dietary preference is related to a wider home range in the wet season than in the dry season (41). Codron et al. (42) suggest that switching between C_3 browsing and C_4 grazing over extended timescales helps modern elephants survive through environmental change, and could even allow for the recovery of overused resources to take place. Focusing on this logic, because this multiproxy study extends the dietary range (i.e., closed-canopy) of gomphotheres in South America, probably a set of adaptive features in gomphotheres must have acted synergistically to have been able to consume the different types of vegetation, for example from the consumption of C_4 leaves with thick cell walls (43) in the Brazilian Intertropical Region (6° to 15°S) (22) to the consumption of woody vegetation with more plant secondary metabolites (44) in northwestern Chilean Patagonia (38° to 42°S) (24). The large record from Central Chile showing a leaf-browsing diet could suggest the presence of physiological

adaptations in gomphotheres, which today are being considered key in the nutritional evolution of modern elephants: Because arboreal vegetation has more nutrients than herbs but more secondary metabolites with noxious effects on nitrogen digestion, elephants have evolved tannin-binding proteins as a way of dealing with the negative effects of tannins; this would therefore increase the amount of available crude protein, which can greatly affect the carrying capacity (44).

Finally, because the registry of a generalist dietary pattern in the proboscideans continues to be a tendency in studies that use taxon-free proxies, two questions of ecological-evolutionary nature should guide future research: (i) What kinds of factors were decisive for a single taxon of proboscideans to have inhabited South America, and (ii) why was the flexible lifestyle of gomphotheres not enough to avoid their extinction?

Conclusions

This research involved a multiproxy analysis of gomphotheres molars found in Chilean paleontological sites located between 31° and 42°S . These proxies provide different scales of temporal resolution, which were combined to assess dietary and habitat patterns. There is agreement between the range of the dietary resources registered during the first years of formation of the bioapatite in dental enamel and the diet registered during the final week or months of the gomphotheres' life: a dietary pattern dominated by the consumption of trees and shrubs with lower percentages of herbs in almost all of the samples.

The estimated $\delta^{13}\text{C}_{\text{diet,meq}}$ values and mean annual precipitation, along with $\delta^{15}\text{N}$ values, point to a strong latitudinal gradient. However, some samples with high $\delta^{15}\text{N}$ values might be explained by nonclimatic causes, since other proxies showed a high degree of humidity. Overall, NC and SC gomphotheres $\delta^{18}\text{O}_{\text{mw}}$ and mean annual temperature values agree well with interglacial environmental conditions.

Habitat differences in South America support the hypothesis that the diet of gomphotheres appears to be more constrained by resource availability than by the potential dietary range of the taxa. Our multiproxy study has shown that, while the $\delta^{13}\text{C}$ values are indicative of more open and xeric areas, the consumption of trees and shrubs in some episodes of the gomphotheres' lives cannot be ruled out. We have observed that the opposite is true as well: Some extent of herb consumption may still have been possible when mean $\delta^{13}\text{C}$ values point to closed-canopy and humid areas.

Finally, the information provided by this multiproxy study positions Central Chile as one of the "hotspots" of South America where further investigation should be encouraged to obtain a more in-depth knowledge of glacial and interglacial refugia, resource use, and the potential habitat shrinkage that finally led to the megafaunal extinction recorded at the end of the last Ice Age.

Materials and Methods

Materials. A multiproxy approach involving stable isotope analysis, dental microwear analysis, and analysis of microfossils from dental calculus was carried out on 79 teeth of the gomphotheres *N. platensis*. The three proxies were analyzed on a total of 15 teeth; two proxies were analyzed on 29 teeth; and one proxy was analyzed on 35 teeth (*Dataset S1*). A new set of radiocarbon dates is presented in this study (*SI Appendix, Table S7*). The samples selected for this study come from 30 sites located at latitudes between 31° and 36°S and between 38° and 42°S (*SI Appendix, Fig. S1*).

Methods.

SIA. Samples for oxygen and carbon stable isotope analyses on bioapatite carbonate were treated following the analytical procedures described in Tornero et al. (45). Collagen extraction followed original protocols already listed by Longin (46) and later modified by Bocherens et al. (47).

DMA. We followed Asevedo et al. (48) and sampled unaltered regions of the enamel on the occlusal surface. Second upper and lower molars were preferentially selected. The microwear features were examined using the protocol developed by Solounias and Semprebon (21).

AMDC. The extraction of microfossils from the calculus samples was carried out using the chemical processing method described by Wesolowski et al. (49). To calculate the concentration of microfossils, we used Maher's method (50) as modified by Wesolowski et al. (51).

For more details on the methodology used in this study, see *SI Appendix*.

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